Red Hat Certificate System 7.3

System Agent Guide

7.3

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This guide is for agents of Certificate System subsystems. It explains the different agent services interfaces for the Certificate System subsystems and details the agent operations which can be performed. This information is used to manage and maintain certificates and keys for users in the PKI deployment.

Red Hat Certificate System 7.3: System Agent Guide

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About This Guide

This guide describes the agent services interfaces used by Red Hat Certificate System agents to administer subsystem certificates and keys and other management operations.

1. Who Should Read This Guide

This guide is intended for Certificate System agents. Agents are privileged users designated by the Certificate System administrator to manage requests from end entities for certificate-related services. Each installed Certificate System subsystem; Certificate Manager (CM), Data Recovery Manager (DRM), Online Certificate Status Manager, Token Key Service (TKS), and Token Processing System (TPS), can have multiple agents.

2. Required Concepts

Before reading this guide, be familiar with the basic concepts of public-key cryptography and the Secure Sockets Layer (SSL) protocol, including the following topics:

- · Encryption and decryption
- · Public keys, private keys, and symmetric keys
- Digital signatures
- The role of digital certificates in a public-key infrastructure (PKI)
- · Certificate hierarchies
- SSL cipher suites
- The purpose of and major steps in the SSL handshake

3. What is in This Guide

This guide describes an agent's responsibilities for the different Certificate System subsystems, and explains basic usage and tasks.

- Chapter 2, Agent Services Provides an overview of the product and identifies different kinds
 of users, including agents. The chapter also summarizes the tasks of each subsystem agent,
 lists the HTML forms used to perform agent tasks, and explains how to access the agent
 services pages and forms.
- Chapter 3, CA: Working with Certificate Profiles Provides an overview of the profiles feature and details how to enable and disable profiles.
- Chapter 4, CA: Handling Certificate Requests Describes the general procedures for handling

requests and explains how to handle different aspects of certificate request management. A CM agent is responsible for handling requests by end entities (end users, server administrators, or other Certificate System subsystems) for certificates using manual enrollment.

- Chapter 5, CA: Finding and Revoking Certificates Explains how to use the agent services
 page to find and examine a specific certificate issued by Certificate System, how to retrieve a
 list of certificates that match specified criteria, how to revoke certificates, and how to manage
 the certificate revocation list.
- Chapter 6, CA: Publishing to a Directory Describes how a CM agent can update the LDAP directory with the current status of certificates.
- Chapter 7, DRM: Recovering Encrypted Data Describes how to process key recovery requests and how to recover stored encrypted data when the encryption key has been lost. This service is only available when a Data Recovery Manager (DRM) is installed.
- Chapter 8, OCSP: Agent Services Describes how to handle tasks related to the Certificate System OCSP responder, Online Certificate Status Manager. This service is only available when the OCSP subsystem is installed.
- Chapter 9, TPS: Agent Services Describes how to perform tasks related to the Token Processing System and how to manage tokens and certificates through this subsystem. This service is only available when the TPS subsystem is installed.

4. Document Conventions

Certain words in this manual are represented in different fonts, styles, and weights. This highlighting indicates that the word is part of a specific category. The categories include the following:

Courier font

Courier font represents commands, file names and paths, and prompts.

When shown as below, it indicates computer output:

Desktop about.html logs paulwesterberg.png
Mail backupfiles mail reports

bold Courier font

Bold Courier font represents text that you are to type, such as: service jonas start

If you have to run a command as root, the root prompt (#) precedes the command:

gconftool-2

italic Courier font

Italic Courier font represents a variable, such as an installation directory:

install dir/bin/

bold font

Bold font represents application programs and text found on a graphical interface.

When shown like this: **OK**, it indicates a button on a graphical application interface.

Additionally, the manual uses different strategies to draw your attention to pieces of information. In order of how critical the information is to you, these items are marked as follows:



Note

A note is typically information that you need to understand the behavior of the system.



Tip

A tip is typically an alternative way of performing a task.



Important

Important information is necessary, but possibly unexpected, such as a configuration change that will not persist after a reboot.



Caution

A caution indicates an act that would violate your support agreement, such as recompiling the kernel.



Warning

A warning indicates potential data loss, as may happen when tuning hardware for maximum performance.

5. Documentation

The Certificate System documentation also contains the following manuals:

- Certificate System Administrator's Guide explains all administrative functions for the Certificate System, such as adding users, creating and renewing certificates, managing smart cards, publishing CRLs, and modifying subsystem settings like port numbers.
- Certificate System Enterprise Security Client Guide explains how to install, configure, and use
 the Enterprise Security Client, the user client application for managing smart cards, user
 certificates, and user keys.

Additional Certificate System information is provided in the CS SDK, which contains an online reference to HTTP interfaces, javadocs, samples, and tutorials related to the Certificate System. A downloadable zip file of this material is available for user interaction with the tutorials.

For the latest information about the Certificate System, including current release notes, complete product documentation, technical notes, and deployment information, visit the Red Hat Certificate System documentation page at the following address:

http://www.redhat.com/docs/manuals/cert-system/

Agent Services

This chapter describes the role of the privileged users, *agents*, in managing Certificate System subsystems. It also introduces the tools that agents use to administer service requests.

1. Overview of Certificate System

The Red Hat Certificate System is a highly configurable set of software components and tools for creating, deploying, and managing certificates. The standards and services that facilitate the use of public-key cryptography and X.509 version 3 certificates in a networked environment are collectively called the *public-key infrastructure* (PKI) for that environment. In any PKI, a *certificate authority* (CA) is a trusted entity that issues, renews, and revokes certificates. An *end entity* is a person, server, or other entity that uses a certificate to identify itself.

To participate in a PKI, an end entity must *enroll*, or register, in the system. The end entity typically initiates enrollment by giving the CA some form of identification and a newly generated public key. The CA uses the information provided to *authenticate*, or confirm, the identity, then issues the end entity a certificate that associates that identity with the public key and signs the certificate with the CA's own private signing key.

End entities and CAs can exist in different geographic or organizational areas or in completely different organizations. CAs may include third parties that provide services through the Internet as well as the root CAs and subordinate CAs for individual organizations. Policies and certificate content may vary from one organization to another. End-entity enrollment for some certificates may require physical verification, such as an interview or notarized documents, while enrollment for others may be fully automated.

1.1. Certificate System Sub-systems

To meet the widest possible range of configuration requirements, the Certificate System permits independent installation of five separate subsystems, or *managers*, that play distinct roles:

Certificate Manager.

A Certificate Manager (CM) functions as a root or subordinate CA. This subsystem issues, renews, and revokes certificates and generates certificate revocation lists (CRLs). It can also publish certificates, files, and CRLs to an LDAP directory, to files, and to an Online Certificate Status Protocol (OCSP) responder.

The CM can process requests manually (with agent action) or automatically (based on customizable profiles). Publishing tasks can only be performed by the CM.

The CM also has a built-in OCSP service, enabling OCSP-compliant clients to query the CM directly about the revocation status of a certificate that it has issued. In certain PKI deployments, it might be convenient to use the CM's built-in OCSP service, instead of an OCSM.

Because CAs can delegate some responsibilities to subordinate CAs, a CM might share its load

among one or more levels of subordinate CMs.

Subsystems can also be cloned. All clones use the same keys and certificates as the master, which means that the master and clones essentially all function as a single CA. Many complex deployment scenarios are possible.

Data Recovery Manager.

A Data Recovery Manager (DRM) oversees the long-term archival and recovery of private encryption keys for end entities. A CM or TPS can be configured to archive end entities' private encryption keys with a DRM as part of the process of issuing new certificates.

The DRM is useful only if end entities are encrypting data, using applications such as S/MIME email, that the organization may need to recover someday. It can be used only with client software that supports dual key pairs; two separate key pairs, one for encryption and one for digital signatures. It is also possible to perform server-side key generation using the TPS server when enrolling smart cards.



Note

The DRM archives encryption keys. It does not archive signing keys, since archiving signing keys would undermine the non-repudiation properties of dual-key certificates.

Online Certificate Status Manager.

An Online Certificate Status Manager (OCSM) works as an online certificate validation authority and allows OCSP-compliant clients to verify certificates' current status. The OCSM can receive CRLs from multiple CMs; clients then query the OCSM for the revocation status of certificates issued by all CMs. For example, in a PKI comprising multiple CAs (a root CA and many subordinate CAs), each CA can be configured to publish its CRL to the OCSM, allowing all clients in the PKI deployment to verify the revocation status of a certificate by querying a single OCSM.



Note

An online certificate-validation authority is often referred to as an OCSP responder.

Token Key Service.

The Token Key Service (TKS) manages the master and transport keys required to generate and distribute keys for smart cards. The TKS provides security between tokens and the TPS because it protects the integrity of the master key and token keys.

Token Processing System.

The Token Processing System (TPS) acts as a registration authority for authenticating and processing smart card enrollment requests, PIN reset requests, and formatting requests from the Enterprise Security Client.

1.2. Certificate System Users

Three kinds of users can access Certificate System subsystems: administrators, agents, and end entities. Administrators are responsible for the initial setup and ongoing maintenance of the subsystems. Administrators can also assign *agent* status to users. Agents manage day-to-day interactions with end entities, which can be users or servers and clients, and other aspects of the PKI. End entities must access a Certificate Manager (CM) subsystem to enroll for certificates in a PKI deployment and for certificate maintenance, such as renewal or revocation.

Figure 2.1, "The Certificate System and Users" shows the ports used by administrators, agents, and end entities. All agent and administrator interactions with Certificate System subsystems occur over HTTPS. End-entity interactions can take place over HTTP or HTTPS.

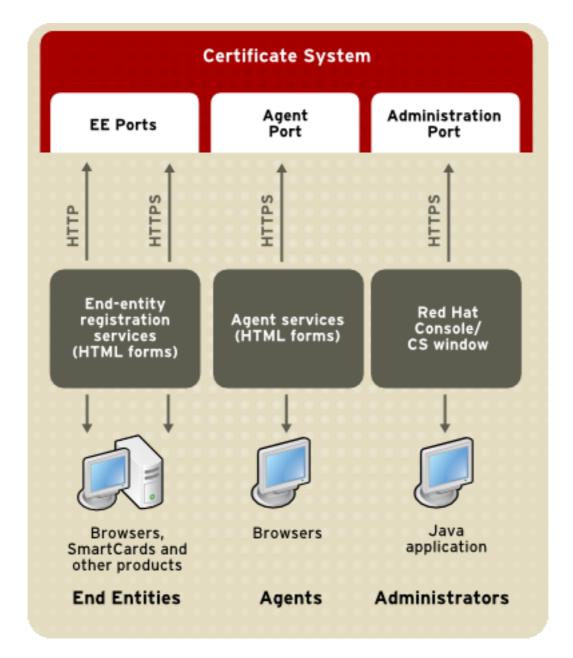


Figure 2.1. The Certificate System and Users

2. Agent Tasks

The designated agents for each subsystem are responsible for the everyday management of end entity requests and other aspects of the PKI:

Certificate Manager Agent

Certificate Manager (CM) agents manage certificate requests received by the CM subsystem, maintain and revoke certificates as necessary, and maintain global information about certificates.

Data Recovery Manager Agent

Data Recovery Manager (DRM) agents initiate the recovery of lost keys and can obtain information about key service requests and archived keys.



Note

Recovering lost or archived key information is done automatically in smart card deployments because the TPS server is a DRM agent. Smart cards are marked as lost in the TPS agent page, and then another smart card is later used to recover the old encryption keys automatically during certificate enrollment.

Online Certificate Status Manager Agent

Online Certificate Status Manager (OCSM) agents can perform tasks such as:

- Checking which CAs are currently configured to publish their CRLs to the OCSM.
- Identifying a CM to the OCSM.
- Adding CRLs directly to the OCSM.
- Viewing the status of OCSP service requests submitted by OCSP-compliant clients.

Token Processing System Agent

Token Processing System (TPS) agents can perform tasks such as:

- Viewing smart card enrollment and formatting activities.
- Listing tokens in the token database.
- Editing token information.
- Deleting tokens from the token database
- Marking tokens as permanently lost, temporarily lost, or damaged.

Token Key Service Agent

There is no direct interface for Token Key Service (TKS) agents to interact with the system. However, TKS agents can provide the secure communications channel through the TPS server required for smart card operations through the token management system. The allowed smart card operations are similar to those for TPS agents.

The privileged operations of an agent are performed through the Certificate System agent services pages. For a user to access these pages, the user must have a personal SSL client certificate and have been identified as a privileged user in the user database by the Certificate System administrator. For more information on creating privileged users, see the *Certificate System Administrator's Guide*.

- Section 2.1, "Certificate Manager Agent Services"
- Section 2.2, "Data Recovery Manager Agent Services"
- Section 2.3, "Online Certificate Status Manager Agent Services"
- Section 2.4, "Token Processing System Agent Services"

2.1. Certificate Manager Agent Services

The default entry page for (CM) agent services is shown in *Figure 2.2, "Certificate Manager Agent Services Page"*. Only designated CM agents, with a valid certificate installed in their client software, are authorized to access these pages.

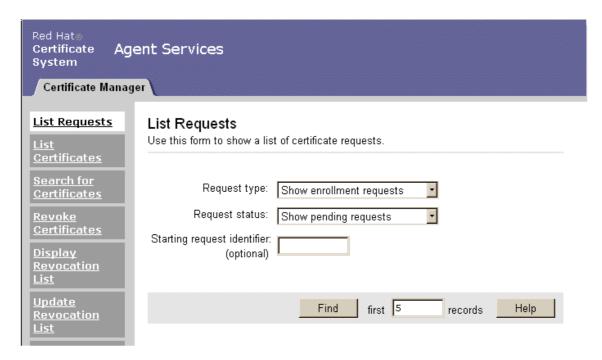


Figure 2.2. Certificate Manager Agent Services Page

A CM agent performs the following tasks:

Handles certificate requests.

An agent can list the certificate service requests received by the CM subsystem, assign requests, reject or cancel requests, and approve requests for certificate enrollment. See *Chapter 4, CA: Handling Certificate Requests*.

· Finds certificates.

