

A large, dark, stylized 'X' graphic with a glowing white outline, positioned diagonally across the center of the page.

HELIX
ENTERPRISE 3

2009 R1

User Manual

H3E 2009R1 User Manual

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Version

This manual covers version 2009R1 of the H3E software for Mac OS X, Linux and Windows.

Conventions in this Manual

A number of conventions have been used during the writing of this manual.

Reference to H3E Features

You will find elements of the application are referred to in Capital letters and ‘Single-Quoted’. Text from buttons is in **bold**.

Quick Tips

Also included are what are referred to as “Quick Tips” in black bordered boxes with grey header boxes and emboldened titles.

Chapters & Titles

A “section page” breaks each chapter and core headings are again in **red + bold**, whilst sub headings are always in **purple + bold**, with further subtitles in **bold**.

Menu Shortcut References

In this manual we refer to shortcuts in the following format:

[button name] + [button name] + [button name]

with as many bracketed button names as is required.

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1: Introduction

About H3E - Helix3 Enterprise

What is H3E?

Helix3 Enterprise was developed as a strong, rapid defense against the forces at work to transfer and destroy data, technology and organizational survivability by attacking our increasingly global computer networks. Unlike layered defense systems that have proven to be effective only against external threats, H3E focuses on addressing the problems of compromised systems caused by malicious insiders or the unsafe network practices of employees.

Using digital surveillance, the H3E system can reveal insider activities such as permission elevation, data exfiltration or the creation of covert data tunnels, and makes remote incident response possible within a matter of minutes.

The Helix3 Enterprise system consists of three main components: the Server, the Console Administration Tool (CAT), and the Agents. In simple terms, the Server acts as the system's headquarters and warehouse facility, the CAT as the command center and the Agents as the skilled employees. You may also choose to utilize an optional Supervisor Server to consolidate views from multiple H3E servers in an enterprise network.

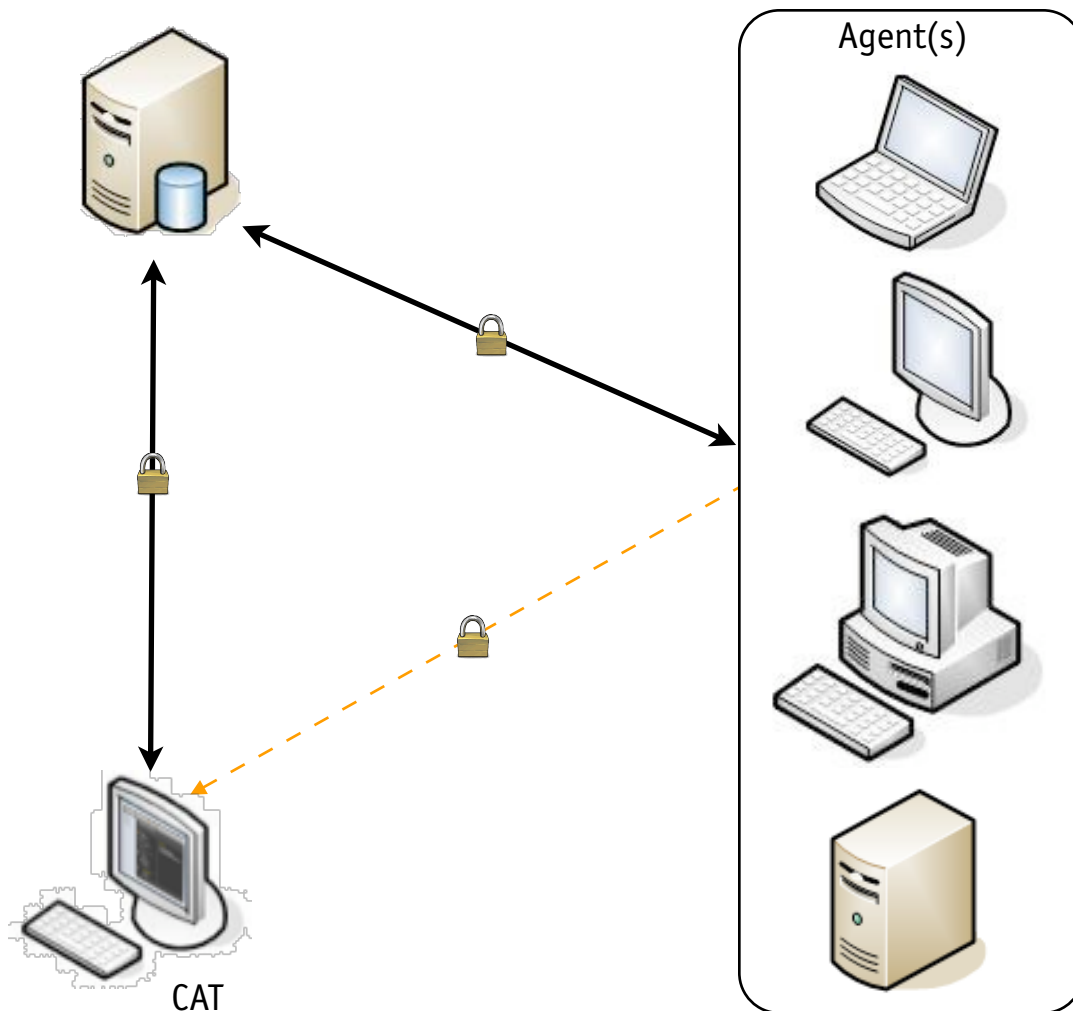
Most interactions between the CAT and Agents on the system are conducted through the Server. On command from the CAT, the Server dispatches a designated number of Agents to monitor, collect and analyze activities on the network and to alert H3E users to suspicious findings. Information is both reported back to the CAT and stored within the Server.

All network communication is encrypted using 256-bit Advanced Encryption Standard (AES), which specifies the cryptographic algorithm for use in protecting electronic data that has been approved by the Federal Information Processing Standards (FIPS). Encryption converts data to an unintelligible form called ciphertext, which is converted back to its original plaintext form during decryption. Information stored within the H3E CAT database also is protected using the 256-bit

AES, as are database passwords. The encryption key is randomized between connections and never is the same twice.

Data retrieval takes place via custom Application Program Interface (API) calls, which means no native operating system commands are executed. Such commands at times are corrupted via malicious logic but hide the corruption to appear valid. H3E uses its own code to audit operating systems and devices and provides highly reliable results.

H3E Server



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2: Getting Started

Basics of Helix3 Enterprise

2.1 Key Features

Among the unique features of the H3E system are the abilities to:

- Acquire live data from across the network
- Image a system's RAM for forensic analysis
- Image a system's physical drives for forensic analysis
- Make screen captures
- Log key strokes for any user on the network
- Search Internet use history
- Search for files based on hash values
- Search Enterprise based on time/date stamps and keywords
- Define mission critical systems
- Preview and copy files from systems
- E-Discovery searching for litigation hold matters

H3E also requires minimal training and provides incident responders with a secure, virtually undetectable system that allows for rapid data collection, analysis and reaction.

2.2 How To Obtain the Latest Version

From the CD

The CD contains versions for Windows, Mac, and Linux. Choose your appropriate platform and install the Server, CAT, agent. Windows installation files are in the form of MSI files. Mac files are packages and Linux files are deb packages.

Downloading From the Web Site

One can also install the latest version of H3E by visiting the official web site at: <http://h3e.e-fense.com>

A download link, along with version information, is accessible on the product page of the site. Simply click the respective link and the file will automatically begin to download to the workstation's desktop, or specified download location.

H3E versions are distributed in a ZIP archive format and can be decompressed with a simple double-click of the file. This will place the decompressed application file in the same location as the original ZIP archive, in this case the desktop.

Having decompressed the application H3E will now be ready for installation.

2.3 System Requirements

	Minimum Requirements	Recommended Requirements
Server	• Microsoft Windows 2003 Server or later • Mac OS X 10.4 or later • Linux Kernel 2.6.15 or later • Dual Core Intel® Xeon® E5205, 6MB Cache, 1.86GHz, 1066MHz FSB • 1 GB 667MHz RAM • 500 GB disk space (SAS or SATA) • Intel PRO 1000PT 1GbE Dual Port NIC	• Microsoft Windows 2003 Server or later • Mac OS X 10.4 or later • Linux Kernel 2.6.15 or later • Quad Core Intel® Xeon® X5460, 2x6MB Cache, 3.16GHz, 1333MHz FSB • 8GB 667MHz RAM • RAID 5 SAS or SATA 750GB • Intel PRO 1000PT 1GbE Dual Port NIC
CAT	• Microsoft Windows XP or later • Mac OS X 10.4 or later • Linux Kernel 2.6.15 or later • 2.2GHz Intel Core 2 Duo processor • 1 GB 667MHz RAM • 20 MB free disk space (extra space required for image transfers)	• Microsoft Windows XP or later • Mac OS X 10.4 or later • Linux Kernel 2.6.15 or later • 2.4GHz Intel Core 2 Duo processor • 2 GB 667MHz RAM • 20 MB free disk space (extra space required for image transfers)
Agent(s)	• Microsoft Windows 2000 or later • 400 MHz Celeron or equivalent • 256 MB RAM • 10 MB free disk space	• Microsoft Windows XP or later • 400 MHz Celeron or equivalent • 256 MB RAM • 40 MB free disk space

Figure 2.1: System Requirements Table

Providing the system with more resources and faster equipment such as faster Processor and Hard Drive can of course improve the performance of H3E where data reading and calculation & verification functions are taking place. For network purposes it is best to ensure that the workstation is enabled with the fastest possible network interface.

2.4 Installation

Selecting a System for Installation

Key questions to consider when selecting a system for installation of H3E include:

- Is the system secure?
- Are you using a protected section of the network with an appropriate number of security features enabled?
- Does the system you are using have sufficient network connectivity?
- With your existing firewall configuration between console and servers, can you establish a TCP connection from the console to the servers on the TCP ports? (that have been configured)
- How many Agents do you want to access and what is the scope of the audits you wish to conduct?

Installing the Server on Windows

Depending on your installation media (CD or Web download) you will need to install the H3E server first. This can be accomplished by locating the SERVER.MSI file from the installation source. Double click the SERVER.MSI file on windows to run the installation. The Install wizard will guide you through the following series of screens:

1. The Initial screen. Click **Next** to continue the installation.

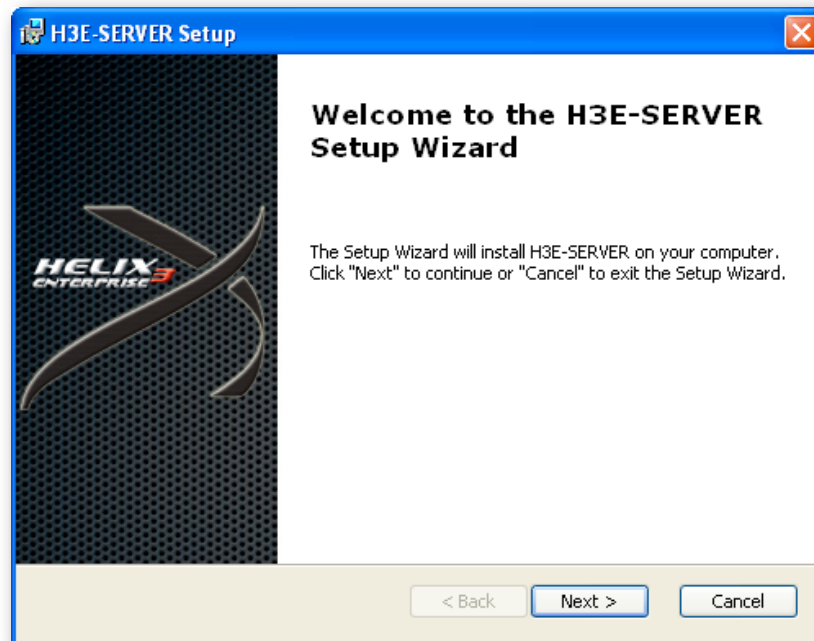


Figure 2.2: Initial Server Install

2. You must accept the End-User License Agreement to continue installation. Click **I accept the terms in the License Agreement**, then **Next**, to do so.

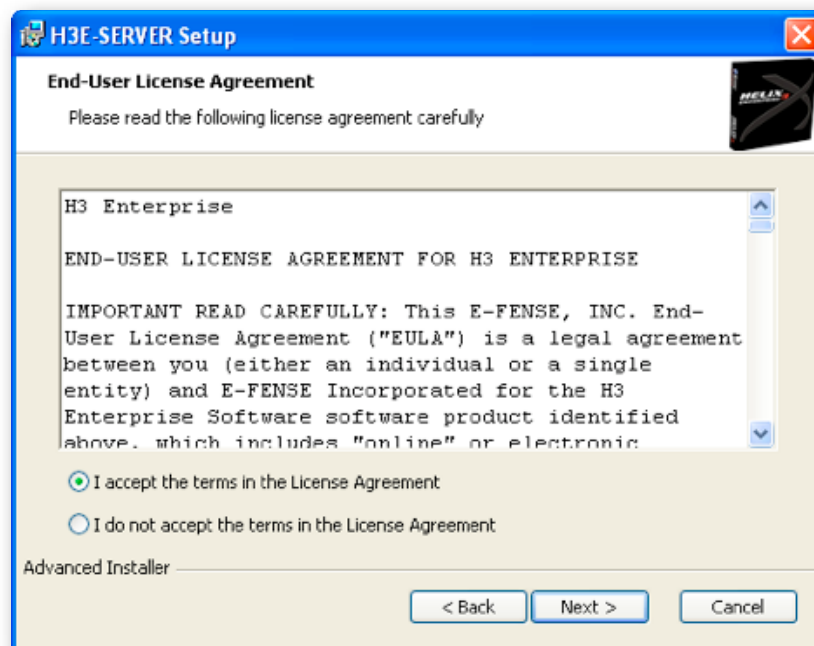


Figure 2.3: Server Install: End-User License Agreement

3. Click **Install** to proceed.

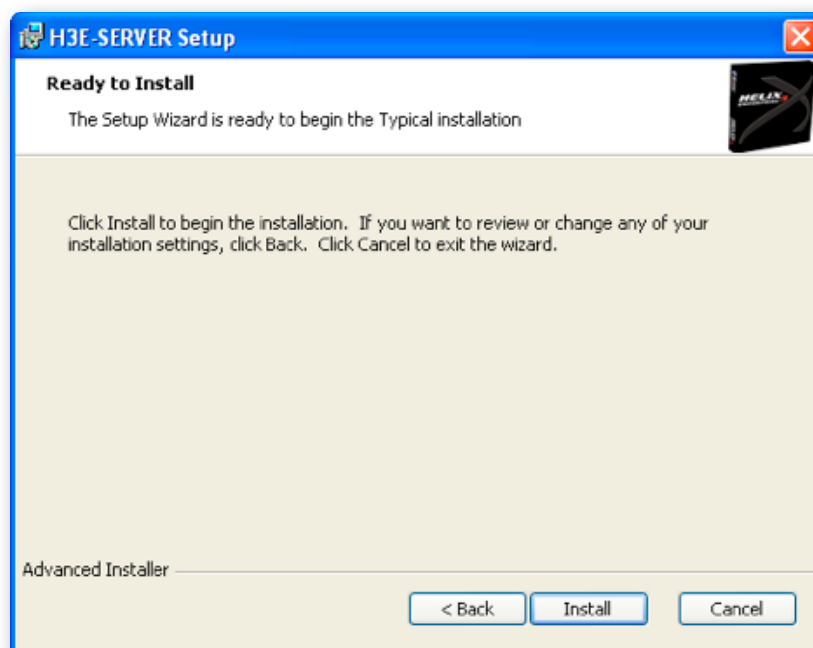


Figure 2.4: Server Installation

4. Click **Finish** to complete the installation.

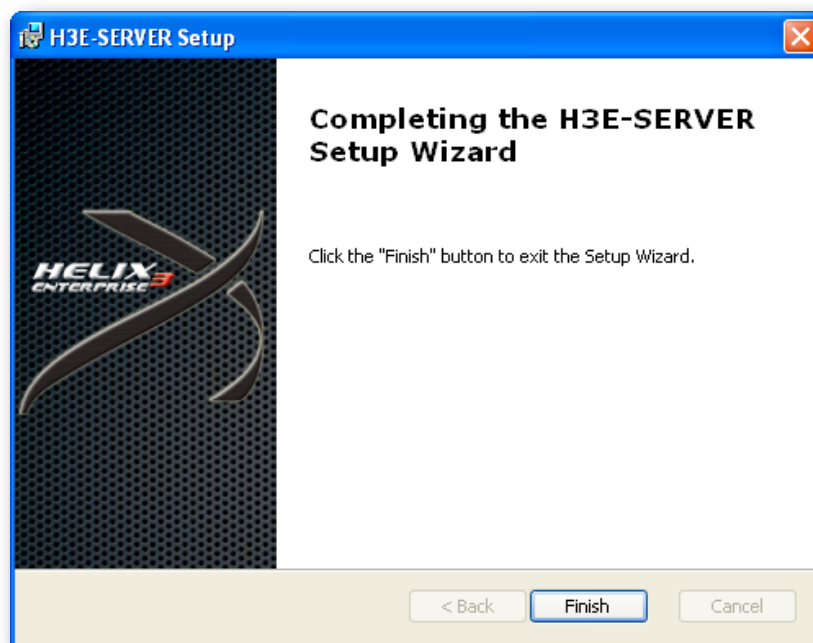


Figure 2.5: Server Installation Complete

Quick Tip: Server Installation Location on Windows

The server has been installed into the following directory:

"C:\Program Files\H3Enterprise."

The server will start automatically after install and whenever the computer reboots.

At this point, the Server will operate only in demo mode and will accept only a single connection from an Agent on the local system. To make the Server fully functional, you must next install the CAT.

Installing the CAT on Windows

The CAT is the main interface a user has to the H3E system. In order to take full advantage of the CAT you will need a system as outlined in the system requirements in section 2.3. It is highly recommend that these CAT system(s) be secure.

You may run as many CAT systems as you would like as they are not limited by the license.

Like the server, the CAT is installed by launching the MSI file. Select the computer you would like to host the CAT and run the CAT.MSI file. The Install wizard will guide you through the following screens:

1. The Initial screen. Click **Next** to start the installation.

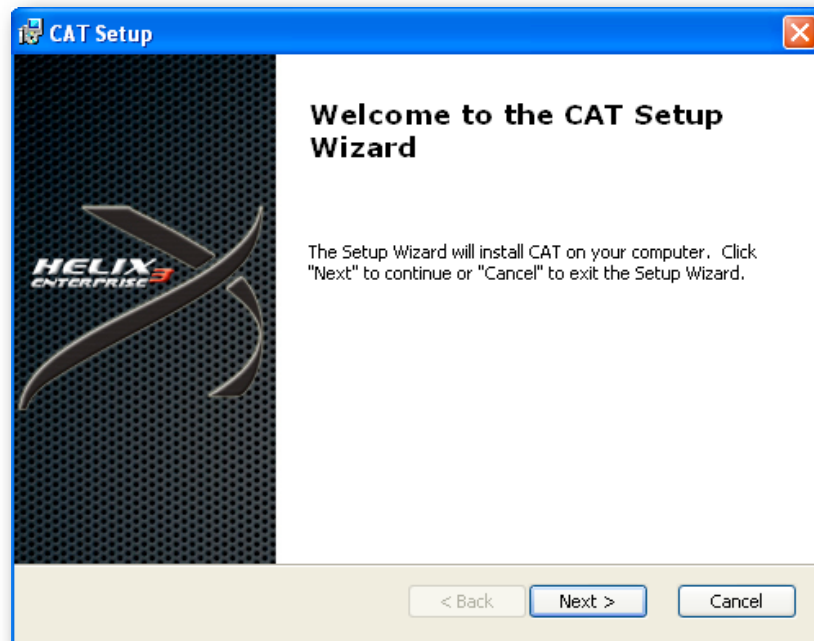


Figure 2.6: Initial CAT Install

2. You must accept the End-User License Agreement to continue installation. Click **I accept the terms in the License Agreement**, then **Next**, to do so.

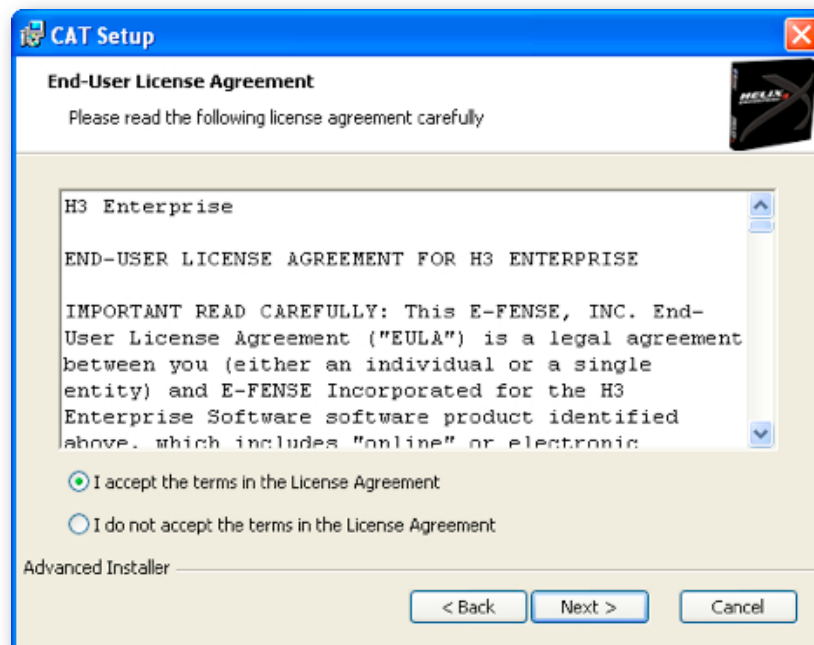


Figure 2.7: CAT Install: End-User License Agreement

3. Select your desired shortcut locations by clicking on the appropriate boxes. The system allows up to four shortcuts. When you have made all your selections, click **Next**.

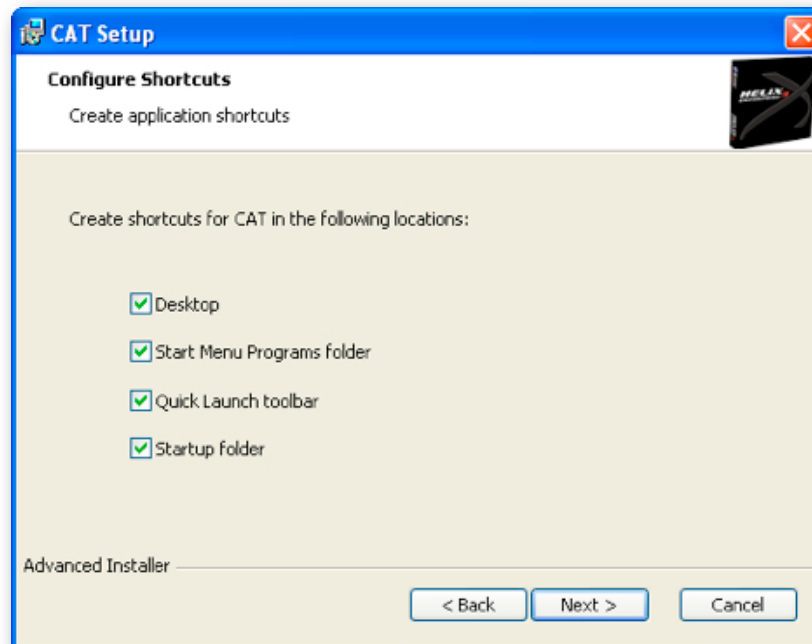


Figure 2.8: CAT Shortcut Configuration

4. Click **Install** to proceed.

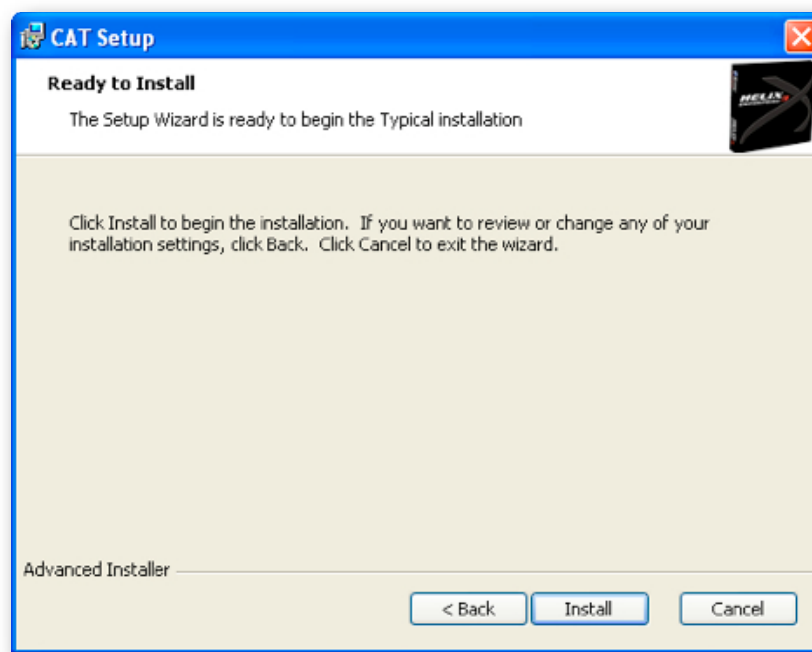


Figure 2.9: CAT Installation

5. Click **Finish** to complete the installation.

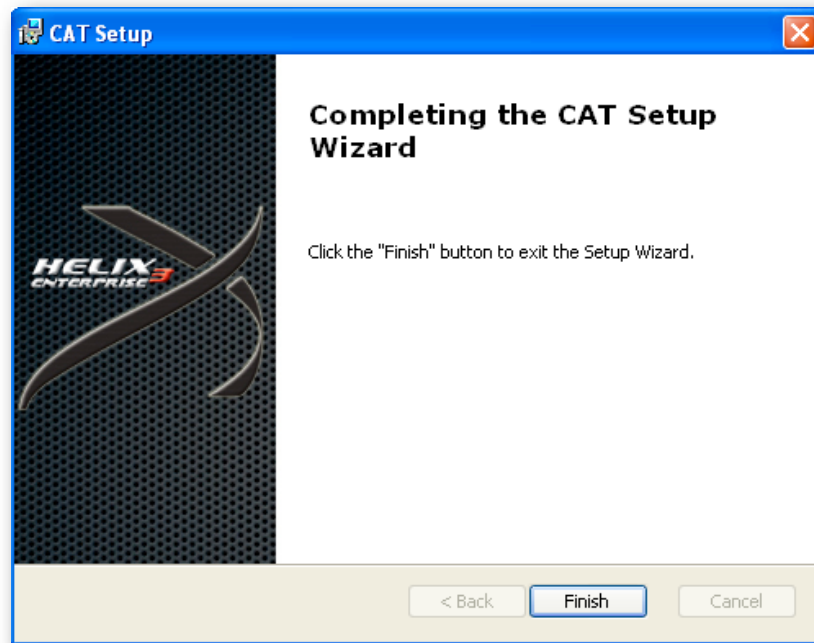


Figure 2.10: CAT Installation Complete

At this point, both the Server and the CAT will operate only in demo mode. Agent connections are accepted only from the same systems as the Server (local host) and time out after two hours. To make the system fully operational you must next register the Server.

Quick Tip: CAT Installation Location on Windows

The CAT has been installed into the following directory:
"C:\Program Files\H3Enterprise."

Registering the Server

Once you have registered your Server, it will accept Agents from throughout the network and no longer will time out after two hours.

To register the Server you must:

1. Install the Server as directed in section 2.4.
2. Install the CAT as directed in section 2.4.
3. Start up the CAT by double clicking the CAT icon and log in using “Admin” (case sensitive) as the default username and the default password. Change from the defaults to your own account name and password as soon as possible to eliminate the risk of unauthorized use (see 4.1).

You will initially see the H3E splash which will show you the current version.

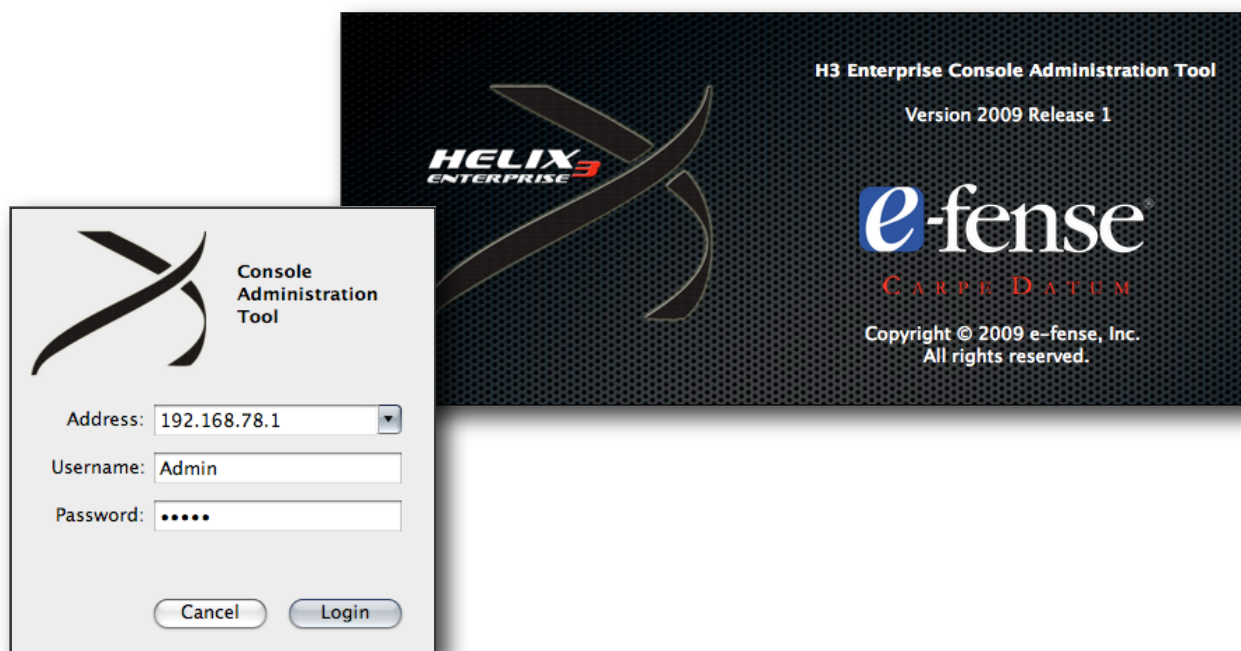


Figure 2.11: H3E Splash & Login

Quick Tip: Default Username/Password

In order to access the CAT for the first time you will need to login in with the username of “**Admin**” and the password of “**Admin**”. *

**These are case sensitive.*

4. Once you have successfully logged in, select ‘Help’ from the menu bar, then choose ‘Enter License Key’ from the drop menu.

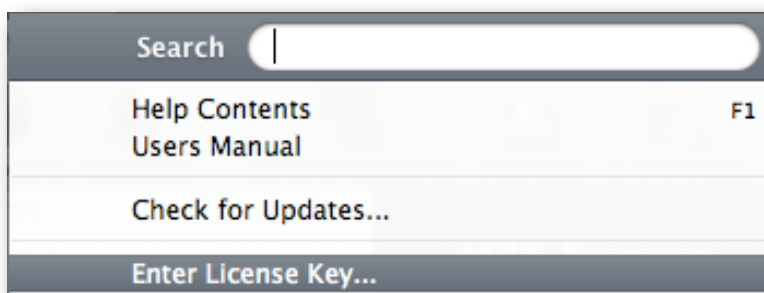


Figure 2.12: Enter License Key...

5. The license key window will appear. Paste or type in the username and license key that arrived in your H3E CD-ROM packet, a key can be emailed to you upon request, then choose **Register**.

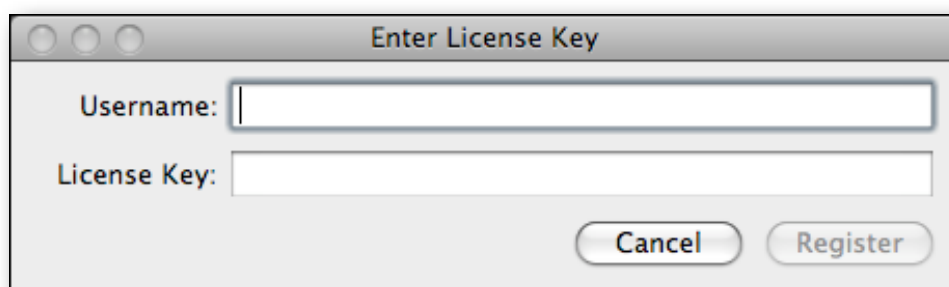


Figure 2.13: Enter License Key from Help Menu

Once a valid username and license key have been entered, a dialog box will appear thanking you for registering.

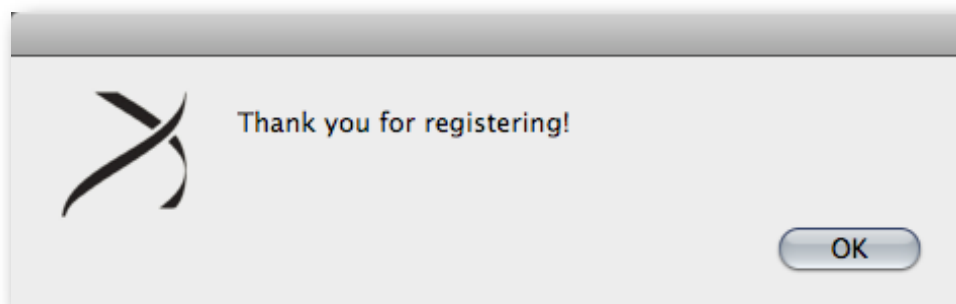


Figure 2.14: Register License Key

6. Registration is complete. You may now begin installing Agents.

Quick Tip: License Limitations

Up to 250 Agents can be installed on a single Server using a single license key. If you wish to use more than one Server to host your Agents, or if your network is large enough that you wish to install more than 250 Agents, you must request a second license key via e-mail and repeat the registration process using that license key as well.

Installing Agents

Agents must be installed before an incident in order for the H3E system to function as intended, most importantly because doing so significantly reduces the risk of losing crucial information from the RAM or hard drive during incident response.

Installing Agents before they are needed guarantees the system is ready to harvest critical, time-sensitive information without contaminating potential evidence.

Agents can be installed on any or all system workstations simultaneously via an existing software distribution tool. A second option is to install Agents manually on any or all system workstations, one at a time.

Manual Installation

Manual installation requires that the user run the AGENT.MSI file on each computer or workstation.

The following components are necessary for successful manual installation of Agents:

- Physical access to the target system
- Login ability (admin permissions) to the target system to carry out the actual installation
- A target system that meets the system requirements (see section 2.1)
- Ports not already in use on the target system and thus available for Agents
- A local firewall that, if enabled, does not block the Agents from operating. Microsoft Windows firewall will block certain packets required by H3E, so it is best to configure the firewall to allow H3E.

Manual installation requires that the user proceed through similar installation steps outlined for the Server and the CAT. When deploying MSI installation packages through GPO or SMS or simply to your clients, you may want to make them silent. The AGENT.MSI file has been created to facilitate a silent install.

You can also choose to push the msi file out using Microsoft's 'psexec' command line utility and then run the 'msiexec' command.

Quick Tip: MSIEXEC Command line options

Parameters which affect the user interface for msixec:

- full UI: **/qf** (default parameter used by the package)
- reduced UI: **/qr** (UI does not show any wizard dialogs)
- basic UI: **/qb, /passive** (only a progress bar will be shown)
- no UI: **/qn, /quiet** (no UI will be shown)

If you choose to manually install the agent on each machine then a very simple dialog box will appear while the install takes place:

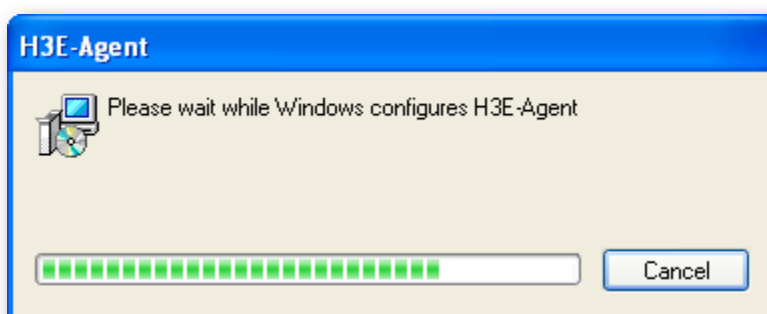


Figure 2.15: Initial Agent Install

Quick Tip: Agent Installation Location on Windows

The agent has been installed into the following directory:
"C:\Program Files\H3Enterprise."

The agent is called h3e-sma and runs as a windows service. The service is displayed as "Service Monitor Agent."

Software Management Installation

A software distribution tool allows a user to install Agents from a single source on the network. The tool, when run, pushes the Agents to workstations throughout the system. Examples of software distribution tools include SMS (for Windows), Tivoli, HP Open View or Hercules. Each type of software management carries its own instructions, but most should be compatible with H3E.

Agents operate as routine system processes and do not degrade system performance once installed. Please refer to the users' guides for your particular software management system for further guidance.

Key considerations for use with any management system include:

- Will you be using one or multiple software packages?
- Security configurations for all Agents must be identical.
- Agent configuration differs depending on the network and number of Servers.
- When multiple software packages are used so that varied configurations are possible, a method for associating Agents with Servers must be established.

Installing the Server/CAT on Mac OS X

The CAT is the main interface a user has to the H3E system. In order to take full advantage of the CAT you will need a system as outlined in the system requirements in section 2.3. It is highly recommend that these CAT system(s) be secure.

You may run as many CAT systems as you would like as they are not limited by the license.

The server and the CAT are installed by launching the Mac OS X package files. In order to install them simply double click on the SERVER.PKG file or the CAT.PKG file and you will be presented with the following dialogs:

1. The initial installer screen will be the same for the CAT as well as the H3E server. You will see the introduction page letting you know that you are about to install H3E.

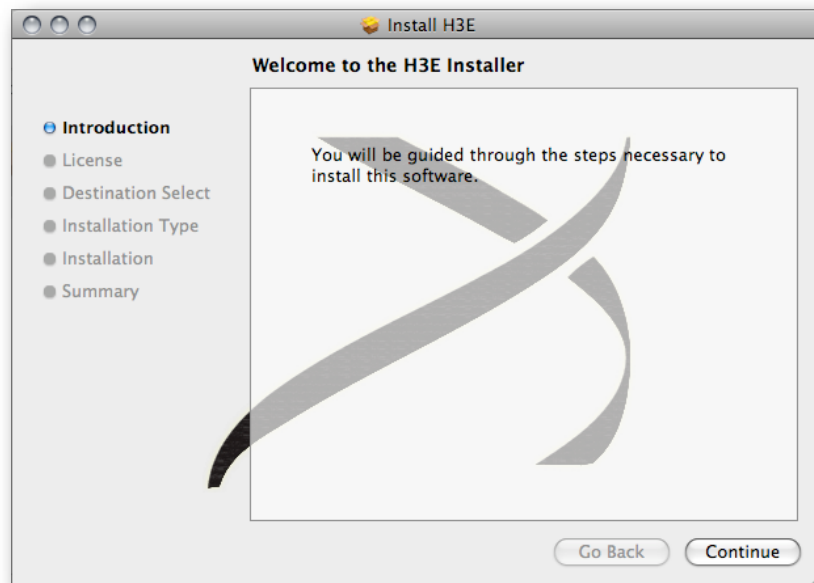


Figure 2.16: Mac OS X CAT Installation

2. Read and accept the EULA and click on **Continue**.



Figure 2.17: Mac OS X CAT Installation EULA Agreement

3. Select **Install** to proceed. This will install in the default location of /Applications.

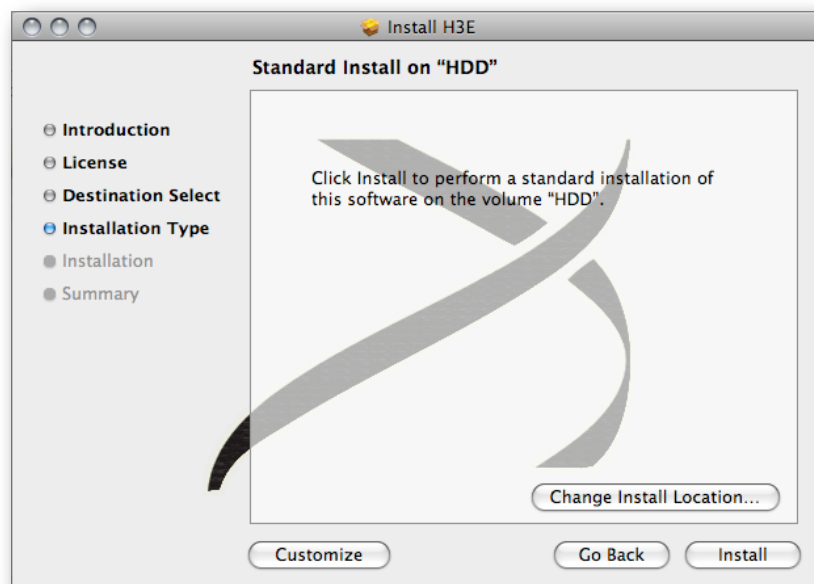


Figure 2.18: Mac OS X CAT Installation location

4. You have to enter the user password to install the package.

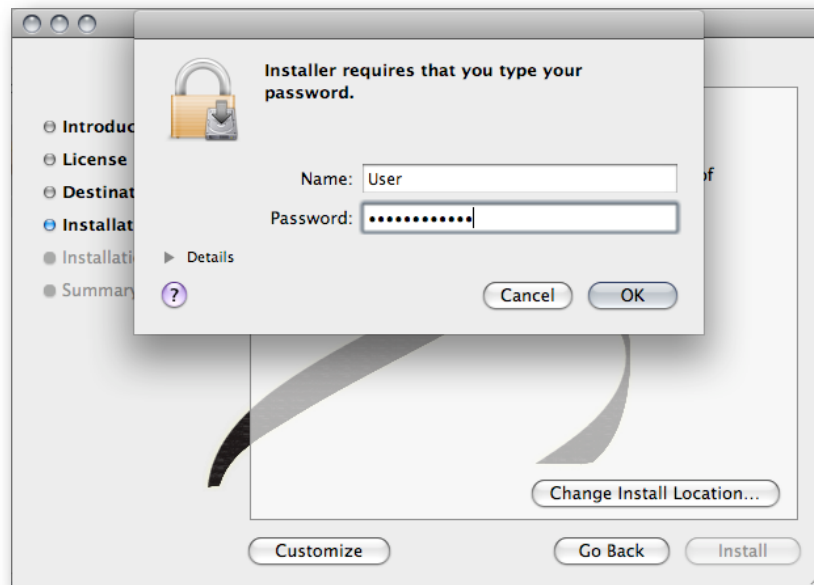


Figure 2.19: Mac OS X CAT Installation Admin Password entry

5. Installation progress.

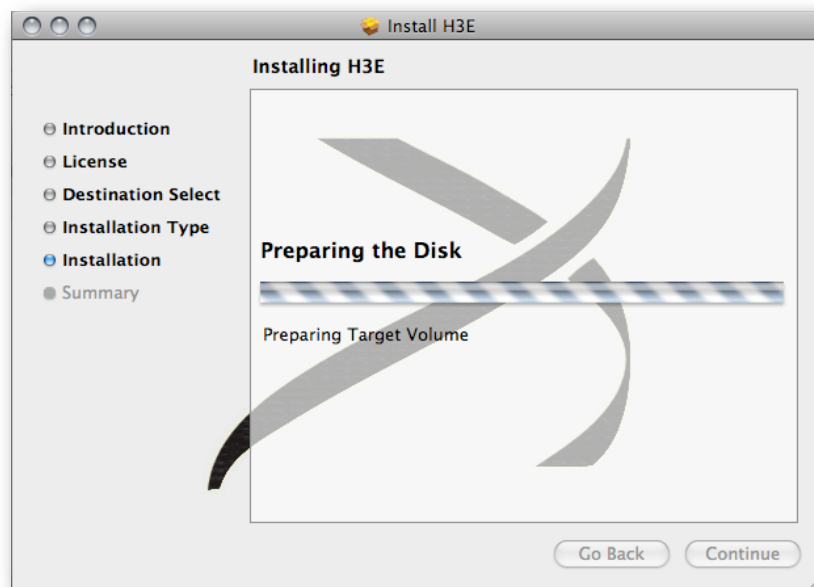


Figure 2.20: Mac OS X CAT Installation Process

6. Installation Success.

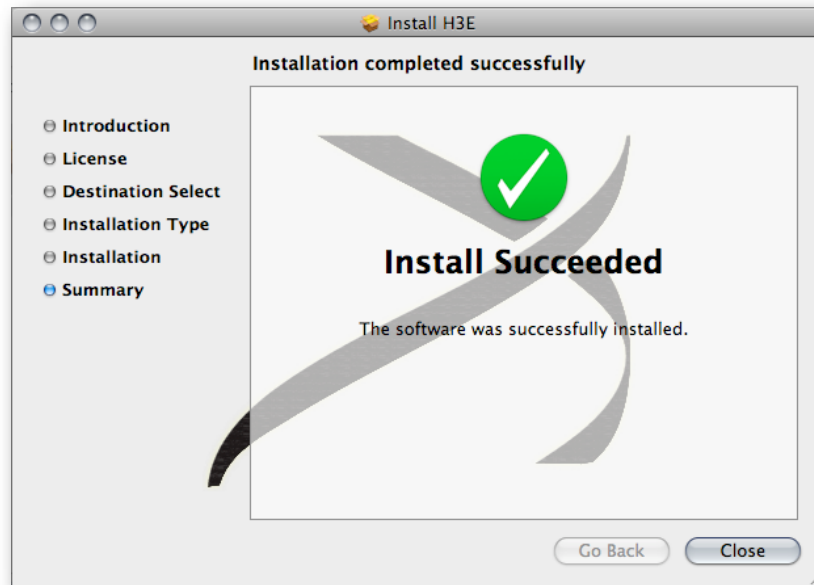


Figure 2.21: Mac OS X CAT Installation success

Currently agents for the H3E system do not exist for the Mac OS X or Linux platforms. So there are no installation files for them.

Quick Tip: Standard File Locations (Mac OS X)

H3E installs all of its files in standard default locations:

- Application stored in /Applications/H3E
- User preferences are stored in ~/Library/Preferences/
- Files are stored in ~/Library/Application Support/

3: System Architecture

H3E Basics

Server Overview



All data exchanged between the CATs and the Agents passes through the Server, except during the transfer of large image files, such as a RAM, when the CAT and Agents communicate directly. The Server also acts as the central repository for all data collected by the system's Agents. The Server authenticates and routes commands from the CAT to the Agents on a network, then simultaneously forwards data responses back to the CAT and stores them in the internal SQL database.

Server Database

Once the Server has been installed successfully, the system automatically knows the H3E database is running. No separate installation or configuration is necessary, even after a system crash or power failure. Unlike SQL database engines that require programs to interact with the Server in requesting and receiving information, H3E allows programs to read and write directly from the database files on disk.

Server Settings

The Server is a running service listed in the process list as H3E-Server. The Server is linked by TCP connection to the CAT and to the Agents. Default settings have the Server listening for CAT communications on TCP port 59345 and for Agent communications on TCP port 9010. All ports are user configurable.

Console Administration Tool (CAT) Overview



The CAT initiates all connections involved in a network-based audit. Communicating through the H3E Server, the CAT manages any Agent groups approved by the Server administrator, whether they are located on the internal network or elsewhere. This function allows the user to view operations on network workstations hosting Agents and also ensures analysts can access only those Agents within their areas of responsibility.

The size and amount of data sent from CAT to Agent via the Server is small, but audit results returned vary in size based on the scope of the request and the amount of data available on the target system. Typical audits have ranges of around 500KB. The CAT is a stand-alone device and does not interfere with the administration, distribution or installation of software patch management solutions.

CAT Graphical User Interface (GUI)

The CAT appears as two panes on the monitor screen, the Host or Agent pane and the Content pane. Both use a simple point and click process for configuration and operation.

The Host pane appears on the left side of the main CAT screen and contains a list of all network nodes (agents). The agents will be listed by Internet Protocol (IP) address by default. These IP addresses represent both a Host and an Agent. A Host is a computer that is turned on and available for use on the network, while an Agent is a component of the H3E system that resides on the associated Host and gathers information about that Host when directed to do so.

The Content pane appears on the right side of the main CAT screen and contains the Dashboard, Chat window, Case window, Incident Response, Forensics Results,

Reporting window, and E-Discovery window. This CAT screen is the starting point for requesting and reviewing information from Agents on the network.

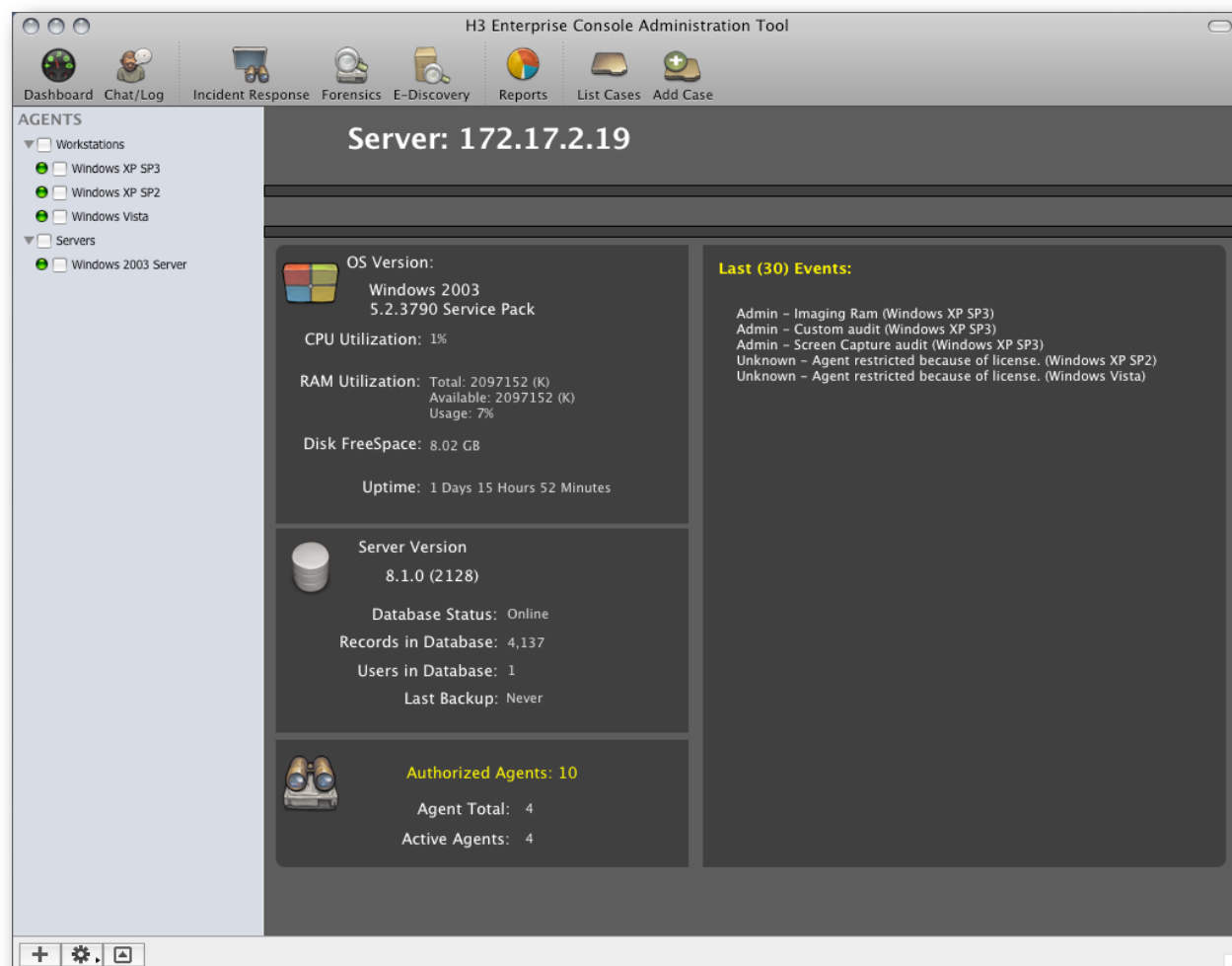


Figure 3.4: CAT Graphical User Interface

The Agents



The H3E Agents are called into action whenever a system user suspects malicious activity on the network or must respond to an incident that already has occurred. The CAT establishes an encrypted link with the Agent and commands that the Agent return, such information as Internet use history, user keystrokes or screen captures from the target.

Agents remain invisible to the user by masquerading as routine processes on the workstation; no icons appear in the system tray or tool areas. Agents can respond only to commands from a designated CAT via encrypted TCP/UDP communication and do not interfere with the operation of anti-virus engines or other detection applications.

The amount of network traffic generated by Agents is minimal and highly configurable by the user. A user may set the system to return data by the minute, hour, upon system start-up or only upon demand. Data is returned by the Agents in XML-formatted text files averaging about 3KB in size, with screen captures requiring about 47KB.

Agent configurations, which establish critical communication settings, can be determined or adjusted on the main CAT screen.

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4: User Interface

Understanding the H3E UI

Interface Design

All activity on the H3E system begins on the main CAT screen. Essentially, a user visits the Host pane to initiate requests and the Content pane to view the results returned from those requests.

The CAT is broken down into 4 areas:

- The Toolbar (Area 1.)
- The Host (Agent) Pane (Area 2.)
- The Content Pane (Area 3.)
- The Status Bar (Area 4.)

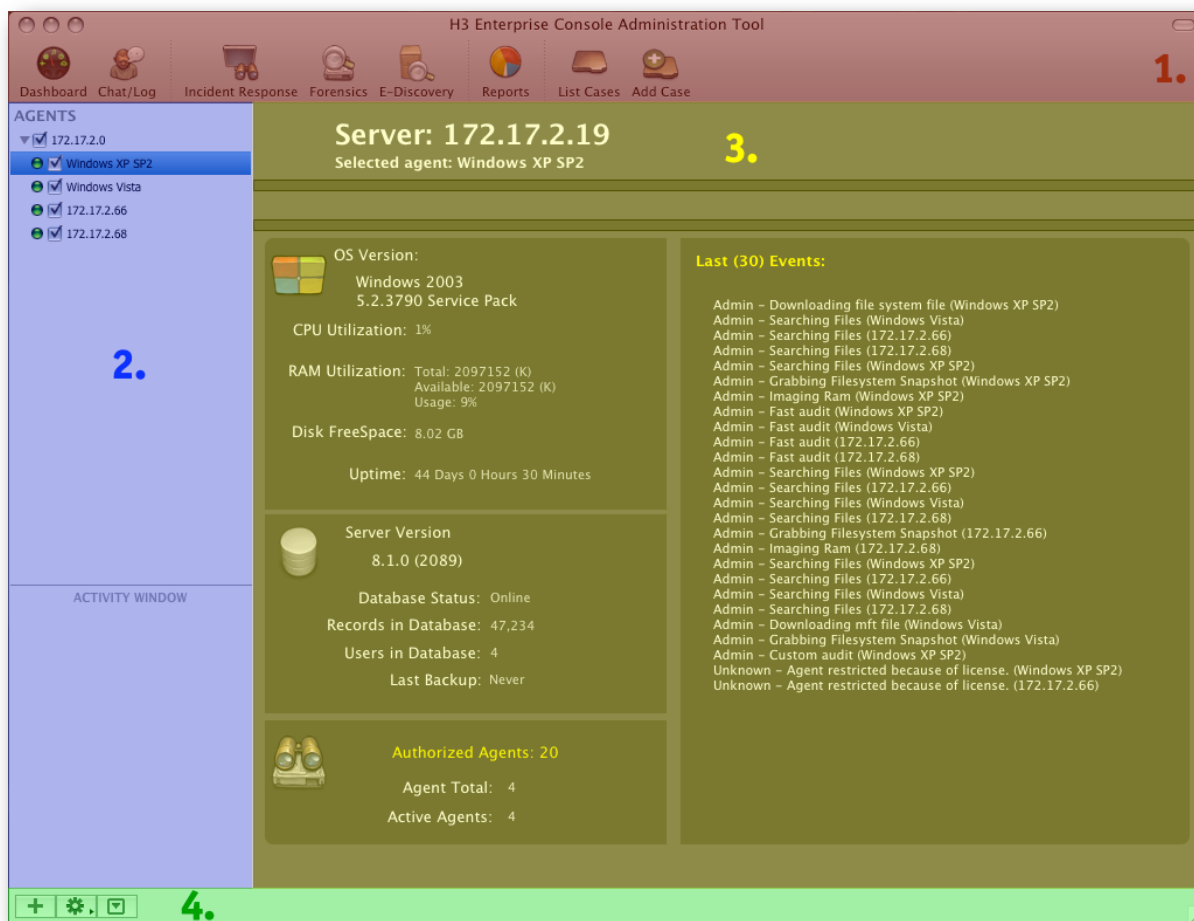


Figure 4.1: Four areas of the CAT Graphical User Interface

Tool Bar

The tool bar is the means to navigate the different windows within the CAT. There are 8 options on the menu bar by default. They are in order:

- Dashboard
- Chat/Log
- Incident Response
- Forensics
- E-Discovery
- Reports
- List Cases
- Add Case

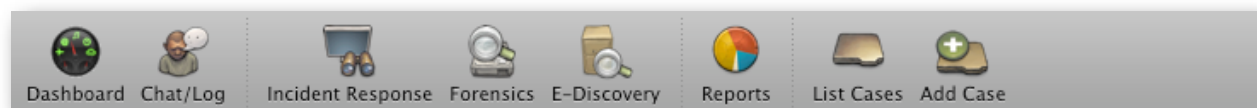


Figure 4.2: CAT Menu Bar

Clicking on one of the options in the toolbar will take you to that particular option within the content pane (area 3 on figure X.) Each option will be discussed in detail later in this manual.

Host (Agent) Pane

The Host pane offers a hierarchical list of all agents in existence on the network. The system users can group agents, or Internet Protocol (IP) addresses, into an order that reflects the organizational structures of their particular networks. A user can move any IP address to another location in the list simply by grabbing and dragging it.

Agents initially appear in the host pane as their numerical IP address, however the IP address can be changed to something more meaningful by holding down the SHIFT key and double clicking the left mouse button. The name field will change to an edit field whereby the new name can be entered.

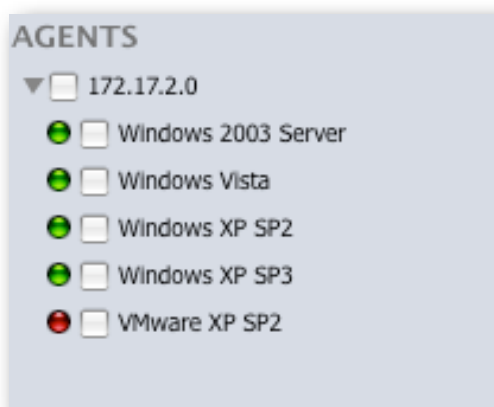


Figure 4.3: Host Pane

The Host pane is the starting point for conducting audits, imaging RAM or disks, monitoring devices or conducting searches on your network. Before initiating any of these activities, you may want to set some preferences and configurations using the Preferences menu.

Content Pane

The Content pane, located on the right side of the main CAT screen, is where all the recovered data is displayed for analysis. The Content pane has many areas which are all accessible from the tool bar.

In fact six of the eight items on the toolbar directly effect the view of the content pane. In order to view the different areas of content simply left click the mouse on one of the icon buttons in the toolbar and that area will become available in the content pane.

The default view of the content pane is the dashboard. The dashboard, like the dashboard of a car, provides a quick overview of activity on the Server to which the CAT is connected. Consider this the home screen.

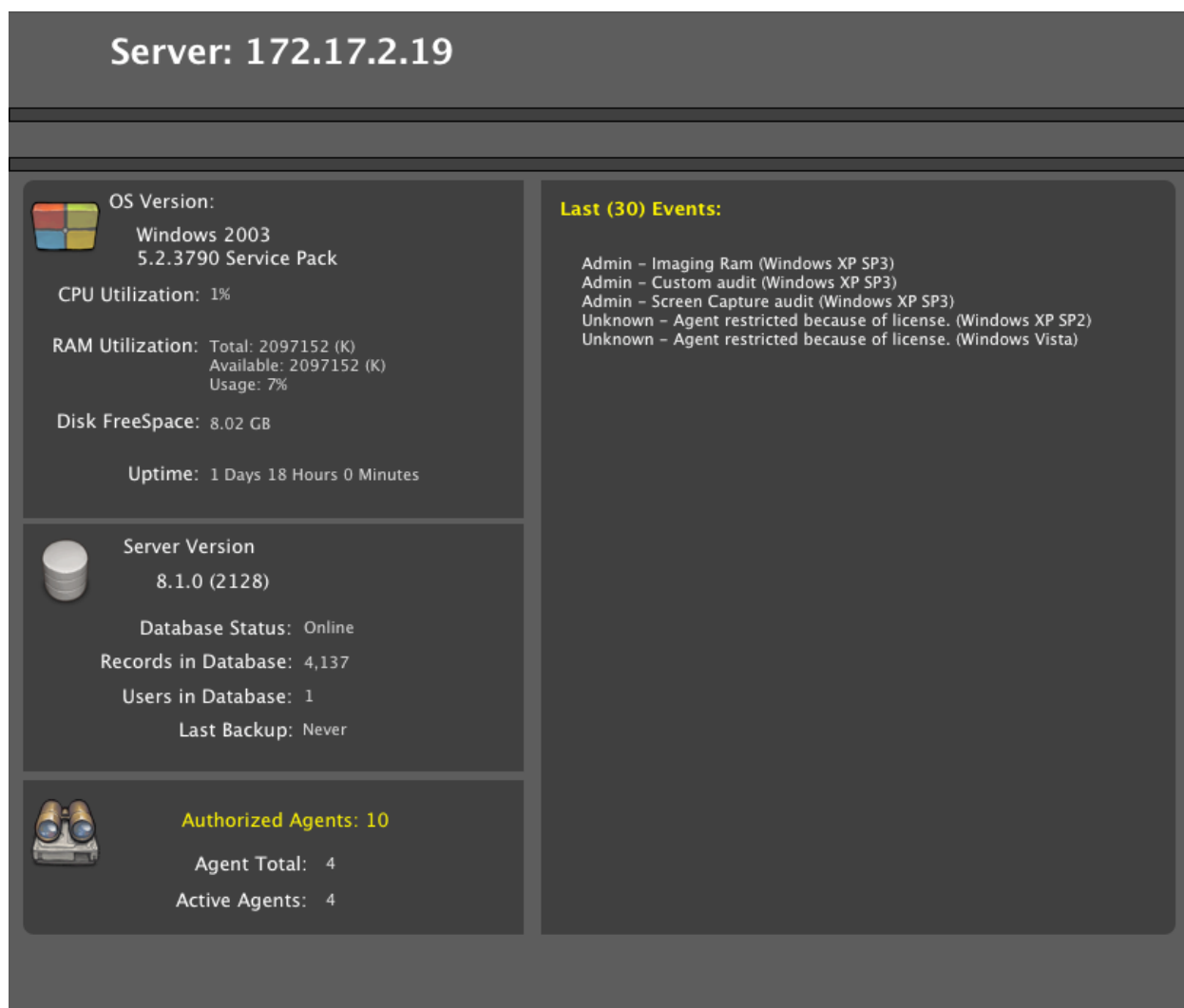


Figure 4.4: Content pane displaying the dashboard

Status Bar

The status bar contains status information as well as notification and system buttons. There are three (3) buttons on the status bar which enable you to conduct certain activity.

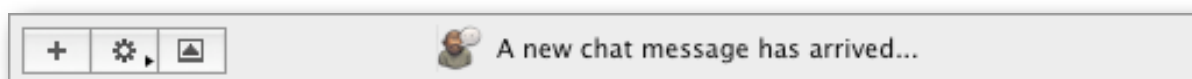


Figure 4.5: CAT Status Bar

First is the '+' button. This button allows you to create a new network folder on the host pane.

When you click on the '+' button the 'Add Network' window will appear. This window allows network folders (named folders) to be created on the host pane or even parent networks to be created.



Figure 4.6: Add network folder window



The gear button allows for quick action items such as renaming a agent, or clearing the activity viewer. By clicking on gear button a menu will appear which will allow you to either rename a selected agent, configure a selected agent or to clear the activity viewer.

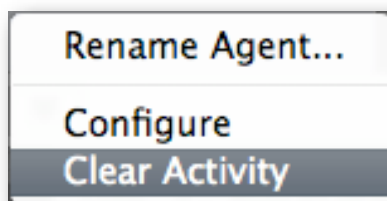
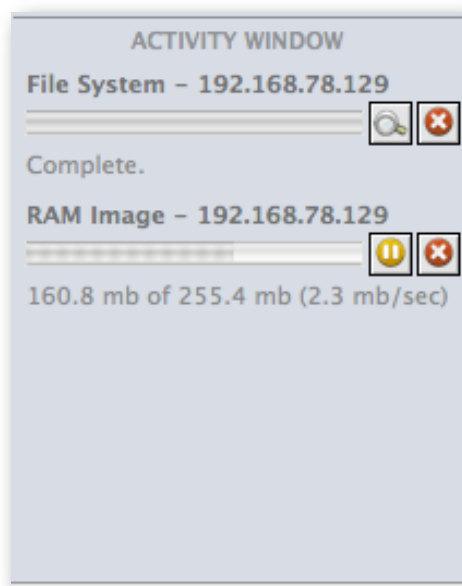


Figure 4.7: status Bar Menu



The arrow button will either show or hide the activity window within the host pane. When the activity window is visible any activity that is conducted will be visible in this window. You can also pause certain actions and restart them at will.



When an activity is finished you will be notified that that activity is completed and you can click on the magnifying glass icon which is called the revealer and be taken to the results of that audit.

You can stop running audits by clicking on the 'stop' icon. If you click on the 'stop' icon on a finished audit you will clear it from the list.

Figure 4.8: CAT Activity Window

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5: User Interface II

H3E Contextual Menu options

System Menu

The System menu launches such key features of H3E as conducting audits, imaging RAM or disks, monitoring devices or conducting searches.

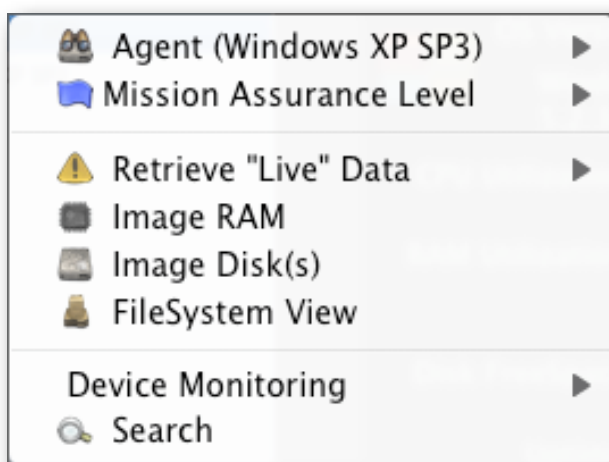


Figure 5.1: Agent Menu

To access the System menu, select an Agent from the Host pane with a left click or a mark in the check box beside the entry. Then right click on the selected Agent and the contextual menu will appear.

Agent menu options

The first option on the contextual menu is **Agent**. This option has a submenu that contains many options.

The options in this submenu allow you to start or stop an Agent, or wake an agent. When you stop an agent using the **Stop Agent** menu item, the agent will suspend itself and the agent icon in the agent pane will turn red signifying it has stopped. You can restart the agent using the **Start Agent** menu item. The **Wake Agent** forces the agent to beacon in immediately.

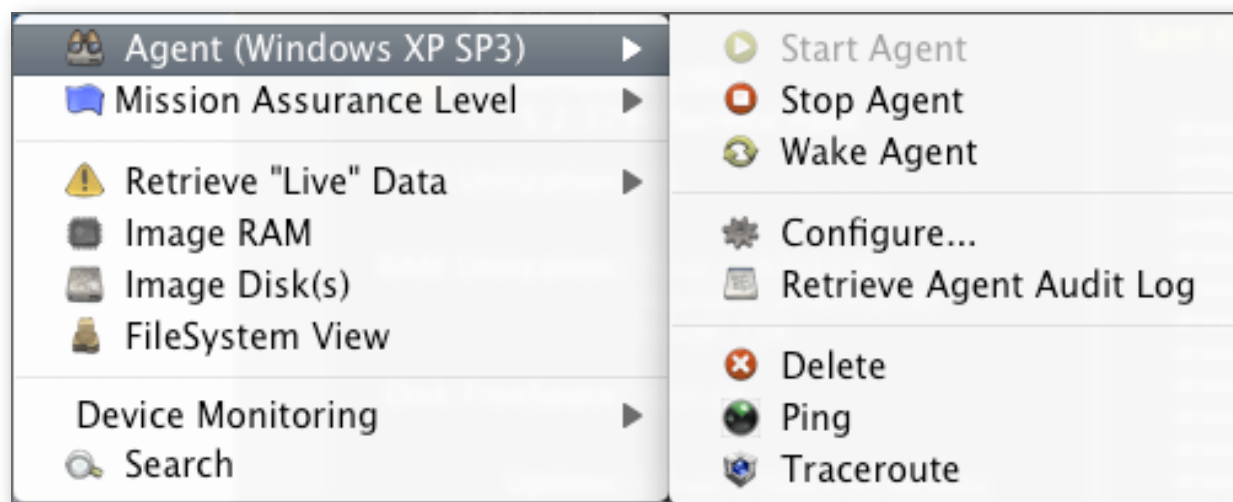


Figure 5.2: Agent Start/Stop and Configuration

To configure an agent first select an Agent and right click to bring up the System menu. Then select **Configure...** from the drop menu to bring up the Agent Configuration window:

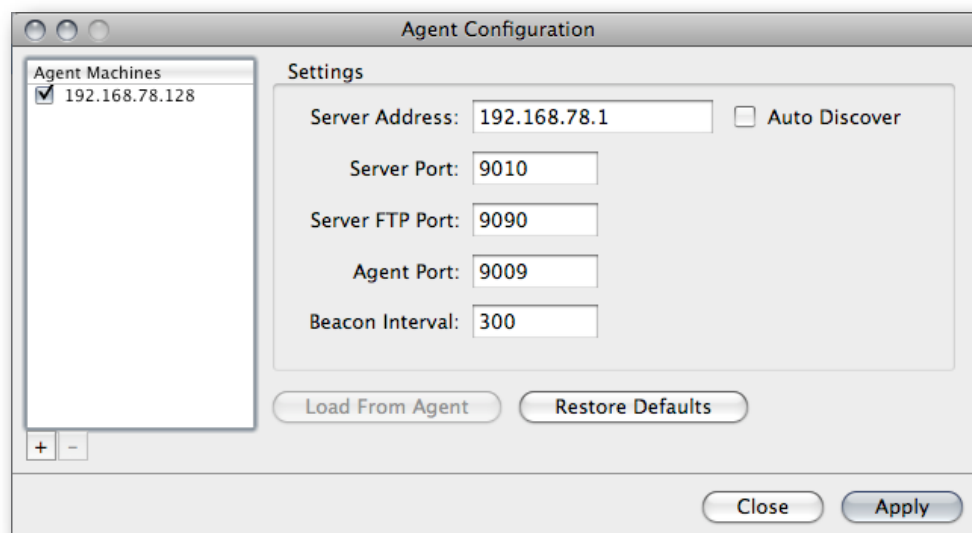


Figure 5.3: Agent Configuration Window

Once Agents have been installed successfully, the IP addresses should appear automatically in the box on the left side of the Agent Configuration window. The + and - buttons below the agent list box allow you to add or delete Agents from this list.

The options listed in the middle of the Agent Configuration screen are similar to those available through the Preferences menu but include some additional key communication settings.

Here you can select the following:

- Console Address (CA/DNS Name or IP Address)
- Console TCP Port
- Console FTP Port
- Agent TCP Port (not set through the Options menu)
- Beacon Interval (Agent Idle Time on the Options menu)

The port settings relate to asynchronous communication between the CAT and Agents, while the CAT FTP Port is dedicated for the transfer of files. You may choose to load the values set by the system by clicking on the **Load From Agent** button.

Agents are configured to beacon the CAT upon workstation start. The beacon updates the DashBoard display to reflect active status on the network. Users can change the configuration to direct Agents to beacon on demand or at any desired interval. The beacon automatically restarts in the event of a system crash.

The **Auto Discover** checkbox allows the agents to discover H3E server automatically. The first server that is auto discovered will be used by the agent(s) unless otherwise directed.

