JAFROC 4.0 User Manual

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Windows XP, Vista and Windows 7 integrated software to perform JAFROC analysis and sample size estimation for FROC and ROC studies

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Features

JAFROC analysis is described in Ref. (1). