



Tung Shing Futures (Brokers)
東盛期貨(經紀)

Member of BEA Group 東亞銀行集團成員

Tung Shing Futures (Brokers) Limited

**User Manual of
Global Futures Trading Platform**

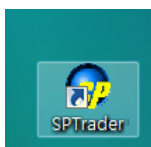
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1. Login SP system

- a) Double click the “SP Trader” icon on desktop to login SP system.



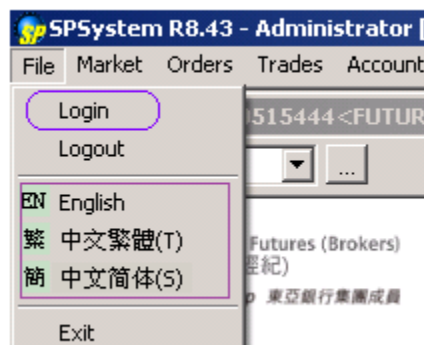
- b) First, users need to select the language (English, Traditional Chinese and Simplified Chinese), enter User ID, Password and then click “Accept & Login” to login SP system.



- c) A window would pop-up to “change password” if it is the first time login the SP system. User must change password before entering the trading platform for trading. User also can utilize the following way to login SP system.

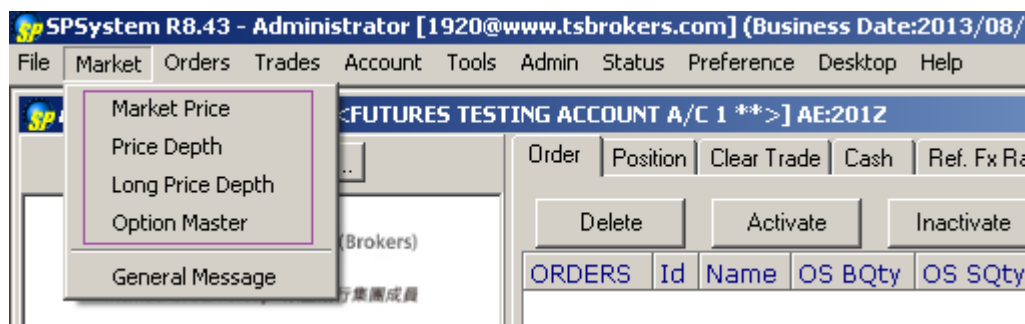


OR



2. Market Price

Users can open the “Market Price” window and “Price Depth” window from the “Market” Menu.

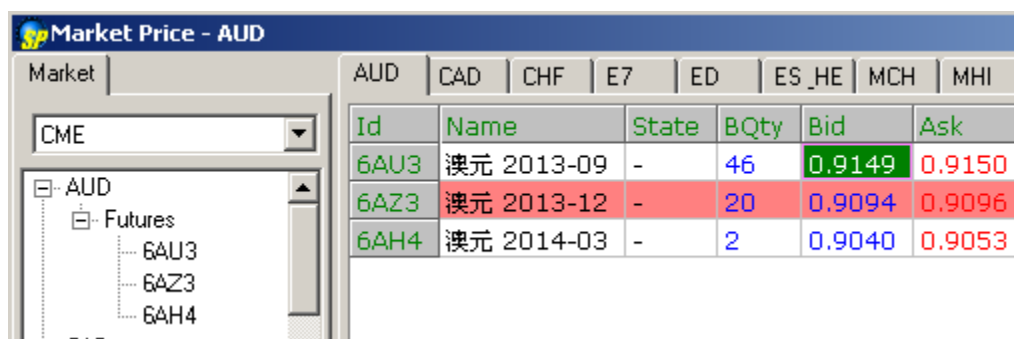
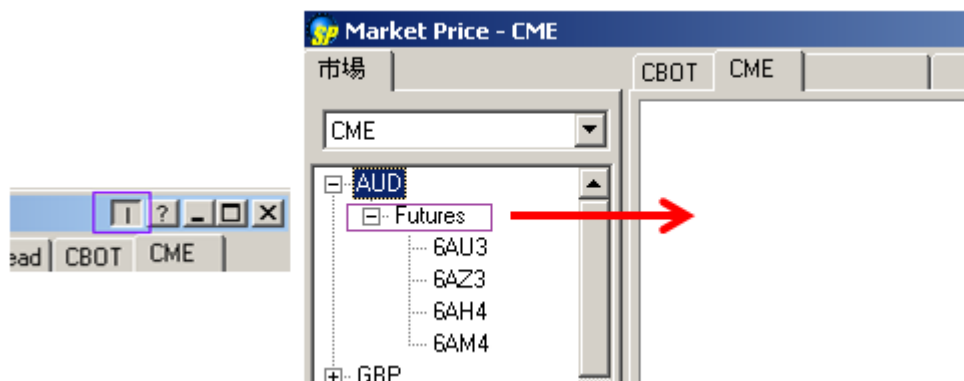


(A) Market Price Window

“Market Price” window is a price list window, users can add or delete the displayed product information, arrange the columns and layout of the pages.

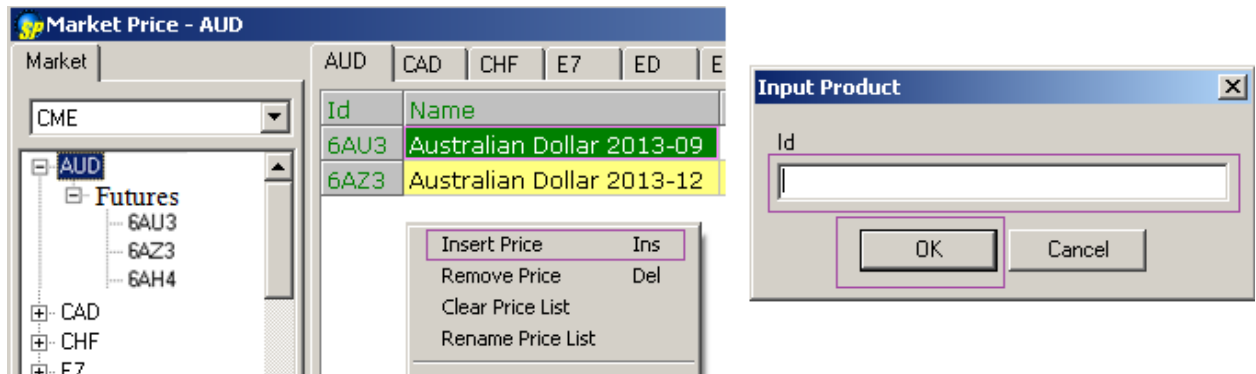
(i) Add product(s) to Price List

Click the small [] button in the top of right corner to open the price tree in the left hand side. Select the product(s) in the price tree, then drag and drop the product(s) to the page in the right hand side.