If you would like to view all of the audits ever conducted on an agent then simply select the 'Retrieve Agent Audit Log' item and a new window will appear showing every audit along with details of the audit:

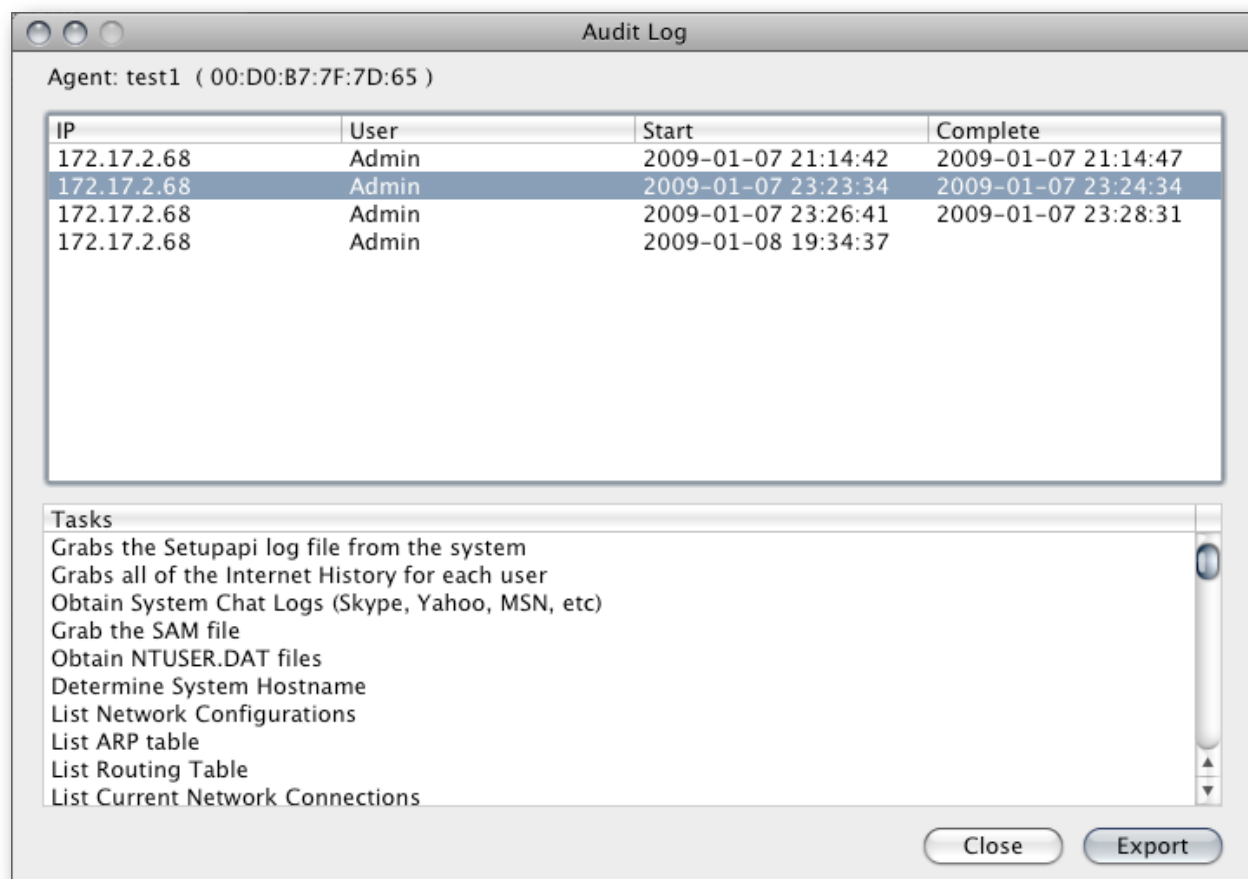


Figure 5.4: Agent Audit Log Window

You can export the highlighted audit log by clicking on the **Export** button. The exported file is a simple txt file of the selected audit.

The host options (Delete, Ping, Traceroute) features relate to the physical location, or computer workstation, where the Agent resides and to its functioning. Here the user can delete an Agent from the Host pane, or remove a computer workstation that no longer is available on the network.

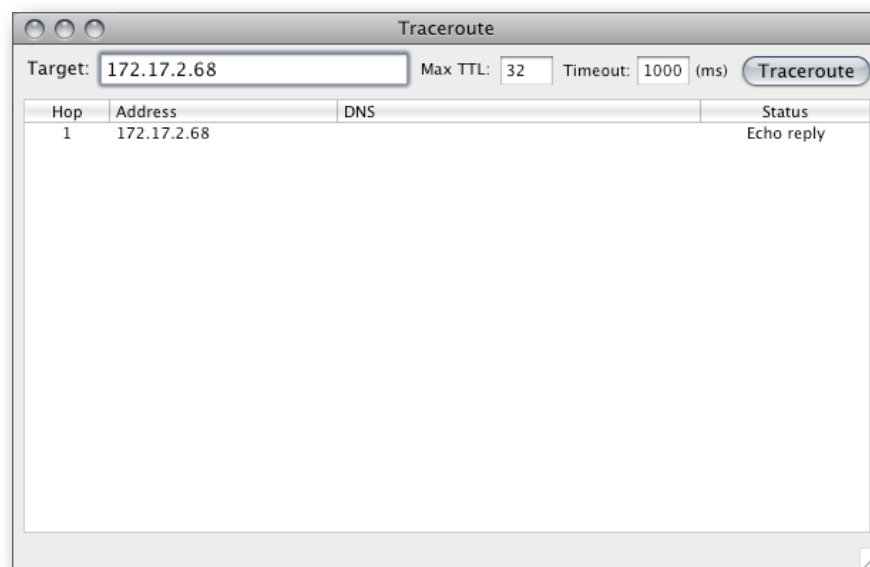


Figure 5.5: Traceroute Window

Other options on this menu allow the user to ping an Agent when there appears to be a network communications problem or conduct a traceroute to an Agent.

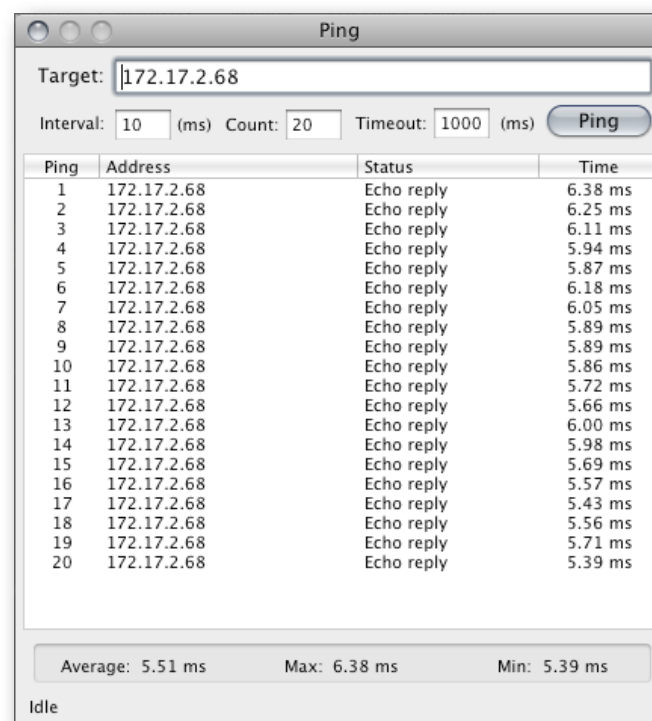


Figure 5.6: Ping Window

Mission Assurance Criticality (MAC) Level

Each Agent listed on the Host pane can appear with a flag to the right of its name. This flag represents the Mission Assurance Criticality or the Information Assurance Methodology (MAC/IAM) level described in chapter seven under “Mission Assurance Categories.”

You may assign a MAC/IAM level using this option on the Agent Menu. To do so, select the Agent from the list with a right click, select **Mission Assurance Level** from the drop menu, then select the appropriate level for that host.

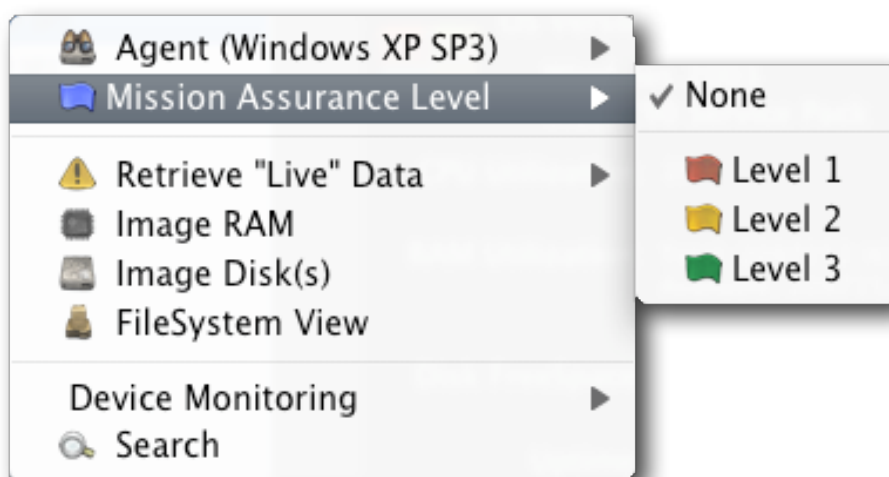


Figure 5.7: Mission Assurance Level

The flags that appear beside the IP addresses in the host pane represent the corresponding levels:

Level 1 – Red

Level 2 – Yellow

Level 3 – Green

Levels are defined in section chapter seven of this manual.

By default agents are not assigned a MAC/IAM level and they will not display a flag next to their name or IP address in the host pane.

Auditing (Incident Response)

The primary feature of H3E is auditing of any activity on a network. To begin an audit, select an Agent or Agents from the Host pane. If the audit is to include a single Agent, simply highlight that Agent with a left click. If the audit is to include multiple Agents, select each by checking the box next to its identifying information.

Once you have finished selecting Agents for inclusion in an audit, use a right click to call up the System drop menu, and from that menu select **Retrieve "Live" Data**. Three options will appear: **Fast Capture**, **Normal Capture** or **Custom Capture**. Both fast and normal captures begin immediately once either is selected. A Fast Capture takes about 5 seconds to complete, and a Normal Capture takes about one minute.

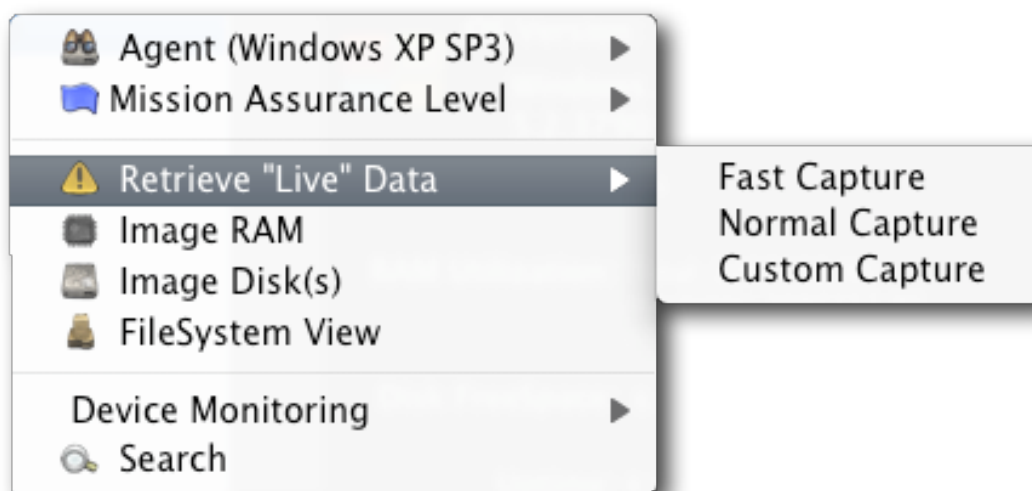


Figure 5.8: Auditing: Retrieve Live Data Options

The following table shows the information retrieved by either a Normal or a Fast Capture:

Data Element	Category	Normal Capture	Fast Capture
Determine System Hostname	network		X
List Network Configurations	network		X
List Routing Table	network		X
List ARP Table	network		X
List Current Network Connections	network	X	

Data Element	Category	Normal Capture	Fast Capture
List All Processes	process	X	
List All Services	services	X	
Extract Windows Clipboard (Text Input Only)	memory		X
List Installed Drivers	process	X	
List Installed Applications	process	X	
Show Volume Info	misc	X	
Get Environment Variables	memory	X	
Collect Server Uptime	misc		X
Show User Current Identity	users		X
Generate Desktop Screen Capture	misc		X
Obtain Application Event Log	log	X	
Obtain Security Event Log	log	X	
Obtain System Event Log	log	X	
Show Network SMB Data	file	X	
Grabs the Recent Folders/Files Listing	file	X	
Grabs the Setupapi Log File from the System	file		X
Grabs all of the Internet History for Each User	file	X	
Grabs the Office Recent Folder	file	X	
Dump Startup Run Registry	file		X
Dump Startup RunOnce Registry	registry		X
Dump Startup RunOnceEx Registry	registry		X
Dump Startup RunServices Registry	registry		X
Dump Startup RunServiceOnce Registry	registry		X
Dump Startup Current User Run Registry	registry	X	
Dump Startup Current User RunOnce Registry	registry	x	
Dump Startup Current User RunOnceEx Registry	registry	X	
Dump Startup Current User RunServices Registry	registry	X	
Dump SharedDLLs Registry	registry	X	
Dump KnownDLLs Registry	registry	X	
Dump Startup Scripts	registry	X	
Dump Startup Explorer Run	registry	X	
Dump Typed URLs	registry		X
Dump Run MRU	registry		X
Dump Last Save	registry		X
Dump Memory Settings	registry		X
Dump Hotfix Information	registry	X	
Dump Mounted Devices	registry		X
Dump USB Key	registry	X	
Dump USB Storage Key	registry	X	

If you are unsure which type of audit best suits your needs, select Custom Capture. This will open a new window that displays each of the data elements contained in the above table. Here you can select which elements you would like to include in your audit. To add items, left click on the checkboxes next to the desired items. To remove items, left click on the checkboxes that already are marked.

The Custom Capture screen also allows you to select Network, Registry, File or Logs as your Audit Type. This narrows your audit to data elements that fit the selected category. For example, if you selected Registry, all the data elements that fit the registry category, and only those data elements, will be included in the audit.

The custom capture window displays all the elements in a hierarchal fashion. They are also color coded for simple reference. Blue elements are 'fast capture' elements, green are 'normal capture' and red are actual files.

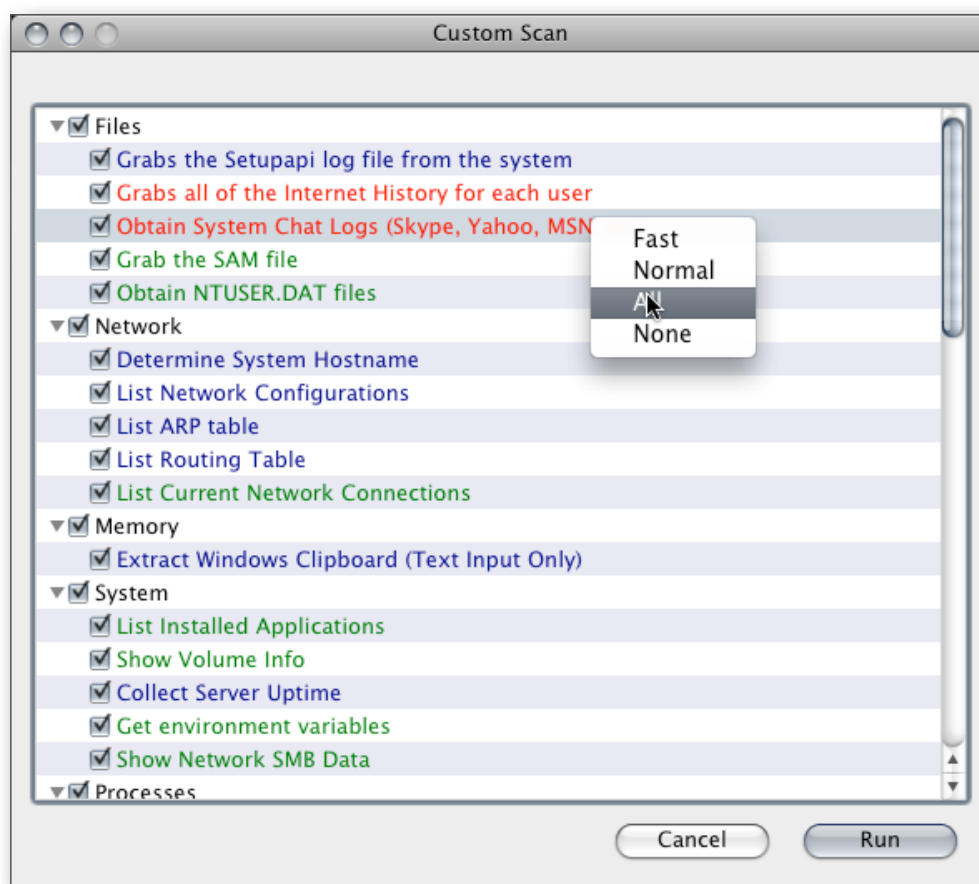


Figure 5.9: Auditing: Retrieve Live Data Options

A contextual menu is available by right clicking with the mouse in the window. A drop down menu will appear allowing you to select specific elements. In addition you can select/deselect elements using the checkboxes next to the names. If you select a parent element, all the children will be selected as well.

Completed audits can be found in the Incident Response Window of the CAT, described in detail in chapter six of this manual.

Imaging

The next two options on the Agent drop menu are related to imaging, which can be done of either RAM or disks. All imaging is done between the Agent and the CAT over a pseudo peer-to-peer network on port 9090. Establishing a peer-to-peer connection between the host (Agent) and CAT diverts a large volume/stream of network traffic from the H3E Server and leaves critical Server resources available for other audits.

To begin imaging, highlight the Agent(s) whose information you wish to image. If a single Agent is to be involved, use a left click to select. If the image involves multiple Agents, mark the appropriate checkboxes.

Quick Tip: Multiple Agent Imaging

While you can forensically image multiple agents at a time it is not recommended as you can very quickly over exceed your network bandwidth. It is *highly* recommended to just image one system at a time and during time when the systems are not in use.

Once Agents have been selected, right click to bring up the Agent drop menu. From that menu, select either Image RAM or Image Disk(s).

RAM Imaging

Once 'Image RAM' has been selected, the RAM Image window will appear. The RAM image will automatically be saved for you in a special folder on the computer running the CAT. Choose a 'Segment Size' that is compatible with the size of the image file on your files system; images will be split into as many files of that size as are necessary to capture the entire RAM. A segment size of 0 will not split the image.

In the 'Buffer Size', enter the amount of memory you would like to capture at once. The higher the amount, the faster the acquisition but the greater the risk of overwriting evidence in the memory you are acquiring. That is because only a limited amount of space is available for temporary storage of the data that has been acquired.

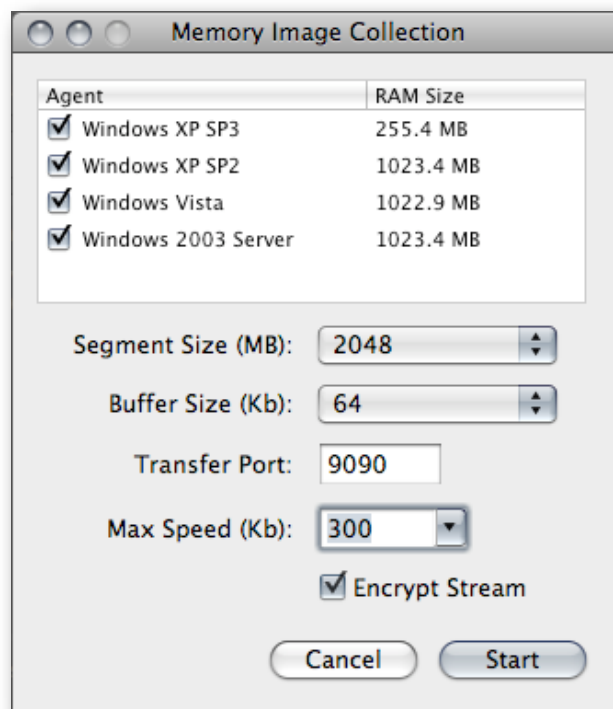


Figure 5.10: RAM Imaging Window

You have the ability to change the transfer port from the default of 9090. You can also change the throttling speed of the transfer by changing the 'Max Speed' value from 300 to 600.

Quick Tip: RAM & Disk Image file storage location

H3E stores RAM and Disk images into standard default locations.

Mac OS X: /~Library/Application Support/H3E

Windows: C:\Documents and Settings\~\Application Data\H3E

Linux: /home/~/H3E

Tests have shown that H3E can acquire 1 GB of RAM in as little as three minutes.

Disk Imaging

Once Image Disk(s) has been selected, the Disk Image window will appear. Like the RAM image a disk image name and location will be automatically defined for you.

The disk image window will show you all of the available disks that can be imaged. This includes the physical as well as logical disks. Check the disk you would like to image and choose the options you would like to set for that image.

There are 5 options that can be set for disk imaging. Choose a segment size that is compatible with the size limitation of your filesystem; images will be split into as many files of that size as are necessary to capture the entire disk. The 'Sector Size (flow)', enter the amount of disk sectors you would like to acquire at once. The higher the amount, the faster the acquisition but the greater the chance of missing data you are acquiring from potential bad sectors.

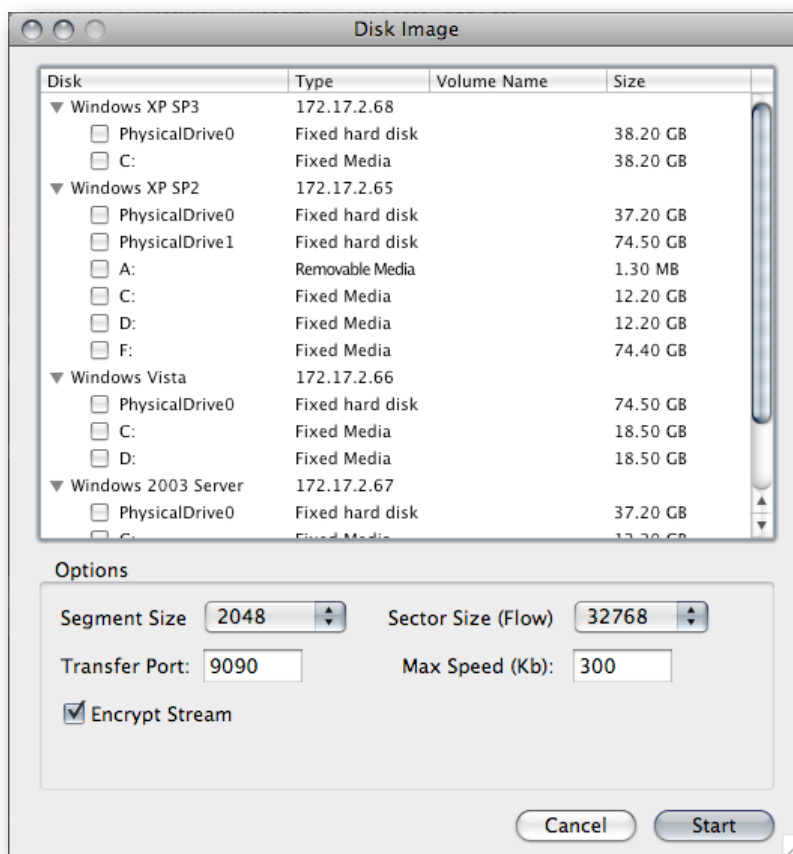


Figure 5.11: Disk Imaging Window

File System Imaging

H3E allows the forensic copying of the filesystem from any agent. This allows a snapshot of what's on the system at the time of imaging. Once the File System, whether MFT (Master File Table) or FAT (File Allocation Table), has been copied it can be viewed within the content pane in the CAT.

The filesystem is imaged by clicking on the **Filesystem View** menu option. The file system view window will be presented allowing you to see the agent you want to acquire and the ability to change the acquisition port.

