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Building Geometry Model USER GUIDE

Version 1.0

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1. Introduction

It has been widely recognised that Light Detection And Ranging (LiDAR) data is a valuable resource for estimating the geometry of natural and artificial features. While the LiDAR point cloud data can be extremely detailed and difficult to use for the recognition and extraction of three dimensional objects, the Digital Elevation Model and Digital Surface Model are useful for rapidly estimating the horizontal extent of features and the height variations across those features. This has utility in describing the characteristics of buildings or other artificial structures.

LiDAR is an optical remote sensing technology that can measure the distance from the sensor to a target area by illuminating the target area with light, often using pulses from a laser scanner. LiDAR has many applications in a broad range of fields, including aiding in mapping features beneath forest canopies, creating high resolution digital elevation and surface models. A Digital Surface Model (DSM) represents the earth's surface and includes all objects on it, while the Digital Elevation Model (DEM) represents the bare ground surface without any natural or artificial objects such as vegetation, structures and buildings.

The Building Geometry Model (BGM) application is a Python-based software system, used to execute ArcGIS geoprocessing routines developed by Geoscience Australia, which can derive the horizontal and vertical extents and geometry information of building and other elevated features from LiDAR data. The Building Geometry Model algorithms were developed in response to the availability of LiDAR data for the development of exposure information for natural hazard risk analysis. The LiDAR derivatives were used to estimate building footprint areas, inter-storey heights across areas occupied by buildings, and eventually an estimate of gross floor area of different types of buildings.

The design and development of the BGM application started in February 2012 as part of a natural hazard risk analysis project in the Philippines. Many of the examples of interface usage in this document contain references to locations and terms used in the Philippines. However, the BGM application has been designed to process data regardless of its geographic location. The object-oriented programming techniques and design patterns were used in the software design and development. In order to provide users with a convenient interface to run the application on Microsoft® Windows, a Python-based Graphical User Interface (GUI) was implemented in March 2012 and significantly improved in the subsequent months. The application can be either run as a command-line program or start via the GUI. The BGM application is currently benchmarked as Version 1.0 as it is still under development.

This document is a user guide to the BGM GUI. It describes the main User Interface (UI) components, functionality and procedures for running the BGM processes via GUI.

Development of the BGM was a collaborative initiative by:

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Frank Fu (Software Development & Collaboration Services, ICT Innovation and Services)

2. Quick Start-up of BGM Application

2.1. MAIN FEATURES

Easy to run

The application can either be run as a batch command-line program supporting automation, or be started through the GUI. The easiest way to start the GUI is to double click BGM.exe represented by an icon image

User friendly GUI

The application provides a full-functional user interface, including informative text/labels for all entry fields, displaying hints on mouse over most UI components, grouped UI components, runtime information, and status reminders, etc.

Portability

The application is a self-contained software package which can be run from any location on any Microsoft® Windows PCs or laptops as long as ArcGIS® 10.0 software by Esri and Python 2.6 or above are both installed on the device. There is no need to install the Building Geometry Model tool onto the device, as it will run as an executable file.

Object-oriented approach

Object-oriented programming was used to design and build the BGM application. It is modulated and well-structured, and promotes code reuse and efficiency. Different components and modules are implemented at the interface level, making it easy for future changes and expansions.

Easy maintenance

There are no hard-coded input parameter values that will be changed frequently. Most system settings and parameters are configurable and easy to change via the GUI. The filename formats for input DEM, DSM and GeoTIFF datasets are configurable. In addition, runtime information and error messages are displayed, making it easy for the user to track any bugs or errors if or when they occur.

2.2. SYSTEM REQUIREMENTS

The BGM application (*V 1.0*) can be run on the Microsoft® Windows platform which supports ArcGIS® 10.0 software by Esri and Python 2.6 or above. ArcGIS® software by Esri is commercially available software that currently runs in the Windows-like environments. Python is freeware that can run in numerous environments, however if experiencing issues running python in Windows see; <u>http://docs.python.org/2/using/windows.html</u>. The system has currently only been tested on Windows XP and Windows7 platforms, and may be able to run on other OS systems with ArcGIS 10.0 and Python 2.6 or above installed, however this is yet to be tested.

The recommended minimum and desired hardware configurations for BGM application and the GUI are listed in Table 2-1:

Hardware	Minimum	Desired
RAM	1 GB	4 GB
Hard Disk	20 GB	50 GB
Screen Resolution	1024×768 pixels	1400×1050 pixels
Colour	16 bit	32 bit

Table 2-1 Minimum and desired hardware requirements for the BGM application and its GUI.

2.3. DOWNLOAD A COPY OF BGM APPLICATION

Download a copy of the zipped BGM file locally or on a network drive. The application runs as an executable and does not need to be installed. Storing datasets locally and running locally is more efficient but not essential for large datasets. Please note that the first two digits in the version number represent a major release which has significant changes in either the code/algorithm or inputs, while the third digit refers to a minor release version in response to some minor changes. For simplicity, minor releases are ignored and only the major versions, such as Version 1.0, are discussed in this document.

4 🍌 BGM_v1.0	^ Name	Date modified	Туре	Size
config	🍌 config	26/03/2013 2:39 PM	File folder	
doc	📕 doc	23/04/2013 3:03 PM	File folder	
Jimg	📕 img	2/04/2013 1:50 PM	File folder	
oli (∥) ⊲	🔒 lib	22/03/2013 12:03	File folder	
log	📕 log	22/04/2013 3:09 PM	File folder	
4 🌉 python	📕 python	22/04/2013 3:09 PM	File folder	
di com	🔒 samples	26/03/2013 2:36 PM	File folder	
🏭 gui	GM BGM.exe	8/11/2012 2:10 PM	Application	83 KB
🍶 io	🚳 start_BGM.bat	8/04/2013 6:31 PM	Windows Batch File	1 KB
> amples				

As shown in the screenshot above, the BGM home directory contains seven subdirectories and two files. Table 2-2 lists these subdirectories and files, and their usage.

Name	Туре	Contents and Usage
\rm config	File Folder	Contains all configuration files for the system.
🌡 doc	File Folder	Contains readme.txt, release_note.txt and this manual document.
鷆 img	File Folder	Contains icons and system-status images.
🕌 lib	File Folder	Contains two third-party libraries for the system: Pmw.1.3.2 – a toolkit for building high-level GUI compound widgets in Python; and xlrd-0.7.9 – a Python library for extracting data from Microsoft Excel spread sheet files.
퉬 log	File Folder	Store all runtime log and system log files.
退 python	File Folder	Contains all Python source code and compiled binary files.
鷆 samples	File Folder	Contains sample inputs for a quick testing of the BGM application.
🔝 BGM.exe	MS_DOS Batch File	A MS DOS batch file, double clicking on which will start the BGM GUI.
🚳 start_BGM.bat	Executable (.exe) File	An icon representing BGM.exe, double clicking of which will start the BGM GUI.

Table 2-2 Folders and files within the home folder of BGM application.

It is recommended the user creates a shortcut of the BGM.exe (shown as an icon image 🔜) on the desktop of the user's computer. To start the BGM GUI on Windows by double clicking on the shortcut icon.

2.4. SETUP BGM APPLICATION

The application downloaded does not require installation and can be run straight away. However, as mentioned in Section 2.2, the application requires ArcGIS 10.0 and Python 2.6 or above. It is assumed that, for Microsoft® Windows XP and NT, the home path for ArcGIS 10.0 is *C:\Program Files\ArcGIS* and the home path for Python 2.6 is *C:\Python26\ArcGIS10.0*. For Microsoft® Windows7, the ArcGIS 10.0 home path is *C:\Program Files(x86)\ ArcGIS* and the Python home the same. The user may need to check the validity of the two paths on the user's PC or laptop. If both paths are valid, the BGM GUI can be opened from this point onwards. Otherwise, the two paths will need to be changed in the batch settings file at *{HOME}\BGM_v1.0\config\BGM_BATCH_STARTUP_CONFIG.bat.* For details on how to change the paths, please see <u>Section 6.1.1</u>.

2.5. START BGM GUI

There are two alternative ways to start the BGM GUI:

Run as a Microsoft® Windows application
 BGM.exe is an executable application for starting BGM GUI on Windows platform, which is shown as an icon image
 Double clicking on this icon will automatically start the BGM GUI.

• Start from the MS-DOS batch file

The *start_BGM.bat* is a MS_DOS batch file. Double clicking on the file on Windows explorer window will also start the BGM GUI.

When starting the BGM GUI, the user will see a MS-DOS Command Prompt window appearing with text 'Start to run BGM GUI. Please wait while loading data ...' on it. In a few seconds, text of 'BGM GUI has been successfully created!' will be printed out on the window and then the BGM GUI pops out. This window should be kept open whenever the tool is open. Closing this window will cause the GUI automatically closed without any warning or confirmation. Run-time information as well as some testing and debugging messages will also be printed out in this window. Therefore, this window can be used to monitor the progress of the processes.

C:\Temp\test\BGM.e	xe		C		×
tart to run BGM BGM GUI has been	GUI. Please wait while 1 successfully created!	loading	data	••••	
		-			

2.6. INPUT DATASET REQUIREMENTS

There are six mandatory input datasets required to run the application and three optional input datasets. See 5.1 for more details on input data specifications.

Mandatory input datasets include:

• Area of Interest Shapefile

Vector polygon dataset of the extent of the area of interest (AOI) with an optional finer field defining AOIs (e.g. country AOI extent with Local Government Areas field defining finer polygon extents within the country extent).

Digital Elevation Model Path

A directory containing a series of raster DEM tiles.

Digital Surface Model Path

A directory containing a series of raster DSM tiles.

• Tile Index Shapefile

A vector polygon dataset defining tile index associated with DEM and DSM datasets, and these tile indexes are to be used for creating vegetation masks from input GeoTIFF images.

Land Use Shapefile

A vector polygon dataset defining the horizontal extent of current land uses and contains land use classifications at various levels of detail (which can be specified in separate fields in the attribute table).

Inter-Storey Heights Excel File

An Excel file containing inter-storey height ranges specific to a defined land use.

Optional input datasets are used to create masks that define areas not expected to contain building features or areas not required for analysis. These include:

Vegetation Mask Path

A directory containing a series of GeoTIFF image tiles that contain at least three bands: Band_1, Band_3, and Band_4 for red, blue and infra-red respectively. (Note: ECW or other compressed image formats are not recommended).

Road Mask Shapefile

A vector polygon dataset of road reserves or corridors, but can include rail or other corridors if needed.

• Water Mask Shapefile

A vector polygon dataset of the natural and artificial waterways in the drainage network and significant water bodies.

Note: The BGM application can consume vector inputs in shapefile or File Geodatabase feature class format. Throughout this document, shapefile and feature class are interchangeable as inputs however vector outputs are only shapefiles. The application only outputs ESRI rasters and polygon shapefiles.