Certificates can be searched for individually or searched and listed by different criteria. The details for all returned certificates are then displayed. See *Chapter 5, CA: Finding and Revoking Certificates*.

· Revokes certificates.

If a user's key is compromised, the certificate must be revoked to ensure that the key is not misused. Certificates belonging to users who have left the organization may also need revoked. CM agents can find and revoke a specific certificate or a set of certificates. Users can also request that their own certificates be revoked. See Section 4, "Revoking Certificates".

Updates the CRL.

The CM maintains a public list of revoked certificates, called the Certificate Revocation List (CRL). The list is usually maintained automatically, but, when necessary, the CM agent services page can be used to update the list manually. See *Section 5.2, "Updating the CRL"*.

Publishes certificates to a directory.

The Certificate System can be configured to publish certificates and CRLs to an LDAP directory. This information is usually published automatically, but the CM agent services page can be used to update the directory manually. See *Section 2, "Manual Directory Updates"*.

· Manages certificate profiles.

The agent can enable and disable certificate profiles. A profile must be temporarily disabled before an administrator can make changes to the profile itself using the administrative interface. After the changes have been made, the agent can re-enable the profile for regular use. See *Chapter 3, CA: Working with Certificate Profiles*.

2.2. Data Recovery Manager Agent Services

The default entry page to the Data Recovery Manager (DRM) agent services is shown in *Figure 2.3, "Data Recovery Manager Agent Services Page"*. Only designated DRM agents, with a valid certificate in their client software, are authorized to access these pages.



Figure 2.3. Data Recovery Manager Agent Services Page

A DRM agent performs the following tasks:

- Lists key recovery requests from end entities.
- · Lists or searches for archived keys.
- Recovers private data-encryption keys.
- · Authorizes and approves key recovery requests.

Key recovery requires the authorization of one or more *recovery agents*. The DRM administrator designates recovery agents. Typically, several recovery agents are required to approve key recovery requests in the DRM, so DRM administrators should designate more than one agent.

For more information on these tasks, see Chapter 7, DRM: Recovering Encrypted Data.

2.3. Online Certificate Status Manager Agent Services

The default entry page to the Online Certificate Status Manager (OCSM) agent services is shown in *Figure 2.4, "Online Certificate Status Manager Agent Services Page"*. Only designated OCSM agents, with a valid certificate in their client software, are authorized to access these pages.



Figure 2.4. Online Certificate Status Manager Agent Services Page

An OCSM agent performs the following tasks:

Checks that CAs are currently configured to publish their CRLs to the OCSM.

- · Identifies a CM to the OCSM.
- Manually adds CRLs to the OCSM.
- Submits requests for the revocation status of a certificate to the OCSM.

For more information on these tasks, see Chapter 8, OCSP: Agent Services.

2.4. Token Processing System Agent Services

The TPS agent services page allows operations by two types of users, both agents and administrators.

The default entry page to the Token Processing System (TPS) agent services is shown in *Figure 2.5, "TPS Agent Services Page"*. Only designated TPS agents, with a valid certificate in their client software, are authorized to access these pages.

Red Hat® Certificate System		TPS Services	
<u>Main Menu</u>			UID: admin
Agent Operations	Administrator Operations		
Tokens			
• List Tokens			
 Search Tokens 			
Certificates			
 List Certificates 			
 Search Certificates 			
Activities			
 List Activities 			
Search Activities			

Figure 2.5. TPS Agent Services Page

A TPS agent performs the following tasks:

- Lists and searches enrolled tokens by user ID or token CUID.
- · Lists and searches certificates associated with enrolled tokens.
- Searches token operations by CUID.

- · Edits token information.
- · Sets the token status.

The TPS agent services page also has a tab to allow operations by TPS administrators.

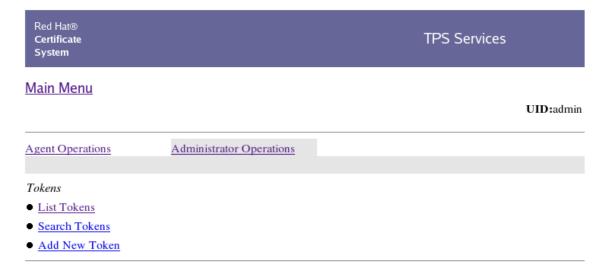


Figure 2.6. TPS Administrator Operations Tab

A TPS administrator performs the following tasks:

- Lists and searches enrolled tokens by user ID or token CUID.
- Edits token information, including the token owner's user ID.
- · Adds tokens.
- · Deletes tokens.

For more information about TPS agent and administrator tasks, see *Chapter 9, TPS: Agent Services*.

3. Forms for Performing Agent Operations

The agent services interfaces are form-based HTML pages that are part of the Certificate System installation. The Certificate System administrator designates certain users as agents for each installed subsystem (Certificate Manager, Data Recovery Manager, Online Certificate Status Manager, and Token Processing System). Only a designated agent for a subsystem can use that subsystem's agent services interface.

In addition, these designated agents must have personal client SSL certificates installed on their client software to access the agent services interface.

A subsystem agent with the correct certificates can access agent services forms through the agent services page to manage certificates. *Table 2.1, "Forms Used for Agent Operations"*, describes each of these HTML forms.

Form name (Operation)	Subsystem	Description
List all Requests	СМ	Examine, select, and process requests for certificate services. For instructions on using this form, see Section 2, "Listing Certificate Requests".
List all Certificates	СМ	List certificates within a range of serial numbers; the list of returned certificates can be limited to valid certificates. For instructions on using this form, see Section 1, "Basic Certificate Listing".
Search for Certificates	СМ	Search for and list Certificate System-issued certificates by subject name, certificate type, the state of the certificate (such as expired or revoked), and the dates when the certificate was issued, revoked, expired, or valid. For instructions on using this form, see Section 2, "Advanced Certificate Search".
Revoke Certificates	СМ	Search for and revoke certificates issued by the Certificate System. For instructions on using this form, see Section 4, "Revoking Certificates".
Update the Revocation List	СМ	Perform manual updates of the published CRL. For instructions on using this form, see Section 5.2, "Updating the CRL".
Update the Directory Server	СМ	Update the LDAP publishing directory with changes in certificate information like

Form name (Operation)	Subsystem	Description
		newly issued certificates and updated CRLs. For instructions on using this form, see Section 2, "Manual Directory Updates".
Search for Requests	CA and DRM	Search for requests filed by end entities with the Certificate System. Search criteria include the request ID range, request type, request status, and request owner. Searches are limited by two factors: the total time allowed for the search operation (in seconds) and the maximum number of results to display.
Display the Revocation List	CA	View the current CRL. The display can be customized by the issuing point and display type. Click the CRL number to display the time taken to generate this CRL; this is known as the CRL split time.
List all Requests	DRM	Find and examine requests for key services. For instructions on using this form, see Section 1, "List Requests".
Search for Keys	DRM	Find and list specific archived keys. For instructions on using this form, see Section 2, "Finding and Recovering Keys".
Recover Keys	DRM	Find and recover specific archived keys. A key in the list returned by a search is selected and its recovery is initiated; the recovery must be authorized by designated key recovery agents. For instructions on using this form, see Section 2.2, "Recovering Keys".

Form name (Operation)	Subsystem	Description
Authorize Recovery	DRM	Authorize a key recovery request remotely that was initiated by another DRM agent. For instructions on using this form, see Section 2.2, "Recovering Keys".
List Certificate Authorities	OCSM	List CMs that are currently configured to publish their CRLs to the OCSM. For instructions, see Section 1, "Listing CAs Identified by the OCSP".
Add Certificate Authority	OCSM	Identify a CM to the OCSM. For instructions, see Section 2, "Identifying a CA to the OCSP".
Add Certificate Revocation List	OCSM	Add a CRL to the OCSM's internal database. For instructions, see Section 3, "Adding a CRL to the OCSP".
Check Certificate Status	OCSM	Check the status of OCSP service requests sent by OCSP-compliant clients. For instructions, see Section 4, "Checking the Revocation Status of a Certificate".
Manage Certificate Profiles	CA	Enable and disable supported certificate profiles. Once a profile is disabled, the administrator can make changes to the profile by editing the profile configuration files or through the Console.
OCSP Service	CA	Manage the operation of the CA's internal OCSP service.
List all Tokens	TPS	List all the enrolled tokens, which shows all of the tokens enrolled by the TPS and basic information about the token. See Section 3, "Managing Tokens".

Form name (Operation)	Subsystem	Description
Search for Tokens	TPS	Search for tokens using either the user ID of the user to whom the token was issued, or by the contextually unique ID (CUID) of the token. See Section 3, "Managing Tokens".
List all Certificates	TPS	List all certificates on the token. See Section 4, "Listing and Searching Certificates".
Search for Certificates	TPS	Search for certificates stored on the tokens using either the user ID of the user to whom the certificate was issued, or by the contextually unique ID (CUID) of the token. See Section 4, "Listing and Searching Certificates".
List all Activities	TPS	List all operations performed through the TPS. See Section 5, "Searching Token Activities".
Search for Activities	TPS	Search for operations performed through the TPS. The operations are only searched by the contextually unique ID (CUID) of the token. See Section 5, "Searching Token Activities".

Table 2.1. Forms Used for Agent Operations

4. Accessing Agent Services

Access to the agent services forms requires certificate-based authentication. Only users who authenticate with the correct certificate and who have been granted the appropriate access privilege can access and use the forms. Operations are performed over SSL, so the server connection uses HTTPS on the SSL agent port.

The agent services URLs use the following format:

https://<hostname:port/subsystem_type>/agent/<subsystem_type>

For example, if a CA is installed on a host named server.example.com and is listening to port

9443, use the following URL to access the agent services interface:

https://server.example.com:9443/ca/agent/ca

There is also a services page for each subsystem. The URL for the services page for the previous example would be as follows: https://server.example.com:9443/ca/services

The services page has links to the all of the HTML pages for the subsystem, such as agent and end entities, as well as the administration page if the subsystem has not yet been configured.



Red Hat Certificate System

Certifcate System

Certificate System Services Page

- SSL End Users Services
- Agent Services

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Figure 2.7. Certificate Manager Services Page



Note

The services pages are written in HTML and are intended to be customized. This document describes the default pages. If an administrator has customized the agent services pages, those pages may differ from those described here. Check with the Certificate System administrator for information on the local installation.

CA: Working with Certificate Profiles

A Certificate Manager (CM) agent is responsible for approving certificate profiles that have been configured by a Certificate System administrator. CM agents also manage and approve certificate requests that come from profile-based enrollments.

1. About Certificate Profiles

Profile Definition.

A certificate profile defines everything associated with issuing a certificate, including the authentication method, the certificate content (defaults), constraints for content values in the requested certificate type, and the contents of the input and output forms associated with the certificate profile.

1.1. Categories of Certificate Profiles

There are three categories of information that constitute a certificate profile:

- Profile inputs. Profile inputs are parameters and values that are submitted to the CA when a
 certificate is requested. Profile inputs include public keys for the certificate request and the
 certificate subject name requested by the end entity for the certificate.
- *Profile policy sets*. A certificate profile can have one or more policy sets, each of which is defined by a set of defaults and constraints.
 - Profile defaults. Profile defaults are parameters and values defined by the CA administrator.
 Profile defaults include the authentication mechanism for the end entity, how long the certificate is valid, and what certificate extensions appear for each type of certificate issued.
 - Profile constraints. Profile constraints are parameters and values that form the rules or
 policies for issuing certificates. Profile constraints include rules like requiring the certificate
 subject name to have at least one CN component, setting the validity of a certificate to a
 maximum of 360 days, or requiring that the subjectaltname extension always be set to
 true.
- Profile outputs. Profile outputs are parameters and values that specify the format in which to
 issue the certificate to the end entity. Profile outputs include base-64 encoded files, CMMF
 responses, and PKCS #7 output, which also includes the CA chain.

2. Profile Operations Performed by CA Agents

Certificate Authority agents review profile requests and may consequently take any of the following actions:

Approve the request.

The certificate is issued, and the end entity then retrieves and uses it.

Reject the request.

No certificate is issued. The end entity is notified that the request was rejected for the reasons specified by the agent. The end entity can also view the request status using the CA's end entities page.

Cancel the request.

No certificate is issued. The end entity is notified that the request was canceled for the reasons specified by the agent. The end entity can also view the request status using the CA's end entities page.

Update the request.

The agent has the authority to change the certificate request to ensure that the request follows the policies that have been set. For example, the agent may change the values for certificate extensions.

Validate the request.

This checks that the output of the request conforms to the constraints defined in the profile.

Assign the request.

The certificate request is transferred to another agent for approval.

Unassign the request.

This removes the certificate request from an agent's queue.

Enrollment requests are submitted to a certificate profile and are subject to the defaults and constraints set up in that certificate profile, regardless of whether the request was created from the input form associated with the certificate profile or the request was created elsewhere and submitted preformatted.

3. List of Certificate Profiles

The following pre-defined certificate profiles are ready to use when the Certificate System is installed. These certificate profiles have been designed for the most common types of certificates, and they provide common defaults and constraints, authentication methods, and inputs and outputs. You can edit these profiles or add more profiles as necessary. An administrator can set up additional defaults and constraints using the CS SDK.

Profile ID	Profile Name	Description
caUserCert	Manual User Dual-Use Certificate Enrollment	Used to enrol user certificates.
caDualCert	Manual User Signing & Encryption Certificates Enrollment	Used to enrol dual user certificates. It works only with Netscape 7.0 or later.