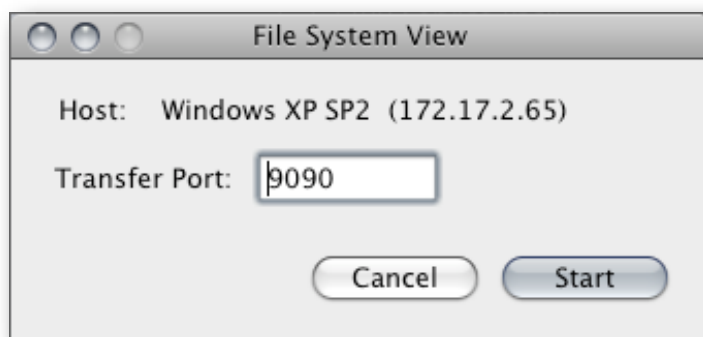


Figure 5.12: File System Viewer Acquisition Window

Like the RAM and Disk imaging the progress of the acquisition will be displayed in the activity monitor within the host pane. Initially the progress will show the number of filesystem entries it has copied and when all the entries have been determined the activity view will display the full progress of items copied to items left.

When the filesystem copy has finished, the activity window will display a reveal icon (magnifying glass) which when clicked will display the filesystem in the content pane.

Device Monitoring

The next feature on the Agent drop menu is device monitoring. This allows the user to capture and review selected activities of an individual. The two available methods of active device monitoring are the screen capture and the keyboard capture, or key-logger.

To access the device monitoring option, highlight the Agent whose information you wish to retrieve using a left click, then right click to bring up the Agent menu. From that menu, select device monitoring.

Screen Capture

To conduct a screen capture, which allows you to see what appears on any individual's screen at any given time, simply click on that option and the process begins immediately. Results will appear in the audit history list in the Content Pane of the CAT, described in detail in chapter 6 of this manual.

The screen captures can also be captured during normal scans from the 'Retrieve Live Data' menu. The screenshot will be captured in seconds but that screenshot is only a snapshot in time from when the screenshot was made. Every screenshot made for a particular agent will be stored and can be viewed as thumbnails within the content pane.

Keyboard Capture

To conduct a keyboard capture, click on that option after selecting device monitoring from the System drop menu. This will open another drop menu. You must select **Start KeyLogger** to begin the process of capturing keystrokes.

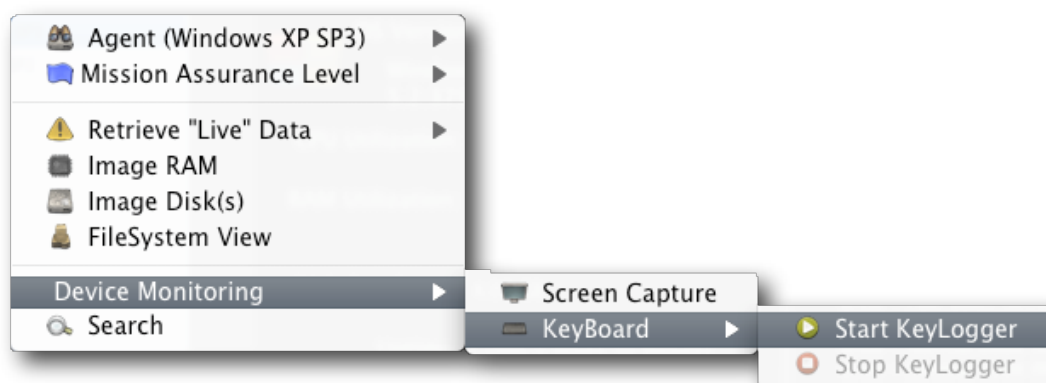


Figure 5.13: Device Monitoring: Keyboard Capture

You may return to this menu and select Stop KeyLogger for keystrokes to be returned to the CAT for viewing in the Audit Results tab of the Content Pane on the CAT, described in detail in chapter 6 of this manual. You can also click on the stop sign icon in the activity viewer window in the host pane.

Electronic Discovery (Search)

The final option on the Agent drop menu is to **Search**. To access the search option, highlight the Agent whose information you wish to retrieve using a left click, then right click to bring up the Agent menu. From that menu, select **Search**.

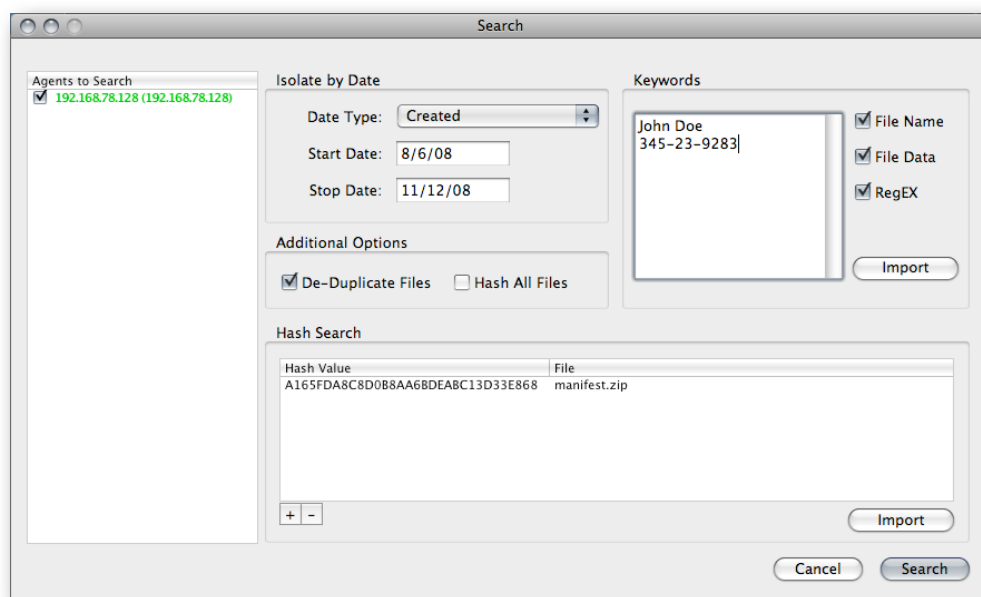


Figure 5.14: E-discovery Search

There are three search methods:

1. Date & Time stamps for start and end dates
2. Keywords in filenames, file content, and regular expressions
3. Hash values

The option to de-duplicate all the search results and hash all files also exists.

Using a Hash Search allows you to search every machine on your network for files matching a unique digital signature (hash). The digital signature is to a file what a DNA marker is to a person; it matches only the file to which it belongs. The hash changes as the file itself changes. A hash search thus allows you to determine who has access to files that are proprietary in nature.

If you know the 32-character MD5 hash you are seeking, enter it (one entry per line) in the Hash Expressions box by clicking on the '+' button. If you do not, simply drag and drop file(s) to be searched from your Desktop into the Hash Expressions box.

The speed of a hash search is dependent on several host variables such as CPU speed, current processor workload, memory and the size of the device being searched. Tests have shown that H3E can find a single hash on a 40 GB hard drive in about 30 minutes. Results can be found in the E-discovery Results window in the Content Pane of the CAT, described in section 6 of this manual.

6: User Interface III

H3E Content Pane

Content Pane

Now that you have requested information and your Agents have retrieved and returned it to the CAT, it's time to take a look at what they found. That's where the Content pane, located on the right side of the main CAT screen, comes into play. The Content pane has many areas accessible from the menu bar.

Dashboard

The dashboard, like the dashboard of a car, provides a quick overview of activity on the Server to which the CAT is connected. Information on the dashboard is organized into four main sections.

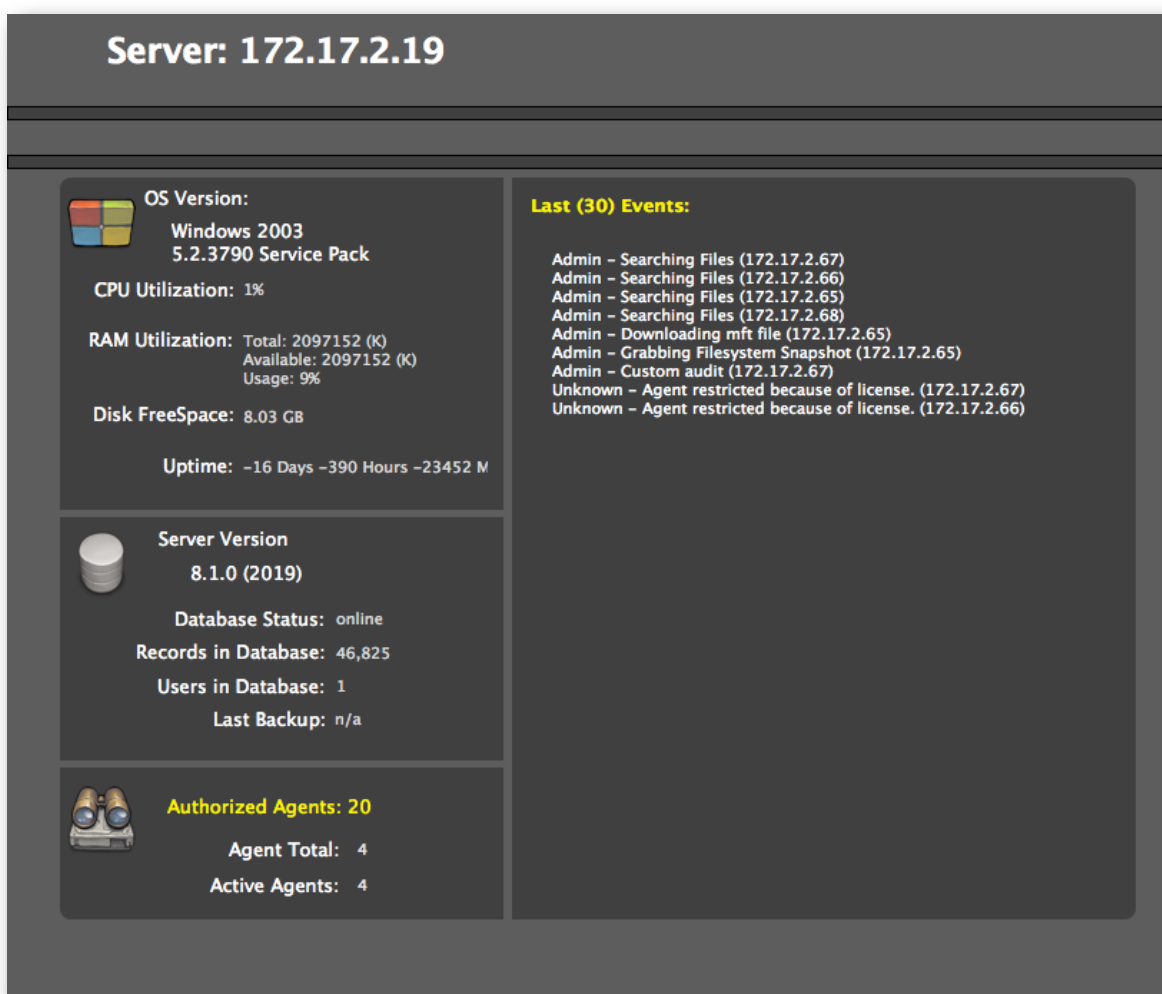


Figure 6.1: The DashBoard

The four sections are simply:

1. Server Status
2. Database Status
3. Agent Information
4. Last 30 events

The Server and Database Status sections contain information about the network database and operating system, including the versions of each, number of records and users in the database, and last backup on the database; and the CPU and RAM utilization, free disk space and uptime on the OS. The number of total agents and active agents are also represented. In addition the last thirty commands sent to any Agents on the network are displayed and updated in real time.

If the H3E system has not been registered the dashboard on the CAT will notify you that the system is not registered by displaying a label at the bottom of the screen:

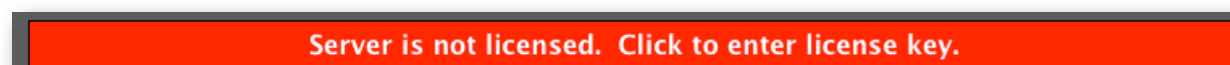


Figure 6.2: Unlicensed Banner

You can click on the red tag to enter a H3E license and the tag will disappear if the registration is valid.

User Communication

The Users section identifies the user by name and ID, displays the last login and last event, shows any other users also online and provides space for notes and for live messages. The list of users online represents the number of individuals logged into the same server and thus available to respond to requests.

Communication between CAT users using the Live Messages window is an encrypted live chat. The User Notes window allows users to leave information for offline users for viewing at a later time.

The page also shows the currently logged in users last login time to the H3E system as well as the last event that was conducted. The user ID and name is also supplied for reference.

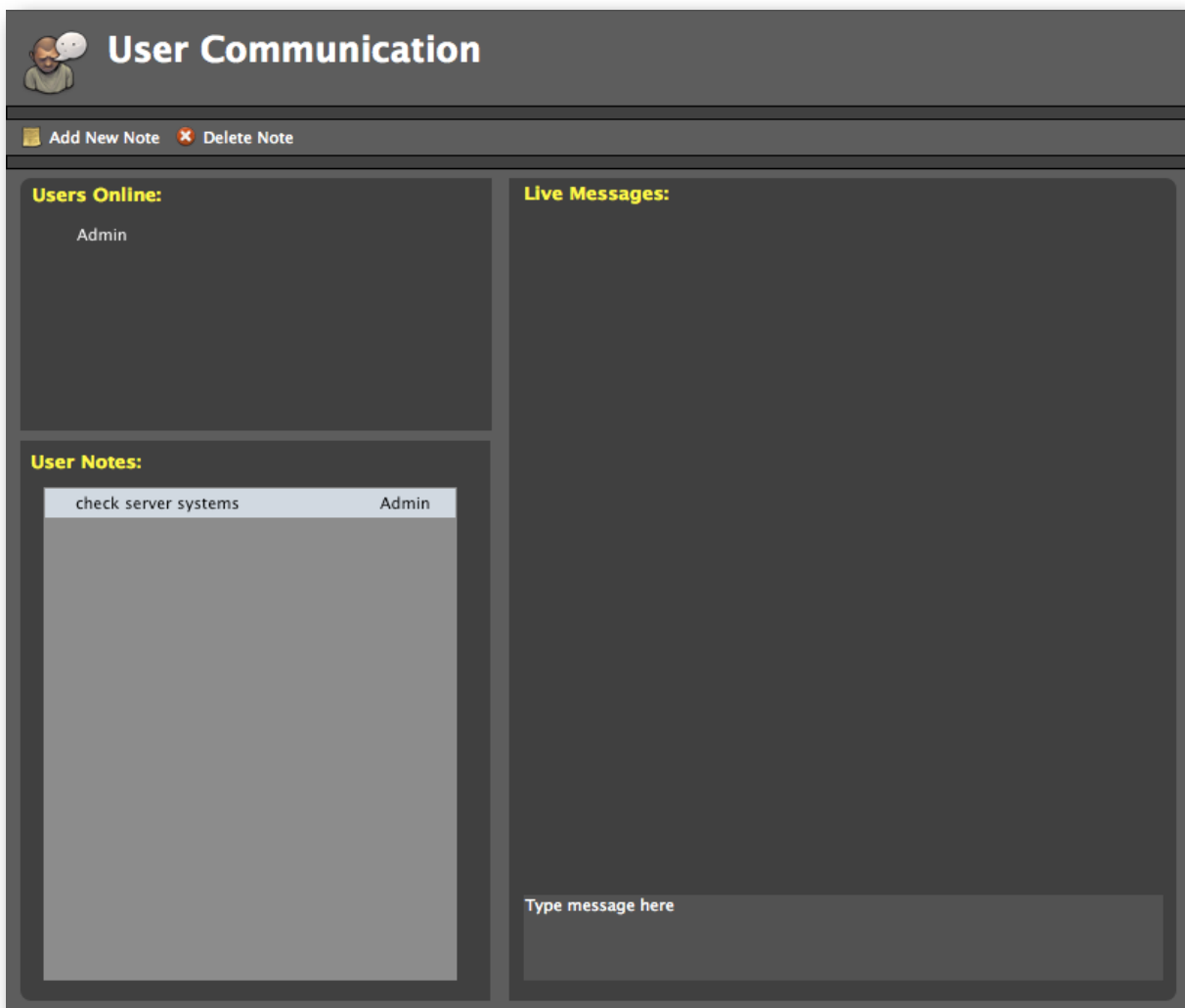


Figure 6.3: User Communication

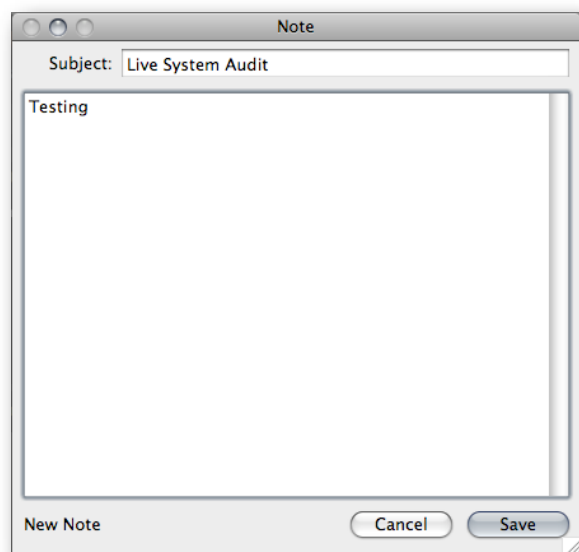


Figure 6.4: New note

To leave a note simply click the **Add New Note** button, in the command bar, and add your note. Click the **Delete Note** button while the note to be deleted is highlighted. When you click on the **Add New Note** button a window will open in which you can enter notes.

To chat with other users simply type your message in the box with the label **<type msg here>** and your message will be sent out across the H3E system for all logged in users.

The communication is encrypted via a AES 256 bit encryption key. When a user communicates with other users logged into the CAT the status bar will update with a chat icon notifying that a message has arrived. Simply click on the icon to take you to the message.

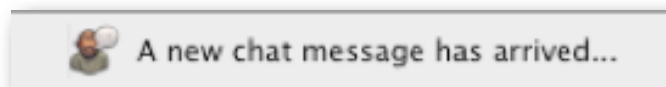


Figure 6.5: New Chat notification message

Incident Response Audit Results

The Incident Response toolbar item allows you to view the outcome of the requests to your Agents. Once you have selected this option, the Content pane will appear as four major separate sections:

The **audit banner** which has the name of the system. You can see the IP address of the selected system, in the status bar, by hovering the mouse over the agent name in the agent pane.



Figure 6.6: The audit banner

The **command bar** allows you to refresh the audit lists, set a view filter and view all the thumbnail screenshots taken from the selected agent:

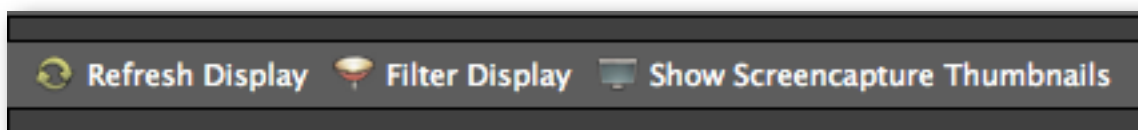


Figure 6.7: The command bar

The filter allows the audit history list to be filtered between no filter and up to 30 days. Click on **Set** to apply the filter.

The default is set to no filter. The filter will set the display for all audits.

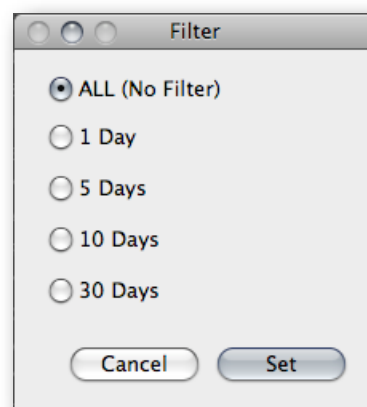


Figure 6.8: Filter Window

The screen icon will display thumbnail pictures of all the screenshots ever taken from the selected agent. You can double click on the thumbnail pictures to open the full screenshot within the content pane.

When you first click on the 'Show Screenshot Thumbnails' you will see a dialog box telling you the thumbnails are being loaded. If any of the thumbnails are all black than that indicates no users were currently logged into the system when the screenshot was made.

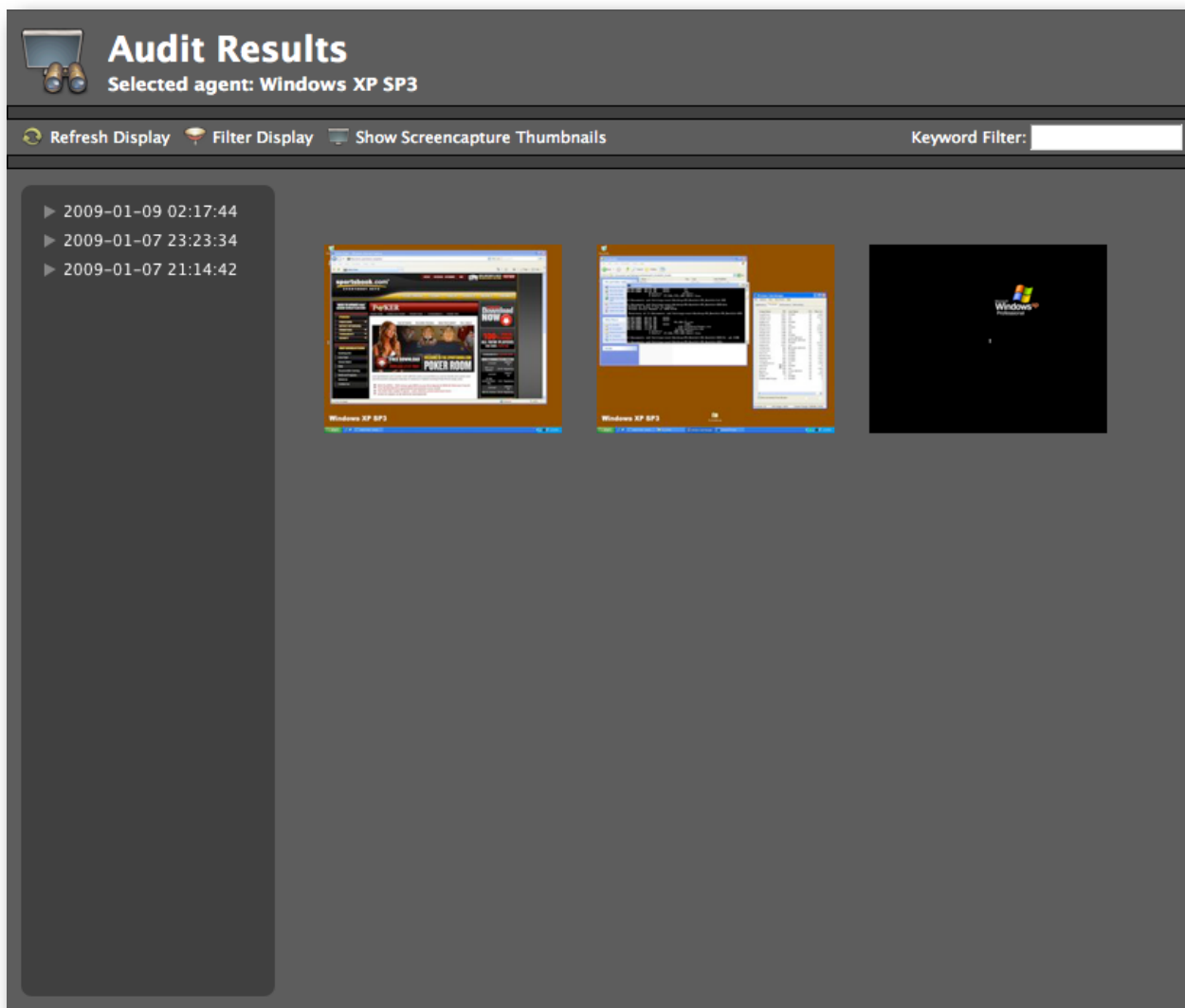
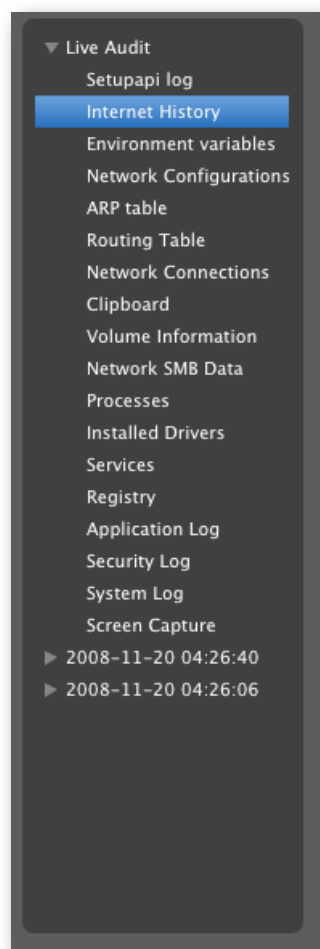


Figure 6.9: Display for all thumbnails on a particular agent

The **Audit History** tree view has a list of all the audits the selected Agent has ever had run. Information displayed here will only show returned results in a tree view with the audits listed in chronological order.

This list is controlled by the filter options in the command bar.



The audits are automatically displayed by the GMT date and Time when the audit was run. However you can change this display behavior by holding down the SHIFT key and double clicking on the audit date. You will then be able to rename the audit to something more meaningful. The original date/time will still be associated to the audit and can be seen in the status bar when you hover the mouse over the audit.

The audits can be expanded by simply clicking on the disclosure triangle. Then click on the audit type that you want to view in the results window(s).

The **Results Window** is the final area of the content pane. This part of the window is where all the resultant data is displayed when you click on any area from the audit tree view.

Figure 6.10: Audit History list

The complete content pane has a lot of information on it but is very easy to navigate once you understand the options.

Audit Results
Selected agent: Windows XP SP2

Refresh Display Filter Display Show Screenshot Thumbnails

- Fast Audit
- Normal Audit
 - Setupapi log
 - Internet History
 - Environment variables
 - Network Configurations
 - ARP table
 - Routing Table
 - Network Connections
 - Clipboard
 - Volume Information
 - Network SMB Data
 - Processes**
 - Installed Drivers
 - Services
 - Registry
 - Application Log
 - Security Log
 - System Log
 - Screen Capture

PID	Process	Path
424	services.exe	E:\WINDOWS\system32\services.exe
436	lsass.exe	E:\WINDOWS\system32\lsass.exe
644	svchost.exe	E:\WINDOWS\system32\svchost.exe
712	svchost.exe	E:\WINDOWS\system32\svchost.exe
776	svchost.exe	E:\WINDOWS\system32\svchost.exe
796	svchost.exe	E:\WINDOWS\system32\svchost.exe
832	svchost.exe	E:\WINDOWS\System32\svchost.exe
956	spoolsv.exe	E:\WINDOWS\system32\spoolsv.exe
988	msdtc.exe	E:\WINDOWS\system32\msdtc.exe
1112	svchost.exe	E:\WINDOWS\System32\svchost.exe
1152	svchost.exe	E:\WINDOWS\system32\svchost.exe

Base	Version	Path	Size
0x1000000	5.2.3790.3959	E:\WINDOWS\System32\svchost.exe	24576
0x7C800000	5.2.3790.3959	E:\WINDOWS\system32\ntdll.dll	786432
0x77E40000	5.2.3790.4062	E:\WINDOWS\system32\kernel32.dll	1056768
0x77F50000	5.2.3790.3959	E:\WINDOWS\system32\ADVAPI32.dll	634880
0x77C50000	5.2.3790.4115	E:\WINDOWS\system32\RPCRT4.dll	651264
0x76F50000	5.2.3790.3959	E:\WINDOWS\system32\Secur32.dll	77824
0x77E00000	5.2.3790.3959	E:\WINDOWS\System32\NTMARTA.DLL	135168
0x77BA0000	7.0.3790.3959	E:\WINDOWS\system32\msvcrt.dll	368640
0x77380000	5.2.3790.4033	E:\WINDOWS\system32\USER32.dll	593920
0x77C00000	5.2.3790.4237	E:\WINDOWS\system32\GDI32.dll	294912
0x76F10000	5.2.3790.3959	E:\WINDOWS\system32\WLDAP32.dll	188416
0x7E020000	5.2.3790.3959	E:\WINDOWS\System32\SAMLIB.dll	61440
0x77670000	5.2.3790.3959	E:\WINDOWS\system32\ole32.dll	1282048
0x650000	5.2.3790.3959	E:\WINDOWS\System32\xpsp2res.dll	2904064
0x7FCF0000	5.2.3790.3959	e:\windows\system32\wzcsvc.dll	581632
0x76E30000	5.2.3790.3959	e:\windows\system32\rtutils.dll	49152
0x76CC0000	5.2.3790.0	e:\windows\system32\WMI.dll	20480
0x76D10000	5.2.3790.3959	e:\windows\system32\DHCPSCVC.DLL	126976
0x76ED0000	5.2.3790.4238	e:\windows\system32\DNSAPI.dll	172032
0x71C00000	5.2.3790.3959	e:\windows\system32\WS2_32.dll	94208
0x71BF0000	5.2.3790.3959	e:\windows\system32\WS2HELP.dll	32768
0x76CF0000	5.2.3790.3959	e:\windows\system32\iphlpapi.dll	106496

Figure 6.11: Audit Results

Quick Tip: Result Information

Note: All recovered results reflect system information at the time the audit was run, not at the time the results are being reviewed, except as indicated otherwise. Information relates to the target computer, not a specific user on that computer, except as indicated otherwise.

Forensics

Forensics analysis is important when you need to understand the who, what, where and when. The ability to view Windows filesystems is built into H3E so you can view the native filesystem tree without harming or altering the files or their metadata.

In order to get the filesystem view you need to select the 'Filesystem View' option in the system menu as outlined in chapter 5.

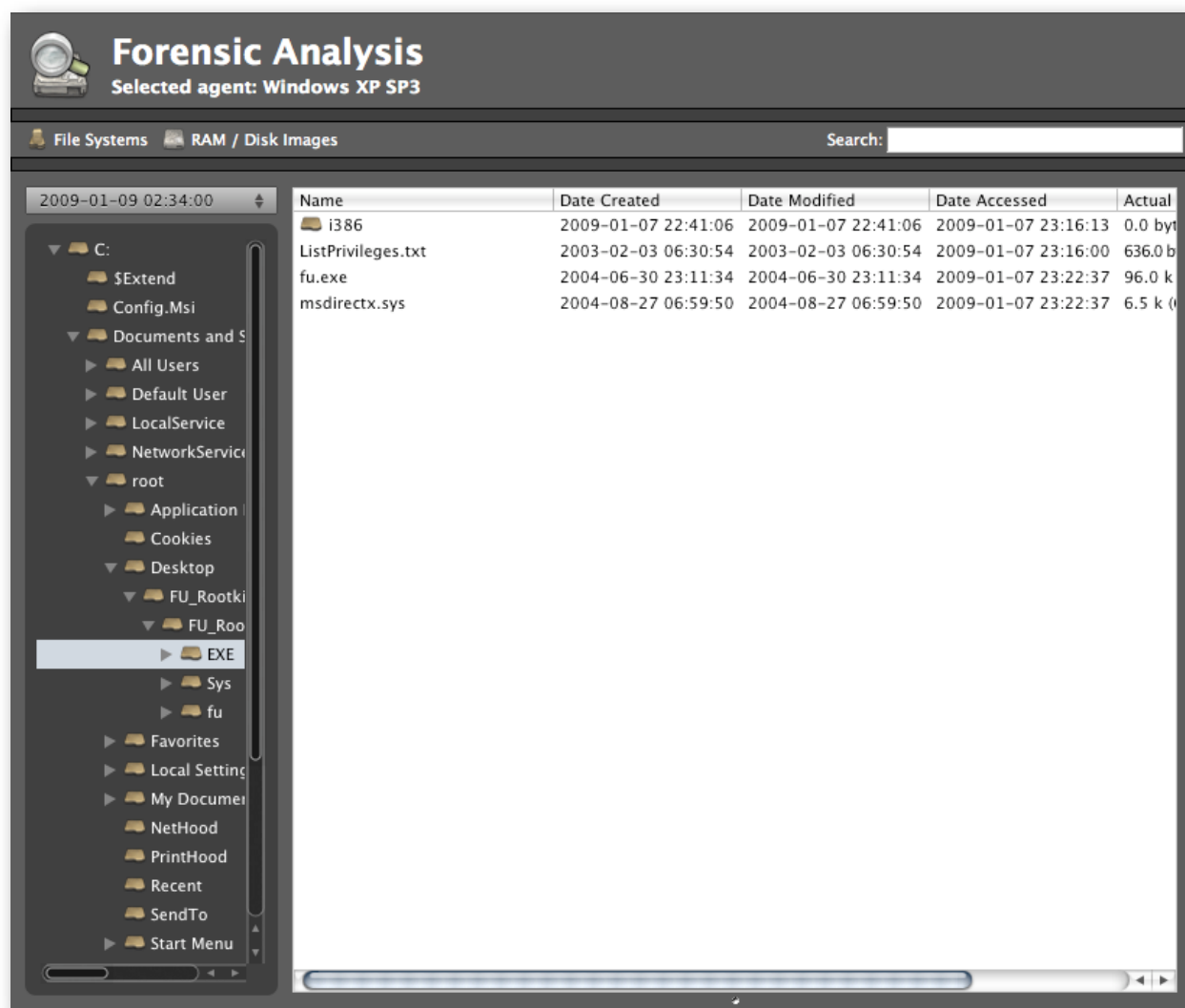


Figure 6.12: Forensics Window

When you view the filesystem you will see the filesystem tree view on the left and the content on the right.

Every filesystem image will be displayed in a drop down menu for the highlighted agent. Simply choose the date/time for which you want to view and the results will be displayed.

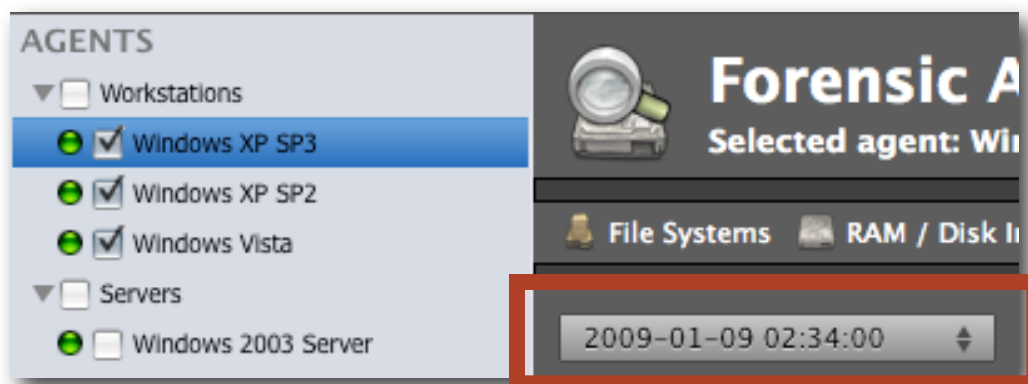


Figure 6.13: FileSystem View Drop down (highlighted by red box)

Quick Tip: Supported Filesystems

Currently only Windows NTFS and FAT filesystems are supported but all the other major filesystems from EXT2 and EXT3, HFS will be supported in a future update.

As you view into the filesystem tree on the left side of the screen you can view the contents on the right. This is accomplished by clicking on a file or folder in the tree view. The folder and its contents will be displayed in a listbox on the right.

For each file listed you can view the date it was created, modified and last accessed. You can also see the size of the file and the size it takes up on the hard drive.

Name	Date Created	Date Modified	Date Accessed	Actual Size	Disk Size
i386	2009-01-07 22:41:06	2009-01-07 22:41:06	2009-01-07 23:16:13	0.0 bytes (0)	0.0 bytes (0)
ListPrivileges.txt	2003-02-03 06:30:54	2003-02-03 06:30:54	2009-01-07 23:16:00	636.0 bytes (636)	636.0 bytes (636)
fu.exe	2004-06-30 23:11:34	2004-06-30 23:11:34	2009-01-07 23:22:37	96.0 k (98304)	96.0 k (98304)
msdirectx.sys	2004-08-27 06:59:50	2004-08-27 06:59:50	2009-01-07 23:22:37	6.5 k (6656)	6.5 k (6656)

Figure 6.14: File view with partial metadata

At any time you can copy a file from the listbox to the local CAT system by right clicking on the file and choosing **Download File...** from the menu.

Name	Date Created	Date Modified	Date Accessed	Actual Size	Disk Size
i386	2009-01-07 22:41:06	2009-01-07 22:41:06	2009-01-07 23:16:13	0.0 bytes (0)	0.0 bytes (0)
ListPrivileges.txt	2003-02-03 06:30:54	2003-02-03 06:30:54	2009-01-07 23:16:00	636.0 bytes (636)	636.0 bytes (636)
fu.exe	2004-06-30 23:11:34	2004-06-30 23:11:34	2009-01-07 23:22:37	96.0 k (98304)	96.0 k (98304)
msdi	2004-08-27 06:59:50	2004-08-27 06:59:50	2009-01-07 23:22:37	6.5 k (6656)	6.5 k (6656)

Download File...
View Download
Show on Disk

Figure 6.15: Downloading a file from the File System view.

By selecting the **Download File...** option you will be presented with the download window.

This window will show you the file you are downloading as well as it's size.

You have options just like when you make a forensics image of RAM or disk. You also have a choice to encrypt the transfer.

Click on the **Start** button to begin the copying process.

File Download

Host: Windows XP SP3

File: fu.exe (96.0 k)

Buffer Size (Kb): 64

Transfer Port: 9090

Max Speed (Kb): 300

☐ Encrypt Stream

Cancel Start

Figure 6.16: Downloading a file window

When the file has finished copying, the listbox will indicate the file has been copied to the local system by coloring the file list:

Name	Date Created	Date Modified	Date Accessed	Actual Size	Disk Size
i386	2009-01-07 22:41:06	2009-01-07 22:41:06	2009-01-07 23:16:13	0.0 bytes (0)	0.0 bytes (0)
ListPrivileges.txt	2003-02-03 06:30:54	2003-02-03 06:30:54	2009-01-07 23:16:00	636.0 bytes (636)	636.0 bytes (636)
fu.exe	2004-06-30 23:11:34	2004-06-30 23:11:34	2009-01-07 23:22:37	96.0 k (98304)	96.0 k (98304)
msdirectx.sys	2004-08-27 06:59:50	2004-08-27 06:59:50	2009-01-07 23:22:37	6.5 k (6656)	6.5 k (6656)

Figure 6.17: Downloaded files

Once a file has been downloaded, you can show where it is located by clicking on the **Show on Disk** menu item, or you can choose to view the file which will bring up the forensics viewer.

Quick Tip: Streaming Files

You can also view the file by streaming it's contents to the CAT without having to download it. Simply double click the file.

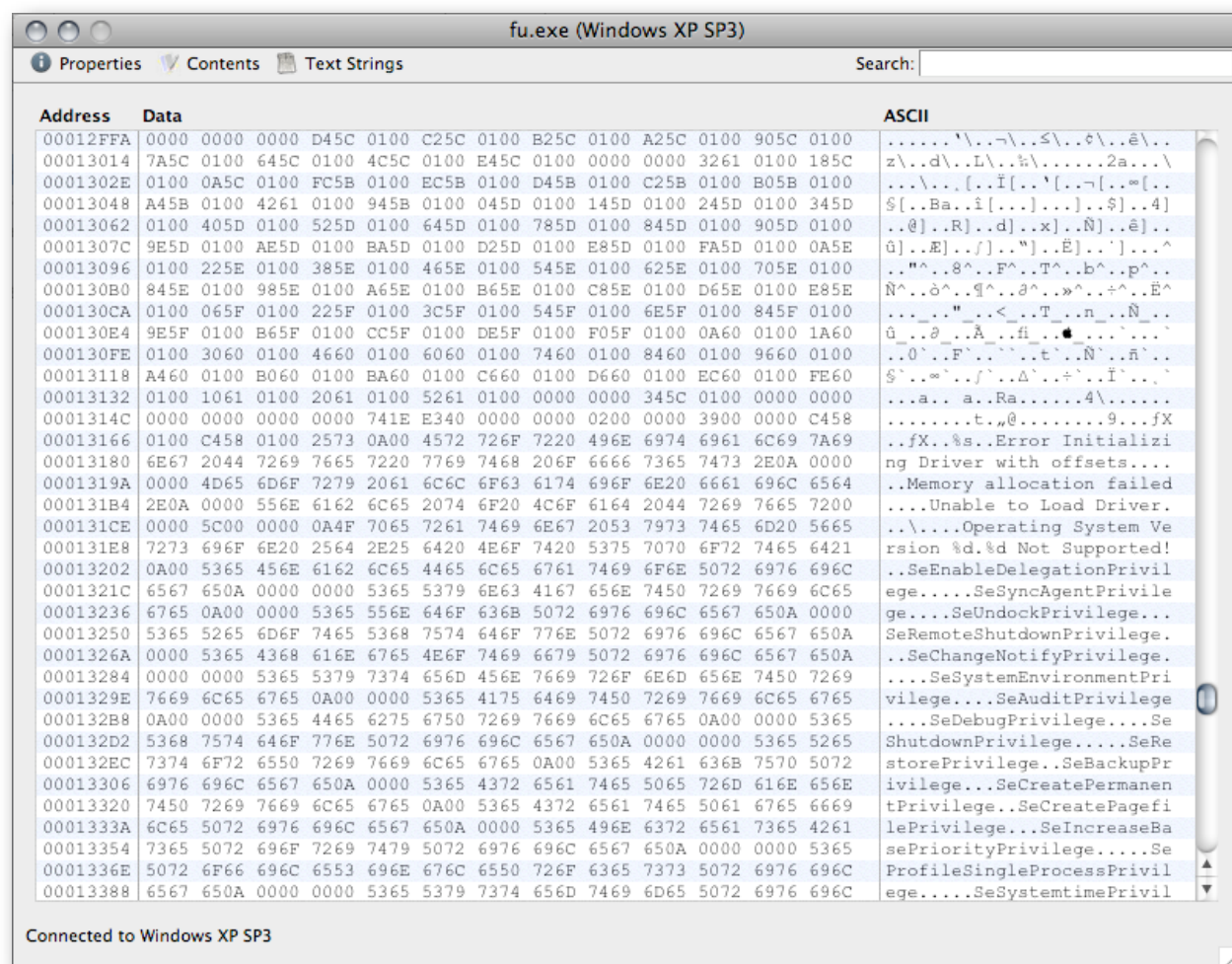


Figure 6.18: Forensics Viewer

The viewer can open any file for analysis but works very well for memory analysis. You can search for keywords and they will be highlighted if found. Highlighted text can be copied out of the viewer if needed.

To see a list of all the disk and RAM images made, click on the hard drive icon in the tool panel and the list window will appear which shows a list of all the images based upon the selected agent. Each audit date can contain images. Clicking on the audit will display the actual files and two options exist; copy the files out for additional analysis or open the file in the built in forensics viewer.

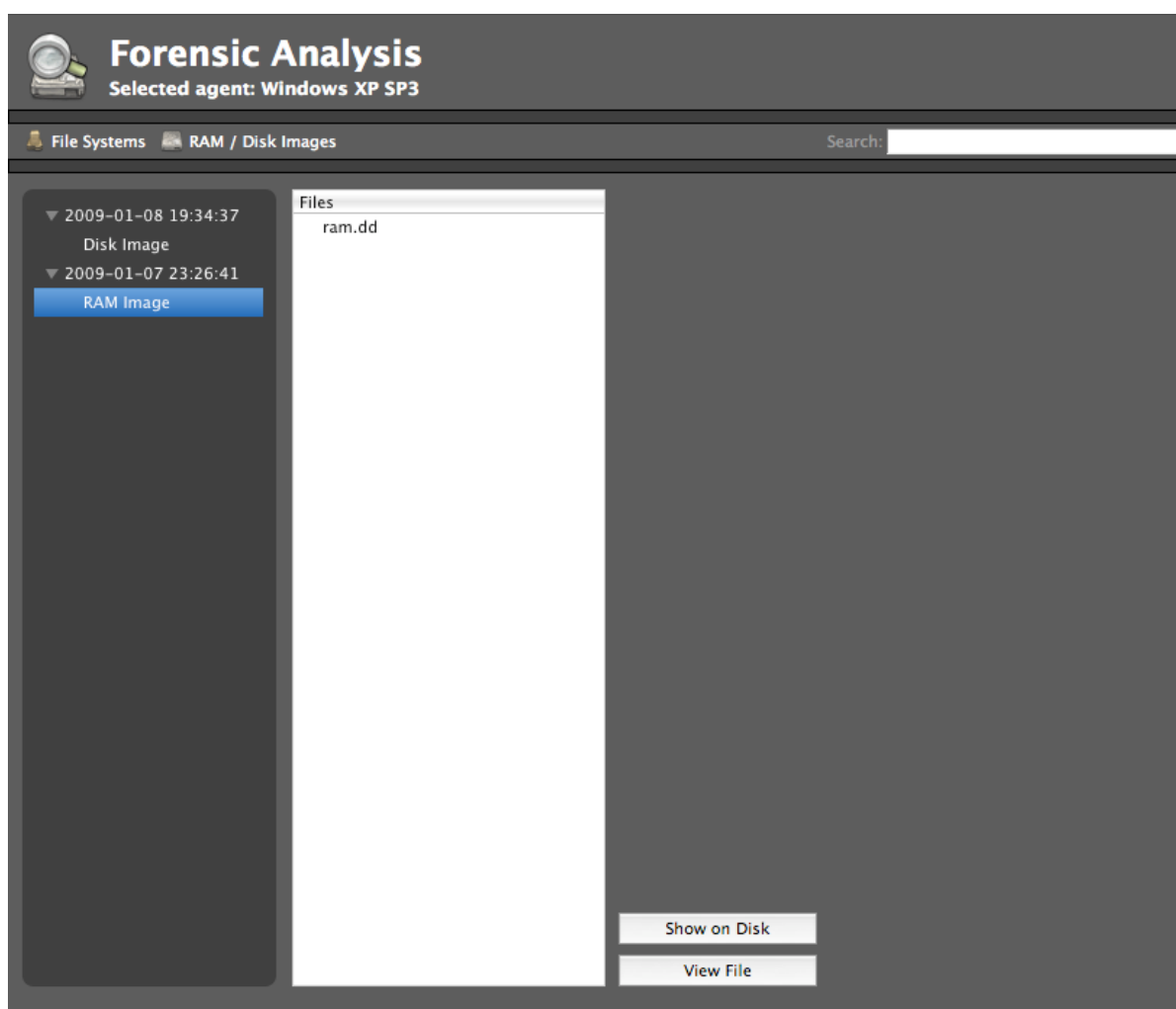


Figure 6.19: Image list window

Electronic Discovery

H3E allows for very simple yet efficient electronic discovery. You can search all the agents for specific files, using keywords between specific dates. The files can be de-duplicated both on the agent level and on the enterprise level. Only the de-duplicated files will be sent to the H3E servers. Complete logging will be saved which shows why a particular file was responsive and why another was not.

The search is conducted on the logical file system and does not search slack or free space. However deleted files that have not been overwritten will be searched.

The search date and time will appear in the left hand results column as a date/time stamp. Simply click on an agent and then on an item in the results column. The returned results will be listed in the right hand listbox. Simply clicking on an item will reveal more information on that item in the lower window.

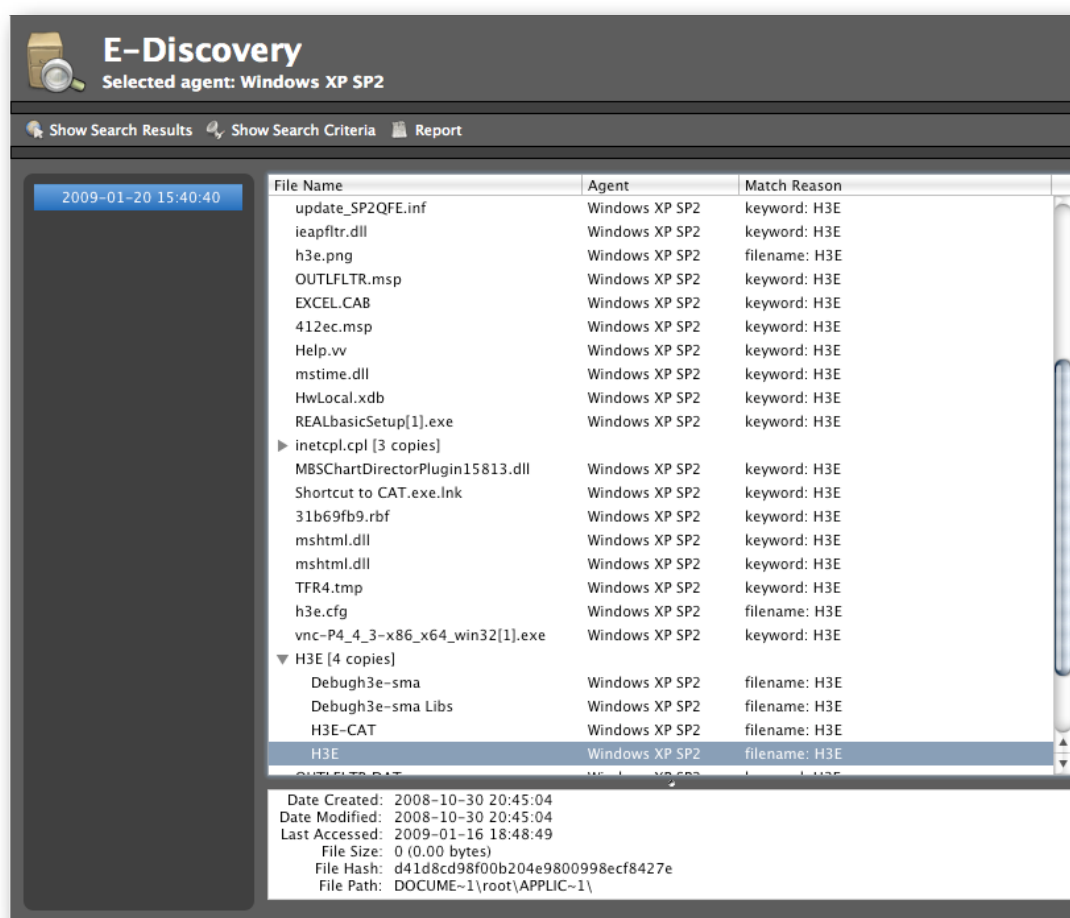


Figure 6.20: E-Discovery Window

However, you will want to see what the search options were that returned this particular results. You can view that information by clicking on the **Show Search Criteria** option in the command bar.

All of the search criteria for the highlighted search will be displayed in the search criteria window.

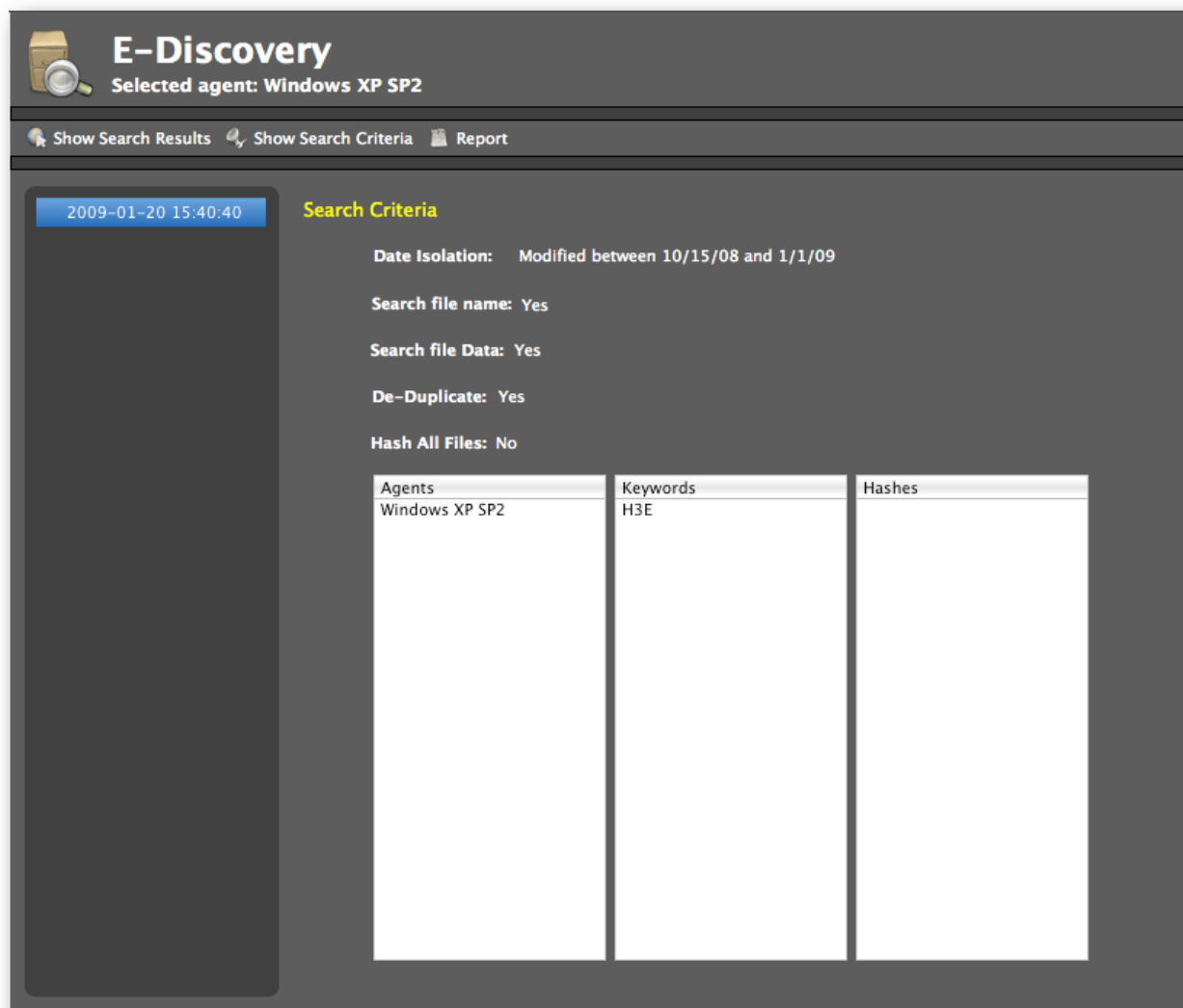


Figure 6.21: E-Discovery Search Criteria Window

Reporting

The final window in the Content pane allows you to create reports in the PDF format. The first report page is based on the agent that is selected in the host pane. When

you click on an agent the **Audit Date** drop down list will be populated for the data corresponding the the selected agent.

When the audit from the **Audit Date** drop down is chosen, the individual audits counts will be displayed which allows you to see what data is associated to that particular audit. You can select any report task in order to create the report. Once you have checked which option you want click on the **Create Report** button to generate the PDF.

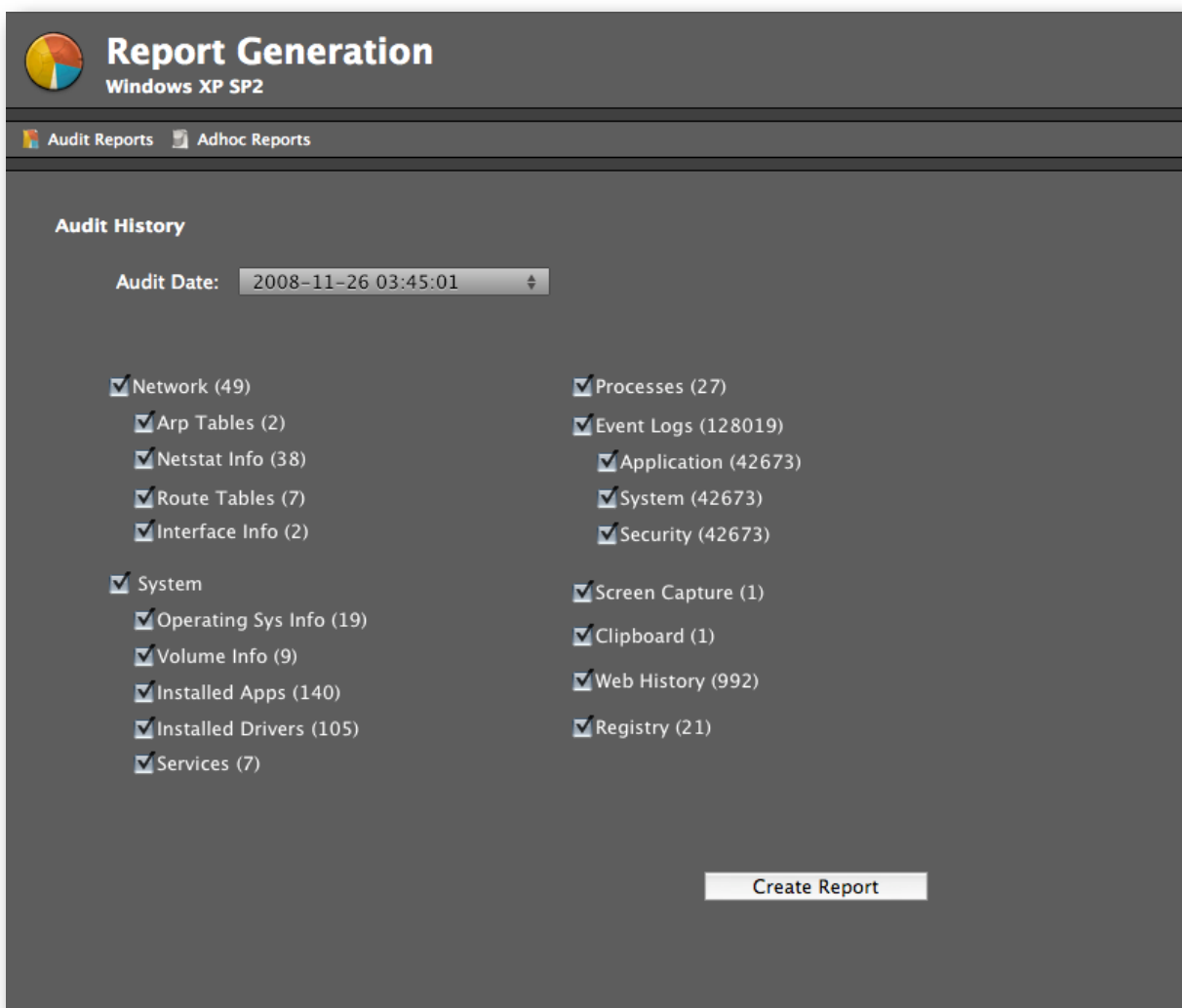


Figure 6.22: Report Window

When the report has finished a dialog box will be displayed which asks if you would like to view the PDF report. Click **View** in the dialog box to view the file.

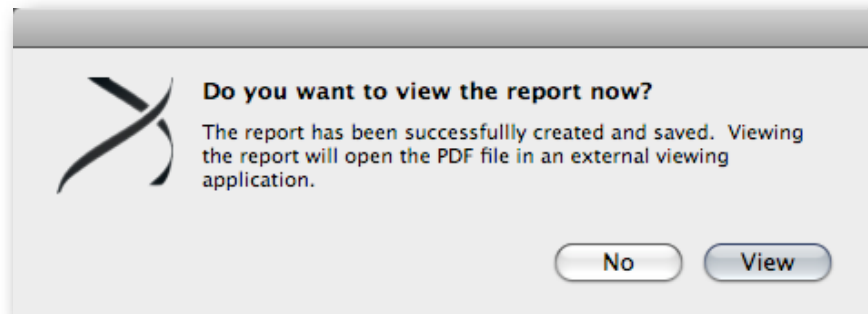


Figure 6.23: View created report option

The second page to Report generation are the adhoc reports which allow you to view the history of all the audits as well as the login history to the H3E system.

Report Generation
172.17.2.65

Audit Reports Adhoc Reports

Audit History **Login History** **Print**

User	Start Date	Completion	IP
Admin	2008-11-26 03:45:01	2008-11-26 03:45:50	172.17.2.67
Admin	2008-11-26 04:25:43	2008-11-25 21:27:07	172.17.2.65
Admin	2008-11-29 07:15:01	2008-11-29 07:26:52	172.17.2.67
Admin	2008-11-29 07:15:01	2008-11-29 07:25:46	172.17.2.66
Admin	2008-11-29 07:15:01	2008-11-29 07:26:04	172.17.2.65
Admin	2008-11-29 07:15:01	2008-11-29 08:07:44	172.17.2.68

Tasks

- Grabs the Setupapi log file from the system
- Grabs all of the Internet History for each user
- Obtain System Chat Logs (Skype, Yahoo, MSN, etc)
- Grab the SAM file
- Obtain NTUSER.DAT files
- Determine System Hostname
- List Network Configurations
- List ARP table
- List Routing Table
- List Current Network Connections
- Extract Windows Clipboard (Text Input Only)
- List Installed Applications
- Show Volume Info
- Collect Server Uptime
- Get environment variables
- Show Network SMB Data
- List All Processes
- List Installed Drivers
- List All Services
- Dump Startup Run registry
- Dump Startup RunOnce registry
- Dump Startup RunOnceEx registry
- Dump Startup RunServices registry
- Dump Startup RunServiceOnce registry
- Dump Startup Current User Run registry
- Dump Startup Current User RunOnce registry
- Dump Startup Current User RunOnceEx registry
- Dump Startup Current User RunServices registry
- Dump SharedDLLs registry
- Dump KnownDLLs registry
- Dump Startup Scripts
- Dump Startup Explorer Run
- Dump TypedURLs
- Dump RunMRU
- Dump LastSave
- Dump Memory Settings
- Dump Hotfix information

Figure 6.24: View ad-hoc reports

The following is an example of an Analyst Audit Activity Report in PDF format.



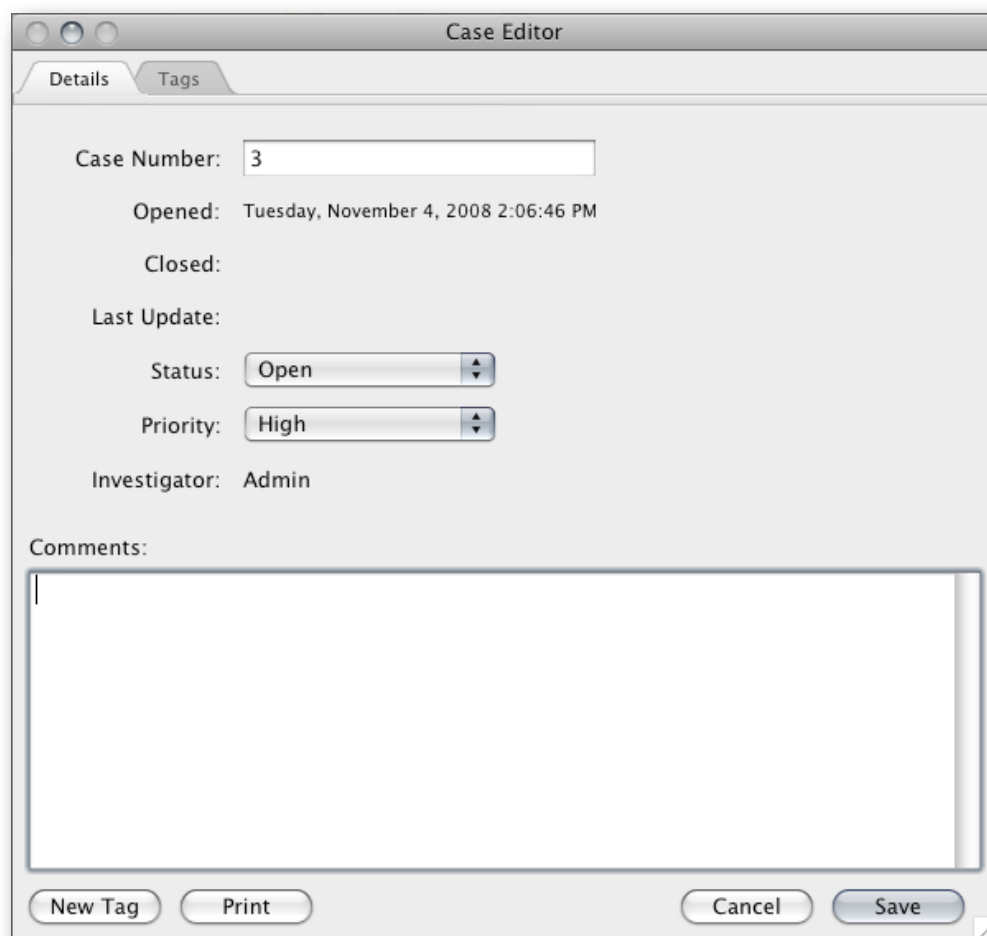
Figure 6.25: Samplar of a Report

Adding/Managing Cases

The H3E system allows you to set up cases to manage work flow. New cases can be created from the man menu bar on the CAT. Simply click on the **Add new case** button and you will be presented with the case editor window.

The case editor window has two tabs: “**Details**” and “**Tags**.” The “Details” tag contains all the case information such as the case number, the date of the case opening, the status, priority, and any comments.

The date opened, closed, and updated are all set for you automatically by the system. Simply fill out the case number, status and priority. The investigator name is set to the login name of the H3E user. Fill in any comments for the case as well.



The screenshot shows a window titled "Case Editor" with two tabs: "Details" and "Tags". The "Details" tab is active. The form contains the following fields and controls:

- Case Number:** A text input field containing the value "3".
- Opened:** A text field displaying "Tuesday, November 4, 2008 2:06:46 PM".
- Closed:** An empty text field.
- Last Update:** An empty text field.
- Status:** A dropdown menu with "Open" selected.
- Priority:** A dropdown menu with "High" selected.
- Investigator:** A text field displaying "Admin".
- Comments:** A large, empty text area for entering comments.

At the bottom of the window, there are four buttons: "New Tag", "Print", "Cancel", and "Save".

Figure 6.26: Case editor window

Once you have filled in the case details, click on the **New Tag** button to create a case tag. This is similar to a bookmark. However, tags work differently than bookmarks. Simply drag and drop content from the 'Content Pane' onto the tag window and a new tag will be created for you.

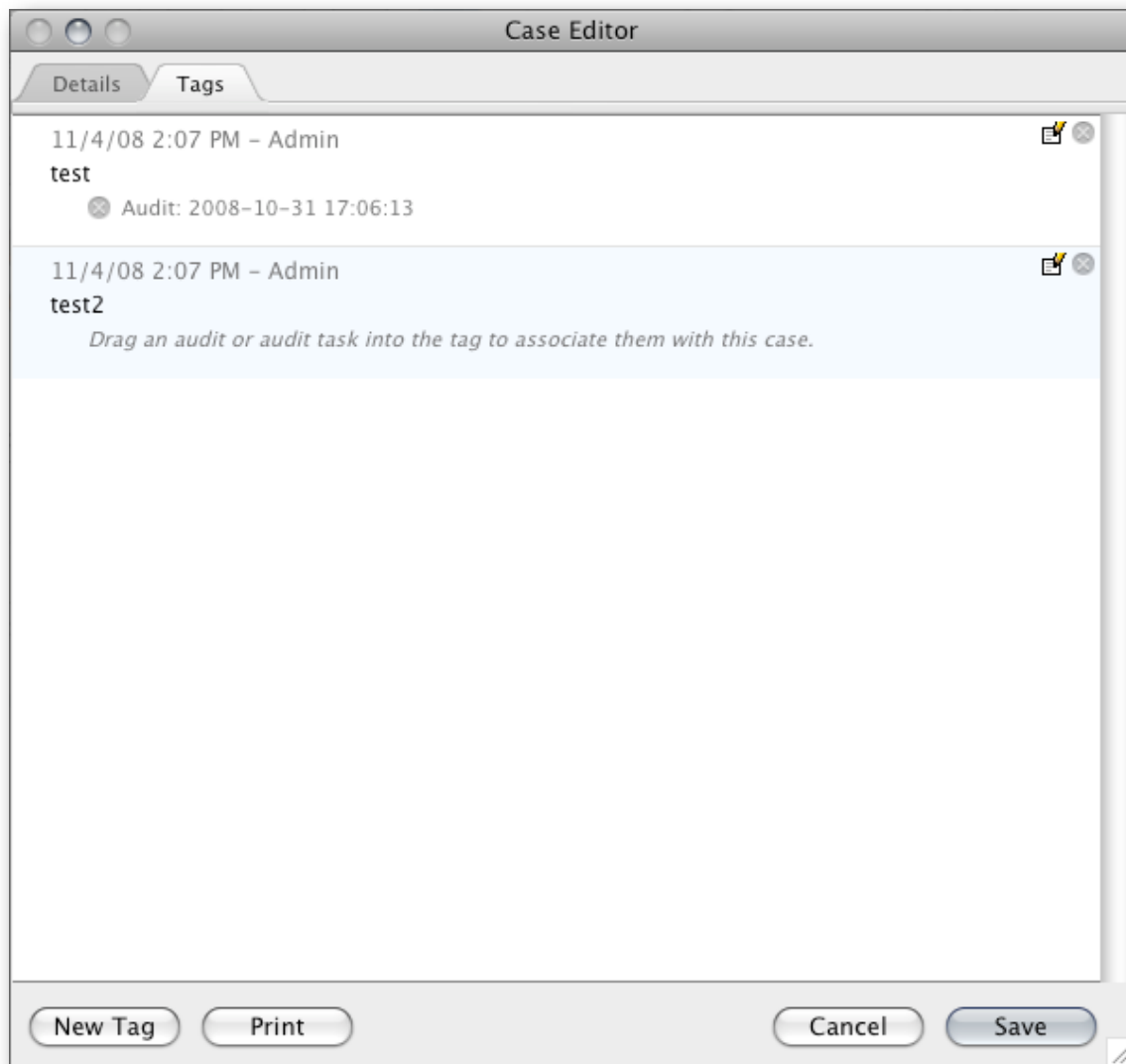


Figure 6.27: Case editor tag window

7: System Preferences

Setting user and server preferences for H3E

System Preferences

The local CAT Preferences are accessed through the **Preferences...** menu option. Specific options can be assigned for the local CAT. To access the Preferences menu:

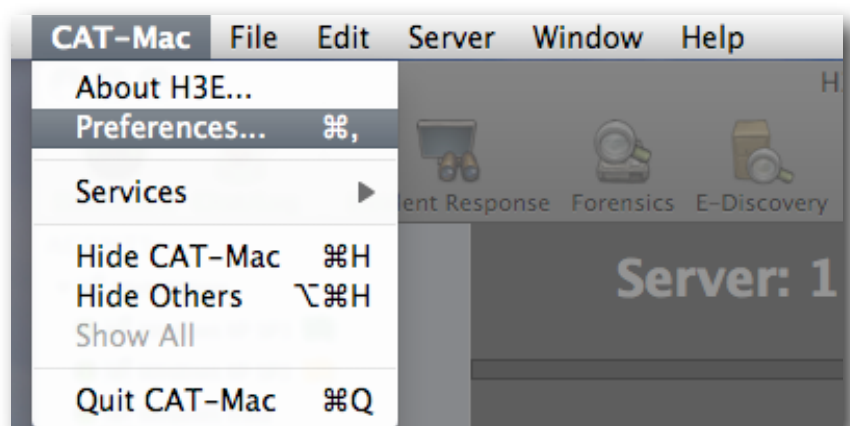


Figure 7.1: Preferences Menu

On Mac OS X, click on the CAT menu item in the Apple toolbar and select **Preferences....** On Windows and Linux select **Edit** in the top left corner of the toolbar screen, then select **Preferences...**

Admin Tool Preferences

Here you can select different where downloaded file items will be saved by default. You can also choose the Greenwich Mean Time display options. By default all times in H3E are displayed and stored in GMT time. However, you can change the display (only) to show the local time.

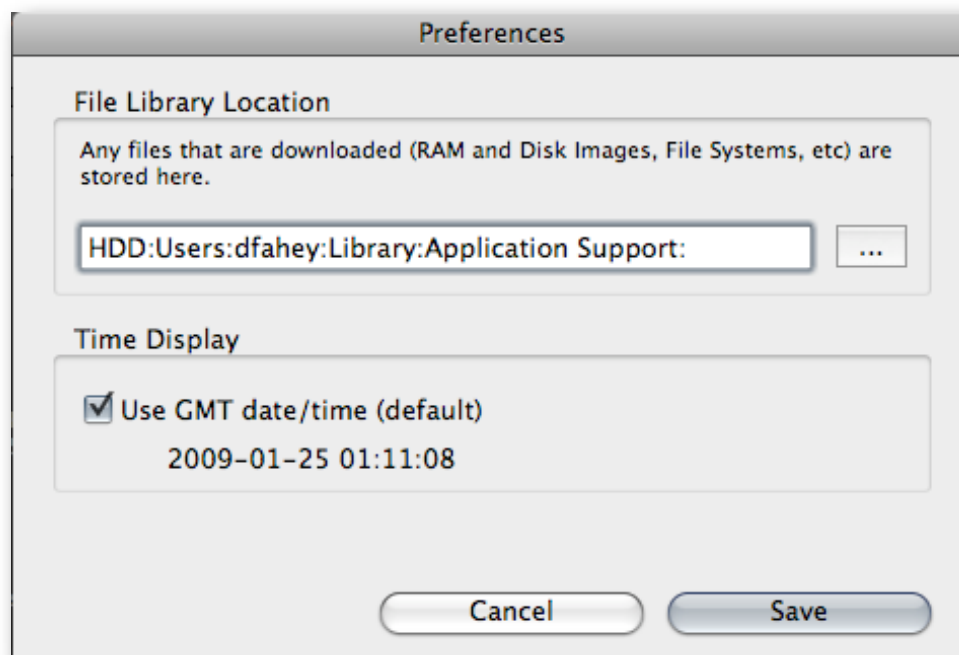


Figure 7.2: CAT Preferences

General H3E Server Configuration

The server settings can be accessed by clicking on the **Server** then **Settings...** menu option on the CAT toolbar.

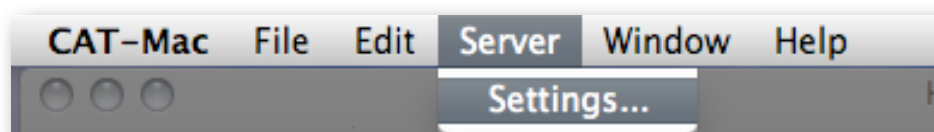


Figure 7.3: Server Settings Menu

The first screen to appear is the **Network Settings**. Here you can accept default communication settings or select your own for the following:

- Console TCP Listen Port (default 9010)
- Console UDP Listen Port (default 64000)
- Console FTP Listen Port (default 9090)
- Console Admin Port (default 59345)
- Agent Idle Time (default 300 seconds)
- Direct Transfer Port (default 9090)

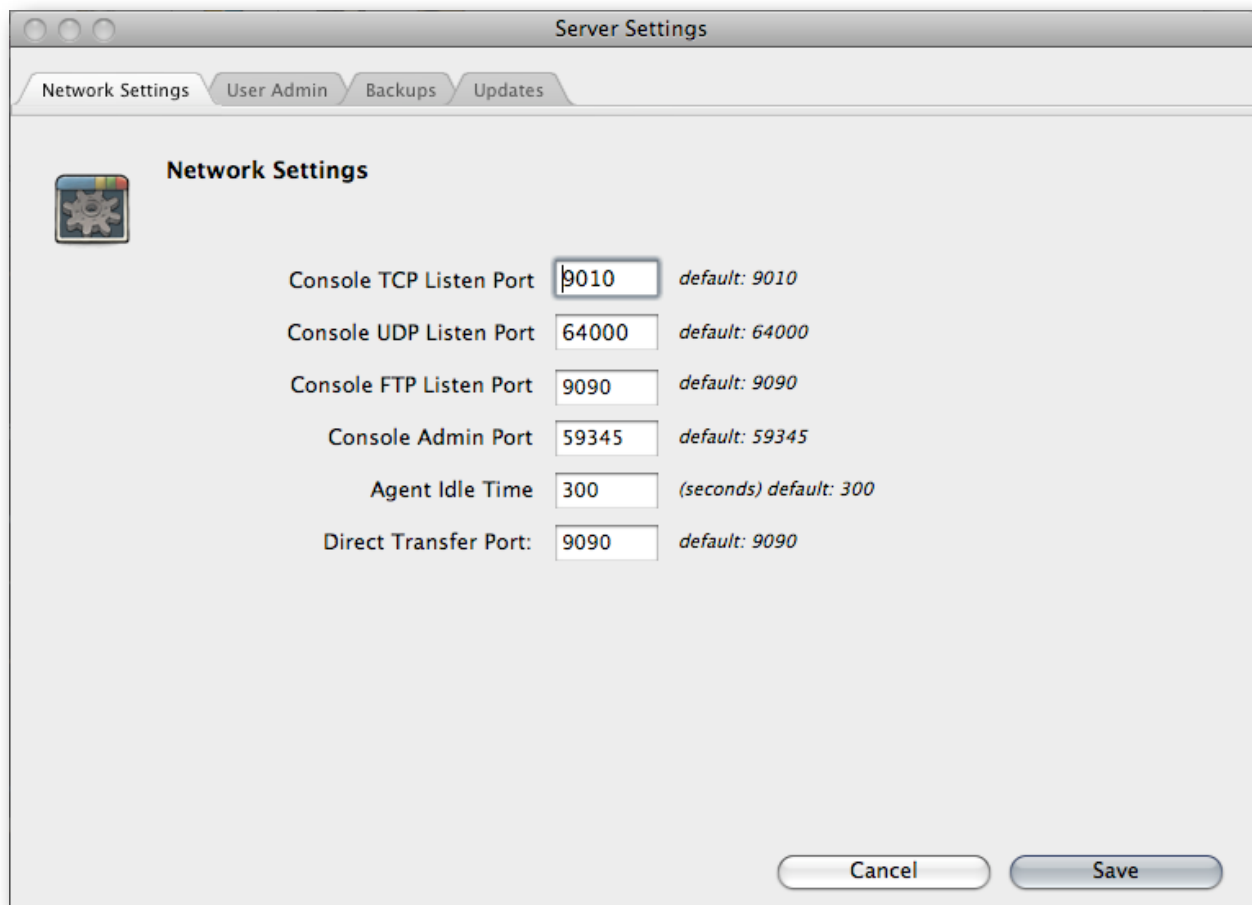


Figure 7.4: General Configuration

User Configuration

Click on the **Users Admin** tab to bring up the User Configuration screen. Here you will see a list of current users, or those allowed access to the Server. Below that list, click on New User to add or Delete to eliminate users with log-in privileges.

The right side of screen contains User Information. To create settings for any user, first enter the user name and password at the top. Below that, mark the **Has Administrator Access** checkbox if you would like that user to have such access.

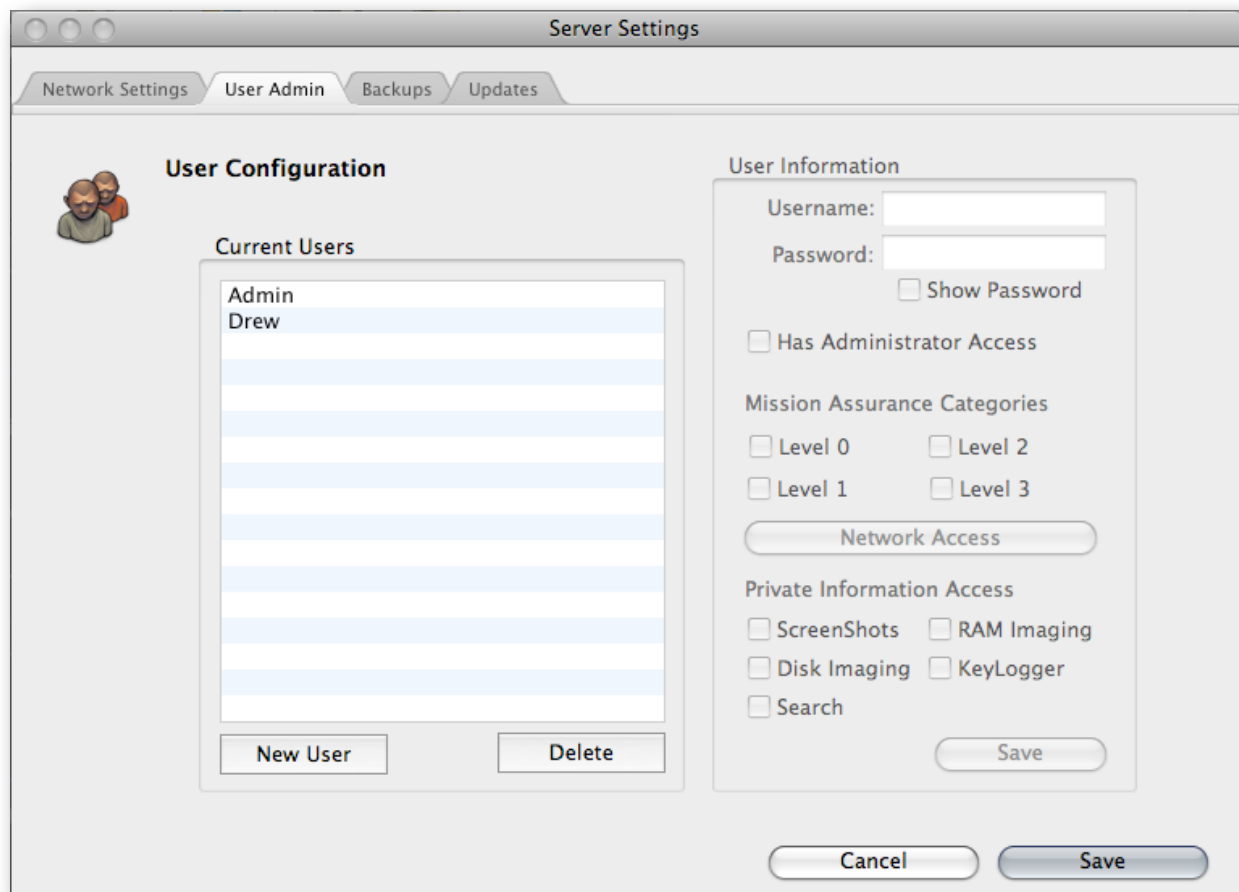


Figure 7.5: User Configuration

Mission Assurance Categories

Next, select the appropriate Mission Assurance Category for the Host (the computer or devices) to which the user has access. Available MAC levels are:

- Level 0 - Not defined or set (guest)
- Level 1 - Critically Important
- Level 2 - Moderately Important
- Level 3 - Least Important

Users must determine which components fit which categories for their particular systems. Generally speaking, however, Level 1 encompasses those features on which

your entire enterprise depends for productivity. Without them, your entire system grinds to a halt. Examples might include a database or an e-mail server. Level 2 might include such features as a back-up server, while Level 3 might be assigned to printers or individual workstations.

Network Access

Click the Network Access button to bring up this box, where you can select the appropriate access level for the user.

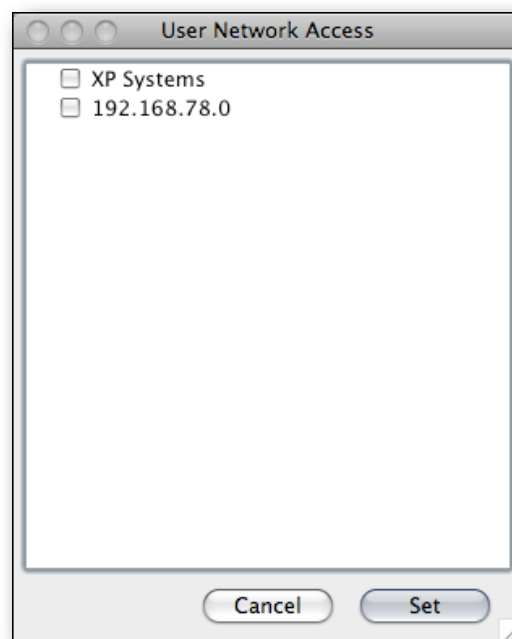


Figure 7.6: Network Access

Private Information Access

Finally, mark the appropriate checkboxes in the Private Information Access section to determine whether the user can access ScreenShots, Disk Imaging, RAM Imaging or KeyLogger. These features are described in greater detail in chapter 5.

Database Backup

Click the **Enable Backups** checkbox to enable database backups. You have the option to set the time and day(s) you wish to back up the system's database. You may enter a time and select any or all days of the week by marking the appropriate checkboxes.

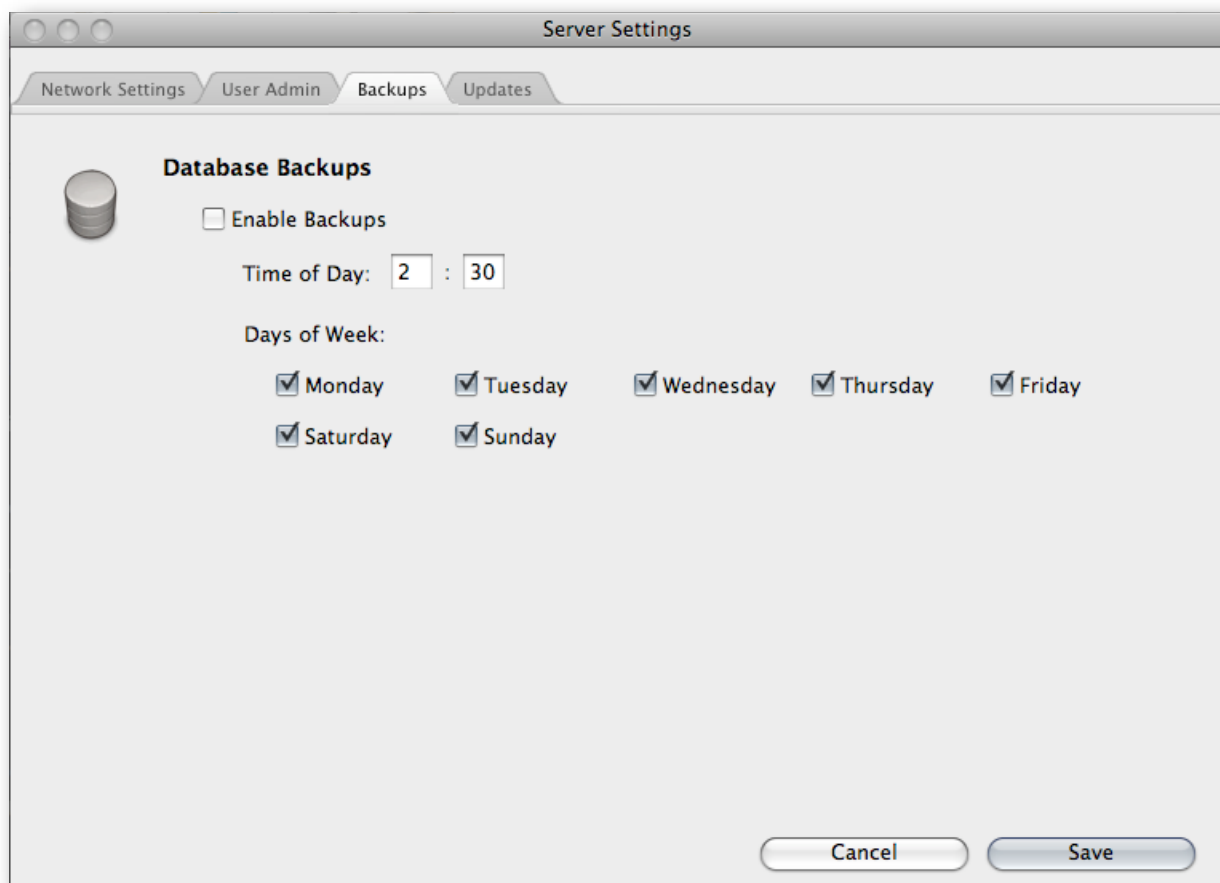


Figure 7.7: Database Configuration

System Updates

The system can be set to check for updates on a weekly basis or you can force a check by clicking on the **Check Now** button. If any updates are available they will be listed in the listbox. The application that has an update along with the version and date of release will be listed.

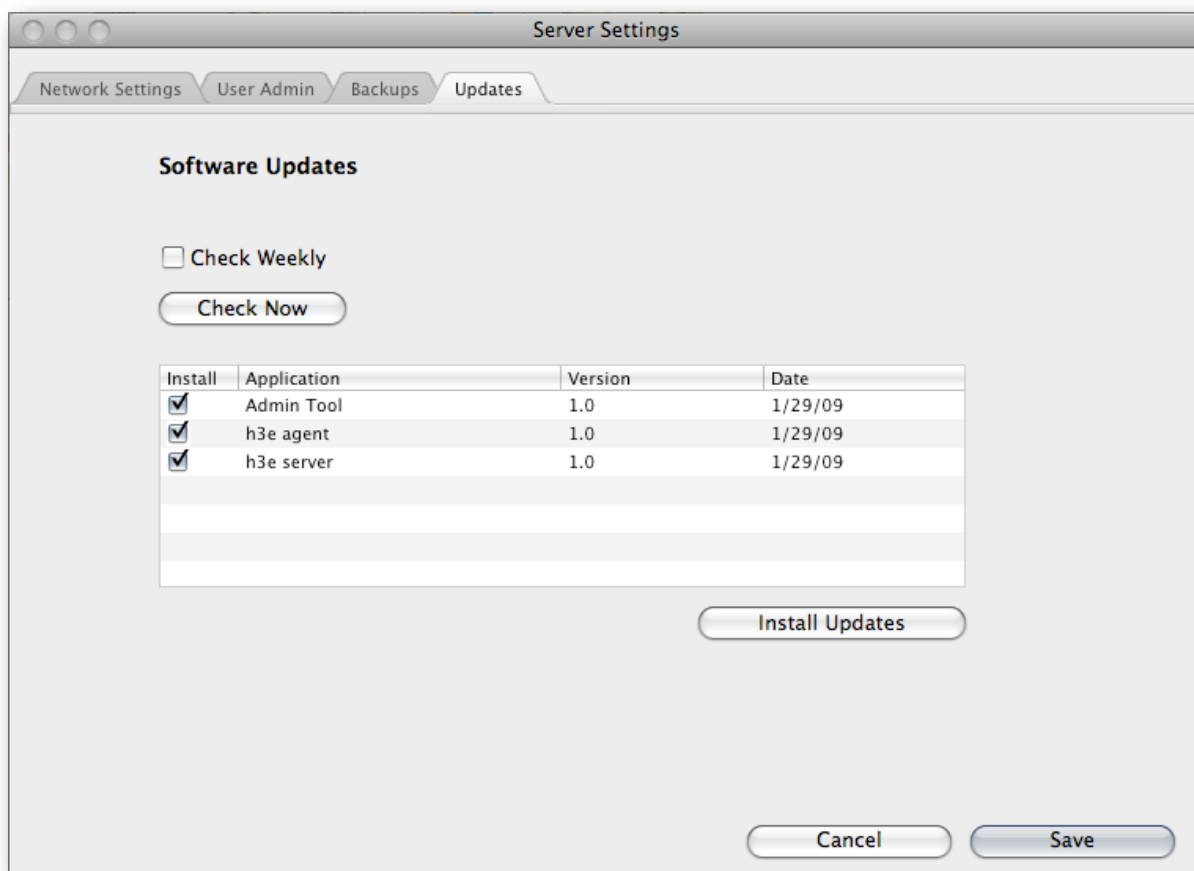


Figure 7.8: System Updates

In order to update an element of the H3E system simply check the box next to the Application name and click on the **Install Updates** button. The updates will be downloaded to the H3E server and will be install in this order:

1. Server will automatically install updates first after download
2. Updates on agents will be installed when they first beacon in after a download
3. CAT updates will happen upon login after a download

If the **Check Weekly** checkbox is checked and an update is discovered the dashboard on the CAT will display the following banner:

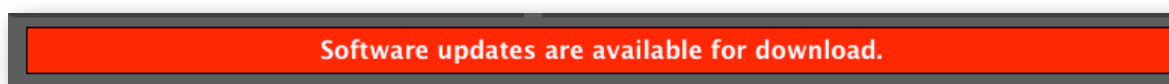


Figure 7.9: System Updates Banner

8: Additional Information

Everything Else

Customer Support

Please first refer to the instructions included in this users' manual if you encounter problems using H3E. If you are unable to find the solution you need, please contact Customer Support at <http://fogbugz.e-fense.com> with a detailed explanation of the issue or request.

Please also contact us about features you would like to see in a future Helix3 Enterprise release. We are committed to continually improving our product to ensure it meets your needs in the future as well as the present.

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UNITED STATES DEPARTMENT OF

BUREAU OF INDUSTRY AND SECURITY
WASHINGTON, D.C. 20230

CASE NUMBER: Z727203

E-FENSE, INC.
ATTN: ROWLAND KIRKS
120 NORTH SAINT ASAPH STREET
ALEXANDRIA, VA 22314

APRIL 01, 2008
CCATS #: G061201

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DOLLAR				
LIMIT				

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1) COMPUTER NETWORK SECURITY SOFTWARE 5D002C.1 ENC
\$0
HELIX ENTERPRISE (H3E)

COMMENTS FROM LICENSING OFFICER(S) :

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SECTIONS 740.17(A) AND (B) (3) OF THE EXPORT ADMINISTRATION
REGULATIONS.

ITEM #2: THIS ENCRYPTION ITEM IS AUTHORIZED FOR LICENSE EXCEPTION ENC UNDER
SECTIONS 740.17(A) AND (B) (3) OF THE EXPORT ADMINISTRATION
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ADMINISTRATION REGULATIONS (EAR)

DESTINATIONS REQUIRING A LICENSE

SEE THE COMMERCE COUNTRY CHART (SUPPLEMENT NO. 1 TO PART 738 OF THE EAR) TO
DETERMINE WHICH COUNTRIES REQUIRE A LICENSE. USE THE COUNTRY CHART COLUMN

INFORMATION GIVEN ON THIS FORM IN CONJUNCTION WITH THE COUNTRY CHART TO DETERMINE THE LICENSING REQUIREMENTS FOR YOUR PARTICULAR ITEMS. FOR ITEMS CLASSIFIED EAR99, SEE PART 746 OF THE EAR TO DETERMINE THE LICENSING REQUIREMENTS.

APPLICATIONS FOR EXPORT MUST BE SUBMITTED ON FORM BIS-748P MULTIPURPOSE APPLICATION. THESE FORMS MAY BE OBTAINED BY CALLING (202) 482-3332 OR REQUESTING DIRECTLY ON THE BIS INTERNET WEB SITE. ASSISTANCE IN FILLING OUT THE FORM, OR ANY ASPECT OF EXPORTING, IS PROVIDED BY THE EXPORT COUNSELING DIVISION IN WASHINGTON, D.C. AT (202) 482-4811 OR THE WESTERN REGIONAL OFFICE IN NEWPORT BEACH, CALIFORNIA AT (714) 660-0144.

LICENSE EXCEPTIONS

BE AWARE THAT THE LICENSING REQUIREMENTS FOR SOME DESTINATIONS MAY BE OVERCOME BY ANY LICENSE EXCEPTION FOR WHICH YOUR ITEMS QUALIFY. SEE PART 740 OF EAR FOR INFORMATION ON LICENSE EXCEPTIONS. THE LICENSE AVAILABLE COLUMN ON THIS FORM LISTS ONLY THOSE LICENSE EXCEPTIONS OF THE SET GBS, CIV, APP, TSR WHICH ARE APPLICABLE TO YOUR ITEMS. OTHER LICENSE EXCEPTIONS MAY APPLY, DEPENDING UPON THE CIRCUMSTANCES OF YOUR INTENDED TRANSACTION.

EXPORT CONTROL CLASSIFICATION NUMBERING SYSTEM (ECCN)

THE ECCN NUMBERING SYSTEM IS FOUND IN THE COMMERCE CONTROL LIST (CCL) PART 774 OF THE EAR. THE CCL IS A COMPREHENSIVE LIST THAT IDENTIFIES ALL ITEMS CONTROLLED AND LICENSED BY COMMERCE. WITHIN THE CCL, ENTRIES ARE IDENTIFIED BY AN ECCN. EACH ENTRY SPECIFIES THE LICENSE REQUIREMENTS FOR THE ITEM AND THE REASON(S) FOR CONTROL. PLEASE CONSULT PARTS 738 AND 774 OF THE EAR FOR SPECIFIC INFORMATION ON ECCNS.

SHIPPERS EXPORT DECLARATION (SED)

WHEN AN EXPORT IS MADE, IT IS NECESSARY FOR THE EXPORTER TO SHOW ON THE SHIPPERS EXPORT DECLARATION (FORM 7525-V) IN BLOCK 27 EITHER THE LICENSE NUMBER, THE APPLICABLE LICENSE EXCEPTION SYMBOL OR THE SYMBOL NLR. FORM 7525-V IS AVAILABLE FROM THE SUPERINTENDENT OF DOCUMENTS, U.S. GOVERNMENT PRINTING OFFICE OF WASHINGTON, D.C. 20402, AND FROM EXPORT ADMINISTRATION DISTRICT OFFICES (U.S. DEPT. OF COMMERCE).

CATHERINE PRATT
DIVISION DIRECTOR

FOR INFORMATION CONCERNING
THIS CLASSIFICATION CONTACT
AARON AMUNDSON
PHONE #: (202) 482-5299
BIS/STC/IT