3. Main Components of BGM GUI

3.1. MAIN FRAME

BGM v1.0.0 has a simple but user-friendly graphic user interface (GUI). As shown below, its main frame consists, from the top to the bottom, of: (3.2) Title panel, (3.3) AOI selection panel, (3.4) I/O Parameters selection panel, (3.5) System settings selection panel, (3.6) Runtime information window, (3.7) Control buttons panel, (3.8) Status bar and (3.9) Footer.

% Building Geometry Model (LiDAR)	
Building Geometry Mo Derive building extents and heights from Li	del //// atta
(Version 1.0.0)	
	3.
AUI Snapemie*	
Select I/O Parameters (* denotes mandatory fields) Edit Tiled Filename Form	mats
Digital Elevation Model Path*	
Digital Surface Model Path*	
Tile Index Shapefile*	
Land-Use Shapefile*	3.
Inter-Storey Height Excel File*	
Vegetation Mask Dataset Path	
Road Mask Shapefile	
Water Mask Shapefile	
Output Directory*	
Select System Settings (* denotes mandatory fields)	
Delete temporary/intermediate files Minimum Roof Height: 2 m	Minimum Blue Roof Value: 85
Write runtime information to log file Maximum Tree Height 40 m	Output Pixel/Cell Size: 1 m 2 m 3.
	Land-Use Field Name*: Please select
V Save settings on successful validation	
Runtime Information Hide	
	<u>–</u>
	≺─────────────────────────────────────
Run Pause Stop	Close 3.
Please enter I/O paths and parameters.	3.4
(c) Commonwealth of Australia (Geoscience Australia) 2013 About BGM Disclaimer	◀ 3.1

3.2. TITLE PANEL



The **Title** panel is on the top of the interface. It displays the full name of the application, on a background city skyline image, and the BGM version number.

3.3. SELECT AREA OF INTEREST PANEL

The *Select Area of Interest* panel is used to select the area of interest (AOI), over which all target pixels are to be processed by the system. This panel consists of four UI widgets: (a) *AOI Shapefile* text entry field, (b) *file/folder* browse button (c) *Field Defining AOIs* dropdown menu box, and (d) *Name of AOI* dropdown menu box.

Select Area of In	terest (AOI) (* denotes mandatory fields)		
AOI Shapefile*			
Field Defining AOIs	-	Name of AOI*	

- a. The *AOI Shapefile* text entry field is used to enter a polygon shapefile that defines one or multiple AOIs. The dataset to be selected must be a shapefile of polygon geometry type. The user may either manually enter a file path into the text field, or alternatively click the browse button an on the right side to select a desired dataset. A valid file pathname to the AOI dataset is required for the model to run.
- b. The *Field Defining AOIs* dropdown menu box is used to select a field name from the input AOI dataset selected/ entered in the *AOI Dataset Path* text field. If there is no dataset selected or entered in the *AOI Dataset Path* text field or the entry is not valid, the dropdown menu will be empty. Once a valid dataset is selected or entered, the system will automatically retrieve the names of all string (text) fields from the selected dataset and then populate them to the dropdown menu. Normally the first item of the dropdown menu is 'Please select' which is selected by default. The list of field names will be updated whenever the input AOI dataset is changed. However, if there is no string/text field in the AOI dataset selected, the dropdown menu will contain only one item – 'Please select'.

This dropdown menu box is an optional widget, which implies the user may either select a desired field name from the menu or just keep the default value 'Please select'.

c. The Name of AOI dropdown menu box defines the extent of AOI over which target datasets are to be processed. The extent depends on the field name selected in the Field Defining AOIs menu box. It may be empty or contain only one item of 'Whole Region' when the Field Defining AOIs dropdown menu box is empty or displays 'Please select', respectively. If a valid field name is selected in the Field Defining AOIs menu box, the system automatically retrieve all distinct values of that field from the input AOI dataset and then populates them to this menu box. If this menu box contains only one AOI value, then that value will be selected by default; if it consists of more than one value, an item of 'AII AOIs' will be added to the menu box as the first item.

This menu box is a mandatory one. The user must select 'Whole Region', 'All AOIs', or a specific AOI value from the menu box. The difference between 'Whole Region', 'All AOIs', or a specific AOI value will be explained in <u>Section 4.1</u>.

3.4. SELECT I/O PARAMETERS PANEL

This panel consists of nine text entry fields for selecting or entering input file paths and output directory, six of which are mandatory fields denoted with asterisk * marks. A mandatory field requires a valid path to be provided. The system will not start to run if any of the mandatory fields are empty or do not exist, but will run if any of the optional fields is empty. However, if any of the optional fields specify a path that is not valid or does not exist, an error message will be displayed in the *Status bar*, preventing the system from running.

Select I/O Parameters (* denotes mandate	ory fields) Edit Tiled Filename Formats	
Digital Elevation Model Path*		6
Digital Surface Model Path*		
Tile Index Shapefile*		<u>6</u>
Land-Use Shapefile*		
Inter-Storey Height Excel File*		
Vegetation Mask Dataset Path		
Road Mask Shapefile		
Water Mask Shapefile		
Output Directory*		6

Edit Tiled Filename Formats button Edit Tiled Filename Formats

The BGM application consumes a series of raster datasets and GeoTIFF images as the main inputs. These input datasets and images should follow a consistent naming convention for each input type. The BGM application provides a facility for viewing, defining and editing the filename formats through the *Edit Tiled Filename Formats* button, next to the subtitle of *Select I/O Parameters* panel. Clicking on this button will display a dialog window for editing the formats of tiled filenames.

Type of Filename	Prefix of Filename	Suffix of Filename	Format of Filename
DEM Raster Image Filename:			
DSM Raster Image Filename:			
Vegetation GeoTIFF Filename		1	

As shown above, the dialog window comprises a table with four columns. The first column '*Type of Filename*' lists three file types; the '*Prefix of Filename*' and '*Suffix of Filename*' columns are used to define the parts of a filename before or after the tile number, respectively; '*Format of Filename*' column displays the corresponding filename formats composed from the prefix and suffix if defined. If both the prefix and suffix of a file type are empty, the corresponding '*Format of Filename*' column will be empty as well.

Initially, all the latter three columns are empty. Below image shows an example of the table after having filled with valid inputs. The '*EEENNN*' in the '*Format of Filename*' column represents the tile numbers, which could be a digit number of any length.

Type of Filename	Prefix of Filename	Suffix of Filename	Format of Filename
DEM Raster Image Filename:	e	01001	eEEENNNN01001
DSM Raster Image Filename:	s	01001	sEEENNNN01001
Vegetation GeoTIFF Filename	Imager2011-RGBI-025	_03_0001_0001	Imager2011-RGBI-025EEENNNN_03_0001_0001

Clicking the *Save* button on the bottom of the dialog window will save all new filename formats defined and then close the dialog window. If any filename formats are saved, all saved formats will be automatically loaded upon the next opening of the BGM and populated to the dialog window. However, if the user chooses to click the *Cancel* button, the dialog window will be closed and will discard any changes.

Digital Elevation Model Path

	-
Digital Elevation Model Path*	

Is an input textbox to define the path of a directory containing Digital Elevation Model (DEM) raster data. The value to this field can be either entered directly or selected through the browse button S. Clicking the browse button will open a folder selection dialog box for selecting the desired directory. It is a mandatory field.

The internal folder structure of DEM path is predefined. It is assumed that the DEM directory contains a list of sub-directories, each of which contains the actual DEM raster data over a single tile. The tile names are in a format of '*eEEENNNN01001*', where '*e*' stands for elevation, the seven-digit number '*EEENNNN*' represents the tile number, and '01001' is the fixed suffix for all tiles. For example, as shown in the image on the right, the DEM path is '*C*:*PRS92_vol20110905*\ *Digital_Elevation_Model**Tiles1km_1km*', which contains a list of sub-directories for tile data, such as e455157201001, e455157301001, e455157401001, e455157501001 ...



In the example shown above, the prefix and suffix of the tiled DEM filenames are 'e' and '01001', respectively. If they are not the same formats as defined previously, you may edit the filename format via the Edit Tiled Filename Formats button as stated above.

Digital Surface Model Path

Digital Surface Model Path*	9
Digital Surface Model Path*	
	-

Is an input textbox defining the path of a directory containing Digital Surface Model (DSM) raster data. The value to this field can be either entered directly or selected through the browse button 🔄 It is a mandatory field.

The internal folder structure of the DSM path is similar to the DEM path described above. The DEM path contains a list of subdirectories for tiled DSM raster data. The tile names are in a format of 'sEEENNNN01001', where 's' stands for surface.

Tile Index Shapefile	
Tile Index Shapefile*	

Is an input textbox defining the full path of a polygon shapefile that defines tile indexes associated with either DEM or DSM raster data. It is a mandatory field. The value to this field can be either entered directly or selected through the browse button

Land Use Shapefile	
Land-Use Shapefile*	

Is an input textbox defining the full path of a polygon shapefile that contains land use extents and classes (defined at one or many levels of detail). It is a mandatory field. The examples used in this manual are L#_USE, where L5_USE is the most detailed level.

Inter-Storey Heights Excel File

Inter-Storey Height Excel File*	0	9
---------------------------------	---	---

Is an input textbox defining the full path for an Excel file consisting of multiple spreadsheets, each of which defines the height ranges and storey numbers for a specific land uses. It is a mandatory field.

The Inter-Storey Height Lookup Table defines the Lower Limit (lowest elevation above ground, in metres) and Upper Limit (highest elevation above ground, in metres) for each storey of a building. These are needed to covert height values of cells into the number of storeys of a building. It is based on the assumption that buildings are constructed with standard ceiling heights. The Inter-Storey Height is a sum of the ceiling height and the height of supports between a ceiling and the next highest floor (such as beams and floorboards).

The application is able to apply land use specific height limits. The height limits and storeys can be changed to suit area specific conditions. The series of tables included in the sample data are examples of building inter-storey heights for a specific project, and can be adjusted by the user to suit local building standards. The diagrams below illustrate how the Inter-Storey Height values vary for buildings of different uses.



The user can modify the Inter-Storey Height Lookup Table by:

- 1. Creating column
- 2. Modifying the values of the cells under the headers 'LOWER_LIMIT', 'UPPER_LIMIT' and 'NO_STOREYS', to suit the Inter-Storey Heights for the specified land use
- 3. Repeating Steps 1 to 3 for all applicable land uses.

In the examples above, the Inter-Storey Height value (i.e. the difference between the Upper and Lower Limits) is 3 metres. For Storey 1, the Lower Limit is set to 2 metres (equal to the Minimum Roof Height set in the GUI) and the Upper Limit for the first storey is double the value of higher floors. This allows for one storey buildings that have roof structures that should not be counted as a second storey.