Profile ID	Profile Name	Description
caSignedLogCert	Manual Log Signing Certificate Enrollment	Used to enrol audit log signing certificates
caTPSCert	Manual TPS Server Certificate Enrollment	Used to enrol TPS server certificates.
caRARouterCert	RA Agent-Authenticated Router Certificate Enrollment	Used to enrol router certificates.
caRouterCert	One Time Pin Router Certificate Enrollment	Used to enrol router certificates.
caServerCert	Manual Server Certificate Enrollment	Used to enrol server certificates.
caOtherCert	Other Certificate Enrollment	Used to enrol other certificates.
caCACert	Manual Certificate Manager Signing Certificate Enrollment	Used to enrol Certificate Authority certificates.
calnstallCACert	Manual Security Domain Certificate Authority Signing Certificate Enrollment	Used to enrol Security Domain Certificate Authority certificates.
caRACert	Manual Registration Manager Signing Certificate Enrollment	Used to enrol Registration Manager certificates.
caOCSPCert	Manual OCSP Manager Signing Certificate Enrollment	Used to enrol OCSP Manager certificates.
caTransportCert	Manual Data Recovery Manager Transport Certificate Enrollment	Used to enrol Data Recovery Manager transport certificates.
caDirUserCert	Directory-Authenticated User Dual-Use Certificate Enrollment	Used to enrol user certificates with directory-based authentication.
caAgentServerCert	Agent-Authenticated Server Certificate Enrollment	Used to enrol server certificates with agent authentication.
caAgentFileSigning	Agent-Authenticated File Signing	This certificate profile is for file signing with agent authentication.
caCMCUserCert	Signed CMC-Authenticated User Certificate Enrollment	Used to enrol user certificates by using the CMC certificate request with CMC Signature authentication.
caFullCMCUserCert	Signed CMC-Authenticated User Certificate Enrollment	Used to enrol user certificates by using the CMC certificate request with CMC Signature

Profile ID	Profile Name	Description
		authentication.
caSimpleCMCUserCert	Simple CMC Enrollment	Request for User Certificate Used to enrol user certificates by using the CMC certificate request with CMC Signature authentication.
caTokenDeviceKeyEnrollment	Token Device Key Enrollment	Used to enrol token device keys
caTokenUserEncryptionKeyEn	dlipkentUser Encryption Certificate Enrollment	Used to enrol Token Encryption key
caTokenUserSigningKeyEnrolli	mEmken User Signing Certificate Enrollment	Used to enrol Token Signing key
caTempTokenDeviceKeyEnroll	membporary Device Certificate Enrollment	Used to enrol token device keys
caTempTokenUserEncryptionK	elyempolhaneynToken User Encryption Certificate Enrollment	Used to enrol Token Encryption key
caTempTokenUserSigningKeyl	Enfeorthprogrammy Token User Signing Certificate Enrollment	Used to enrol Token Signing key
caAdminCert	Security Domain Administrator Certificate Enrollment	Used to enrol Security Domain Administrator's certificates with LDAP authentication against the internal LDAP database.
caInternalAuthServerCert	Security Domain Server Certificate Enrollment	Used to enrol Security Domain server certificates.
caInternalAuthTransportCert	Security Domain Data Recovery Manager Transport Certificate Enrollment	Used to enrol Security Domain Data Recovery Manager transport certificates.
caInternalAuthDRMstorageCer	t Security Domain DRM storage Certificate Enrollment	Used to enrol Security Domain DRM storage certificates
caInternalAuthSubsystemCert	Security Domain Subsysem Certificate Enrollment	Used to enrol Security Domain subsystem certificates.
caInternalAuthOCSPCert	Security Domain OCSP Manager Signing Certificate Enrollment	Used to enrol Security Domain OCSP Manager certificates.
DomainController	Domain Controller	Used to enrol Domain Controller Certificate

Profile ID	Profile Name	Description
caDualRAuserCert	RA Agent-Authenticated User Certificate Enrollment	Used to enrol user certificates with RA agent authentication.
caRAagentCert	RA Agent-Authenticated Agent User Certificate Enrollment	Used to enrol RA agent user certificates with RA agent authentication.
caRAserverCert	RA Agent-Authenticated Server Certificate Enrollment	Used to enrol server certificates with RA agent authentication.

Table 3.1. List of Certificate Profiles

3.1. Example Profile

The following is a description of an example <code>caUserCert</code> profile, as shipped with the server. A profile usually contains inputs, policy sets, and outputs. The default <code>caUserCert</code> certificate profile contains the following:

• Profile description

This profile is for issuing user, or client, certificates.

- Profile inputs
 - *Key generation* Specifies that the key pair generation during the request submission be CRMF-based and 1024-bit. This is a read-only field.
 - Subject name The subject name input is used when distinguished name (DN) parameters need to be collected from the user; the user DN can be used to create the subject name in the certificate. This input uses the following form fields:
 - *UID* The user ID of the user in the LDAP directory.
 - Email The email address of the user.
 - Common name The name of the user.
 - Organizational unit The organizational unit to which the user belongs.
 - Organization The organization name.
 - Country The country where the user is located.
 - Requester This input uses the following form fields:
 - Requester name The name of the certificate requester.

- Requester email The email address of the certificate requester.
- Requester phone The phone number of the certificate requester.

• Profile policy sets

The different policy sets that are set by default on causerCert are listed in *Table 3.2*, "caUserCert Profile Policy Sets".

Profile Policy Set	Defaults	Constraints
userCertSet.1 (SubjectName)	No defaults	
userCertSet.2 (Validity)	range = 180 days	The range is less than 365 days. The notbefore and notafter date checks are turned off.
userCertSet.3 (Key)	No defaults	keytype = RSA ^a keyminLength = 512 keymaxLength = 4096 ^b
userCertSet.4 (Authority Key Identifier)	No defaults	No constraints
userCertSet.5 (AIA extension)	authinfoaccesscritical = false authinfoaccessADMethod_0= OID authinfoaccessADLocationType authinfoaccessADEnable_0=tru authinfoaccessADLocation_0=	
userCertSet.6 (Key Usage)	Populates a Key Usage extension (2.5.29.15) to the request. The default values are as follows: Criticality=true Digital Signature=true Non-Repudiation=true Key Encipherment=true Data Encipherment=false Key Agreement=false Key Certificate Sign=false Key CRL Sign=false Encipher Only=false Decipher Only=false	Accepts the Key Usage extension, if present, only when the default values are set.
userCertSet.7 - Extended Key Usage	Populates an Extended Key Usage extension to the	No constraints

Profile Policy Set	Defaults	Constraints
	request. The default values are Criticality=false and OIDs=1.3.6.1.5.5.7.3.2,1.3	3.6.1.5.5.7.3.4.
userCertSet.8 - Subject Alt Name Constraint	Populates a Subject Alternative Name extension (2.5.29.17) to the request. The default values are Criticality=false and Record #0{Pattern:\$request.request Type:RFC822Name,Enable:tru	
userCertSet.9 - SigningAlg	Populates the certificate signing algorithm. The default value is Algorithm=SHA1withRSA.	Accepts only the following signing algorithms: SHA1withRSA SHA256withRSA SHA512withRSA MD5withRSA MD2withRSA

^a The keytype should be RSA.

Table 3.2. caUserCert Profile Policy Sets

· Profile outputs.

The Certificate Output output displays the certificate in pretty print format and cannot be configured or changed. This output needs to be specified for any automated enrollment. Once a user successfully authenticates using the automated enrollment method, the certificate is automatically generated, and this output page is returned to the user. In an agent-approved enrollment, the user can get the certificate, once it is issued, by providing the request ID in the CA end entities page. (There is no output page associated with agent-approved enrollment.)

4. How Certificate Profiles Work

An administrator sets up a certificate profile by associating an existing authentication plug-in, or method, with the certificate profile; enabling and configuring defaults and constraints; and defining inputs and outputs. The administrator can use the existing certificate profiles, modify the existing certificate profiles, create new certificate profiles, and delete any certificate profile that will not be used in the PKI.

Once a certificate profile is set, it appears on the Manage Certificate Profiles page, where an

^b The key length should be between 512 and 4096.

agent can approve, and thus enable, a certificate profile. Once the certificate profile is enabled, it appears on the **Certificate Profile** tab of the end entities page, so end entities can enroll for a certificate using the certificate profile.

The certificate profile enrollment page contains links to each type of certificate profile enrollment that has been enabled. When an end entity selects one of those links, an enrollment page appears, containing the enrollment form specific to that certificate profile. The enrollment page for the certificate profile in the end entities page is dynamically generated from the inputs defined for the certificate profile. If an authentication plug-in is configured, additional fields may be added that are needed to authenticate the user with that authentication method.

A manual enrollment is a request when no authentication plug-in is configured. When the end entity submits a certificate profile request with a manual enrollment, the certificate profile is queued in the agent services page as a certificate profile enrollment request. The agent can change the request, reject it, change the status, or approve it. The agent can also update the request without submitting it or validate that the request adheres to the profile's defaults and constraints. Agents are bound by the constraints set in the profile; they cannot change the request so that a constraint is violated. The signed approval is immediately processed, and a certificate is issued.

When a certificate profile is associated with an authentication method, the request generates a certificate automatically if the user successfully authenticates, all required information is provided, and the request does not violate any of the constraints set for the certificate profile.

The issued certificate contains the default content for the certificate profile (like the extensions and validity period) and follows the constraints set for each default. There can be more than one policy set (pair of defaults and constraints); each set is distinguished by using the same value for the policy set ID for the default and constraint in the set. The server evaluates each policy set for each request it receives. When a single certificate is issued, one set is evaluated, and any other sets are ignored. When dual key pairs are issued, the first policy set is evaluated with the first certificate request, and the second set is evaluated with the second certificate request. There is no need for more than one policy set when issuing single certificates or more than two sets when issuing dual key pairs.

5. Enabling and Disabling Certificate Profiles

Any certificate profiles that have been configured by an administrator are listed in the **Manage Certificate Profiles** page of the agent services page, which is accessed through the **Manage Certificate Profiles** link in the left menu of the CA agent services page.

The **Manage Certificate Profiles** page contains all of the certificate profiles that have been set up by an administrator. It shows the name of the certificate profile, a short description of the certificate profile, whether this is an end user certificate profile, whether the certificate profile has been approved and enabled, and, if approved, which agent under ID approved the request.

5.1. Getting Certificate Profile Information

Information about any certificate profile is available by clicking the name of the certificate profile,

which is linked to the **Approve Certificate Profile** page. This page lists information about the certificate profile and allows an agent to approve a certificate profile or disable a previously-approved certificate profile. An approved certificate profile can only be disabled by the agent who originally approved it.

5.2. End User Certificate Profile

If the End User field of the certificate profile is marked true, then this certificate profile appears as an enrollment form in the end entities page. If the End User field of the certificate profile is marked false, then this certificate profile does not appear in the end entities page. This parameter determines whether the certificate profile needs to be received from the end entities page in order to be processed.

5.3. Policy Information

Each policy has a policy information section which shows a table for each policy set. A certificate profile usually has one policy set. If the enrollment is for dual key pairs, then there are two policy sets, one for the signing key and one for the encryption key. The policy set defines all of the defaults and constraints that have been set for the requested certificate. For dual key pairs, two certificates are requested, one for the signing key and one for the encryption key.

The policy set table in the policy information sections contains the following information for the policy set:

- #. The ID number (#) for this set of defaults and constraints.
- Defaults [Extensions/Fields]. The defaults set to define certificate content, including extensions.
- Constraints. The constraints placed on the certificate content. The certificate content in the
 requested certificate must comply with these constraints in order to be issued.

5.4. Approving a Certificate Profile

To approve a certificate profile, do the following:

- 1. Go to the **Manage Certificate Profiles** page, and click on a certificate profile name.
- 2. Open the Approve Certificate Profile page for that certificate profile.
- 3. Click on the **Approve** button at the bottom of the page.

After a certificate profile is approved, it appears in the end entities page, which allows an end entity to use that certificate profile to enroll for a certificate.

Once a certificate profile is enabled, administrators cannot change any aspect of the certificate

profile. The certificate profile must first be disabled before an administrator to modify the certificate profile.

5.5. Disapproving a Certificate Profile

A certificate profile can only be disabled by the agent who approved the certificate profile.

To disable a certificate profile, do the following:

- 1. Open the Manage Certificate Profiles page, and click on a certificate profile name.
- 2. Open the certificate profile's **Approve Certificate Profile** page.
- 3. Click the **Disapprove** button at the bottom of the page.



NOTE

It is only possible to disable a certificate profile after it has been approved.

Once a certificate profile is disabled, it is no longer available in the end entities page for end entities to use to enroll for certificates.

CA: Handling Certificate Requests

A Certificate Manager (CM) agent is responsible for handling both manual enrollment requests made by end entities (end users, server administrators, and other Certificate System subsystems) and automated enrollment requests that have been deferred. This chapter describes the general procedure for handling requests and explains how to handle different aspects of certificate request management.

1. Managing Requests

The procedure for handling certificate enrollment requests is as follows:

- 1. View the list of pending requests for the CM (refer to Section 2, "Listing Certificate Requests").
- 2. Select a request from the list (refer to Section 2.1, "Selecting a Request").
- 3. Process the request (refer to Section 2.2, "Searching Requests" and Section 3, "Approving Requests").

Processing a certificate request for a certificate allows one of several actions:

- Approve the request. A request can be approved manually by an agent or automatically by
 the certificate profile if the request has been authenticated and if the system has been
 configured to allow automatic enrollment. After a request has been approved, the Certificate
 System issues the requested certificate. The end user can be automatically notified that the
 certificate was issued.
- Reject the request. A certificate request can be rejected manually or automatically by the
 certificate profile if the request does not conform to the profile's defaults and constraints. If
 automatic notification is configured, a notification is automatically sent to the requester when
 the certificate request is rejected.
- Cancel the request. A request can be canceled manually, but requests can never be canceled
 automatically. Users do not receive automatic notification of canceled requests. Cancellation
 can be useful if the user has left the company since submitting the request or if the user has
 already been contacted about a problem with the certificate request and, therefore, does not
 need notified.
- Update the request. A pending certificate request can be updated by changing some of its
 values, such as the subject name. The different default values associated with a certificate
 profile changed by the agent only results in the certificate request values being changed but
 does not change its state.
- Validate the request. A request that uses a certificate profile can be checked, or validated, to see if the request complies with the defaults and constraints set by the certificate profile. This

action only checks the request but does not submit or edit the request.

- Assign the request. A certificate request can be manually assigned by the agent processing the request to himself. Requests cannot be assigned to another agent.
- Unassign the request. A request can be removed from an agent's queue if necessary, such as when requests are assigned to an agent who has since left the company.