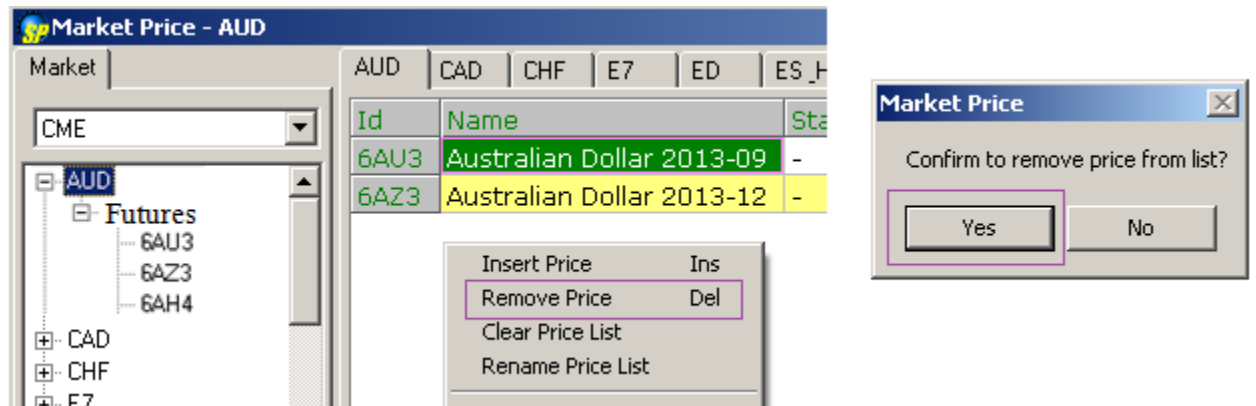
(ii) Insert Price

Right click the ID column, and then this feature can be selected in the quick menu. Select “**Insert Price**”, type in the code of product and user will get the product information.



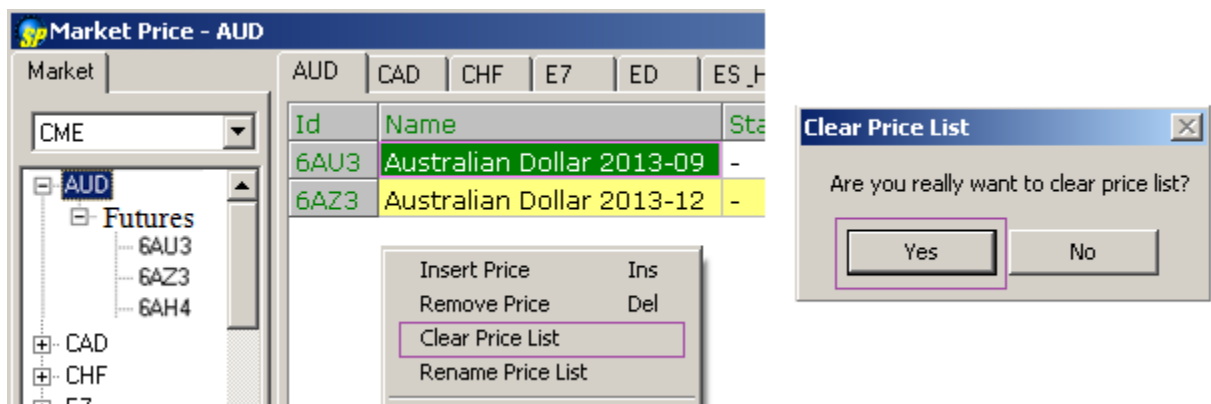
(iii) Remove Price

Right click the target product, and then this feature can be selected in the quick menu. Select “**Remove price**”, then user can remove certain commodity information.



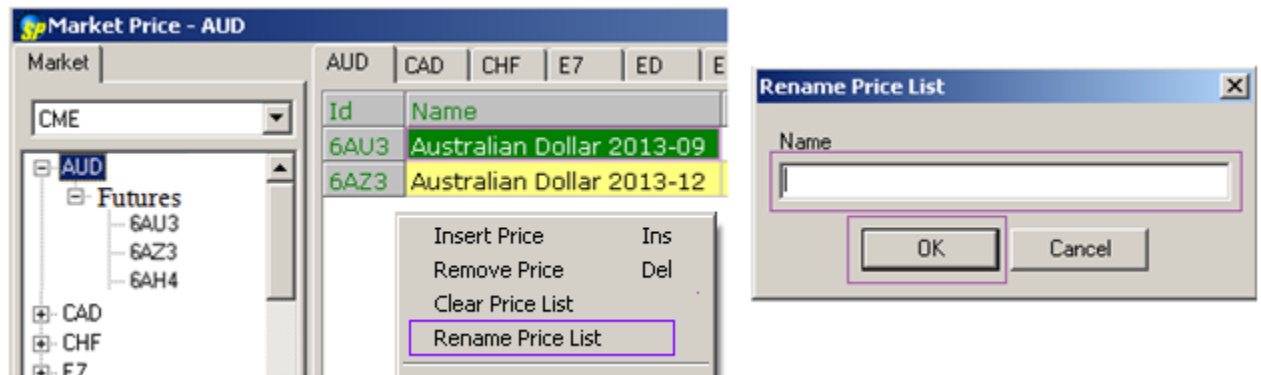
(iv) Clear Price List

Right click the area of price list, and then this feature can be selected in the quick menu. Select “**Clear Price List**”, it can be cleared all commodities on the Price List.



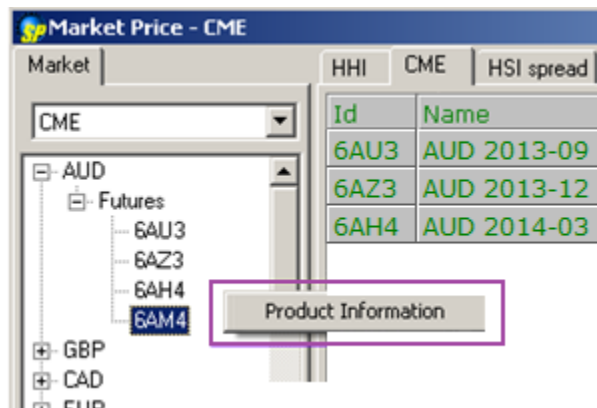
(v) Rename Price List

Right click the area of price list, and then this feature can be selected in the quick menu. A title can be given to the same product group.



(vi) Product Information

In the Price List or Tree, right click the target product, then this feature can be selected in the quick menu. Then select “Product Information”.



The product of the “Product Information” window will appear.

Product Information - 6AU3																																																																
商品資料 Product Information																																																																
名稱 Name	澳元 Australian Dollar Futures																																																															
系統商品代碼 SP Symbol	AUD																																																															
交易貨幣 Currency	美元 USD																																																															
合約價值 Contract Size	100,000 澳元 100,000AUD																																																															
最低價格波幅 Min. Price Fluctuation	0.0001點=10美元 0.0001 point=USD10																																																															
交易時間 (香港) Trading Hour (HKT)	星期一至星期五 6:00am-翌日5:00am(冬令時間延遲一小時) Mon to Fri 6:00am -5:00am next day (One hour delay by winter time)																																																															
交易所資料 Exchange Information																																																																
交易所 Exchange	芝加哥商品交易所 (CME) Chicago Mercantile Exchange																																																															
相關商品代碼 Underlying Code	6A																																																															
網站 Website	http://www.cmegroup.com																																																															
官方合約細則 Official Contract Specification	[English]																																																															
<table border="1"> <thead> <tr> <th>月份 Month</th> <th>1</th> <th>2</th> <th>3</th> <th>4</th> <th>5</th> <th>6</th> <th>7</th> <th>8</th> <th>9</th> <th>10</th> <th>11</th> <th>12</th> </tr> </thead> <tbody> <tr> <td>期貨 Future</td> <td>F</td> <td>G</td> <td>H</td> <td>J</td> <td>K</td> <td>M</td> <td>N</td> <td>Q</td> <td>U</td> <td>V</td> <td>X</td> <td>Z</td> </tr> <tr> <td>認購期權 Call</td> <td>A</td> <td>B</td> <td>C</td> <td>D</td> <td>E</td> <td>F</td> <td>G</td> <td>H</td> <td>I</td> <td>J</td> <td>K</td> <td>L</td> </tr> <tr> <td>認沽期權 Put</td> <td>M</td> <td>N</td> <td>O</td> <td>P</td> <td>Q</td> <td>R</td> <td>S</td> <td>T</td> <td>U</td> <td>V</td> <td>W</td> <td>X</td> </tr> </tbody> </table>													月份 Month	1	2	3	4	5	6	7	8	9	10	11	12	期貨 Future	F	G	H	J	K	M	N	Q	U	V	X	Z	認購期權 Call	A	B	C	D	E	F	G	H	I	J	K	L	認沽期權 Put	M	N	O	P	Q	R	S	T	U	V	W	X
月份 Month	1	2	3	4	5	6	7	8	9	10	11	12																																																				
期貨 Future	F	G	H	J	K	M	N	Q	U	V	X	Z																																																				
認購期權 Call	A	B	C	D	E	F	G	H	I	J	K	L																																																				
認沽期權 Put	M	N	O	P	Q	R	S	T	U	V	W	X																																																				
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(vii) Edit Columns

Double Click the column header, users can see the following “edit column” window.

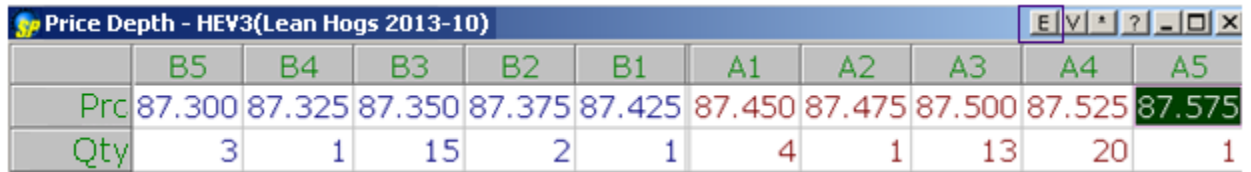
Id	Name	State	BQty	Bid	Ask
6AU3	澳元 2013-09	-	2	0.9011	0.9012
6BU3	英鎊 2013-09				
6CU3	加元 2013-09				
6EU3	歐元 2013-09				
6JU3	日圓 2013-09				
6NU3	新西兰元 2013-09				
6SU3	瑞士法郎 2013-09				
E7U3	迷你欧元 2013-09				
ESU3	小标普 2013-09				
HEV3	瘦猪肉 2013-10				
LEQ3	活牛 2013-08				

Edit Columns	
Shown	Hidden
<input checked="" type="checkbox"/> *Id	<input type="checkbox"/> Type
<input type="checkbox"/> Name	<input type="checkbox"/> Month
<input type="checkbox"/> State	<input type="checkbox"/> FSS
<input type="checkbox"/> BQty	<input type="checkbox"/> Last
<input type="checkbox"/> Bid	<input type="checkbox"/> EP
<input type="checkbox"/> Ask	<input type="checkbox"/> Trnvr
<input type="checkbox"/> AQty	<input type="checkbox"/> OpenInt
<input type="checkbox"/> Last/EP	<input type="checkbox"/> -

Buttons: Default, OK, Cancel

(B) “Price Depth” Window

Open this window from the “Market” Menu.

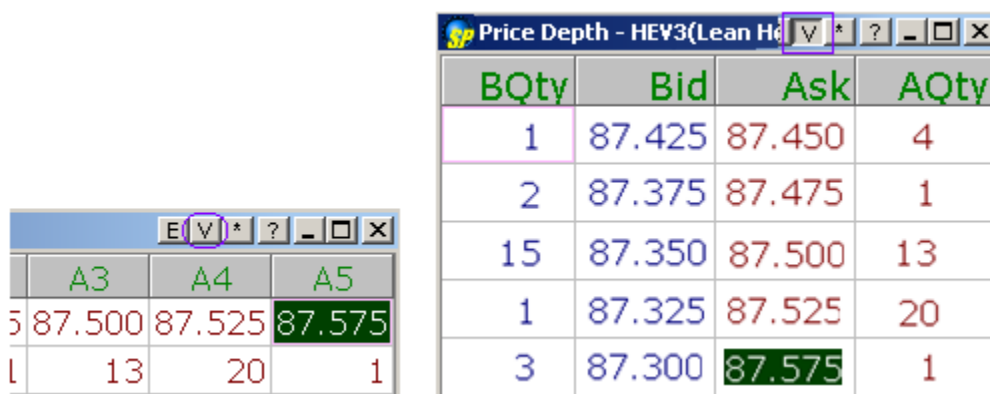


	B5	B4	B3	B2	B1	A1	A2	A3	A4	A5
Pr	87.300	87.325	87.350	87.375	87.425	87.450	87.475	87.500	87.525	87.575
Qty	3	1	15	2	1	4	1	13	20	1

“Price Depth” window shows, with real-time update, the five best bids and offers in the market. The best bid starts from B1 and down to B5 while the best ask starts from A1 up to A5.

i) Vertical or Horizontal

Click [V] button to change vertical view or horizontal view.

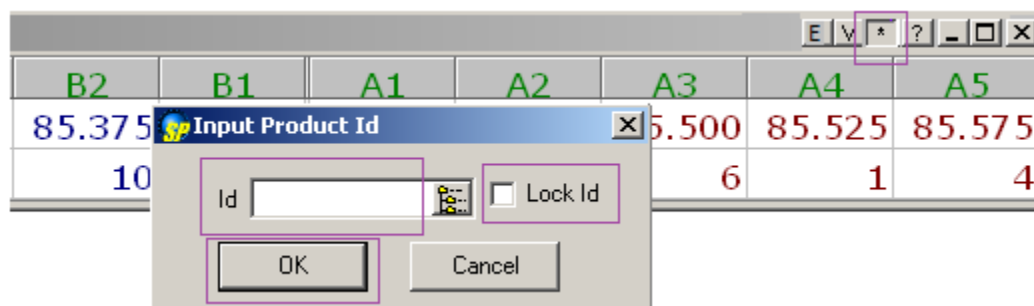


	A3	A4	A5
5	87.500	87.525	87.575
l	13	20	1

BQty	Bid	Ask	AQty
1	87.425	87.450	4
2	87.375	87.475	1
15	87.350	87.500	13
1	87.325	87.525	20
3	87.300	87.575	1

ii) Change or Lock Product ID

Click [*] button to change or lock the displayed product.



B2	B1	A1	A2	A3	A4	A5
85.375				5.500	85.525	85.575
10				6	1	4

Input Product Id

Id

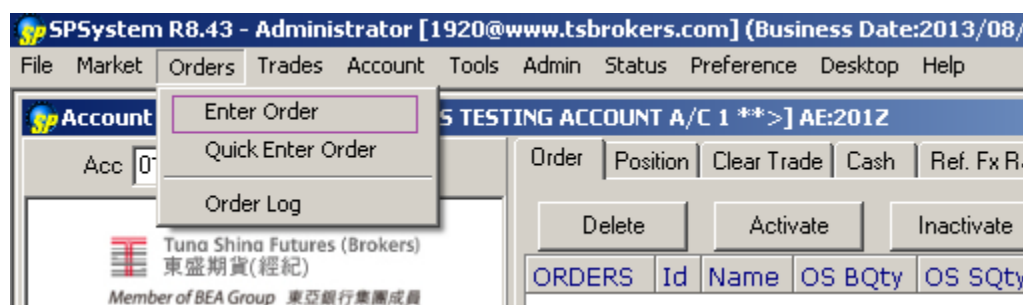
☐ Lock Id

OK Cancel

Note: “Long Price Depth” Window (This feature for Hong Kong Index Futures Only)

3. Orders

Open “Enter Order” window from the “Orders” Menu.

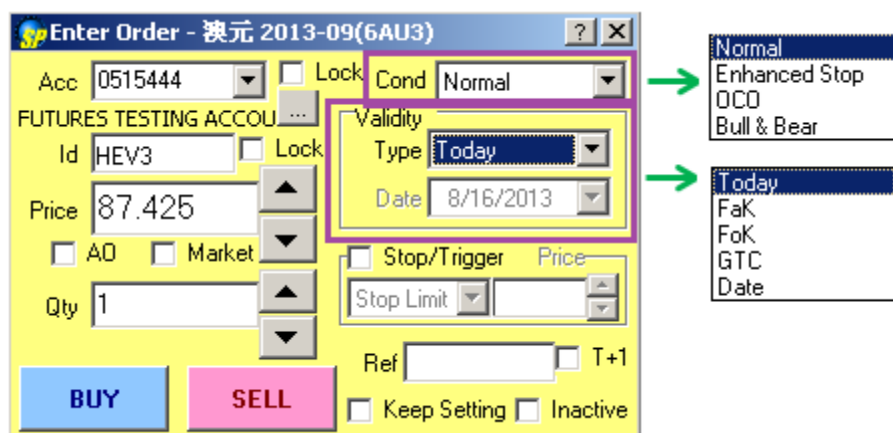


(A) “Enter Order” Window

This window is used for sending orders. The “Enter Order” can either be manually entered or selected by clicking at a prevailing market price or quantity in the “Price Information” window (the Price and Quantity field will also be automatically filled in with the prevailing market price and quantity at the time of clicking). Options on different “Conditional orders” are available to choose from, including: “Normal”, “Enhanced Stop”, “OCO” and “Bull & Bear”.

(i) Normal

“Limit” order to buy or sell



(ii) Enhanced Stop

It simplifies the “**Stop Limit**” function, and users just need to adjust the “**trigger price**” plus the “**tolers**” while buy or sell. The system would send a “**Limit Order**” to market when the market price reaches the “**trigger**” level.

The screenshot shows a trading interface for COMEX GOLD 2013-12(GCZ3). The main fields are: Account (0515444), ID (GCZ3), Price (1401.0), and Quantity (1). The order condition is set to 'Enhanced Stop'. The trigger level is 1400, and the tolerance is 1.0. The 'Trailing Stop' checkbox is unchecked. The 'Step' field is empty. The 'BUY' button is highlighted. The window also includes a 'Lock' checkbox, a 'FUTURES TESTING ACCOUNT' dropdown, and a 'Keep Setting' checkbox.

For example: Assume user has a short position and the current market price is 1395.0. User can place an “**Enhanced Stop**” order with “**trigger**” level 1400.0 and 1.0 “**Toler**”. Thus, the user can liquidate the short position at 1401.0 or better when the market reaches 1400.0.

☆ Toler

Sometimes it may not be able to perform “**stop loss**” even when a stop price is set, since the market price fluctuates swiftly. With a view to that, toler can be set to guarantee the success in stop loss.

☆ Trailing Stop

“**Stop Limit**” Order can be entered simply. Users just input the buy or sell, trigger price and toler. And the “**Trailing Stop**” is an advanced feature, which allows user to change the “**Stop Loss**” value dynamically as the price changes, thus enabling user to minimize user’s potential loss or let profit run.

(iii) OCO

This is an order that consists of both a “**Stop-Loss**” and a “**Limit**” order. Once one of the pair is filled, the other is canceled automatically. Once user’s target “**Limit Price**” is filled, the “**stop-loss**” is canceled automatically.

The screenshot shows the 'Enter Order - COMEX GOLD 2013-12(GCZ3)' window. The 'Cond' dropdown is set to 'OCO'. The 'Price' field is 1390.0, and the 'Qty' is 1. The 'Stop' field is 1400, and the 'Toler' field is 1.0, with a calculated value of 1401.0. The 'Buy' button is highlighted. The 'Keep Setting' checkbox is checked.

For example: Assume user has a short position and the current market price is also 1395.0. User can place an “**OCO**” order with “**limit price**” 1390.0, “**trigger**” level 1400.0 and 1.0 “**toler**”. Thus, the user can liquidate the short position at 1390.0 and have 5.0 profits if the market reaches 1390.0. By the way, the user also can “**stop loss**” at 1401.0 or better if the market reaches 1400.0. Once one of the pair is filled, the other is canceled automatically.

(iv) Bull & Bear

Two options can be selected – “**Bull**” or “**Bear**”. The principle is to hold a long or short position first using the “**limit price**”, or the “**market price + Toler**”. Then it will automatically perform “**Stop gain**” or “**Stop loss**” when the price rises up or down by the “**Profit**” or “**Loss + Loss Toler**” set. This function is suitable for users prefer short-term trading.

The screenshot shows the 'Enter Order - COMEX GOLD 2013-12(GCZ3)' window. The 'Cond' dropdown is set to 'Bull & Bear'. The 'Price' field is 1395.0, and the 'Qty' is 1. The 'Profit' field is 3.0, the 'Loss' field is 1.0, and the 'Loss Toler' field is 0.5. The 'Buy' and 'Sell' buttons are highlighted. The 'Keep Setting' checkbox is checked.

For example: Assume user currently has no positions. User can place a “Bull & Bear” order with “limit” buy price at 1395.0 and “up trigger” price at 1398, “stop loss” trigger price at 1394.0 and 0.5 “toler”. After the “limit order” filled at 1395.0, the user can have 3.0 profit if market reaches 1398.0. On the other hand, the user can stop loss at 1393.5 or better if the market reaches 1394.0.

(v) Change or Delete Order

User can delete order, change order from Order Book. This window is dynamically updated upon changes of the orders. For example: When an order is fully traded, the order will disappear from uppers portion and move to lower portion.

Order	Position	Clear Trade	Cash	Ref. Fx Rate					
<div> Delete Activate Inactivate Delete All Activate All Inactivate All </div>									
ORDERS	Id	Name	OS BQty	OS SQty	Price	Valid	Cond.	Status	Traded
400	6AU3	澳元 2013-09	1		0.9450	Today		Work	0

TRADES	Name	BQty	Order#	Status	Ext.O
6AU3	澳元 2013-09	1	10:58:24 390	Traded	FOC3I
6AU3	澳元 2013-09	1	12:40:30 398	Traded	FOC3I
6AU3	澳元 2013-09	1	12:58:19 399	Traded	FOC3I

Change Order #400
Delete Order #400
Activate Order #400
Inactivate Order #400
Order Report #400
Bulk Delete [6AU3] Buy+Sell

Change/Delete Order #1637 - 澳元 2013-09

Acc 0515444
Cond Normal

Id 6AU3

Price 0.9450

Qty 1

Validity Type Today
Date 8/15/2013

Stop/Trigger Price

Ref
T+1

Change SELL
Delete

(B) “Quick Enter Order” Window

Open this window from the “Order” Menu.

Id: 6AU3 Lock
澳元 2013-09
Lots: 1 Qty: 1
☐ T+1 ☐ Hot Key
Hit Buy/Sell
Hit Ticks: 10 Type: Today
Hit BUY 0.9184 (Hit to 0.9194) Hit SELL 0.9182 (Hit to 0.9172)

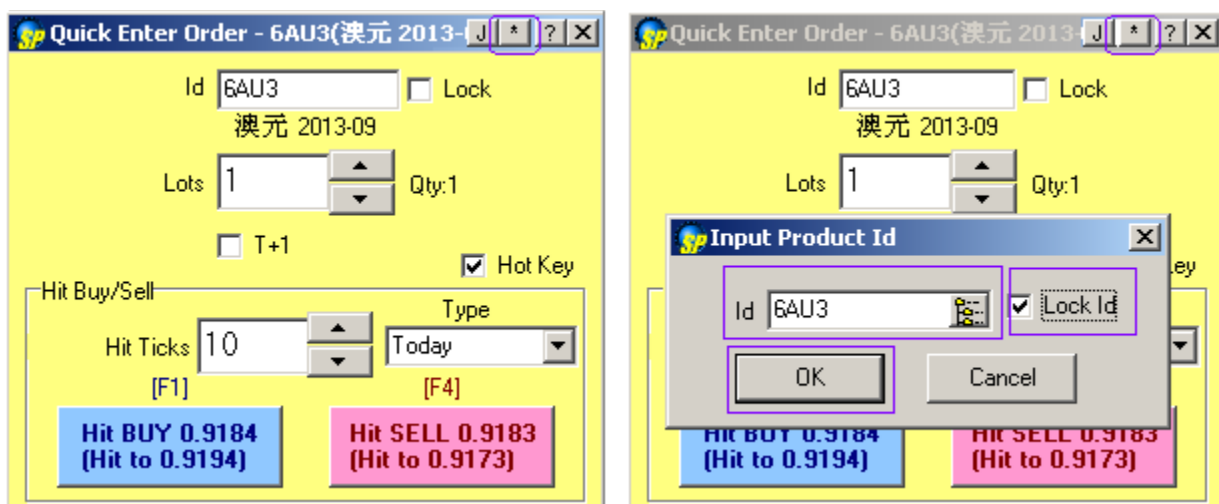
Quick Order Window is used to get market price quickly. User should input the “Id”, “Lots”, and “Ticks”. Users can also select [Hot keys] buy and sell.

☆ Quick Enter Order: Join Buy or Sell

Id: 6AU3 Lock
澳元 2013-09
Lots: 1 Qty: 1
☐ T+1 ☒ Hot Key
Hit Buy/Sell
Hit Ticks: 10 Type: Today
[F1] [F4]
Hit BUY 0.9184 (Hit to 0.9194) Hit SELL 0.9183 (Hit to 0.9173)
Join Buy/Sell
Join Ticks: 10
[F9] [F12]
Join BUY 0.9183 (Join to 0.9173) Join SELL 0.9184 (Join to 0.9194)

Apart from the “Hit Buy or Sell”, another function known as the “Join Buy or Sell” can be selected by choosing “J” on window. User can add a waiting order in the market through “Join Buy or Sell” function. Hot keys “F9” and “F12” are provided for easy trade adding.

☆ Lock trading product(s)

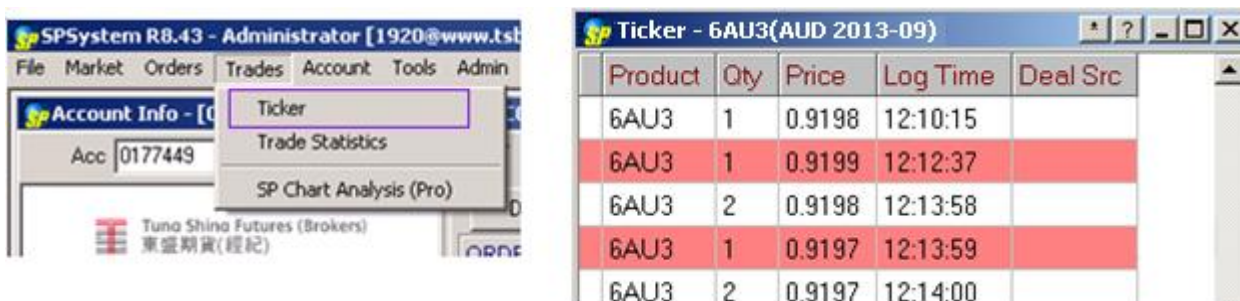


Simply click the “*” symbol OR the “Lock” key in the “Quick Order” Window to lock the displayed product.

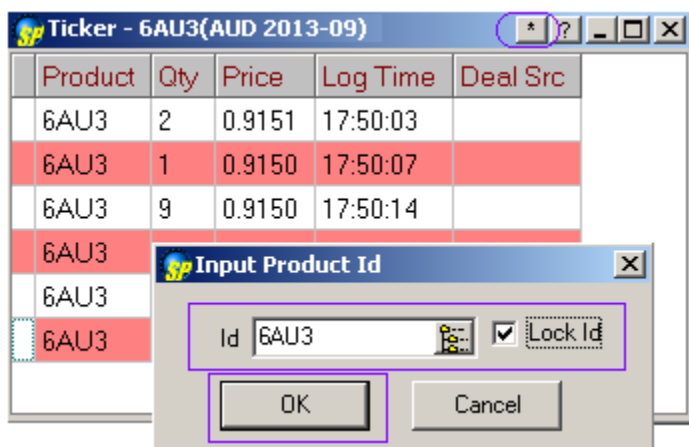
4. TRADE

(A) Ticker Window

User can open the window from the “Trades” Menu.



This window can display all done trade information. Information on this window is stored in the local workstation. Therefore, it can only display trades information received during the logged in period. Users will not be able to retrieve any trade details during the logged-off period.



The “*” symbol at the top corner of the window allows to lock one of the displayed product.

Product	Qty	Price	Log Time	Deal Src
6AU3	1	0.9198	12:10:15	
6AU3	1	0.9199	12:12:37	
6AU3	2	0.9198	12:13:58	
6AU3	1	0.9197	12:13:59	

By right-clicking the window user can see an option of “**Show ID**”, where to choose to display the product ID or not.

(C) “Trade Statistics” Window

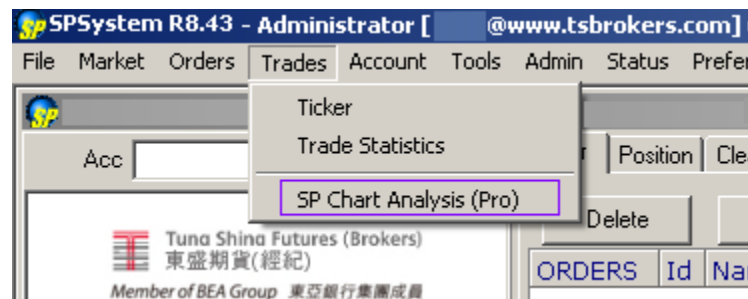
Open the window from the “**Trades**” Menu.

Name	Prev.	In/Ou	Day Long	Day Short	Net	Mkt.Proc	p
6AU3			3 @ 0.9198		3 @ 0.9198	0.9199	
6BU3			6 @ 1.5616		6 @ 1.5616	1.5616	
6JU3			2 @ 0.010237		2 @ 0.010237	0.010238	

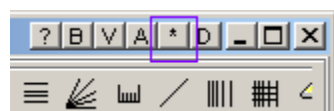
This window displays statistics based on the trades.

(D) Chart Analysis (Pro)

User can open the “**Chart Analysis (Pro)**” window from the “**Trades**” Menu.



☆ Change or Lock Product ID

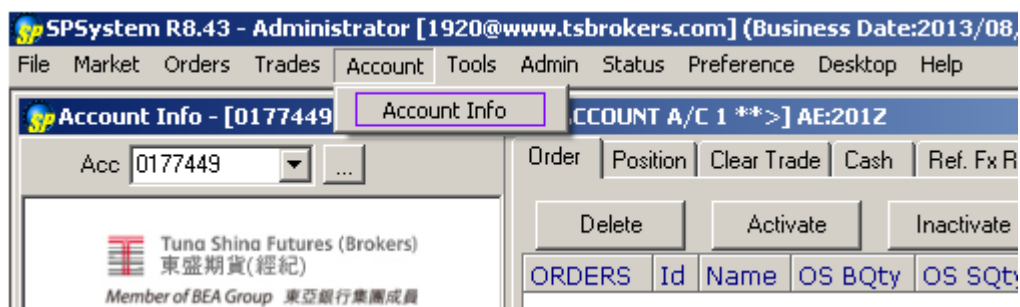




User can click [*] button to change or lock the displayed product.

5. Account Info

User can open the window from the “Account” Menu



“Account Info” Window

Trade instruction – the upper portion is used to display all the unfilled orders. It manages the orders in the order book, such as delete order, change order, etc. This window is updated in real time. For example: When an order is fully traded, the order will disappear from upper portion and move to lower portion.

Account Info - [0515444<FUTURES TESTING ACCOUNT>] AE:2012

Acc 0515444

Tuna Shina Futures (Brokers)
東盛期貨(經紀)
Member of BEA Group 東亞銀行集團成員

Buying Power 217,067.17 HKD
NAV 232,680.00 HKD
Margin Call 0.00 HKD
Commodity P/L 0.00 HKD

Prev Long Short Net

Order Position Clear Trade Cash Ref. Fx Rate

Delete Activate Inactivate Delete All Activate All Inactivate All

ORDERS	Id	Name	OS BQty	OS SQty	Price	Valid	Cond.	Status
1637	6AU3	澳元 2013-09		1	0.9450	Today		Work

TRADES Name BQty SQty Price Time Order# Status Ext.Order# Source

Account Summary

Account Info - [0515444<FUTURES TESTING ACCOUNT>] AE:2012

Acc 0515444

Tuna Shina Futures (Brokers)
東盛期貨(經紀)
Member of BEA Group 東亞銀行集團成員

Buying Power 232,282.51 HKD
NAV 232,282.51 HKD
Margin Call 0.00 HKD
Commodity P/L -397.50 HKD
I.Margin 0.00 HKD
M.Margin 0.00 HKD
M.Level -
Max Margin Unlimited
Period Overnight
Cash Balance 232,680.00 HKD
Credit Limit 0.00 HKD
Client Status Logged Out
Ctrl Level Normal
Mgn Class STD
AE 2012

Id Prev Long Short Net

M6EU3 -1 1 0

Upper Portion - Account Balance Summary

Buying Power	Total Cash + Credit Limit + Commodity P/L - Initial Margin
Net Asset Value (NAV)	Total Cash + Commodity P/L
Margin Call	The deficit required if NAV lower than initial margin
Commodity P/L	The profit and loss of portfolio
I. Margin	The total initial margin required
M. Margin	The total maintenance margin required
M. Level	(NAV ÷ initial margin) x 100%
Max Margin	The maximum initial margin of account
Period	Trading period
Cash Balance	Cash balance of account
Credit Limit	Credit limit added to the client
Client Status	Logged In or Logged Out
Ctrl Level	Normal
Mgn Class	STD
AE	AE code of client

Lower Portion - Account Position Summary

Id	Product ID of account position
Prev	Traded quantity of Previous Business Day
Long	Today bought volume
Short	Today sold volume
Net	Net Quantity = Prev + Long - Short

(i) Order Page

The “Order Page” comprises two parts: Upper Portion - Order Book

This part of window is used to display all the unfilled orders. It manages the orders in the order book, such as delete order, change order, etc. This window is updated in real time. For example: When an order is fully traded, the order will disappear from uppers portion and move to lower portion.

Besides, another small window will be displayed when right-clicking the “Account Info” window, which allows the user to make “changes”, “delete”, “activate” and “inactivate” on the trade and generates “Order Report” regarding the orders.

Order#	Id	B/S	Remain Qty	Order Prc	Traded Qty	Avg Trd Prc
1637	6AU3	BUY	1	0.9450		

Time	Status	Id	B/S	Remain Qty	Traded Qty	Price
	Work	6AU3	BUY	1		0.9450

Lower Portion - Trades - This part of window is used to display today done trades.

TESTING ACCOUNT>] AE:2012											
Order	Position	Clear Trade	Cash	Ref. Fx Rate							
Delete		Activate		Inactivate		Delete All		Activate All		Inactivate All	
ORDERS	Id	Name	OS BQty	OS SQty	Price	Valid	Cond.	Status	Traded	Initiator	Ref T
TRADES	Name	BQty	SQty	Price	Time	Order#		Status			
SSIU3	新加坡日经 2013-09	1		13245	2013/08/08 11:10:47	1666		Traded			
SSIU3	新加坡日经 2013-09		1	13245	2013/08/08 11:12:50	1667		Traded			

(ii) "Position" Page

This page is used for displaying the detail of account position. If user want to close user's position, press **【Close Position】**

FUTURES TESTING ACCOUNT>] AE:2012					
Order	Position	Clear Trade	Cash	Ref. Fx Rate	
Close Position					
Id	Name	Prev.	In/Out	Day Long	
M6EU3	Micro EUR/USD 2013-09			-1@1.335400	

(iii) "Clear Trade" Page

This page is used for displaying the total clearing trades of the current business day in clearing house.

TESTING ACCOUNT>] AE:2012						
Order	Position	Clear Trade	Cash	Ref. Fx Rate		
Id	Name	BQty	SQty	Trade Prc	Trade#	Stat
M6EU3	Micro EUR/USD 2013-09	1		1.3395	75178082109263501	Trad

(iv) “Cash” Page

This page is used for displaying the detail of account balance.

TESTING ACCOUNT A/C 1 **>] AE:2012								
Order	Position	Clear Trade	Cash	Ref. Fx Rate				
Ccy	Cash Bf.	Unsettle	Today In/Out	Withdrawal Req	Cash	Unpresented	Ref. Fx Rate	Cash(Base Ccy)
USD	30,020.00	0.00	0.00	0.00	30,020.00	0.00	7.7560	232,835.12 HKD

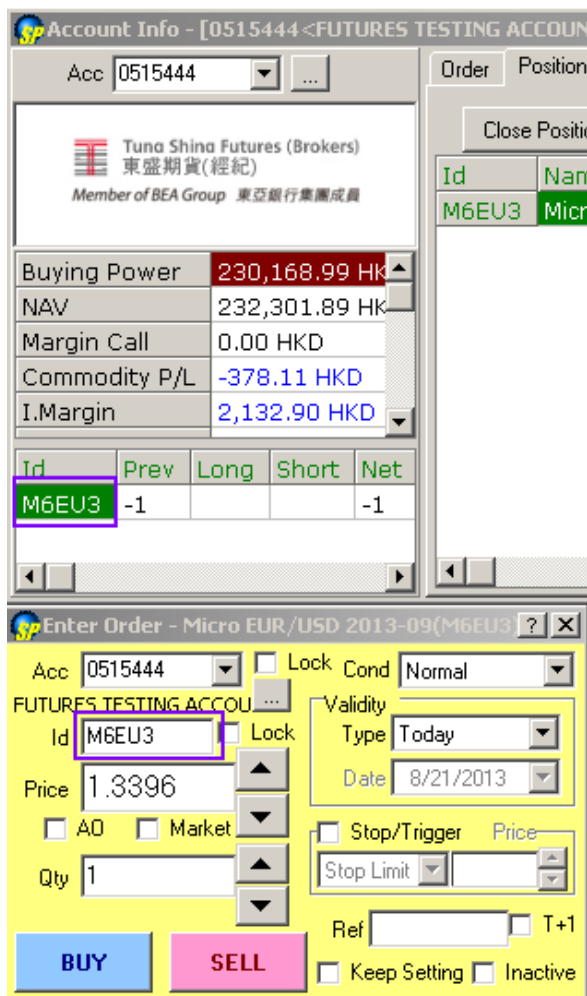
(v) “Show Net Position Only”

Account Info - [0177449<FUTURES TESTING ACCOUNT A/C 1 **>]	
Acc 0177449	Order Position Clear Trade
Tuna Shina Futures (Brokers) 東盛期貨(經紀) Member of BEA Group 東亞銀行集團成員	
Buying Power	230,527.71 HKD
NAV	232,660.61 HKD
Margin Call	0.00 HKD
Commodity P/L	-174.51 HKD
Id	Net
M6EU3	1
✓ Net Position Only Not Display Pos=0	

Simply right-click the “Position Summary” and select the “Net Position Only”, information on net positions of products will be displayed.

☆ Tips - Quick product change

User can simply click the ID of product at bottom part of the “Account Information” window, the product inside the “Enter Order” Window can be changed quickly. This offers a faster way when to add or reduce orders on several products.



6. Tools

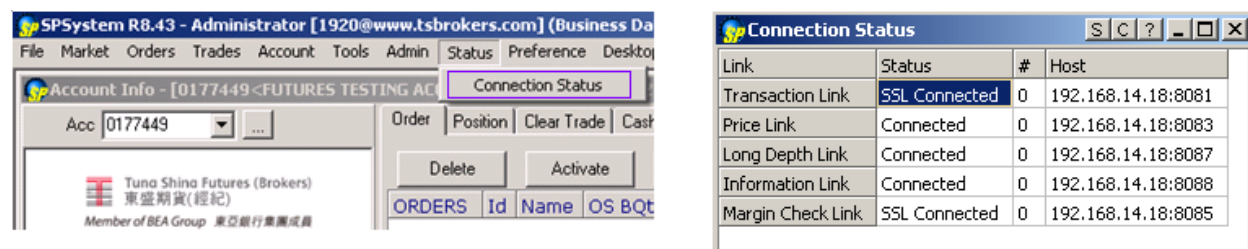
User can open the “Computer Clock” from the “Tools” menu.



7. Status

Connection Status

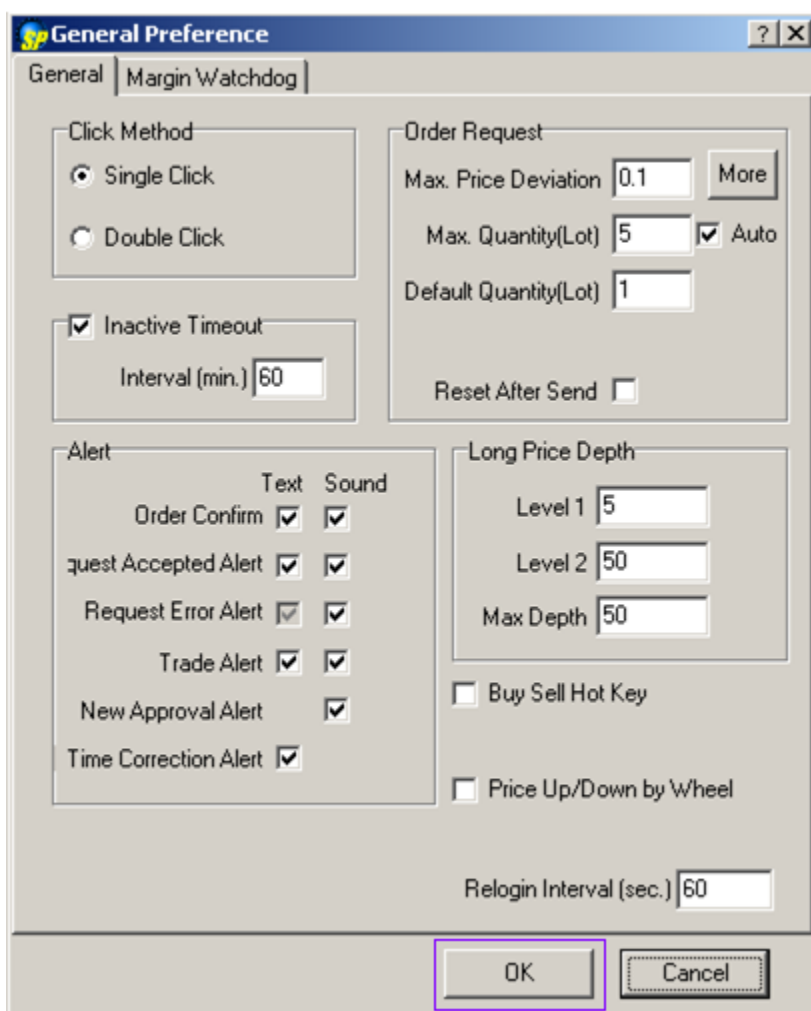
Open the “Connection Status” window from the “Status” Menu. This window shows whether the connection status between the local workstation and the broker's server is normal.



8. Preference

A) General Preference

Open the “General Preference” window from the “Preference” Menu.

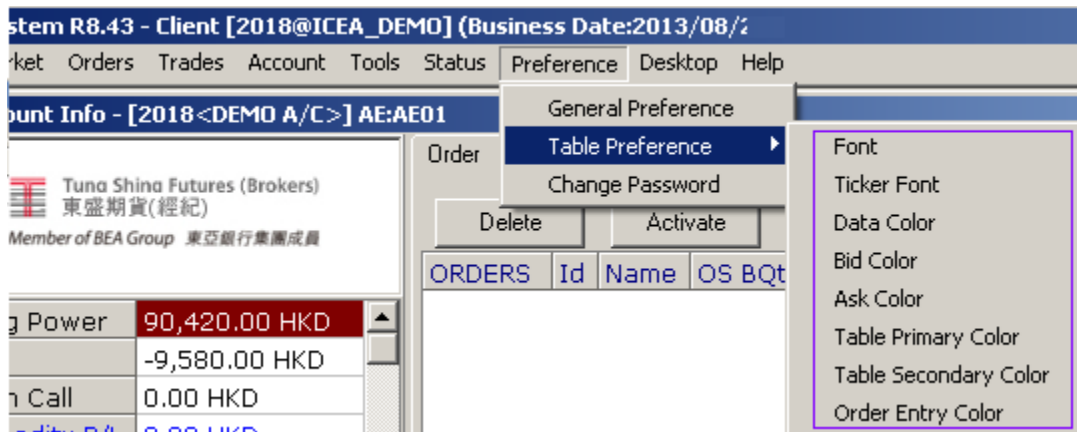


Click Method

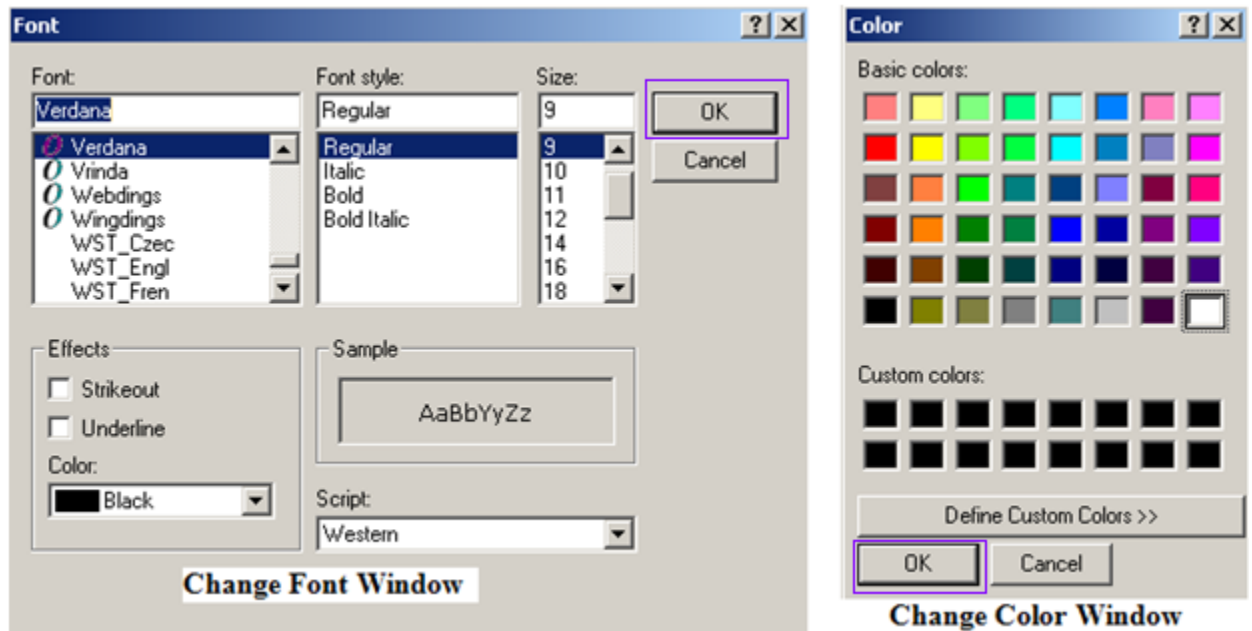
User can set “single” or “double” click in this window, so changing order information can be done only in either action. For example, if “Double Click” is set, the data in the “Enter Order” window can be changed only when double-click the Id of the products (as shown in the above diagram). Or one click is enough if “Single Click” is set.

B) Table Preference

Open the “Table Preference” window from the “Preference” Menu.



Open the following windows from the “Table Preference” Menu.



The Interface allows the user to set the windows behavior. It is possible to change: Font for all Windows, Ticker Font, Data colour, Bid and Ask colour for “Market Price” Window, “Price Depth” Window, and Table Primary colour for all Windows.

C) Change Password

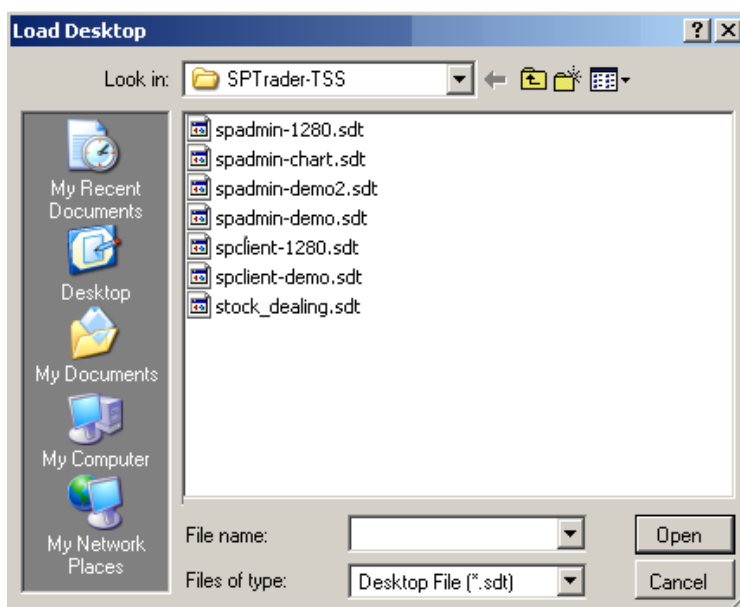
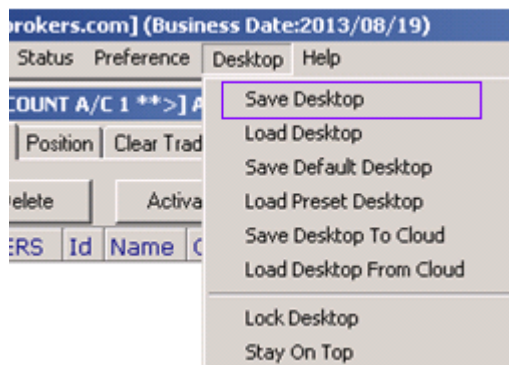
Open “change password” window from the “Preference” Menu. User would better change the password very often. If user doesn’t change the password for a long time, the SP system will ask the user to change it.



9. Desktop Management

Save Desktop

Open the window from the “Desktop” Menu.

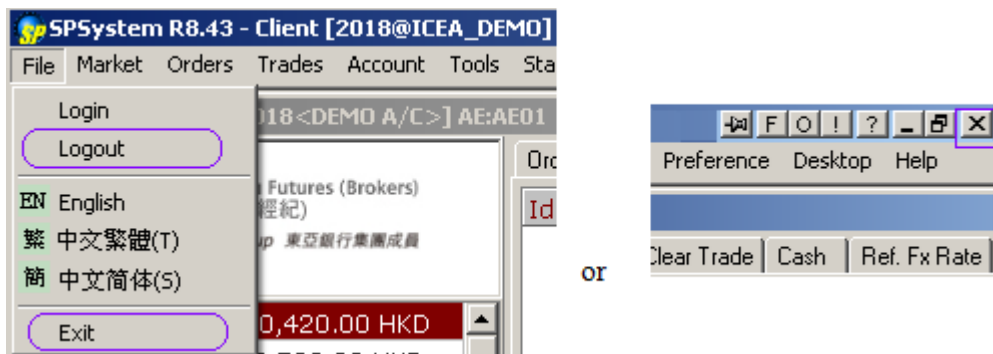


This function can be used not only for saving settings that has been made in “Table Preferences”, but also save the sizes and positions of the opened windows.

10. Logout SP System

Logout or Exit SP system

Select “Logout” or “Close” from “File”, then users can logout the system. Users also can logout the system by clicking the [X] button in the top of right corner.



11. Contact Method

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12. Disclaimer

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