Vegetation Mask Dataset Path

Vegetation Mask Dataset Path	6	
		-

Is an input textbox defining the path of a directory containing a series of tiled GeoTIFF images that can be used to derive vegetation masks. The value for this textbox can be either directly entered or selected via the browse button It is an optional field.

It is expected that the name of each GeoTIFF image follows the similar format as those for DEM and DSM to ensure the correct GeoTIFF is processed with the same DEM and DSM tile extent. Each GeoTIFF image must contain at least three bands: Band_1, Band_3, and Band_4.

Road Mask Shapefile

Road Mask Shapefile	

Is an input textbox defining the full path for a road mask shapefile. It is an optional field.

Water Mask Shapefile

Is an input textbox defining the full path for a water mask shapefile. It is an optional field.

Output Directory	
Output Directory*	 al

Is an input textbox defining the main output directory that is used to store all temporary, intermediate and final results. It is also used as default workspace for ArcGIS geoprocessing tools and functions. The value to this field can be either directly entered or selected through the browse button 🖼. It is a mandatory field.

If the output directory entered does not exist, the system will create it automatically provided that its parent path is valid and exists. For example, a full path '*C*:\temp\bgm_outputs' is entered to the textbox. If the parent path '*C*:\temp\' does not exist, the system will give an error message if the process is run. If the parent path exists but there is <u>no</u> such a directory 'bgm_outputs' under the parent directory, a <u>new</u> directory called 'bgm_outputs' will be created by the system.

3.5. SYSTEM SETTINGS PANEL

This panel comprises three columns of widgets: the left column consists of three checkboxes to control the conduct of specific actions during or after each process; the middle column contains three text entry fields for defining specific threshold values for the process; the right one is a mix of a text entry field, a radio checkbox group and a dropdown menu box.

All the checkboxes are optional as default values (choices) are provided, but the text entry fields are mandatory and default values are provided in most cases.

Select System Settings (* denotes n	nandatory fields)					
✓ Delete temporary/intermediate files	Minimum Roof Height:	2	m	Minimum Blue Roof Value:	85	
✓ Write runtime information to log file	Maximum Tree Height:	40	m	Output Pixel/Cell Size:	● 1 m	C 2 m
☑ Save settings on successful validation	Minimum Footprint:	9	m²	Land-Use Field Name*:	Please se	lect —

Delete temporary and intermediate files

Delete temporary/intermediate files

This checkbox enables a user to control the storage of temporary and intermediate raster image files after the completion of a process. Raster dataset generated throughout the processing may be kept for examination during testing and validation stages. Alternatively, the user may choose to keep the final products and delete all other unwanted outputs at the production stage. Adequate computer disk space is required if the user intends to keep all temporary and intermediate data, as their total size may be up to tens or hundreds of gigabytes. By default, this checkbox is checked.

Write runtime information to log file

₩ Write runtime information to log file

This is a checkbox for users to decide whether or not to write out runtime information to the specified log file when processing an AOI. By default, this checkbox is checked.

The log files are stored in the '*log*' directory with a name convention of '*Runtime_info_yyyymmdd_n.log*', where '*yyyymmdd*' is the process start date and '*n*' is the number of processes that run on that date. For details of the format and contents of a log file, please refer to Section 5.2.4 '*Runtime information log*'.

Save settings on successful validation

Save settings on successful validation

This checkbox is used to indicate if the application will save all input parameters upon successful validation of all inputs entered to the GUI. When the user clicks the *Run* button, the BGM application will first validate all inputs entered to the GUI before starting the processing. If validation fails, the processing will be stopped and error messages will be printed out. If this checkbox is checked and validation passes, all input parameters entered in both <u>Select Area of Interests</u> and <u>Select I/O Parameters</u> panels will be saved to a system configuration file. Next time when a user starts the BGM GUI, the system will automatically retrieve all saved parameters and populates them in the corresponding fields. This is one of the mechanisms for a system to 'remember' previous settings and users' choices. It may be helpful for users to save time in entering or selecting input paths. By default, this checkbox is checked.

Minimum Roof Height

Minimum Roof Height:	2	m
 A March Ma March March Mar March March M March March March	D.C.	

This text entry field defines the minimum height for building roofs. Any building height cell with a value less than this threshold will not be considered as a building and therefore will be excluded from further processing. The default value is 2 metres.

Maximum Tree Height

Maximum Tree Height: 40 m

This text entry field defines the maximum height of trees. Any cell in the vegetation mask with a height greater than this threshold will not be considered as part of vegetation and, therefore, will be excluded from the mask. The default value is 40 metres.

Minimum Footprint

Minimum Footprint:	9	m²
	-	

This text entry field defines the minimum footprint area. Any area assumed to form part of the building extent with a footprint area smaller than this threshold will be excluded from statistics calculation. The default value is 9 square metres.

Minimum Blue Roof Value

Minimum Blue Roof Value: 85

This variable was added following observations that some blue coloured roof areas were being included in the vegetation mask. This can occur where illumination of the ground is dampened by high cloud or other atmospheric effects. By including a value for this variable, the vegetation mask is generated in a way that will minimise the inclusion of blue coloured roof areas.

This text entry field defines the minimum cell value for Band 3 values that are used to exclude blue roof areas from the vegetation mask. Any cells with Band 3 values smaller than this threshold will be excluded from the vegetation mask. The default value is 85.

If this text box is empty or the value entered is invalid (e.g., 0 or negative values), no blue-roof masks will be created. This threshold value will be ignored if the *Vegetation Mask Path* is empty or does not contain GeoTIFF images.

Output Pixel/Cell Size

Output Pixel/Cell Size: 🗭 1 m 🦳 2 m

These two radio checkboxes defines the resolutions of output raster images in terms of cell size. The default value is 1 metre.

Select Land-Use Field



This dropdown menu box is used to select a field name from the input *Land-Use Shapefile* defined in <u>Section.3.4</u>. The field name to be selected can be any text, but it is expected that the field should contain a category of land uses at a certain level of classification, and all these land uses defined by that field must be defined in the *Inter-Storey Heights Excel File*. This is a mandatory field.

By default, this menu box is empty, displaying a text message of '*Please select*'. Once a valid shapefile path is entered to the *Land-Use Shapefile* textbox, all '*TEXT*' field names from the input Land-Use shapefile will be populated to the *Land-Use Field Name* menu box. If there is more than one land use classification defined in the input Land-Use shapefile, the user should choose the correct field whose land use classification is consistent with that defined in the *Inter-Storey Heights Excel File*.

To prevent possible errors caused by the selection of incorrect field names, the system will automatically validate the field name selected against the input *Inter-Storey Heights Excel File* before starting to process. For details, refer to <u>Section 4.5</u>.

3.6. RUNTIME INFORMATION WINDOW

Runtime Information Hide	
	<u></u>
	*

This scroll window is used to display runtime information during a process, providing users with a tool to monitor the progress of processes. In most cases, the formatted information printed on the window is the same as those written to the log file.

Please note that there is a '*Hide*' button next to the header '*Runtime Information*'. Clicking on the button, the scroll window will be hidden and the button's name changes to '*Show*'. By clicking the '*Show*' button, the window will reappear and button's name will be changed to '*Hide*' again. The '*Hide*'/'*Show*' button may be helpful when the screen resolution is too low to display the whole GUI window, and the <u>Control button panel</u> may be out of the screen. Hiding the scroll window will make the control buttons more accessible.

3.7. CONTROL BUTTONS PANEL



This panel comprises four buttons: *Run, Pause, Stop* and *Close* buttons that are used to manipulate processes. The button statuses may change in response to the running statuses of the application (Table 3-1).

Table 3-1 Changes in button status in response to changes of system running status.

Status	Run Button	Pause Button	Stop Button	Close Button
Idle	Enabled	Disabled	Disabled	Enabled
Running	Disabled	Enabled	Enabled	Disabled
Paused	Enabled	Disabled	Enabled	Disabled
Stopped	Enabled	Disabled	Disabled	Enabled

Run Button

This button is used to run a process. Click on the *Run* button, the system will first valid all input parameters define in the *Area of Interests Shapefile* and *Select I/O Parameters* panels. If the validation is successful, it then starts the process. By default this button is enabled, but once a process is running, it will be disabled. When the process has completed (no matter it is successful or failed), or is paused or stopped, this button will be enabled again (refer to Table 3-1).

Pause Button

This button is used to suspend a running process. It may take a while for the system to suspend a process as it has to wait until a break point in the process is reached. Clicking the *Run* button will resume the process from that break point. Its initial status is disabled and will change to enabled once a process is running.

Stop Button

The *Stop* button is used to immediately stop any running processes. Its initial status is disabled. It will be automatically enabled whenever the *Run* button is clicked and the system is running a process.

Close Button



This button provides a convenient way to close the main frame of the BGM GUI. Another way to close the GUI is to click the **way** button on the top-right corner of the GUI frame. However, the GUI cannot be closed while there is a process running. The initial status of the *Close* button is enabled, but will change to disabled if a process is running. Therefore, the user may need to stop the process running before being able to close the GUI. When the *Close* button is enabled, clicking on it will pop out a conformation dialog, asking 'Do you really want to quit the application?' Clicking the '*OK*' button in the dialog window closes the GUI, or *Cancel* button keeps the GUI open.

3.8. STATUS BAR

Please enter VO paths and parameters.

The Status bar consists of a Message Board and a running status indicator. The Message Board is a non-editable textbox for displaying reminders, the current processing status, or instant messages about an operation being performed. It also shows error and warning messages on illegal operations and invalid inputs. Whenever an error/ warning message is displayed, the Message Board will be highlighted in red colour. The example below shows an error message highlighted in red colour in the Message Board, which reminds the user to select a value from the list of Land-Use field names retrieved from the Land-Use shapefile.

Error: Land-Use Field Name has not been defined. Please select one from the dropdown list.

The running status, located on the right-most side of the status bar, is a small circular image representing the current status of the system. Table 3-2 lists all possible status and its corresponding images.

Status	Image	Explanantion
Idle	۲	No process has started yet. The system is in waiting status.
Running	0	A process is running after clicking on the 'Run' button.
Paused	0	A running process is suspended at a break point after the 'Pause' button is clicked, and may be resumed by clicking on the 'Run' button again.
Stopped	۲	A running process has been stopped after the 'Stop' button is clicked. The process cannot be resumed although some intermediate outputs may be kept in the output directory.
Succeeded	0	A process has successfully completed.
Failed	8	A process either failed at a middle stage or completed unsuccessfully. The causes of the failure may be printed on the runtime window.

Table 3-2 List of system status and corresponding images.

3.9. FOOTER PANEL



The Footer panel is located at the bottom of the GUI. It consists of four parts:

Creative Commons icon button

Is a button represented with the Creative Commons icon which is linked to the official website of Creative Commons organisation. Clicking the button will pop out a webpage showing the Creative Commons license webpage.