Approving, canceling, and rejecting certificate requests all alter the request status. Assigning, unassigning, update, and validating certificate requests do not alter the request status. If the form is closed without taking one of these actions, the request remains in the queue with the same status.

Figure 4.1, "Certificate Request Management Process" illustrates the process for handling requests and the different types of status for a request.

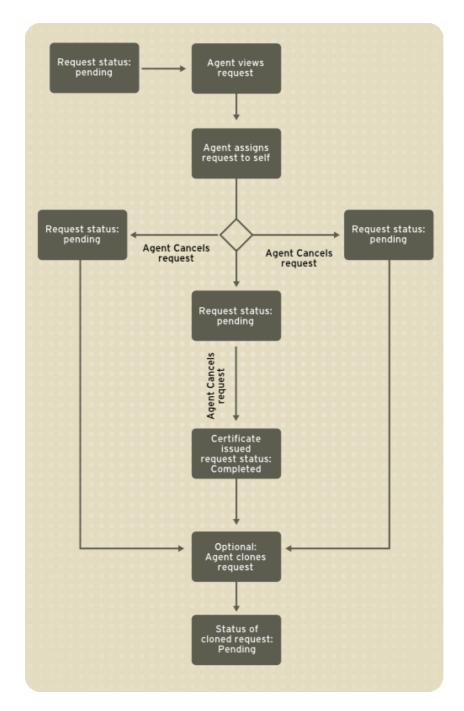


Figure 4.1. Certificate Request Management Process

2. Listing Certificate Requests

The CM keeps a queue of all certificate service requests that have been submitted to it. The queue records whether a request is pending, completed, canceled, or rejected. Three types of requests can be in the queue:

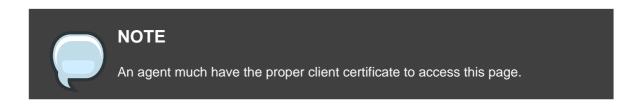
- · Certificate enrollment requests
- Certificate renewal requests
- · Certificate revocation requests

A CM agent must review and approve manual enrollment requests. Certificate requests that require review have a status of *pending*.

To see a list of requests, do the following:

1. Go to the CM agent services page.

https://server.example.com:9443/ca/agent/ca



2. Click **List Requests** to view the queue of certificates requests.

The **List Requests** form appears.

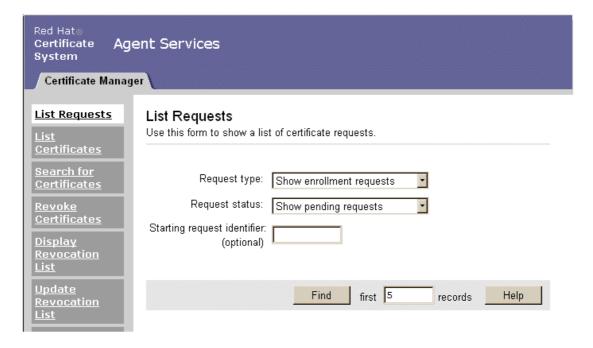


Figure 4.2. List Requests Form

- View certificate requests request type by selecting one of the options from the **Request type** menu.
 - Show enrollment requests
 - · Show renewal requests
 - · Show revocation requests
 - · Show all requests
- 4. View requests by request status by selecting one of the options in the Request status menu.
 - Show pending requests. These are enrollment requests that have not yet been processed but are waiting for manual review.
 - Show canceled requests. These are requests that have been manually canceled by an
 agent. Users do not receive automatic notification of canceled requests. Cancellation can
 be useful if the user has left the company since submitting the request or if the user has
 already been contacted about a problem and does not need to be notified about the
 request status.
 - Show rejected requests. These are requests that have been either manually rejected or
 rejected automatically during profile processing. If the system has been configured to
 provide automatic notifications to users, a notice is sent to the requester when the request
 is rejected.
 - Show completed requests. These are requests that have been completed, including issued certificates and completed revocation requests.
 - Show all requests. This shows all requests of the selected type, regardless of status.
- 5. To start the list at a specific place in the queue, enter the starting request identifier in decimal or hexadecimal form. Use 0x to indicate a hexadecimal number; for example, 0x2A.
- 6. Choose the number of matching requests to be returned. When a number is specified, the system displays that number of certificate requests, beginning with the starting sequence number that matches the specified criteria.
- 7. Click **Find** to display the list of requests that match the specified criteria.

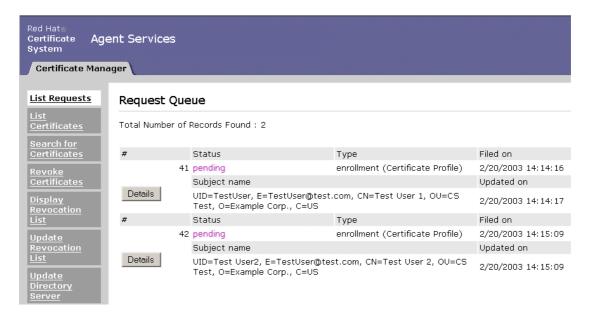


Figure 4.3. Request Queue

2.1. Selecting a Request

To select a request from the queue, do the following:

- 1. On the agent services page, click **List Requests**, specify search criteria, and click **Find** to display a list of certificate signing requests.
- 2. Select a request to examine from the Request Queue form.
- 3. If a desired request not shown, scroll to the bottom of the list, specify an additional number of requests to be listed, and click **Find**. That number of additional requests matching original search criteria is shown.
- 4. When the request has been found, click **Details**.
- The **Request Details** form appears, showing detailed information about the selected request.

 Use this form to approve or manage the request.



Figure 4.4. Request Details



NOTE

If the system changes the state of the displayed request, using the browser's **Back** or **Forward** buttons or history to navigate can cause the data display to become out of date. To refresh the data, click the highlighted serial number at the top of the page.

2.2. Searching Requests

The CM agent interface provides a method for agents to see the request queue based on search criteria other than those described in the **List Requests** category. These criteria include the following:

- Searching by Request ID Range. An agent can perform searches on the request queue. To
 perform searches by request ID range, select the Show requests that fall within the
 following range option, and enter the lowest and highest request ID.
- Searching by Request Status. To search by request status, select the **Show requests that** are of status option, and select the desired request status:
 - Pending

- Completed
- Canceled
- Rejected
- Any
- Searching by Request Type. To search by the request type, select the **Show requests that** are of type option, and select the type of certificate request:
 - Enrollment
 - Renewal
 - Revocation
 - Any
- Searching by Request Owner. There are to ways to search by the request owner:
 - · Search for requests assigned to self
 - Search for requests assigned to a particular agent (based on UID attribute)

Both of the following search constraints apply to any of the search operations:

- Maximum number of entries to return.
- Maximum time to use to perform the search.

3. Approving Requests

There are two ways that a certificate request is approved, depending on the user authentication method required by the profile. In automatic enrollment, the Certificate System automatically receives and approves the request if it meets established criteria. In manual enrollment, an agent must review and approve the request. Before approving a request, an agent can adjust some of the parameters, such as the subject name and validity period.

To adjust and approve a certificate request, do the following:

1. Open the agent services page.

```
https://server.example.com:9443/ca/agent/ca
```

2. Click **Find** at the bottom of the **List requests** page to list pending certificate requests.

- 3. Select the certificate request from the list.
- 4. The certificate request details page contains several tables with information about the request:
 - Request Information. Lists basic information about the request.
 - Certificate Profile Information. Lists the certificate profile being used, along with basic information about that certificate profile.
 - Certificate Profile Inputs. Lists the inputs contained in the enrollment form for this certificate profile as well as the values set by the requester.
 - Policy Information. Lists the policies that apply to this certificate profile, including the
 definition of the policy, the value placed in the certificate by this specific policy, and the
 constraints placed on this policy.

To change any of the information contained in the certificate, such as the subject name or validity period, change the settings in the policy information table in the certificate request. Any policies that can be changed have either a drop-down list or an editable field.

For any changes, the values must be valid within the constraints placed on a policy. If a change is made outside the constraint, the request will not validate. An invalid request must be changed before a certificate is issued.

- 5. Choose an action from the menu at the bottom of the page:
 - Approve Request. Approves the request and issues the certificate.
 - *Update Request.* Updates the request with any modified information. The status of the request does not change.
 - Validate Request. Confirms that the request conforms to the constraints for issuing that
 type of certificate. The request is confirmed as valid, or the system returns a list of fields
 that need to be edited.
 - Reject Request. Rejects the request.
 - Cancel Request. Cancels the request without issuing a certificate or a rejection.



NOTE

For more information on how to adjust parameters associated with certificate profiles, such as defaults and constraints, refer to *Chapter 3, CA: Working with Certificate Profiles*.

After the agent sets the action to Approve Request and clicks Submit, the certificate is

generated and available to the user through the end entities page. If notifications have been set, then an email will be sent to the requester automatically.

4. Sending an Issued Certificate to the Requester

When the CM has issued a certificate in response to a request, the user who requested it must receive a copy to install locally. Users install user certificates, such as agent certificates, in client software. Server administrators install servers certificates in the servers that they manage.

Depending on how the Certificate System is configured, an end user who requests a certificate might receive automatic email notification of the success of the request; this email message contains either the certificate itself or a URL from which the user can get the certificate.

If the system is not configured for automatic notification or if the requester is a server administrator, the issued certificate must be sent manually to the requester by the agent, or the requester must be directed to retrieve it from the CM's end entities page.

Figure 4.5, "A Newly Issued Certificate Page" shows a web page containing a new certificate. This is the page shown after the agent selects **Approve this certificate request**.

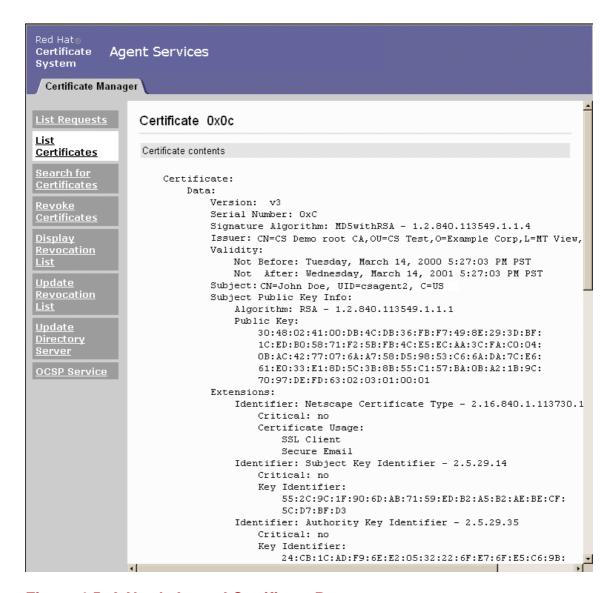


Figure 4.5. A Newly Issued Certificate Page

To copy and mail a new server certificate to the requester, do the following:

- 1. Create a new email addressed to the requester.
- 2. From the agent services window where the new certificate is displayed, copy only the base-64 encoded certificate, including the marker lines ----BEGIN CERTIFICATE---- and ----END CERTIFICATE----.
- 3. Paste the base-64 encoded certificate into the email message body, and send the message.

To deliver a new client certificate to the requester, note the serial number of the approved request, and do the following:

- 1. Open to the agent services page, click **List Requests** in the left frame, enter the serial number for the approved request, and click **Find**.
- 2. In the **Request Queue** form, click **Details** beside the relevant request. Right-click the certificate serial number, and choose **Open Frame in New Window** from the pop-up menu.
- 3. In the new browser window containing the certificate, copy the URL from the location or address field.
- 4. Create a new email message addressed to the requester.
- 5. Paste the URL into the body of the message, along with instructions to for the requester to go to that URL and click the **Import** button at the bottom of the page to import the certificate.

Alternatively, include the URL for the agent services page in the email message along with the certificate serial number, and instruct the user to do following:

- 1. Click the Retrieval tab. The List Certificates form should appear.
- 2. Enter the serial number of the certificate in both serial number fields.
- 3. Click Find.
- 4. When the **Search Results** form appears, click **Details**.
- 5. When the certificate appears, scroll down to the bottom of the form, and click **Import** Certificate.

CA: Finding and Revoking Certificates

A Certificate Manager (CM) agent can use the agent services page to find a specific certificate issued by the Certificate System or to retrieve a list of certificates that match specified criteria. The certificates which are retrieved can be examined or revoked by the agent. The CM agent can also manage the certificate revocation list (CRL).

1. Basic Certificate Listing

It is possible to list certificates within a range of serial numbers. All certificates within the range may be displayed or, if the agent selects, only those that are currently valid.

To find a specific certificate or to list certificates by serial number, do the following:

- 1. Open the CM agent services page.
- 2. Click List Certificates.

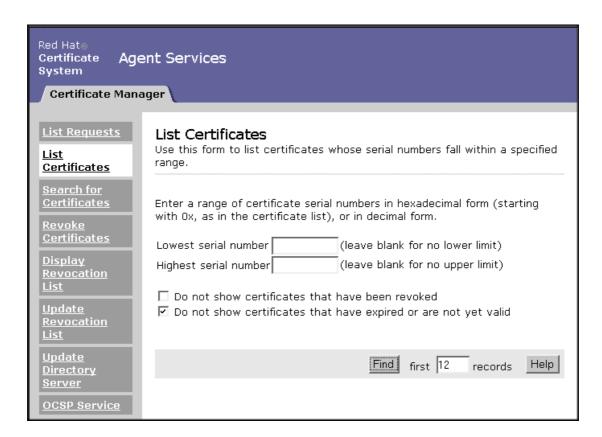


Figure 5.1. List Certificates

- To find a certificate with a specific serial number, enter the serial number in both the upper limit and lower limit fields of the List Certificates form, in either decimal or hexadecimal form. Use 0x to indicate the beginning of a hexadecimal number; for example, 0x0000006. Serial numbers are displayed in hexadecimal form in the Search Results and Details pages.
- To find all certificates within a range of serial numbers, enter the upper and lower limits of the serial number range in decimal or hexadecimal form.

Leaving either the lower limit or upper limit field blank displays the certificate with the specified number, plus all certificates before or after it in sequence.

- 3. To limit the returned list to valid certificates, select the check boxes labeled with filtering methods. It is possible to include revoked certificates, to include expired certificates or certificates that are not yet valid, or to display only valid certificates.
- 4. Enter the number of certificates matching the criteria that should be returned.

When any number is entered, the first certificates up to that number matching the criteria are displayed.

5. Click Find.

The Certificate System displays a list of the certificates that match the search criteria. Select a certificate in the list to examine it in more detail or perform various operations on it. For more information, refer to Section 3, "Examining Certificates".

2. Advanced Certificate Search

Search for certificates by more complex criteria than serial number using the advanced search form. To perform an advanced search for certificates, do the following:

- 1. Open the CM agent services page. The agent must submit the proper client certificate to access this page.
- 2. Click **Search for Certificates** to display the **Search for Certificates** form to specify search criteria.

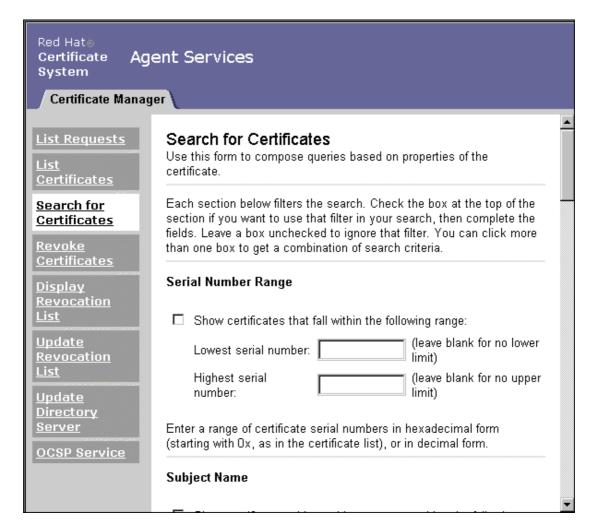


Figure 5.2. Search Certificates

- To search by particular criteria, use one or more of the sections of the Search for Certificates form. To use a section, select the check box, then fill in any necessary information.
 - Serial Number Range. Finds a certificate with a specific serial number or lists all certificates within a range of serial numbers.
 - To find a certificate with a specific serial number, enter the serial number in both the upper limit and lower limit fields in either decimal or hexadecimal. Use 0x to indicate the beginning of a hexadecimal number, such as 0x2A. Serial numbers are displayed in hexadecimal form in the **Search Results** and **Details** pages.
 - To find all certificates within a range of serial numbers, enter the upper and lower limits
 of the serial number range in decimal or hexadecimal. Leaving either the lower limit or
 upper limit field blank returns all certificates before or after the number specified.

- Status. Selects certificates by their status. A certificate has one of the following status codes:
 - Valid. A valid certificate has been issued, its validity period has begun but not ended, and it has not been revoked.
 - Invalid. An invalid certificate has been issued, but its validity period has not yet begun.
 - · Revoked. The certificate has been revoked.
 - Expired. An expired certificate has passed the end of its validity period.
 - Revoked and Expired. The certificate has passed its validity period and been revoked.
- Subject Name. Lists certificates belonging to a particular owner; it is possible to use wildcards in this field.
- Revocation Information. Lists certificates that have been revoked during a particular period
 or by a particular agent. For example, an agent can list all certificates revoked between
 July 2005 and April 2006 or all certificates revoked by the agent with the username admin.
 - To list certificates revoked within a time period, select the day, month, and year from the drop-down lists to identify the beginning and end of the period.
 - To list certificates revoked by a particular agent, enter the name of the agent; it is possible to use wildcards in this field.
- Issuing Information. Lists certificates that have been issued during a particular period or by a particular agent. For example, an agent can list all certificates issued between July 2005 and April 2006 or all certificates issued by the agent with the username betatest.
 - To list certificates issued within a time period, select the day, month, and year from the drop-down lists to identify the beginning and end of the period.
 - To list certificates issued by a particular agent, enter the name of the agent; it is possible
 to use wildcards in this field.
- Dates of Validity. List certificates that become effective or expire during a particular period. For example, an agent can list all certificates that became valid on June 1, 2003, or that expired between January 1, 2006, and June 1, 2006.

It is also possible to list certificates that have a validity period of a certain length of time, such as all certificates that are valid for less than one month.

- To list certificates that become effective or expire within a time period, select the day, month, and year from the drop-down lists to identify the beginning and end of the period.
- To list certificates that have a validity period of a certain length in time, select Not
 greater than or Not less than from the drop-down list, enter a number, and select a
 time unit from the drop-down list: days, weeks, months, or years.

- Basic Constraints. Shows CA certificates that are based on the Basic Constraints extension.
- Type. Lists certain types of certificates, such as all certificates for subordinate CAs. This
 search works only for certificates containing the Netscape Certificate Type extension,
 which stores type information. For each type, choose from the drop-down list to find
 certificates where that type is On, Off, or Do Not Care.
- 4. To find a certificate with a specific subject name, use the **Subject Name** section. Select the check box, then enter the subject name criteria. Enter values for the included search criteria and leave the others blank.

The standard tags or components are as follows:

- Email address. Narrows the search by email address.
- Common name. Finds certificates associated with a specific person or server.
- UserID. Searches certificates by the user ID for the person to whom the certificate belongs.
- Organization unit. Narrows the search to a specific division, department, or unit within an organization.
- Organization. Narrows the search by organization.
- Locality. Narrows the search by locality, such as the city.
- State. Narrows the search by state or province.
- Country. Narrows the search by country; use the two-letter country code, such as us.
- 5. After entering the field values for the server to match, specify the type of search to perform:
 - Exact searches for certificate subject names match the exact components specified and contain none of the components left blank. Wildcards cannot be used in this type of search.
 - Partial searches for certificate subject names match the specified components, but the returned certificates may also contain values in components that were left blank. Wildcard patterns can be used in this type of search by using a question mark (?) to match an arbitrary single character and an asterisk (*) to match an arbitrary string of characters.



NOTE

Placing a single asterisk in a search field means that the component must be in the certificate's subject name but may have any value. Leave the field blank if it does not matter if the field is present.

6. After entering the search criteria, scroll to the bottom of the form, and enter the number of

certificates matching the specified criteria that should be returned.

Setting the number of certificates to be returned returns the first certificates found that match the search criteria up to that number. It is also possible to put a time limit on the search in seconds.

- 7. Click Find.
- 8. The **Search Results** form appears, showing a list of the certificates that match the search criteria. Select a certificate in the list to examine it in more detail. For more information, refer to *Section 3, "Examining Certificates"*.

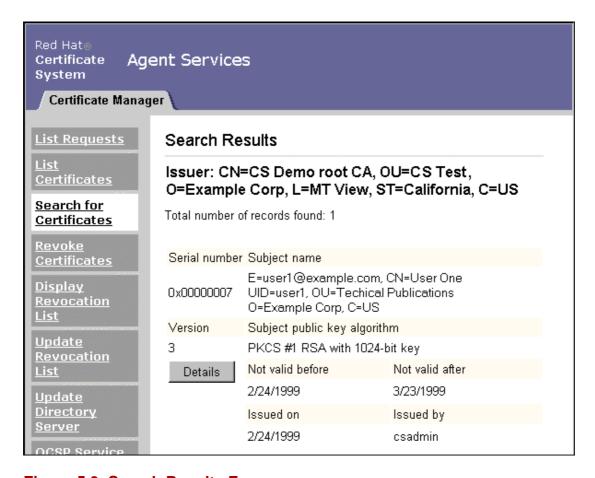


Figure 5.3. Search Results Form

3. Examining Certificates

To examine the details of a certificate, do the following:

1. On the agent services page, click **List Certificates** or **Search for Certificates**, specify search criteria, and click **Find** to display a list of certificates.

2. On the Search Results form, select a certificate to examine.

If the desired certificate is not shown, scroll to the bottom of the list, specify an additional number of certificates to be returned, and click **Find**. The system displays the next certificates up to that number that match the original search criteria.

- 3. After selecting a certificate, click the **Details** button at the left side of its entry.
- 4. The **Certificate** page shows the detailed contents of the selected certificate and instructions for installing the certificate in a server or in a web browser.

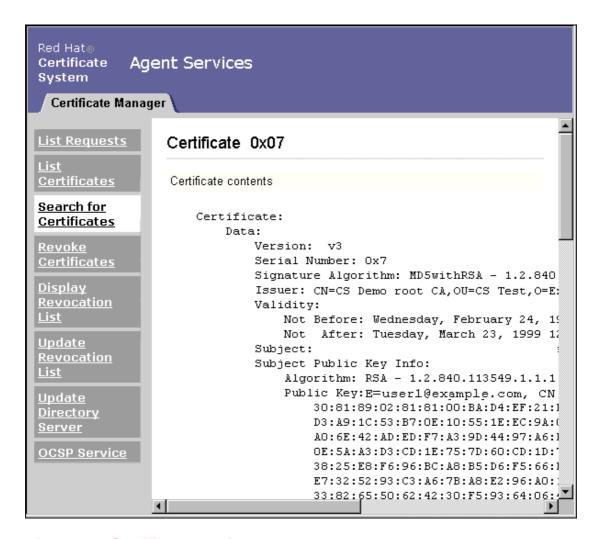


Figure 5.4. Certificate Details

5. The certificate is shown in base-64 encoded form at the bottom of the **Certificate** page, under the heading **Installing this certificate in a server**.

4. Revoking Certificates

Only CM agents can revoke certificates other than their own. A certificate must be revoked if one of the following situations occurs:

- The owner of the certificate has changed status and no longer has the right to use the certificate.
- The private key of a certificate owner has been compromised.

These two reasons are not the only ones why a certificate would need revoked; other reasons are mentioned in *Section 4.2, "Revoking One or More Certificates"*.

To revoke one or more certificates, search for the certificates to revoke using the **Revoke**Certificates button. While the search is similar to the one through the **Search for Certificates**form, the **Search Results** form returned by this search offers the option of revoking one or all of the returned certificates.

4.1. Searching for Certificates to Revoke

To search for one or more certificates to revoke, do the following:

- 1. Open the CM agent services page.
- 2. Click Revoke Certificates.



NOTE

The search form that appears has the same search criteria sections as the **Search for Certificates** form.

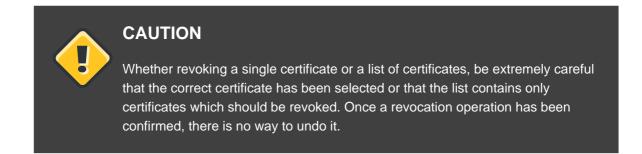
- 3. Specify the search criteria by selecting the check boxes for the sections and filling in the required information.
- 4. Scroll to the bottom of the form, and set the number of matching certificates to display.
- 5. Click **Find**.
- 6. The search returns a list of matching certificates. It is possible to revoke one or all certificates in the list.



Figure 5.5. Revoke One or All Certificates

4.2. Revoking One or More Certificates

An entire list of certificates returned by a search can be revoked, or selected certificates from the list can be revoked.



4.2.1. Revoking One Certificate

To revoke a single certificate, do the following:

- 1. On the CM's agent services page, click **Revoke Certificates**, specify search criteria, and click **Find** to display a list of certificates.
- 2. On the **Search Results** form, select the certificate to revoke.

If a desired certificate is not shown, scroll to the bottom of the list, specify an additional number of certificates to be returned, and click **Find**. The system displays the next certificates up to that number that match the original search criteria.

- 3. Click the **Revoke** button next to the certificate to be revoked.
- 4. Confirm the certificate to be revoked in the revocation form.

4.2.2. Revoking Multiple Certificates

To revoke all of the certificates returned in a search, do the following:

- 1. On the CM's agent services page, click **Revoke Certificates**, specify search criteria, and click **Find** to display a list of certificates.
- 2. On the Search Results page, scroll to the bottom to reach the Revoke ALL # Certificates button. The number shown on the button is the total number of certificates returned by the search. This is usually a larger number than the number of certificates displayed on the current page.
- 3. Verify that all of the certificates returned by the search should be revoked, *not* only those displayed on the current page.
- 4. Click Revoke ALL # Certificates at the bottom of the form.
- 5. Confirm the certificates to be revoked in the revocation form.

4.2.3. Confirming a Revocation

When one or more certificates has been selected for revocation, the **Certificate Revocation Confirmation** form opens.



Figure 5.6. Confirm Certificate Revocation

To confirm the revocation, do the following:

- 1. Inspect the details of the certificate to verify that it is the one to be revoked. If more than one certificate is being revoked, the form shows details for all the certificates.
- 2. Select an invalidity date. The invalidity date is the date which it is known or suspected that the user's private key was compromised or that the certificate became invalid. A set of drop down lists allows the agent to select the correct invalidity date.
- 3. Select a reason for the revocation. The reason applies to all the listed certificates. The different reasons are as follows:

- · Key compromised
- CA key compromised
- · Affiliation changed
- Certificate superseded
- · Cessation of operation
- · Certificate is on hold
- 4. Enter any additional comment. The comment is included in the revocation request.

When the revocation request is submitted, it is automatically approved, and the certificate is revoked. Revocation requests are viewed by listing requests with a status of Completed; see Section 2, "Listing Certificate Requests" for more information.



CAUTION

Whether a single certificate or a list of certificates is revoked, be extremely careful that the correct certificate has been selected or that the list contains only certificates which should be revoked. Once a revocation operation is confirmed, there is no way to undo it.

5. Managing the Certificate Revocation List

Revoking a certificate notifies other users that the certificate is no longer valid. This notification is done by publishing a list of the revoked certificates, called the *certificate revocation list* (CRL), to an LDAP directory or to a flat file. This list is publicly available and ensures that revoked certificates are not misused.

5.1. Viewing or Examining CRLs

It may be necessary to view or examine a CRL, such as before manually updating a directory with the latest CRL. To view or display the CRL, do the following:

- 1. Go to the CM agent services page.
- 2. Click Display Certificate Revocation List to display the form for viewing the CRL.
- 3. Select the CRL to view. If the administrator has created multiple issuing points, these are listed in the **Issuing point** drop-down list. Otherwise, only the master CRL is shown.

- 4. Choose how to display the CRL by selecting one of the options from the **Display Type** menu. The choices on this menu are as follows:
 - Cached CRL. Views the CRL from the cache rather than from the CRL itself. This option displays results faster than viewing the entire CRL.
 - Entire CRL. Retrieves and views the entire CRL.
 - CRL header. Retrieves and views the CRL header only.
 - Base 64 Encoded. Retrieves and views the CRL in base-64 encoded format.
- 5. To examine the selected CRL, click **Display**.

The CRL appears in the browser window. This allows the agent to check whether a particular certificate (by its serial number) appears in the list and to note recent changes such as the total number of certificates revoked since the last update, the total number of certificates taken off hold since the last update, and the total number of certificates that expired since the last update.

5.2. Updating the CRL

When a certificate is revoked, the CRL is automatically updated. If the Certificate System is used with an LDAP directory server, the CRL in the directory is also updated automatically.

In some cases, the CRL may need updated manually, such as updating the list after the system has been down or removing expired certificates to reduce the file size. (Expired certificates do not need to be included in the CRL because they are already invalid because of the expiration date.) Only a CM agent can manually update the CRL.

To update the CRL manually, do the following:

- 1. Open the CM agent services page.
- 2. Click **Update Revocation List** to display the form for updating the CRL.

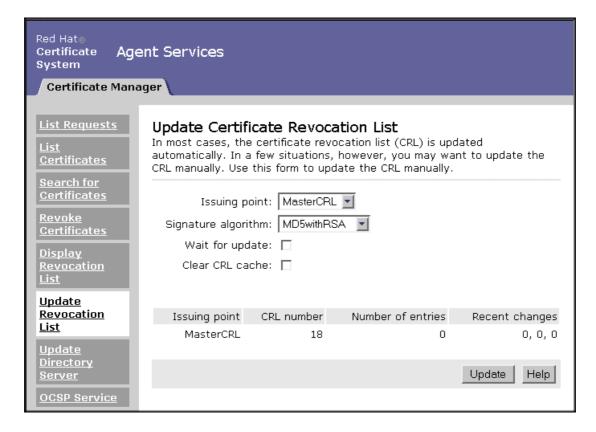


Figure 5.7. Update Certificate Revocation List

- 3. Select the algorithm to use to sign the new CRL. Before choosing an algorithm, make sure that any system or network applications that need to read or view this CRL support the algorithm.
 - SHA-1 with RSA generates a 160-bit message digest.
 - SHA-256 with RSA.
 - SHA-512 with RSA.
 - MD5 with RSA generates a 128-bit message digest. Most existing software applications that handle certificates support only MD5. This is the default algorithm.
 - MD2 with RSA generates a 128-bit message digest.

Before selecting an algorithm, make sure that the Certificate System has that algorithm enabled. The Certificate System administrator will have that information.

4. To examine the CRL before updating it, click Display.

The CRL appears in the browser window, allowing the agent to check whether a particular certificate appears in the list. Use the browser's **Back** button to return to the **Update** page.

5. To update the CRL with the latest certificate revocation information, click **Update**.

CA: Publishing to a Directory

A Red Hat Directory Server installation is required for the Certificate System subsystems to be installed; this directory instance maintains user information and certificate and key information. The Certificate System can be configured to publish certificates and CRLs to that directory, or other LDAP directories, for other applications to access. Certificate information published to the publishing directory must be periodically updated as certificates are issued and revoked. Updates are usually published automatically but may also be published manually.

This chapter describes the procedures for updating an LDAP directory with the current status of certificates. Only a Certificate Manager (CM) agent can publish certificates and CRLs to the directory.

1. Automatic Directory Updates

Once the Certificate System administrator has configured the Certificate System to publish to the publishing Directory Server, any changes to certificate information in Certificate System are automatically updated in the publishing directory at specific times.

- The first time the Certificate System is started, it publishes the CM's CA certificate to the LDAP publishing directory.
- When the Certificate System issues a new certificate, the certificate is published to the LDAP publishing directory.
- When the Certificate System revokes a certificate, the certificate is removed from the publishing directory.
- When the CRL is created or updated, the list is published to the LDAP publishing directory.

For more information on configuring the Certificate System to publish to the Directory Server, see the *Certificate System Administrator's Guide*.

2. Manual Directory Updates

The LDAP publishing directory usually does not need certificate data updated manually because most updates are automatic. However, it may be necessary to update the LDAP publishing directory manually in the following situations:

- The publishing Directory Server is down for a period of time and unable to receive changes from the Certificate System.
- Expired certificates need to be removed from the publishing directory since certificates are not automatically removed from the publishing directory when they expire.



NOTE

Any client using a certificate is responsible for determining its validity by checking the expiration date against the client's current date information.

To update the LDAP publishing directory with changes manually, do the following:

- 1. Open the CM agent services page.
- 2. Click Update Directory Server.
- 3. Select **Skip certificates already marked as updated** to ignore certificates in the internal database that have already been published or removed, in the case of revoked certificates.

In some circumstances, updating the LDAP publishing directory can take considerable time. During this period, any changes made through the Certificate System such as issuing or revoking certificates may not be included in the update. If certificates have been issued or revoked during that time, the publishing directory must be updated again to reflect those changes. Use the **Skip certificates already marked as updated** option the second time to update only certificates that been issued, revoked, or expired while the previous update was running.

- 4. Select the type of update to perform.
 - To publish the latest CRL, select **Update certificate revocation list to the publishing directory**.
 - To update information on valid certificates to the publishing directory, select Update valid certificates to the directory.

To update a range of certificates, such as only the most recently issued certificates, specify the range of the serial numbers of those certificates.

 To remove expired certificates from the publishing directory, select Remove expired certificates from the directory.

To remove a range of certificates instead of all expired certificates, specify the range of the serial numbers of those certificates.

 To remove revoked certificates from the publishing directory, select Remove revoked certificates from the directory.

If you want to remove a range of certificates instead of all revoked certificates, specify the range of the serial numbers of those certificates.

5. After specifying the changes to be updated, click **Update Directory**.

DRM: Recovering Encrypted Data

This chapter describes how authorized Data Recovery Manager (DRM) agents process key recovery requests and recover stored encrypted data when the encryption key has been lost. This service is available only when the DRM subsystem is installed.

1. List Requests

There are three kinds of key service requests:

- · Key archival requests, made by CM agents
- Key recovery requests, made by DRM agents
- Token key requests for archiving smart card (token) keys in conjunction with server-side key generation requests. This request can only be initiated through a TPS subsystem.

A DRM agent reviews these requests. An agent can search for and list key service requests with a particular status, such as completed or rejected, select a key service request from the returned list, and examine the request details. Key service requests are handled internally; it is not necessary to take any action on them unless the Certificate System is specially configured.

To list key service requests, do the following:

- 1. Open the DRM agent services page.
- 2. Click **List Requests** to display the **List Requests** form. This page specifies which key service requests to list.
- 3. Choose the type of requests to see from the **Request type** menu. There are three request types:
 - Show Key Archivals requests
 - · Show Key Recovery requests
 - Show Token Key requests
 - · Show all requests
- 4. Select the status of requests from the **Request status** menu.
 - Show canceled requests. Unless the system is specially configured to allow requests to be canceled, there are no canceled requests.
 - Show rejected requests. Rejected requests do not comply with the archival or recovery
 policies. Unless the system is specially configured to allow requests to be rejected, there
 are no rejected requests.

- Show completed requests. Completed requests include archival requests for which proof of archival has been sent and completed recovery requests.
- Show all requests. All requests stored in the system.
- 5. To start the list at a specific place in the queue, enter the starting request identifier in decimal or hexadecimal form. Use 0x to indicate the beginning of a hexadecimal number; for example, 0x2A. Key identifiers are displayed in hexadecimal form in the **Search Results** and **Details** pages.
- Choose the number of matching requests to be returned. The system displays that number of requests, beginning with the starting request identifier.

7. Click Find.

The DRM displays a list of the key service requests that match the search criteria. Select a request from the list to examine it in more detail.

- 8. On the **Key Service Request Queue** form, find a particular request. If the desired request is not shown, scroll to the bottom of the list, and use the arrows to move to another page of search results.
- 9. Clicking the ID number next to a request opens the **Request Details** form, which gives the complete information for the request. The request cannot be modified in this page.



Note

If the system changes the state of the displayed request, using the browser's **Back** or **Forward** buttons or the history to navigate through the pages can cause the data shown to become out of date. To refresh the data, click the highlighted key identifier at the top of the page.

2. Finding and Recovering Keys

If an end user loses a private encryption key or if a key's owner is unavailable, data encrypted with that key cannot be read unless a copy of the private key was archived when the key was created. The archived key can then be recovered and used to read the data.

A DRM agent manages key recovery through the DRM agent services page. Archived keys can be searched to view the details or to initiate a key recovery. Once a key recovery is initiated, a minimum number of designated DRM agents are required to authorize the recovery.

Version 7.1 of Red Hat Certificate System introduced a new *m-of-n*, ACL-based recovery scheme to replace the old *m-of-n*, secret-splitting-based recovery scheme.

In the old scheme, the password for the storage token was split and protected by individual recovery agent passwords. This made it hard to access the storage private, but it did not allow CS to fully leverage the key protection facility provided by the underlying hardware token.

In the new scheme, CS uses its existing access control scheme to ensure recovery agents are appropriately authenticated via SSL, and ensures that the agent belongs to the specific recovery agent group. The recovery request is executed only when *m-of-n* recovery agents have granted authorization to the request.

By default, the DRM sets up a 1-of-1 ACL-based recovery scheme, and the agent must belong to the group "Data Recovery Manager Agents". You can change the scheme by modifying the appropriate parameters in the CS.cfg file. Refer to Section 2.2, "Recovering Keys" for more information on this topic.



Note

This section describes how to recover keys that are not stored on a smart card. For smart card key recovery, see chapter 7, "Token Processing System," in the Certificate System Administrator's Guide and Section 6, "Administrator Operations".

2.1. Finding Archived Keys

Archived keys can be searched to examine the key details or to initiate recovery. Selecting search criteria and selecting a key from the search results is the same for both operations.

To search for and list archived keys, do the following:

- 1. Open the DRM agent services page.
- 2. Click Search for Keys or Recover Keys to display the search criteria form.

When selecting the **Recover Keys** operation, there is an additional option to initiate recovery for any key that is found.

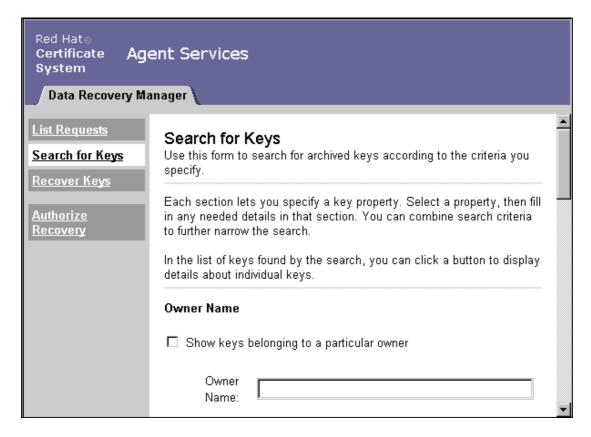


Figure 7.1. Search for Keys Page

- To search by particular criteria, use the different sections of the Search for Keys or Recover Keys form. To use a section, select the check box for that section, then fill in any necessary information.
 - Owner name. Finds an archived key with a specific owner name. The owner name for a
 key, like the subject name for a certificate, consists of a string that can be used in
 searches.
 - Key identifiers. Finds an archived key with a specific key identifier or to list all keys within a
 range of key identifiers.
 - To find a key with a specific key identifier, enter the key identifier in both the upper limit and lower limit fields in decimal or hexadecimal form. Use 0xto indicate the beginning of a hexadecimal number; for example, 0x2A. Key identifiers are displayed in hexadecimal form in the **Search Results** and **Details** pages.
 - To find all keys within a range of key identifiers, enter the upper and lower limits of the key identifier range in decimal or hexadecimal form.

Leaving either the lower limit or upper limit field blank displays all keys before or after the number specified.

Certificate. Finds the archived key that corresponds to a specific public key. Select the
check box and paste the certificate containing the base-64 encoded public key into the text
area.



Note

The encryption certificate associated with the key pair must be found first. Use the CM agent services page to find the certificate; for instructions, see *Section 3, "Examining Certificates"*.

- Archiver. Finds keys that were archived by a specific server. Select the check box and
 enter the user ID of the CM that submitted the key archival request. This information is
 available only for archival requests from servers that are remote from the DRM. To put a
 limit on the number of results returned, fill in a value for maximum results. To limit the time
 allowed for the search, enter a value for time limit in seconds.
- 4. After entering the search criteria, click **Show Key**.

The DRM displays a list of the keys that match the search criteria. Select a key from the list to examine its details. If the search was initiated with the **Recover Keys** button, there is the additional option of recovering any key returned by the search.

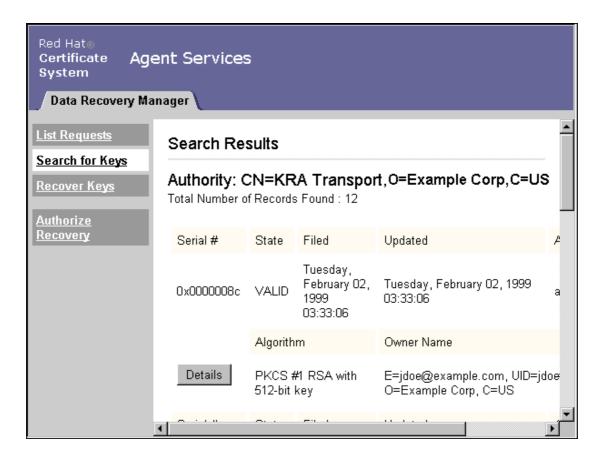


Figure 7.2. Search Results Page

5. In the **Search Results** form, select a key.

If a desired key is not shown, scroll to the bottom of the list and use the arrows to move to another page of search results.

6. Click the ID number next to the selected key. The details of the selected key are shown in the **Key** details page. It is not possible to modify the key through this page.

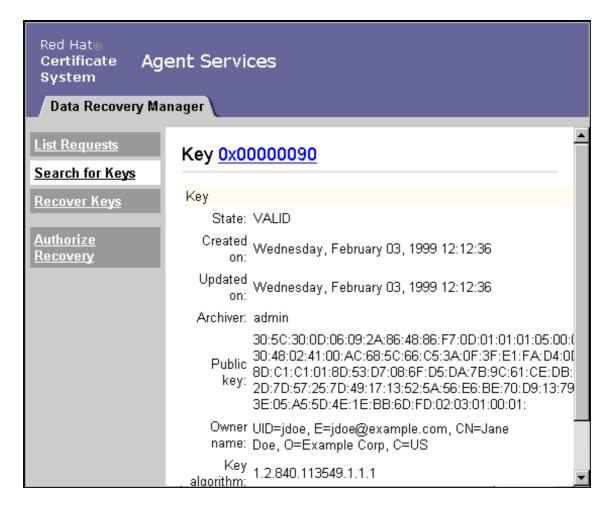


Figure 7.3. Key Details Page

2.2. Recovering Keys

If the search was initiated through the **Recover Keys** button, the **Search Results** page also allows the agent to initiate the recovery of any key found.

To initiate key recovery, do the following:

- 1. On the DRM agent services page, click **Recover Keys**, specify search criteria, and click **Show Key** to display a list of archived keys.
- 2. In the Search Results form, select a key.

If a desired key is not shown, scroll to the bottom of the list and select **Next** or **Previous** for another page of search results.

3. Click **Recover** next to the selected key.

The key details are displayed in the **Authorize Key Recovery** form, where the agent submits authorization information.

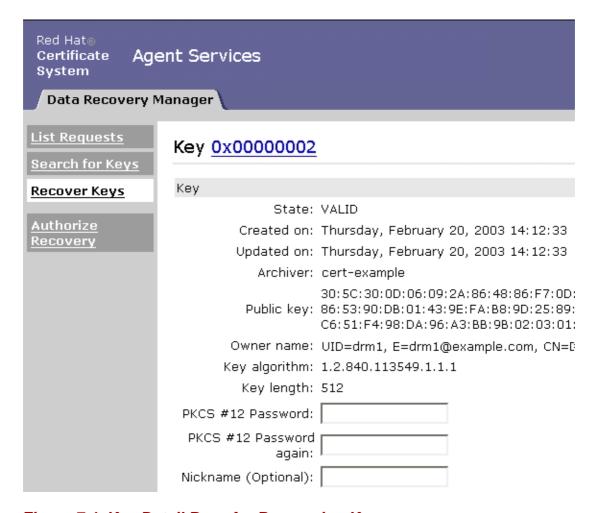


Figure 7.4. Key Detail Page for Recovering Keys

The number of key recovery agent authorizations required to recover a key is configured by the DRM administrator by setting the following parameters in the cs.cfg file.

kra.noOfRequiredRecoveryAgents=1
kra.recoveryAgentGroup=Data Recovery Manager Agents

- 4. Set the PKCS #12 token password that the requester uses to import the recovered certificate/key pair package.
- 5. Optionally, set a certificate nickname for the archived key.
- 6. Paste the base-64 encoded certificate corresponding to the archived key into the text area.

The certificate can be searched and viewed through the CM agent services pages.

If the archived key was found through the corresponding public key, the certificate information is automatically transferred to the form.

7. Click **Recover** to initiate the key recovery request.

Selecting this option notifies the key recovery agents that a recovery has been initiated and gives them the recovery authorization reference number.



Note

Do not close the browser after initiating the key recovery. The agent must wait for all other agents to authorize the key recovery request before the system returns the hyperlink to download the PKCS #12 file containing the private key. This page keeps refreshing to check if all other agents have authorized.

- 8. Every DRM agent must approve the key recovery once the agent receives the recovery authorization number.
 - a. Open the DRM agent services page.
 - b. Select Authorize Recovery.
 - c. Enter the recovery authorization request number.
 - d. Select **Examine** to examine the key being recovered.
 - e. Select **Grant** to complete the key recovery.
- 9. Once all agents have authorized the recovery, then the agent who initiated the key recovery request is given a link download (import) the PKCS #12 file.
- 10. When selecting the PKCS #12 file, a dialog box appears. Specify the path and filename to save the encrypted file containing the recovered certificate and key pair.

- 11 Send the encrypted file to the requester.
- 12 Give the recovery password to the requester in a secure manner.

The requester must use this password to import the recovered certificate/key pair.

OCSP: Agent Services

This chapter describes how to perform Online Certificate Status Manager (OCSP) agent tasks, such as identifying a CA to the OCSP and adding a CRL to the OCSP's internal database. This service is available only when the OCSP subsystem is installed. The OCSP agent services page allows authorized agents to accomplish these tasks.



NOTE

For this documentation, Online Certificate Status Manager is abbreviated OCSP.

1. Listing CAs Identified by the OCSP

The OCSP can be configured to receive CRLs from multiple CMs. Each CM that can publish CRLs to the OCSP must have its CA signing certificate stored in the internal database of the OCSP. For instructions, refer to Section 2, "Identifying a CA to the OCSP".

The list of CMs currently recognized by the OCSP can be viewed at any time. To see the list of CMs, do the following:

- 1. Open the OCSP agent services page.
- 2. In the left frame, click List Certificate Authorities.

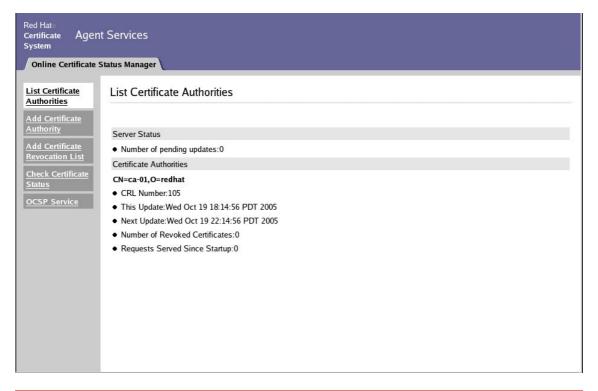


Figure 8.1. OCSP List Certificate Authorities Page

2. Identifying a CA to the OCSP

The OCSP can be configured to receive CRLs from multiple CMs. Before configuring a CM to publish CRLs to the OCSP, first identify the CM to the OCSP by storing the CM's CA signing certificate in the internal database of the OCSP.

To store the CM's CA signing certificate in the internal database of the OCSP, do the following:

1. Open the CM's end entities page.

```
https://server.example.com:9443/ca/agent/ca
```

- 2. Select the Retrieval tab, and, in the left frame, click List Certificates.
- 3. When the page opens, click Find.
- 4. Locate the CM's CA signing certificate by looking at the subject name of the certificate. Typically, the CA signing certificate is the first certificate the CM issues.
- 5. Click on the subject name.
- 6. In the certificate contents page, scroll to the **Base 64 encoded certificate** section, which shows the CA signing certificate in its base-64 encoded format.
- 7. Copy the base-64-encoded certificate, including the ----BEGIN CERTIFICATE---- and ----END CERTIFICATE---- marker lines, to the clipboard or a text file. The certificate information looks similar to this example:

```
----BEGIN CERTIFICATE----
MIIB/DCCAaagAwIBAgIBATANBgkqhkiG9w0BAQUFADBRMRwwGgYDVQQKExNTZmJh
eSBSZWRoYXQgRG9tYWluMREwDwYDVQQLEwgxMDI3cm9vdDEeMBwGA1UEAxMVQ2Vy
dGlmaWNhdGUgQXV0aG9yaXR5MB4XDTA2MTAyNzE2MTkyM1oXDTA4MTAxNjE2MTky
M1owUTEcMBoGA1UEChMTU2ZiYXkgUmVkaGF0IERvbWFpbjERMA8GA1UECxMIMTAy
N3Jvb3QxHjAcBgNVBAMTFUNlcnRpZmljYXR1IEF1dGhvcml0eTBcMA0GCSqGSIb3
DQEBAQUAA0sAMEgCQQDXA7qzGv1LJNxEv1HkDKvLjr+OgHmhj4BaPAXTVw64szgT
McQh1aY0G4plpTdCwECEiMb3JRa8QzpfRwbB/kFpAgMBAAGjaTBnMA8GA1UdEwEB
/wQFMAMBAf8wDgYDVR0PAQH/BAQDAgHGMEQGCCsGAQUFBwEBBDgwNjA0BggrBgEF
BQcwAYYoaHR0cDovL3Bhdy5zZmJheS5yZWROYXQuY29tOjkwODAvY2Evb2NzcDAN
BgkqhkiG9w0BAQUFAANBAIOhIcmHQ4HHSPQielUVx0EoiseeXL/t8VrAnK0i2uMn
7eZlvLIXrcQAcQTI4yxavKtOtkqrPR6uV5LhCqaX2hg=
----END CERTIFICATE----
```

8. Open the OCSP agent services page.

https://server.example.com:11443/ocsp/agent/ocsp

- 9. In the left frame, click Add Certificate Authority.
- 10In the resulting form, paste the encoded CA signing certificate inside the **Base 64 encoded** certificate (including header and footer) text area.

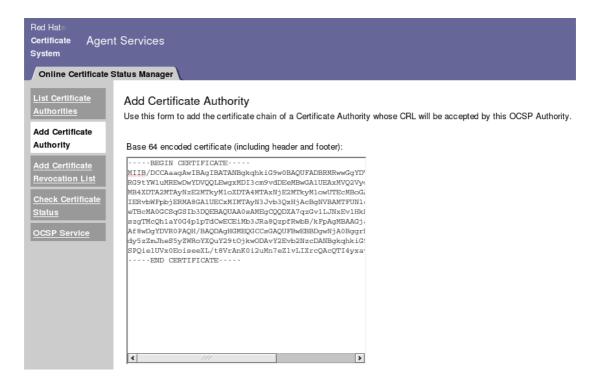


Figure 8.2. Add Certificate Authority Page

11.Click Add.

The certificate is added to the internal database of the OCSP.



12.To verify that the certificate is added successfully, click **List Certificate Authorities** in the left frame.

The next page shows information about the CM that was added.



NOTE

If the deployment contains chained CAs, such as a root CA and then several subordinate CAs, add each CA certificate separately to the OCSP responder.

3. Adding a CRL to the OCSP

If a situation arises when a CM is unable to publish its CRL to the OCSP, it is possible to add a CRL manually to the OCSP internal database.

To add a CRL to the internal database, do the following:

1. Open the CM's agent services page.

https://server.example.com:9443/ca/agent/ca

- 2. Click on **Display Revocation List**.
- 3. In the results page, select the desired CRL issuing point, select the option to display the CRL as base-64, and click **Display**.
- 4. In the CRL details page, scroll to the **Certificate revocation list base64 encoded** section, which shows the CRL in base-64 format.
- 5. Copy the base-64 encoded CRL, including the ----BEGIN CERTIFICATE REVOCATION LIST---- and ----END CERTIFICATE REVOCATION LIST---- marker lines, to the clipboard or a text file.

The CRL looks similar to the example:

----BEGIN CERTIFICATE REVOCATION LIST---MIHIMIGNAGEBMAOGCSqGSIb3DQEBBQUAMEsxGDAWBgNVBAoTDORvbWFpbiBTcG9v
bmJveTEPMAOGA1UECxMGMTAyNnNiMR4wHAYDVQQDExVDZXJ0aWZpY2F0ZSBBdXRo
b3JpdHkXDTA2MTExMzE4MDM0MFoXDTA2MTExMzIyMDM0MFqgDjAMMAoGA1UdFAQD
AgFeMAOGCSqGSIb3DQEBBQUAA0EAlbd17bPD5yLpBwKkSXeSA1fa8M2TiqNynRS1
B5zDGGAamOBdnKVMEBPEXFsTzk92rjbL0J0KjoMYicTEGO1wKA==
----END CERTIFICATE REVOCATION LIST-----

6. Open the OCSP's agent services page.

```
https://server.example.com:11443/ocsp/agent/ocsp
```

- 7. In the left frame, click Add Certificate Revocation List.
- 8. In the resulting form, paste the encoded CRL inside the Base 64 encoded certificate revocation list (including the header and footer) text area.
- 9. Click Add.

The CRL is added to the internal database of the OCSP.

4. Checking the Revocation Status of a Certificate

The revocation status of a certificate is checked by submitting the certificate in its base-64 encoded format to the OCSP, as follows:

1. Copy the base-64-encoded certificate, including the ----BEGIN CERTIFICATE---- and ----END CERTIFICATE---- marker lines, to the clipboard or a text file.

The certificate looks similar to this example:

```
----BEGIN CERTIFICATE----
MIICGDCCAcKgAwIBAgIBEZANBgkqhkiG9w0BAQUFADBLMRgwFgYDVQQKEw9Eb21
haW4gU3Bvb25ib3kxDzANBgNVBAsTBjEwMjZzYjEeMBwGA1UEAxMVQ2VydGlmaW
NhdGUgQXV0aG9yaXR5MB4XDTA2MTAyNjE1MjEzOVoXDTA4MTAXNTE0MTIXNVowL
jEXMBUGA1UEChMOVG9rZW4gS2V5IFVZZXIXEZARBgoJkiaJk/IsZAEBEwNjZnUw
gZ8wDQYJKoZIhvcNAQEBBQADgY0AMIGJAoGBAMDmhEvpHgHrPxVI+BMBE/N1mQ+
w2kEn9fw0w6ToMYZS3+RIQvxxUACPabb66Dgg8DcAFLpYK9HZ7ZPkd312YZn+X+
mVr/aCFZUOZkydySGE3zjLwhX5s5UgJ3YGcaLm3rbfsAXZxJN1HyLVqJ/p7Lrvq
pGfs80oVx4FWMCIu+udAgMBAAGjajBoMA4GA1UdDwEB/wQEAwIGwDALBgNVHREE
BDACgQAwHQYDVR0OBBYEFFE+jJZAWWvgEWblcn6gh2rW2kxdMB8GA1UdIwQYMBa
AFFpUxAabux1ebNbldVg4h+VWkYucMAkGA1UdEwQCMAAwDQYJKoZIhvcNAQEFBQ
ADQQBwyt/tiBd1TRrCWlxRds2zTRrFk1MyIYJWFzZLKRNkPB7+3fR3tT/1dD1NR
V6t1wfkqox0+Z/5bqchjMsQwXGZ
-----END CERTIFICATE-----
```

- 2. Open the OCSP agent services page.
- 3. In the left frame, click Check Certificate Status.
- 4. In the next form, paste the certificate inside the Base 64 encoded certificate text area.
- 5. Click Check.