Geoscience Australia copyright button

(c) Commonwealth of Australia (Geoscience Australia) 2013

Is a button linked to Geoscience Australia's copyright webpage.



About BGM button

About BGM

Is a button used to display the current version and license information about and a brief introduction to the Building Geometry Model (BGM) application in a separate window as shown below:

/ersion 1.0.0
Building Geometry Model (BGM) Application is a Python-based software system developed by Geoscience Australia, aiming to extract building and other elevated features and geometry information from .IDAR data. The system implements algorithms, developed by Matthe lakab and Mark Dunford (2012), for utilising the LiDAR derivatives to estimate building footprint areas, inter-storey heights across areas occupied by buildings, and eventually an estimate of gross floor area of different types of buildings.

Disclaimer button



Is a button used to displaying the contents of the disclaimer for the use of BGM application in a separate window.

	ner v
i	Copyright (c) 2013 Commonwealth of Australia (Geoscience Australia) All rights reserved.
	Redistribution and use in source and binary forms, with or without modification, are permitted provided that the following conditions are met:
	* Redistributions of source code must retain the above copyright notice, this list of conditions and the following disclaimer.
	* Redistributions in binary form must reproduce the above copyright notice, this list of conditions and the following disclaimer in the documentation and/or other materials provided with the distribution.
	* Neither Geoscience Australia nor the names of its contributors may be used to endorse or promote products derived from this software without specific prior written permission.
	THIS SOFTWARE IS PROVIDED BY THE COPYRIGHT HOLDERS AND CONTRIBUTORS "AS IS" AND ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTARY INV. AND STRATES FOR A DESTLOY AND STRATES
	DISCLAIMED. IN NO EVENT SHALL THE COPYRIGHT HOLDER OR CONTRIBUTORS BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL,
	SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF
	LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE IES OF THIS SOFTWARE EVEN IE ADVISED OF THE POSSIBILITY

4. Run Processes

To run a process, you may generally follow the steps of procedures outlined below:

4.1. SELECT AREA OF INTERESTS

Select or enter a file path for the AOI shapefile

This step is to select a shapefile that defines the Area(s) of Interest (AOIs) over which tiled rasters are to be processed. The user may enter or copy a path to the *AOI Shapefile* textbox, or click the browse button and select the desired shapefile.

Select Area of I	nterest (AOI) (* denotes man	ndatory fields)			
AOI Shapefile*	E:/C_Compilation/Pasig_City/A	dministrative_Bo	oundaries/Pasig_City_Admin	_Boundaries_201210.shp	<u>S</u>
Field Defining AOIs	Please select		Name of AOI*	Whole Region	

Initially, the *Field Defining AOIs* menu box is empty if the *AOI Shapefile* textbox is empty or the path entered to it is not exist or invalid. Once the *AOI Shapefile* textbox is filled with a valid shapefile path, the *Field Defining AOIs* and *Name of AOI* dropdown menu boxes will display initial values of '*Please select*' and '*Whole Region*', respectively. The user can process the whole region delimited by the AOI shapefile selected/entered, by skipping the next two steps and go to <u>Section 4.2</u>.

Select the name of a field that defines Area Of Interests (AOIs)

Click the *Field Defining AOIs* dropdown menu box, a list of text field names retrieved from the AOI shapefile selected will drop out. Click the menu box to select a desired field name.

As shown in the example below, the dropdown menu box contains two potential AOI fields: BARANGAY and CITY_ MUNIC.

Select Area of In	nterest (AOI) (* denote	s mandatory fields)			
AOI Shapefile*	E:/C_Compilation/Pasig_C	City/Administrative_Bo	oundaries/Pasig_City_Admin	_Boundaries_201210.shp	
Field Defining AOIs	Please selec	Please select	Name of AOI*	Whole Region	
		BARANGAY CITY_MUNIC			

Select Area Of Interests (AOIs)

Once a field name from the *Field Defining AOIs* menu box is selected as the AOI field, all distinct values of that field will be retrieved from the AOI shapefile and populated to the *Name of AOI* dropdown menu box. If there is only one value, then that value will be shown and selected, by default, in the *Name of AOI* menu box. However, if there is more than one value available, an extra value of '*All AOIs*' will be added as the first item in the menu box. The user can select any of valid AOI values or '*All AOIs*' in the menu box for each single run. Due to the limitation of OptionMenu in Python, the GUI does not support the selection of arbitrary multiple AOIs.

For the examples shown in the figures below, if the 'BARANGAY' is selected as the AOI field, the *Name of AOI* dropdown menu box will display the 'AII AOIs' as the default choice because that the menu box now contains more than 20 items, each of which represents a barangay. The user can select 'AII AOIs' to process all barangays over the whole region in a run or select a single item to process a specific barangay.

Select Area of Interest (AOI) (* denotes mandatory fields)						
AOI Shapefile*	E:/C_Compilation/Pasig_City/	Administrative_Bo	undaries/Pasig_City_Ad	lmin_Boundaries_201210.shp		
Field Defining AOIs	BARANGAY		Name of AOI*	All AOIs		
				All AOIs		
				BAGONG ILOG BAGONG KATIPUNAN		
				BAMBANG		
				BUTING		

In this example, if 'CITY_MUNIC' is selected as the AOI field, 'PASIG CITY' will appear in the *Name of AOI* menu box as it is the only value from the 'CITY_MUNIC' field.

Select Area of Interest (AOI) (* denotes mandatory fields)							
AOI Shapefile* E:/C_Compilation/Pasig_City/Administrative_Boundaries/Pasig_City_Admin_Boundaries_201210.shp							
Field Defining AOIs	CITY_MUNIC		Name of AOI*	PASIG CIT	Y		
				PASIG CITY			

4.2. DEFINE/EDIT THE FORMATS FOR TILE FILENAMES

Before selecting input paths, it is good practice to check whether the formats of tiled filenames have been defined or are consistent with the up-to-date inputs. Click the *Edit Tiled Filename Formats* button Edit Tiled Filename Formats to open the dialog window for viewing and editing the formats of tiled filenames.

If all filename formats loaded from previously saved system file are correct, simply click the *Cancel* button to close the dialog window. Otherwise, fill in or modify the text in the prefix and suffix columns for each type of files, and check the composite formats that are automatically shown on the corresponding '*Format of Filename*' column. When completed, click the *Save* button to save all new filename formats defined/modified and close the dialog window.

Alternatively, the user may conduct this step after Step 4.3 Select input paths.

4.3. SELECT INPUT PATHS

Select directories containing DEM and DSM data

Enter full paths or click the browse button is to select directories containing DEM and DSM data over the AOIs selected/entered for both the *Digital Elevation Model Path* and *Digital Surface Model Path* text fields.

- Select the Tile Index Shapefile Enter or click the browse button it to select a shapefile containing tile indexes for DEM and DSM raster datasets.
- Select Land-Use Shapefile Enter or click the browse button it to select a shapefile containing the definition of land uses at various levels.
- Select a path for the Inter-Storey Height Excel file Enter the full path or click the browse button a to select an Excel file containing Inter-Storey Height worksheets for all possible land uses.

Select shapefiles or directory path for optional masks

As these masks are optional inputs to the system, these text fields can remain empty, or select/enter one or more paths for each.

If the vegetation mask is to be used, enter a full path or click the browse button is to select a directory containing the canopy height model data for the *Vegetation Mask Dataset Path* text field.

If both the road and water masks are available, enter full paths or select the desired shapefiles for *Road Mask Shapefile* and/or *Water Mask Shapefile* text fields, respectively. If both road and water masks have been merged into a single mask dataset, then select/enter it to one of the text fields and leave the other one empty.

4.4. SELECT OUTPUT DIRECTORY

Either click the browse button is to select an existing directory or enter a new one to the *Output Directory* text field for the system to create it. The output directory not only stores the temporary and final outputs of the process, but also is used as a workspace for ArcGIS geoprocessing tools and functions.

4.5. SELECT SYSTEM SETTINGS AND THRESHOLDS

Land-Use Field Name*:	Please select 💷
	NAME
	COMMENTS
	SOURCE
	L5_USE
	L4_USE

Toggle on/off any checkboxes on the left part of the Select System Settings panel depending on requirements.

Select the cell size as 1 or 2 metres for the output rasters, and change values for the *Minimum Roof Height*, *Maximum Tree Height* and other text fields if needed.

Select the name of a field that defines the land use classification at a specific level. Click the 'Land-Use Field Name' dropdown menu and select a desired value.

For example, after clicking on the dropdown menu box, a list of fields will drop down as shown in the figure on the right side. Field names such as '*NAME*', '*COMMENTS*' and '*SOURCE*' are irrelevant to land use classification. If '*NAME*' is selected, when clicking the *Run* button to start a process, an information dialog will pop out, prompting the user with a message that the '*NAME*' field contains no land use classification matching with that of input inter-storey height lookup table. After clicking on the '*OK*' button on the dialog window, the process will be aborted.

0	The landuse values from the field "NAME" of the input landuse shapefile do not match with those from the inter-storey height lookup table.
	Please check whether the landuse field "NAME" selected from the input landuse shapefile is the right one and its landuse classification is consistent with that in the inter storcy height lookup table.

'L4_USE' and 'L5_USE' are examples of valid field names as they define the classifications of land uses at different levels. If the user selects one of the valid field names and all land uses defined by the field selected from the input land use shapefile match with those defined in the lookup table, the process will proceed quietly.

However, if any land uses defined by the field selected do not match with those defined in the lookup tables, either an information or confirmation dialog windows will pop out, informing the user of any mismatching land uses. For instance, if the user selects ' $L5_USE$ ' and clicks the *Run* button to start the process, the same dialog will pop out again. This is because that land uses defined by the ' $L5_USE$ ' field in the input Land Use shapefile do not match those defined in the input inter-storey height lookup tables. So, the user has to click the 'OK' button to abort the process.



If the user selects '*L4_USE*' and clicks the *Run* button to start the process, a conformation dialog window will pop out, listing all unmatched and matched land uses, and asking the user whether or not to continue the process. If the user clicks the '*Yes*' button, the system will go ahead to process all matched land uses. Otherwise, if the user chooses to click the '*No*' button, the process will be aborted.

lisma	cthed landuse classification
?	The following landuse from the landuse shapefile do not match with those from the inter-storey height lookup table: 1. Vacant Areas
	Please make sure that the values of "L4_USE" from the input landuse shapefile match those from the inter-storey height lookup table.
	The matched landuses defined in the "L4_USE" field of the input landuse shapefile include:
	2. Major Commercial 3. Cultural
	4. Education 5. Leisure 6. Heavy Industry
	7. Energy Production 8. Communications 9. Informal Settlements
	10. Health and Welfare 11. Government 12. Transportation
	13. Reserved Areas 14. Emergency and Defense 15. Flood Control
	16. Water Supply 17. Agriculture
	19. Livestock
	Do you want to proceed the process of the matched landuses?

4.6. RUN THE PROCESS

Click the Run button

Clicking the Run button will start the process of data over the AOI(s) defined in the above steps.

• Validate input parameters

After clicking on the *Run* button, the system will first validate all input parameters to make sure that mandatory fields are filled, all paths (files, shapefiles, raster images, and directories) exist and all values entered are valid. If any the parameters are invalid or non-existing, it will not start the process. Instead, specific error messages will be printed out on the *Runtime Information* window and *Status bar*.



In addition, the system will also check the existence of all DEM and DSM tile images. If any of the required tile images do not exist in the corresponding input DEM or DSM directories, a separate dialog window will pop out with warning message and ask the user to confirm whether or not to ignore the missing tile image(s) and continue the process. As shown in the snapshot above, there are there are two tile images of the same tile '5121630' missing. If the user clicks the '**Yes**' button on the dialog window, the two missing images will be ignore and the process will proceed. Otherwise, the process will stop if the user clicks the '**No**' button.

The warning dialog window may appear more than once if tile images are missed from the input directories. The user needs to response to each of them.

If any GeoTIFF image tiles are missing, the system will display an information dialog window listing all missing tiles at the completion of the validation. The user is prompted to click the 'OK' button to ignore them because that the vegetation mask derived from GeoTIFF tiles is one of the optional inputs.

To proceed with processing, correct any errors based on the information returned in the error messages (if any). At the end, click the *Run* button to restart the process if all known errors have been fixed.

Process datasets

If there are no more error messages, the system will proceed to process all tiled rasters over the AOI(s) one by one. The running status image at the rightmost side of the *Status bar* will change from () to (), both the *Run* and *Close* buttons will be disabled, and the *Pause* and *Stop* buttons will be enabled. Meanwhile the runtime processing information will be printed out to the *Runtime Information* window.



If the output directory does not exist, a new directory will be created by the system. Before starting to process each AOI, a subdirectory named by the AOI name to be processed will be created in the output directory, and all final outputs for that AOI will be stored in that subdirectory. If the subdirectory exists, a confirmation window will pop out, asking the user whether or not to override the existing directory. Click the '*Yes*' button to continue the process if the user allow the contents of the subdirectory to be overridden, otherwise click the '*No*' button to keep the contents of the subdirectory; however the process will be aborted.

Pause a running process

Click the *Pause* button, a pause request will be sent to the system and it may take a while for the system to actually pause a running process. The system needs to wait until the running process completes the current sub-step and reaches a break point.

When the running process is finally paused, the running status image will change to (1). The *Pause* and *Close* buttons will be disabled, and both the *Run* and *Stop* buttons will be enabled. Clicking the *Run* button will resume the paused process, or clicking the *Stop* button will stop the process completely.

Stop a running process

By clicking the *Stop* button, a running or paused process will be stopped immediately. Both the *Pause* and *Stop* buttons will be disabled, and the *Run* and *Close* buttons will be enabled in readiness for another run. The running status image will change to .

Close the GUI

Upon clicking the **Close** button, the GUI will be closed after clicking *OK* on the *Confirm exit* dialog box. Please note that the GUI cannot be closed while a process is running or paused. The user may need to stop any running or paused processes before closing the GUI window.

5. Inputs and Outputs

5.1. INPUTS

Data inputs to the BGM application include: shapefiles/feature class, tiled raster datasets, tiled GeoTIFF images, Excel spreadsheet file, and initial system settings.

5.1.1. Shapefiles

There are five shapefiles of polygon geometry type that are either as mandatory or optional inputs to the system.

AOI Shapefile

It is a mandatory input dataset and consists of one or more polygons, each of which defines an Area of Interest (AOI) over which tiled raster images to be processed. Table 5-1 shows an example of the schema of an AOI shapefile. The Shape geometry defines the outline of the Area of Interest(s) while the fields *LGU* and *BARANGAY* describe the AOI names of different levels.

Field Name	Data Type	Length	Scale	Precision
FID	Object ID	-	-	-
Shape	Geometry	Polygon	-	-
BARANGAY	Text	254	0	0
CITY_MUNIC	Text	254	0	0
AREA_SQM	Double	8	0	0

Table 5-1 Schema of the example AOI shapefile.

Tile Index Shapefile

It is the mandatory input and consists of multiple gridded polygons over a district, e.g. the AOI. The tile index shapefile may contain up to 19 fields, but only the first three fields are used by BGM application, which are shown in Table 5-2. The Shape geometry defines the grid tiles (rectangles) while the field *NAME* is the unique ID for the tile. For instance, a tile has a name of 'e503161501001', where '5031615' is its tile number, and 'e' and '01001' are the prefix and suffix respectively.

Table 5-2 Schema of the tile index shapefile.

Field Name	Data Type	Length	Scale	Precision	Geographical Display of Tiles
FID	Object ID	-	-	-	
Shape	Geometry	Polygon	-	-	
NAME	Text	254	0	0	
PATH	Text	254	0	0	
FORMAT	Text	254	0	0	

Land-use Shapefile

It is defined in Table 5-3. In the example shown there are eight fields, although only four of them ($L2_USE$, $L3_USE$, $L4_USE$ and $L5_USE$) are used to describe the land uses at different levels. In these examples, only the $L4_USE$ categories are defined in the Inter-storey height Excel tables and thus $L4_USE$ is the only choice of selection.

Field Name	Data Type	Length	Scale	Precision
FID	Object ID	-	-	-
Shape	Geometry	Polygon	-	-
NAME	Text	254	0	0
SOURCE	Text	254	0	0
COMMENTS	Text	254	0	0
L5_USE	Text	254	0	0
L4_USE	Text	254	0	0
AREA_SQM	Double	8	0	0

Table 5-3 Schema of the land-use shapefile.

Road Mask and Water Mask Shapefiles

Both shapefiles are optional inputs. They share the same schema as defined in Table 5-4.

Field Name	Data Type	Length	Scale	Precision
FID	Object ID	-	-	-
Shape	Geometry	Polygon	-	-
L3_USE	Text	254	0	0
L2_USE	Text	254	0	0
AREA_SQM	Double	8	0	0

Table 5-4 Schema of the road mask and water mask shapefiles.

5.1.2. Tiled raster datasets

Both tiled DEM and DSM raster images are stored in directories with internal structure as shown in Table 5-5.

Table 5-5 Internal folder structures of the input DEM and DSM datasets.



5.1.3. Tiled GeoTIFF images

The GeoTIFF images are used to derive vegetation masks. It is assumed that all tiled GeoTIFF images are stored in the same directory defined in the *Vegetation Mask Dataset Path* text field and their filenames are in and indexed format.

5.1.4. Excel spreadsheet file

The input Excel file consists of multiple worksheets whose names should match the land use classifications at the specific level defined in the input Land Use shapefile. Each worksheet defines the lower and upper height limits of all possible storeys for a specific land use class.

The snapshot below shows an example of an Excel file containing 27 spreadsheets defining 27 land uses at *L4_Use* level, including Agriculture, Aquaculture, Communications, Cultural, Education, Emergency and Defense, Energy Production, Flood Control, Food Security, Formal Settlements, Forestry, Government, Health and Welfare, Heavy Industry, Horticulture, Informal Settlements, Leisure, Livestock, Major Commercial, Market Gardening, Mixed Farming, Poultry, Reserved Areas, Rural Residential, Transportation, Water Supply, and Waste Management. The spreadsheet shown below contains a lookup table defining the number of storeys based on the heights of buildings over areas of *Formal Settlements*' land use. The units for both 'LOWER_LIMIT' and 'UPPER_LIMIT' columns are in metres. The structure of the table is equivalent to the examples of Inter-Storey Heights illustrated in Section 3.4.

1.1	A	B	С	D	E	F	G	Н	I
1	LOWER_LIMIT	UPPER_LIMIT	NO_STOREYS						
2	2	6	1						
3	6	9	2						
4	9	12	3						
5	12	15	4						
6	15	18	5						
7	18	21	6						
8	21	24	7						
9	24	27	8						
10	27	30	9						
11	30	33	10						
12	33	36	11						
13	36	39	12						
14	39	42	13						
15	42	45	14						
16	45	48	15						
17	48	51	16						
18	51	54	17						
19	54	57	18						
H.	Formal S	Settlements (3.0)) 🦯 Informal Se	ttlements	5 (2.2)	Education	(3.2) 🔏 Cul	tural (0) 🔏	Leisure (1++

5.1.5. Initialising settings

The BGM Application (v1.0) initialises its system parameter values through three input methods: (a) load from a configuration setting input file, (b) select parameters via the GUI setting panel, and (c) batch command line parameters. In either case, default initial parameter values (e.g. input paths, output directory etc.) are provided. These parameters can be modified and edited through text fields on the GUI. Upon successful completion of a process, all system settings will be saved in a system file and can be retrieved next time.

5.2. OUTPUTS

The outputs available after each successful process include: a) shapefiles, b) raster images mosaic over AOI containing building extends and height information, and (c) a detailed runtime information log.

5.2.1. Vector data outputs

The system produces a few intermediate shapefiles that are to be removed during processing. The shapefiles preserved is s12_BGM_landuse_statistics.shp and s12_BGM_landuse_storeys.shp, both of which are generated at the end of Step 12. The land use statistics shapefile is a copy of the input land-use shapefile with detailed statistical information on building storeys for all features over a variety of land-use types. The land-use storey shapefile is a vector version of the s8_ht_1000div raster where the raster height values are grouped and converted to the number of storeys.

Table 5-6 List of vector datasets generated during processing and preserved in final outputs.

Vector Name	Step	Resolution	Description
s12_BGM_landuse_ statistics.shp	12	1 m	Polygon shapefile of building statistics for each land use area.
s12_BGM_landuse_storeys.shp	12	1 m	Shapefile equivalent of final raster output.

The shapefile named s12_BGM_landuse_statistics.shp preserves the input attribute fields and records the statistical information derived from the building geometry calculations. Table 5-7 describes the additional fields and their characteristics.

Field Name	Data Type	Length	Description
OID1	Long Integer	9	Unique identifier of the polygon.
FOOTPR_PC	Double	-	Percentage of polygon area occupied by building(s).
FLAREA_SUM	Long Integer	9	Sum of floor area of buildings within polygon.
STOR_MAX	Long Integer	4	Maximum number of storeys of buildings in polygon.
STOR_MIN	Long Integer	4	Minimum number of storeys of buildings in polygon.
STOR_RANGE	Long Integer	4	Range of storeys of buildings in polygon.
STOR_MED	Long Integer	4	Median number of storeys of buildings in polygon.
STOR_MAJ	Long Integer	4	Majority of storey values for buildings in polygon.
STOR_MNR	Long Integer	4	The storey value that occurs least often for buildings in polygon.
STOR_MEAN	Double	-	Mean number of storeys of buildings in polygon.
STOR_STD	Double	-	Standard deviation of number of storeys.

Table 5-7 List of additional fields included with output s12_BGM_landuse_statistics.shp.

5.2.2. Raster data outputs

The system generates a series of raster images either over a single tile, a land-use polygon, or the whole AOI, most of which are temporary or intermediate outputs and, therefore, are removed to reduce disk space. Table 5-8 lists the final raster images that are kept in the output directory. For those removed, please refer to the runtime log file described in <u>Section 5.2.3</u> for details and <u>Section 5.2.4</u> for a graphical display example of intermediate and final outputs.

Raster Name	Step	Resolution	Description
s3_height_ext	3	1 m	Height difference (DEM – DSM) raster over the whole AOI after applying the Minimum Roof Height filter.
s4_ndvi_mask	4	0.25 m	NDVI mask over the whole AOI after applying (b4-b1)/(b4+b1).
s4_veg_mask	4	0.25 m	Vegetation mask over the whole AOI after extracting values between 0.3 \sim 1.0 from s4_ndvi_mask, and excluding blue roofs.
s8_ht_1000div	8	1 m	Height mask after removing noise and isolated pixels from s7_ht_mask_0.
s10_dem_bldg	10	1 m	Raster over the whole AOI after mosaic of s9_dem_ht_msk and s2_dem_mosaic.
s11_bldht_shd	11	1 m	Raster over the whole AOI after calculating hillshade to s10_dem_bldg.
s12_lu_mosaic	12	1 m	Raster over the whole AOI with pixel value as the number of storeys.

Table 5-8 List of raster images generated during processing and preserved as final outputs.

5.2.3. Raster and vector output examples

The graphical display below is an example of the intermediate and final outputs generated during the BGM process. The final outputs are identified in the stored output field in the table below. The description and usage field explains the result of each step in the process.



Table 5-9 Examples of intermediate and final outputs generated during a BGM process.



Example	Stored Output	Description and Usage
Manual Constraints of the second seco	s3_ndvi_masac	Calculation of Normalised Difference Vegetation Index (NDVI), derived from Red and Infra Red bands of aerial imagery. In this example, green represents areas of strong vegetation health, and red areas represent poor health or no vegetation.
9.592	s4_veg_mask	Result of applying a threshold to the NDVI to estimate vegetation at any height above ground. All cell values are set to 9999.
Set of the set of t	n/a	Result of combining all masks into a single raster mask (black areas).
e sg.sr_100der War Verster Low 12	s8_ht_1000div	Result of eliminating cells that fall within the mask. Cells now represent heights (in metres) across tops of buildings.

Example	Stored Output	Description and Usage
	s10_dem_bldg	Result of fusion of buildings extraction (s8_ ht_1000div) and the DEM mosaic. The resultant dataset shows the elevation of either the terrain or top surface of buildings.
	s11_bldht_shd	Calculation of hillshade effect on fusion of buildings and DEM (s10_dem_bldg). Example shows area illuminated at an azimuth of 90° and zenith angle of 45° (to simulate mid-morning shadows).
	n/a	Land uses, defined as polygon features prior to running of model.
	s12_lu_mosaic	Conversion of cell heights (m) to number of storeys. The integer number of storeys for each cell from s8_ht_1000div is calculated based on the cell's membership to a land use class and the reclassification of the cell value based on a corresponding range in the Inter-Storey Heights Look Up Table.

5.2.4. Runtime information log

The Runtime information log contains detailed information on the processing of datasets. It contains start-up information, input parameters, detailed processing steps completed and summary of completion status. A CPU processing time duration for each main step and the total CPU time for the whole process are printed in the log file. In addition, this log is a source for checking the names of final and temporary output files. Table 5-10 is an example of the content of a log file, in which some of repeated loop steps have been omitted for simplicity.

Table 5-10 Example of a runtime log file generated by BGM application (v1.0).

```
_____
10:47:29 10/12/2012 by u43894 on PC-62968
Start processes for the Building Geometry Model
Program Directory: C:\Workspace\dev\BGM
Input parameters:
   AOI Shapefile Path: X:\PRS92 v2011\Angono Municipality Admin Boundaries 201212.shp
   Input DEM Directory: X:\PRS92 v2011\Digital Elevation Model
   Input DSM Directory: X:\PRS92_v2011\Digital_Surface_Model
   Input Tile Index Shapefile Path: X:\PRS92 v2011\Digital Elevation Model\DEM Index\DEM
   Index.shp
   Vegetation Mask Directory: X:\PRS92 vol20110905\Imagery\geotiff
   Land Use Mask Shapefile Path: X:\PRS92 v2011\Land Use\Angono Municipality Land Use 201212.shp
   Road Mask Shapefile Path: X:\PRS92_v2011\Land_Use\Angono_Municipality_Road_Areas_201212.shp
   Water Mask Shapefile Path: X:\PRS92 v2011\Land Use\Angono Municipality Water Areas 201212.shp
   Inter-Storey Heights Excel File Path: I:\Feature Location\documents\Inter-Storey Heights
   v0.1.xls
   Output directory: C:\Temp\bgm outputs
Processing ANGONO
   Step 1. Select raster tile indexes within ANGONO
   Number of tiles found: 21
   Ignore missing Tile-5171606
   00:00:11.969
 Step 2. Mosaic DEM and DSM tiles within AOI
   Mosaic 20 DEM raster tiles to s2 dem mosaic: done
   Mosaic 20 DSM raster tiles to s2 dsm mosaic: done
   00:00:45.608
 Step 3. Generate a new raster with height difference between DSM and DEM mosaics
   00:00:16.953
 Step 4. Mosaic 20 vegetation masks
   Mosaic of 20 NDVI tiles to s4 ndvi masac: done
   Mosaic of 20 vegetation masks to s4_veg_mask: done
   00:12:59.209
 Step 5. Prepare other masks (water & roads ...)
   00:00:09.188
 Step 6. Combine all masks into a single final mask
  Masks: s4 veg mask, s5 watr rclss, s5 road rclss,
   00:00:05.078
 Step 7. Filter grid cells with final mask
   00:00:23.218
 Step 8. Filter noise and isolated pixels
   00:00:19.828
```

```
Step 9. Plus DEM and Height Mask models
 Plus s2 dem mosaic and s8 ht 1000div to form s9 dem ht msk: done
 00:00:14.859
Step 10. Add Building Height DEM to DEM Mosaic
 Mosaic s9 dem ht msk and s2 dem mosaic to form s10 dem bldg: done
 00:00:12.297
Step 11. Calculate hill shade for the DEM Plus Buildings data
 Run Hillshade of s10 dem bldg to generate s11 bldht shd: done
 00:00:09.390
Step 12. Calculate statistics for pixels within each landuse category
 12.I. Copy input landuse shapefile to s12 BGM landuse statistics.shp: done
 12.II. Add a new field OID1 to s12 BGM landuse statistics.shp: done
 12.III. Assign FID values to OID1: done
 12.IV. Load 21 distinct landuses from input shapefile
 12.V. Loop through to process all landuses
   12.V.1 - Formal Settlements
     12.V.1.a SelectLayerByAttribute 'L4 USE' = 'Formal Settlements': 393 features
     12.V.1.b CopyFeatures to s12 1b FormalSettlements.shp: done
    12.V.1.c ExtractByMask s12 1c extmk: done
     12.V.1.d Reclassify s12 1d story: done
     12.V.1.e Apply MajorityFilter three times: s12 1e majf3
     12.V.1.f RegionGroup s12_1f_reggp: done
     12.V.1.g Reclassify s12 1g rclss: done
     12.V.1.h RegionGroup s12 1h reggp: done
     12.V.1.i MajorityFilter s12 1i majft: done
     12.V.1.j ExtractByAttributes s12 1j extat: done
     12.V.1.k ExtractByMask s12 1k bld: done
     12.V.1.1 ZonalStatistics s12 11 FormalSettlements BGM stats.dbf: done
     12.V.1.m RasterToPolygon s12_1m_bld_poly.shp: done
   12.V.2 - Health and Welfare
     12.V.2.a SelectLayerByAttribute 'L4 USE' = 'Health and Welfare': 6 features
     12.V.2.b CopyFeatures to s12 2b HealthandWelfare.shp: done
     12.V.2.c ExtractByMask s12 2c extmk: done
     12.V.2.d Reclassify s12 2d story: done
     12.V.2.e Apply MajorityFilter three times: s12 2e majf3
     12.V.2.f RegionGroup s12 2f reggp: done
     12.V.2.g Reclassify s12_2g_rclss: done
     12.V.2.h RegionGroup s12 2h reggp: done
     12.V.2.i MajorityFilter s12 2i majft: done
     12.V.2.j ExtractByAttributes s12 2j extat: done
     12.V.2.k ExtractByMask s12 2k bld: done
     12.V.2.1 ZonalStatistics s12 21 HealthandWelfare BGM stats.dbf: done
     12.V.2.m RasterToPolygon s12 2m bld poly.shp: done
   . . . . . . .
   . . . . . . .
   12.V.20 - Rural Residential
     12.V.20.a SelectLayerByAttribute 'L4 USE' = 'Rural Residential': 1 features
    12.V.20.b CopyFeatures to s12 20b RuralResidential.shp: done
     12.V.20.c ExtractByMask s12 20c extmk: done
     12.V.20.d Reclassify s12 20d story: done
     12.V.20.e Apply MajorityFilter three times: s12 20e majf3
     12.V.20.f RegionGroup s12_20f_reggp: done
     12.V.20.g Reclassify s12 20g rclss: done
     12.V.20.h RegionGroup s12 20h reggp: done
```

```
12.V.20.i MajorityFilter s12 20i majft: done
      12.V.20.j ExtractByAttributes s12 20j extat: done
      12.V.20.k ExtractByMask s12 20k bld: done
      12.V.20.1 ZonalStatistics s12 201 RuralResidential BGM stats.dbf: done
      12.V.20.m RasterToPolygon s12 20m bld poly.shp: done
    12.V.21 - Market Gardening
      12.V.21.a SelectLayerByAttribute 'L4 USE' = 'Market Gardening': 1 features
      12.V.21.b CopyFeatures to s12 21b MarketGardening.shp: done
      12.V.21.c ExtractByMask s12 21c extmk: done
      12.V.21.d Reclassify s12 21d story: done
        Skip as s12 21e majf1 after MajorityFilter is empty
   Update landuse Shapefile: s12_BGM_landuse_statistics.shp
   12.VI. Add 10 new fields to s12 BGM landuse statistics.shp
   12.VII. 511 data loaded from 19 tables.
   12.VIII. Populate all statistics data into s12 BGM landuse statistics.shp
   12.IX. Mosaic 19 rasters over landuses to s12 lu mosaic: done
  00:12:10.053
 Process of ANGONO completed successfully. CPU time: 00:27:57.650
_____
```

5.3. CAPABILITIES AND LIMITATIONS

Deriving vector building data from data captured by active sensors (such as LiDAR) can be time consuming and computationally challenging. The primary advantage of the Building Geometry Model is the ability to rapidly estimate the floor area of buildings from derivatives of digital terrain and surface data. The application also generates numerous intermediate datasets that may be useful for a variety of spatial analysis projects or activities.

In designing the processes in the BGM application, the quality of the outputs is dependent on a number of factors. The accuracy of the outputs will be maximised if the following criteria are satisfied:

- All inputs are available in the same projected coordinate system.
- DEM and DSM data have been generated with sufficient vertical accuracy.
- 4-band aerial imagery is available for the same spatial extents as DEM and DSM data.
- Aerial imagery is cloud-free and minimal variations in contrast and illumination.
- Input polygon data accurately defines the extent of developable land and the extent of land uses (e.g. buildings are not bisected by land use boundaries).
- Actual land use has been accurately classified.
- The horizontal extent of the land use has been accurately captured.
- The slope of the DEM within building extents is equal or close to 0 (as per the slope of a building's foundation).
- Detected buildings are all constructed on the ground (i.e. there is little or no elevation of the building's ground floor from the underlying terrain).
- Inter-Storey Heights stored in the lookup table are realistic.
- All buildings within a specified land use conform to the corresponding Inter-Storey Heights for that land use.

At the completion of processing of an AOI, the user may encounter some data anomalies or unexpected outputs:

- Variations in the illumination of aerial imagery may result in:
 - Some vegetation areas being excluded from masking, and hence being registered as buildings.
 - Some buildings with blue or dark green roof cladding may be detected as vegetation, and hence included in the vegetation mask.

- Large structures and items that have not been masked (such as large transmission towers and others) may be detected as buildings and contribute to the floor are statistics.
- Some structures attached to buildings but not actually part of the building (e.g. awnings, verandahs, covered walkways) may be detected as buildings.
- Some mobile features (such as shipping containers, aircraft, railway rolling stock) may be detected as buildings.
- Variations in height across the top of buildings may be experienced due to roof-top furniture (e.g. air conditioning units, antennae, awnings).
- Variations in building types within a land use polygon may result in differences between the estimated and actual floor area totals.

Users are able to modify some of the parameters used in the analysis processes, and are encouraged to re-run the process over a given AOI with modified input parameters if unexpected results occur.

The speed at which the BGM will process data for an AOI is dependent on the specifications of the user's device and the size of the AOI. Users are encouraged to experiment with the size of the AOI if difficulties are encountered in completing the data processing.

6. Maintenance and Feedback

6.1. MAINTENANCE

6.1.1. Cannot start BGM GUI on PC or laptop

Ensure the ArcGIS (10.0) and Python (2.6) paths defined in the system file are valid and exist on the users' PC. If running on an IT managed PC or Laptop, check with your IT service provider if the equipment requires user permissions / privileges to run this software application. Some IT providers may restrict permissions on entire PCs or Laptops or selected folders.

Both ArcGIS and Python paths are defined in the configuration batch file: *config**BGM_BATCH_STARTUP_CONFIG. bat.* If the user wishes to change any of the paths, open this file in Notepad, WordPad or any other text editors. Below is part of the file opened and shows where the two paths are defined. The user can only change the path(s) on the right side of equals marks '=', leaving others untouched. For example, if the path of Python home on the user's PC is 'C:\Python26', the user may change 'C:\Python26\ArcGIS10.0' to 'C:\Python26', so that the whole line will be: 'SET PYTHON_HOME=C:\Python26'. Remember to save any changes made to the file before closing it.

```
REM ----- Two Paths that are allowed to change when updating ArcGIS/Python -----
REM
SET PYTHON_HOME=C:\Python26\ArcGIS10.0
SET ARCGIS_HOME=C:\Program Files (x86)\ArcGIS
REM
```

6.1.2. Change of inputs

Make sure that all input files follow a predefined internal folder structure and consistent naming convention. See <u>Section 5.1.1</u> and <u>Section 5.1.2</u> for details.

6.1.3. Update of ArcGIS to a new version

In the event of upgrading ArcGIS software package to a newer version, some of the ArcGIS geoprocessing tools/ functions used by the BGM application may need to be updated accordingly. Consult the ArcGIS online help website for any changes to ArcGIS geoprocessing tools or functionality, or request Geoscience Australia to provide the latest version of the BGM application.

6.2. FEEDBACK

As the BGM application and its GUI (v1.0) have not been systemically and intensively tested, the user may encounter bugs on both the code and runtime computation.

The BGM GUI (v1.0) will undergo development and improvement following its release. Feedback and/or suggestions on usability, functionality, computational algorithms, improvements, and any other aspects are welcome.

Reports of bugs and any questions or comments should be sent to: Geoscience Australia Cnr Jerrabomberra Ave and Hindmarsh Drive, Symonston ACT 2609 GPO Box 378, Canberra ACT 2601 Australia Sales Centre/product information: 1800 800 173, Switchboard: +61 2 6249 9111, Fax: +61 2 6249 9999 Sales Centre: <u>clientservices@ga.gov.au</u>

Appendix I—Abbreviations used in this document



Appendix II—Workflow chart of BGM system

The workflow chart visually describes the key components of the BGM system. Geotiff rasters within the AOI are used to create a vegetation mask. A final mask containing vegetation, road and water areas is created. For the same AOI building height is derived from the DSM and DEM excluding masked areas. Land use is combined with building heights to derive building statistics on the number of storeys and area by land use.



Appendix III—Steps for testing BGM application using sample inputs

1. Setup BGM application

Obtain a zipped copy of BGM_v1.0 and unzip it to anywhere in a local drive on a PC or laptop. For the convenience of this testing, it is suggested that the user place the unzipped BGM_v1.0 to C:\Temp directory. If the user saves the BGM_v1.0 to a different location, such as C:\Workspace, then the user needs to replace the 'C:\Temp directory' with 'C:\Workspace' in the following examples. The internal folder structure of the BGM application should be the same as the screenshot shown below:



Please note that there is a '*samples*' subdirectory within BGM_v1.0. This subdirectory contains sample inputs required for a quick testing. There are three subdirectories in that directory: *lookup_table*, *raster_data* and *vector_data*. Browse through each to become familiar with the formats and contents of these input datasets.

2. Start up the BGM GUI

Double click on the BGM.exe icon **BGM.exe**, a MS-DOS Command Prompt window will pop out and within a few seconds the BGM GUI will appear.

C:\Temp\test\BGM.exe	
Start to run BGM GUI. Please wait while loading BGM GUI has been successfully created!	data 🔺
*	

3. Select or enter input/output paths

For the convenience of users, the system has packaged with a previously-saved GUI configuration file. When starting up the GUI, most of the path entry fields on the GUI will be filled with values loaded from the configuration file. If the $BGM_v1.0$ is located on a path rather than $C:\Temp$, the user needs to replace ' $C:\Temp$ ' with the path where $BGM_v1.0$ resides for all the path fields on the GUI. However, the output directory does not necessary in the same directory as the input paths. Either click the browse button at to select an existing directory or enter a new one to the *Output Directory* text field for the system to create it accordingly.

74 Building Geometry Model (LiDAR)	x
Building Geometry Model Derive building extents and heights from LiDAR data (Version 1.0.0)	5
Select Area of Interest (AOI) (* denotes mandatory fields)	_
AOI Shapefile* C:/Temp/BGM_v1.0/samples/vector_data/Sample_Area_of_interest.shp	a]
Field Defining AOIs Please select Image: Name of AOI* Whole Region Image: Name of AOI*	
Select I/O Parameters (* denotes mandatory fields) Edit Tiled Filename Formats	
Digital Elevation Model Path* C:/Temp/BGM_v1.0/samples/raster_data/DEM	
Digital Surface Model Path* C:/Temp/BGM_v1.0/samples/raster_data/DSM	
Tile Index Shapefile* C:/Temp/BGM_v1.0/samples/vector_data/Sample_Tile_Grid.shp	
Land-Use Shapefile* C:/Temp/BGM_v1.0/samples/vector_data/Sample_Land_Use.shp	
Inter-Storey Height Excel File* C:/Temp/BGM_v1.0/samples/lookup_table/Inter-Storey_Heights_v0.1.xls	
Road Mask Shapefile C:/Temp/BGM_v1.0/samples/raster_data/vegetation	
Water Mask Shapefile C:/Temp/BGM_v1.0/samples/vector_data/Sample_Noter_Areas.shp	
Output Directory* C:/Temp/bgm_outputs	
Select System Settings (* denotes mandatory fields)	
I → Delete temporary/intermediate files Minimum Roof Height: 2 m Minimum Blue Roof Value: 85 I → Write runtime information to log file Maximum Tree Height: 40 m Output Pixel/Cell Size: © 1 m C 2 m I → Save settings on successful validation Minimum Footprint: 9 m² Land-Use Field Name*: Please select → Runtime Information Hide Hide Hide Hide Hide Hide Hide	_
Run Pause Stop Close Please enter I/O paths and parameters. (c) Commonwealth of Australia (Geoscience Australia) 2013 About BGM Disclaimer	*

4. Select the name of a field that defines Area Of Interests (AOIs)

Field Defining AOIs	Please se	Please select
		CITY

_

Click the *Field Defining AOIs* dropdown menu box and select 'CITY' as this is the only one item available in the dropdown menu box.

5. Select Area Of Interests (AOIs)

AOI Shapefile*	C:/Temp/BGM_v1.0/samples/vector_data/Sample_Area_of_interest.shp						
Field Defining AOIs	СІТУ —	Name of AOI*	Sample Area				
			Sample Area				

After selecting 'CITY' for the *Field Defining AOIs* menu box, 'Sample Area' appears on the *Name of AOI* dropdown menu box as it is the only item available.

6. Define/edit the formats for tile filenames

Click the *Edit Tiled Filename Formats* button Edit Tiled Filename Formats to open the dialog window for viewing and editing the formats of tiled filenames.

Type of Filename	Prefix of Filename	Suffix of Filename	eEEENNNN_clip sEEENNNN_clip	
DEM Raster Image Filename:	e	_clip		
DSM Raster Image Filename:	s	_clip		
Vegetation GeoTIFF Filename	i	_clip	iEEENNNN_clip	

As shown above, all filename formats filled with values loaded from previously-saved system file. The user may click the *Cancel* button to close the dialog window.

7. Select system settings and thresholds

By default, most checkboxes are checked and text entry fields are filled with predefined values on the *Select System Settings* panel. The exception is the '*Land-Use Field Name*' dropdown menu, which the user must define.

Select System Settings (* denotes n	nandatory fields)					
Delete temporary/intermediate files	Minimum Roof Height:	2	m	Minimum Blue Roof Value:	85	
Vrite runtime information to log file	Maximum Tree Height:	40	m	Output Pixel/Cell Size:	● 1 m	C 2 m
Save settings on successful validation	Minimum Footprint:	9	m²	Land-Use Field Name*:	Please se	elect —

Click the 'Land-Use Field Name' dropdown menu box and select 'L4_USE' from the dropdown menu.

The Inter-Storey Heights Lookup Table (Excel) file provided in the sample data only defines land use classifications at '*L4_USE*' level.



8. Run the process

Click the *Run* button to start the process of sample data. Within a few seconds, the user will see a dialog window informing that the land use category of 'Vacant Areas' defined by the '*L4_USE*' field of the input Land Use shapefile does not match any land uses defined in the inter-storey height lookup tables. All other land uses are matched. As there may be no buildings over Vacant Areas, click the '*Yes*' button to close the dialog window to continue the processing of the six matching land uses.

?	The following landuse from the landuse shapefile do not match with those from the inter-storey height lookup table: 1. Vacant Areas
	Please make sure that the values of "L4_USE" from the input landuse shapefile match those from the inter-storey height lookup table.
	The matched landuses defined in the "L4_USE" field of the input landuse shapefile include: 1. Leisure 2. Education
	3. Cultural 4. Formal Settlements 5. Informal Settlements 6. Agriculture
	Do you want to proceed the process of the matched landuses?

It is expected that the process will take less than 3 minutes to complete. The screenshot of the <u>Runtime</u> <u>Information</u> window and the <u>Status Bar</u> at the end of the process is shown below.



9. Runtime information or log file

The user may check the detailed processing information on the *Runtime Information* window or the log file from $C:\Temp\BGM_v1.0\log$ subdirectory.

Please note that *Step 12.V.6* was skipped due to no valid building pixels available for '*Agriculture*' land use over the example AOI.

```
------
18:20:53 25/03/2013 by u43894 on PC-62968
Start processes for the Building Geometry Model
Program Directory: C:\Workspace\dev\BGM
Input parameters:
    AOI Shapefile Path: C:/Temp/BGM_v1.0/samples/vector_data/Sample_Area_of_interest.shp
    Input DEM Directory: C:/Temp/BGM_v1.0/samples/raster_data/DEM
    Input DSM Directory: C:/Temp/BGM_v1.0/samples/raster_data/DSM
    Input Tile Index Shapefile Path: C:/Temp/BGM_v1.0/samples/vector_data/Sample_Tile_Grid.shp
```

Vegetation Mask Directory: C:/Temp/BGM v1.0/samples/raster data/Vegetation Land Use Mask Shapefile Path: C:/Temp/BGM v1.0/samples/vector data/Sample Land Use.shp Road Mask Shapefile Path: C:/Temp/BGM v1.0/samples/vector data/Sample Road Areas.shp Water Mask Shapefile Path: C:/Temp/BGM_v1.0/samples/vector_data/Sample_Water_Areas.shp Inter-Storey Heights Excel File Path: C:/Temp/BGM v1.0/samples/lookup table/Inter-Storey Heights v0.1.xls Output directory: C:/Temp/bgm outputs Processing Sample Area Step 1. Select raster tile indexes within Sample Area Only 1 tile found in Sample Area 00:00:43.368 Step 2. Mosaic DEM and DSM tiles within AOI Copy the only input DEM raster tile to s2 dem mosaic: done Copy the only input DSM raster tile to s2 dsm mosaic: done 00:00:01.419 Step 3. Generate a new raster with height difference between DSM and DEM mosaics 00:00:01.498 Step 4. Mosaic 1 vegetation masks Mosaic of 1 NDVI tile to s4 ndvi masac: done Mosaic of 1 vegetation tile to s4 veg mask: done 00:00:21.465 Step 5. Prepare other masks (water & roads ...) Create mask road mask.shp: done Create mask water mask.shp: done Create mask s5 watr mask: done Create mask s5 road mask: done 00:00:04.056 Step 6. Combine all masks into a single final mask Available masks: (s4 veg mask, s5 watr rclss, s5 road rclss) Combine all available masks to s6 final mask: done 00:00:01.061 Step 7. Filter grid cells with final mask 00:00:02.013 Step 8. Filter noise and isolated pixels 00:00:02.480 Step 9. Plus DEM and Height Mask models Plus s2 dem mosaic and s8 ht 1000div to form s9 dem ht msk: done 00:00:00.702 Step 10. Add Building Height DEM to DEM Mosaic Mosaic s9 dem ht msk and s2 dem mosaic to form s10 dem bldg: done 00:00:01.373 Step 11. Calculate hill shade for the DEM Plus Buildings data Run Hillshade of s10 dem bldg to generate s11 bldht shd: done 00:00:00.983 Step 12. Conduct statistics analysis over pixels within each landuse category 12.I. Copy input landuse shapefile to s12 BGM landuse statistics.shp: done 12.II. Add a new field OID1 to s12_BGM_landuse_statistics.shp: done 12.III. Assign FID values to OID1: done 12.IV. Load 7 distinct landuses from input shapefile 12.V. Loop through to process all landuses 12.V.1 - Leisure 12.V.1.a SelectLayerByAttribute 'L4 USE' = 'Leisure': 1 features 12.V.1.b CopyFeatures to s12 1b Leisure.shp: done 12.V.1.c ExtractByMask s12 1c extmk: done

```
12.V.1.d Reclassify s12 1d story: done
 12.V.1.e Apply MajorityFilter three times: s12 le majf3
 12.V.1.f RegionGroup s12 1f reggp: done
 12.V.1.g Reclassify s12_1g_rclss: done
 12.V.1.h RegionGroup s12 1h reggp: done
 12.V.1.i MajorityFilter s12 1i majft: done
 12.V.1.j ExtractByAttributes s12 1j extat: done
 12.V.1.k ExtractByMask s12 1k bld: done
 12.V.1.1 ZonalStatistics s12 11 Leisure BGM stats.dbf: done
 12.V.1.m RasterToPolygon s12 1m bld poly.shp: done
12.V.2 - Education
 12.V.2.a SelectLayerByAttribute 'L4 USE' = 'Education': 2 features
 12.V.2.b CopyFeatures to s12 2b Education.shp: done
 12.V.2.c ExtractByMask s12_2c_extmk: done
 12.V.2.d Reclassify s12 2d story: done
 12.V.2.e Apply MajorityFilter three times: s12 2e majf3
 12.V.2.f RegionGroup s12 2f reggp: done
 12.V.2.g Reclassify s12 2g rclss: done
 12.V.2.h RegionGroup s12_2h_reggp: done
 12.V.2.i MajorityFilter s12 2i majft: done
 12.V.2.j ExtractByAttributes s12 2j extat: done
 12.V.2.k ExtractByMask s12_2k_bld: done
 12.V.2.1 ZonalStatistics s12 21 Education BGM stats.dbf: done
 12.V.2.m RasterToPolygon s12 2m bld poly.shp: done
12.V.3 - Cultural
 12.V.3.a SelectLayerByAttribute 'L4_USE' = 'Cultural': 2 features
 12.V.3.b CopyFeatures to s12 3b Cultural.shp: done
 12.V.3.c ExtractByMask s12 3c extmk: done
 12.V.3.d Reclassify s12_3d_story: done
 12.V.3.e Apply MajorityFilter three times: s12 3e majf3
 12.V.3.f RegionGroup s12 3f reggp: done
 12.V.3.g Reclassify s12 3g rclss: done
 12.V.3.h RegionGroup s12 3h reggp: done
 12.V.3.i MajorityFilter s12 3i majft: done
 12.V.3.j ExtractByAttributes s12 3j extat: done
 12.V.3.k ExtractByMask s12 3k bld: done
 12.V.3.1 ZonalStatistics s12_31_Cultural_BGM_stats.dbf: done
 12.V.3.m RasterToPolygon s12 3m bld poly.shp: done
12.V.4 - Formal Settlements
 12.V.4.a SelectLayerByAttribute 'L4_USE' = 'Formal Settlements': 50 features
 12.V.4.b CopyFeatures to s12 4b FormalSettlements.shp: done
 12.V.4.c ExtractByMask s12_4c_extmk: done
 12.V.4.d Reclassify s12 4d story: done
 12.V.4.e Apply MajorityFilter three times: s12 4e majf3
 12.V.4.f RegionGroup s12 4f reggp: done
 12.V.4.g Reclassify s12 4g rclss: done
 12.V.4.h RegionGroup s12 4h reggp: done
 12.V.4.i MajorityFilter s12 4i majft: done
 12.V.4.j ExtractByAttributes s12 4j extat: done
 12.V.4.k ExtractByMask s12 4k bld: done
 12.V.4.1 ZonalStatistics s12 41 FormalSettlements BGM stats.dbf: done
 12.V.4.m RasterToPolygon s12_4m_bld_poly.shp: done
12.V.5 - Informal Settlements
 2.V.5.a SelectLayerByAttribute 'L4 USE' = 'Informal Settlements': 4 features
```

```
12.V.5.b CopyFeatures to s12 5b InformalSettlements.shp: done
      12.V.5.c ExtractByMask s12 5c extmk: done
      12.V.5.d Reclassify s12 5d story: done
      12.V.5.e Apply MajorityFilter three times: s12_5e_majf3
      12.V.5.f RegionGroup s12 5f reggp: done
      12.V.5.g Reclassify s12 5g rclss: done
      12.V.5.h RegionGroup s12 5h reggp: done
      12.V.5.i MajorityFilter s12 5i majft: done
      12.V.5.j ExtractByAttributes s12 5j extat: done
      12.V.5.k ExtractByMask s12 5k bld: done
      12.V.5.1 ZonalStatistics s12 51 InformalSettlements BGM stats.dbf: done
      12.V.5.m RasterToPolygon s12_5m_bld_poly.shp: done
    12.V.6 - Agriculture
      12.V.6.a SelectLayerByAttribute 'L4_USE' = 'Agriculture': 1 features
      12.V.6.b CopyFeatures to s12 7b Agriculture.shp: done
      12.V.6.c ExtractByMask s12 7c extmk: done
       Warning: raster 's12 7c extmk' is empty. Skip!
   Update landuse Shapefile: s12 BGM landuse statistics.shp
   12.VI. Add 10 new fields to s12_BGM_landuse_statistics.shp
   12.VII. 58 data loaded from 5 tables.
   12.VIII. Populate all statistics data into s12 BGM landuse statistics.shp
  12.IX. Mosaic 5 rasters over landuses to s12_lu_mosaic: done
  00:01:02.911
 Process of Sample Area completed successfully. CPU time: 00:02:23.345
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```

10. Final outputs

The final outputs of processing sample inputs should include two shapefiles and seven raster datasets as shown below. Refer to <u>Section 5.2 Outputs</u> for the description of each output data.