The next page shows the status of the certificate that was submitted.

TPS: Agent Services

This chapter describes how to perform Token Processing System (TPS) agent tasks, such as listing smart card tokens and resetting card PINs. Agents can manage the smart cards and the certificates stored on the cards. The TPS agent services page allows authorized agents to accomplish these tasks.



NOTE

Smart cards are also referred to as tokens in this chapter and in the TPS agent and admin services pages.

1. Basic Operations for an Agent and Administrator

The TPS agent services page contains two tabs, one for agent operations and one for administrator operations. The agent operations cover routine token management such as setting the token status, searching and listing tokens and certificates, resetting token PINs, and searching the TPS internal database. The administrator page has additional options to add and delete tokens from the database.

An administrator user is created when the TPS instance is first configured. This user has both administrator and agent privileges. The administrator can create additional agents by creating new user entries in the LDAP database. For more information on creating users in the Red Hat Directory Server, see the *Directory Server Administration Guide*.



NOTE

There is no HTML end entities page for TPS services since end entity tasks are performed through the Enterprise Security Client.

The TPS agent tasks include the following:

- · Listing tokens.
- Adding new tokens by token CUID.
- · Editing token attribute token policies.
- · Searching tokens by CUID or user ID.
- · Listing certificates associated with tokens.
- · Searching certificates by token CUID or user ID.

- Listing activities associated with the tokens by the token CUID.
- · Searching activities by the token CUID.
- · Changing token status.

Administrators can perform all of the agent operations, as well as the following:

- Editing the token attributes, such as the user ID, and the reason for the token status.
- · Deleting a token.

2. Adding Tokens

New tokens are added to the TPS subsystem through the **Add tokens** link in the **Agent Operations** tab. This link opens a form to create a new token. The only required information is the token ID, which is embedded in the token. Additional information about the token can be added through the agent edit page.

Normally, it is not necessary for agents to create a token entry because the entry is created automatically when the token connects to TPS, such as connecting through the Enterprise Security Client. However, an agent may want to pre-populate the tokens with keys or other custom information; this can be done by manually adding and editing the token in the TPS.

3. Managing Tokens

There are two links for managing tokens in the **Agent Operations** tab: **List Tokens** and **Search Tokens**. Both of these options return lists of tokens; a token can be selected from the search results and have further operations performed on it, such as changing the token status, editing the token settings, reviewing the token's certificates, and showing the operations previously performed on the token.

Selecting the **List Tokens** link in the **Agent Operations** tab does an automatic search for all tokens configured through the TPS and lists them all in the returned search results.

To search for specific tokens, click on the **Search Tokens** link in the **Agent Operations** tab. Then supply either the user ID of the token owner or the token ID.

The token associated with that ID will be listed with information such as the date it was created and last modified, key information, and the token status.

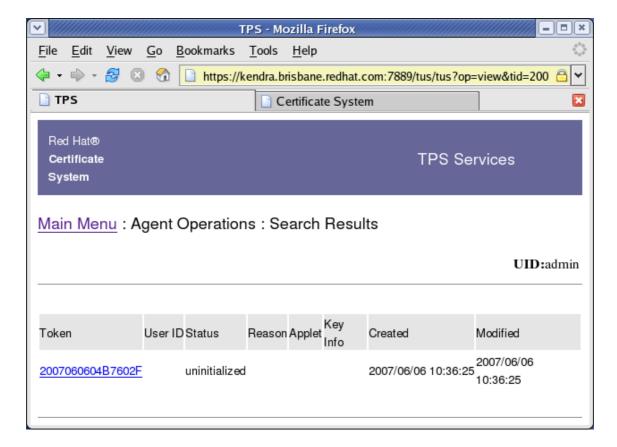


Figure 9.1. Token Search Results

Click the link associated with the token to display its details.

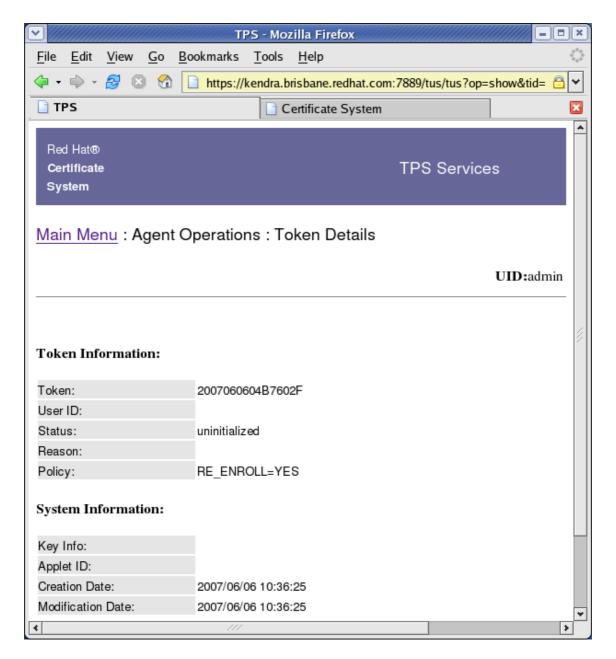
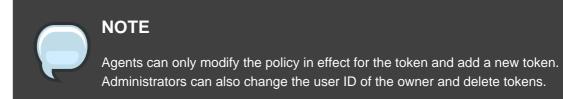


Figure 9.2. Token Details

Four operations can be performed on the token through this page:

- · Changing the token status.
- Editing the token policy.



- · Listing the certificates stored on the token.
- Showing the operations performed on the token.

3.1. Changing Token Status

Agents can change the status of the token. Token status affects key recovery policies; the status of the token impacts whether a key should be recovered from the DRM or reissued, whether new tokens will be blocked because there are already active existing tokens, and whether to issue or revoke temporary tokens.

The status is changed through the token details page, which is shown by listing or searching for tokens and then selecting a token from the returned list.

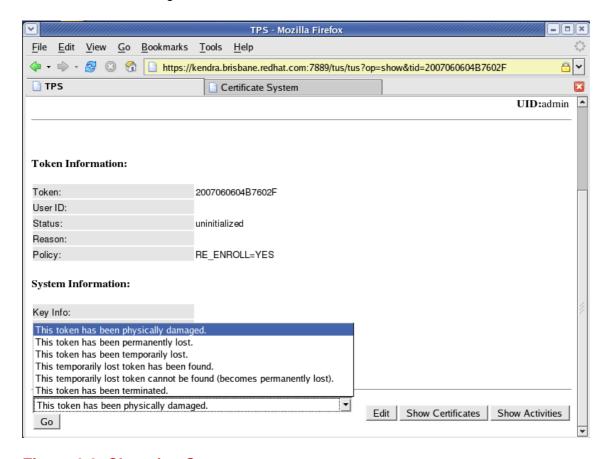


Figure 9.3. Changing Status

There are six possible token statuses:

· The token is physically damaged.

For this status, the TPS revokes the user certificates and marks the token lost.

• The token has been permanently lost.

For this status, the TPS revokes the user certificates and marks the token lost.

• The token is temporarily lost or unavailable.

For this status, the TPS puts the user certificates on hold and marks the token inactive.

The lost token has been found.

For this status, the TPS takes the certificates off hold and marks the token active.

• The lost token cannot be found (permanently lost).

For this status, the TPS revokes the certificates and marks the token lost.

• This token has been terminated.

For this status, the TPS terminates the token and deems the token useless.

To change the status, select the menu item, and click **Go**.

3.2. Editing the Token

Agents can modify the policy that is applied to a token. The two supported token policies are RE_ENROLL, which allows a user to re-enroll certificates with the same token, and PIN_RESET which allows the token user to initiate a PIN reset operation.

Each of the supported token policies accept values of either YES or NO. You can set both policies by separating them with a semi-colon.

To edit the policy applied to a token, click **Edit** on the Token Details page to display the Edit Token page. This page displays the Token ID, User ID, Status, and Policy information. Enter the required policy in the Policy field.

For example, to allow the user to reset his PIN but to disallow re-enrolling with the same token, use the following policy definition:

RE_ENROLL=NO; PIN_RESET=YES



Note

If the PIN_RESET policy is not set, then user-initiated PIN resets are allowed by default. If the policy is present and is changed from \mathtt{NO} to \mathtt{YES} , then a PIN reset can be initiated by the user once; after the PIN is reset, the policy value automatically changes back to \mathtt{NO} .

More token information can be modified through the **Administrator Operations** tab.

3.3. Listing Token Certificates

Click **Show Certificates** in the token details page to display a list of all certificates stored on that token, including information such as certificate ID, certificate type, and serial number.

3.4. Conflicting Token Certificate Status Information

The TPS stores the complete history of certificates' status, so that all changes in status can be reviewed. However, the status shown on the token is that last status of the certificate at the time the token was formatted. The status of the certificates on the token may not immediately reflect the real status of the certificates. It is possible to have multiple tokens with the same certificate information on them; it then is possible for the certificate status on these tokens to become out of sync with the status information in the CA database. When viewing these tokens in the TPS agents page, then, the certificate information can be inconsistent.

For example, Token #1 has two certificates stored on it, an encryption certificate (Encrypt #1) and a signing certificate (Signing #1). If Token #1 is lost, then both of its certificates are revoked, so both Encrypt #1 and Signing #1 are marked as revoked. When the user is issued a new token, Token #2, then Encrypt #1 is recovered, and a new signing certificate, Signing #2, is issued. The status for the three certificates, then, is as follows:

- Signing #1 revoked
- Signing #2 active
- Encrypt #1 active

If Token #1 is found, then the certificates for Token #2 are revoked and the certificates for Token #1 are reactivated. The status for the three certificates, then, is as follows:

- Signing #1 active
- Signing #2 revoked
- Encrypt #1 active

Through the TPS agent's page, however, viewing Token #1 shows Signing #1 is active; viewing Token #2 shows that Signing #1 is revoked. This is because that Signing #1 was still revoked when Token #2 was formatted, and that information was not updated when Token #1 was subsequently formatted.

To find the current status of certificates, view an active token, and list the certificates. Active tokens always have the most current certificate status. For information on listing certificates stored on tokens, see Section 3.3, "Listing Token Certificates".

3.5. Showing Token Activities

Clicking the **Show Activities** button in the token details page returns a list of all operations which have been performed on the token.



Main Menu: Agent Operations: Search Activity Results

UID:admin

A-12-2-ID	T-1	ID.	ID	0	D	0 1 1	
Activity ID	Token	IP	User ID	Operation	Result	Created	
Details							
20061110134949.b5e7208	40900062FF02000064A0	172.16.24.79	jśmith	enrollment	success	2006/11/10 13:49:49	
applet_version=1.3.44724DDE tokenType=userKey userid=jsmith							
20061110135139.b798338	40900062FF02000064A0	172.16.24.79	jsmith	do_token	initiated	2006/11/10 13:51:39	
'admin' marked token physically damaged							
20061110135140.b798338	40900062FF02000064A0	172.16.24.79	jsmith	do_token	initiated	2006/11/10 13:51:40	
Certificate '2b.20061110134949' is marked as revoked							
20061110135217.b7ae348	40900062000100000088	172.16.24.79		enrollment	failure	2006/11/10 13:52:17	
applet upgrade error							
20061110140110.b6873c8	40900062010300000124	172.16.24.79	jsmith	format	success	2006/11/10 14:01:10	
applet_version=1.3.44724DDE tokenType=tokenKey							
20061110140229.b9cce00	40900062010300000124	172.16.24.79	jsmith	enrollment	success	2006/11/10 14:02:29	
applet_version=1.3.44724DDE tokenType=userKey userid=jsmith							

Figure 9.4. Showing Token Activities

4. Listing and Searching Certificates

There are two links for finding and viewing certificates stored in tokens in the **Agent Operations** tab: **List Certificates** and **Search Certificates**. Both of these options return lists of certificates for the token or user ID specified.

Clicking **List Certificates** automatically returns all stored certificates. Clicking **Search Certificates** opens a search form to supply the specific token ID or user ID for which to list the certificates.

This returns the certificates, the same as searching for the token and clicking **Show**

Certificates.

5. Searching Token Activities

The token activities, such as enrollment, which are performed through the TPS subsystem can be searched and listed for assistance with token management. There are two links for finding and viewing certificates stored in tokens in the **Agent Operations** tab: **List Activities** and **Search Activities**. Both of these options return lists of activities performed on the tokens managed by the TPS.

Clicking **List Activities** automatically returns all token activities performed through the TPS on all tokens. Clicking **Search Activities** opens a search form to supply the specific token ID for which to list activities.

This will then return the activities performed on that token, the same as searching for the token and clicking **Show Activities**.

6. Administrator Operations

TPS administrators can perform all of the agent tasks through the **Agent Operations** tab of the TPS agent services page. Additionally, they can perform two tasks through the **Administrator Operations** tab: listing and searching tokens (with different editing options) and deleting tokens. Listing tokens automatically returns all enrolled tokens in the TPS; searching for a token returns the specific token matching the search criteria (token or user ID).

Selecting a token from the complete list or from the search results will open the token's details page.

The activities available through the administrator token details page are different than the ones available through the agent token details page:

- Showing the activities performed on the token.
- Editing the token.
- · Deleting the token.

6.1. Showing Token Activities

Clicking the **Show Activities** button in the token details page returns a list of all activities which have been performed on the token, same as the agent operation.

6.2. Editing the Token

Administrators can edit the user ID associated with the token and the token policies. Refer to Section 3.2, "Editing the Token" for information on editing tokens.

6.3. Deleting the Token

Click **Delete** to remove the token, and all its associated certificates and user information, from the TPS database.

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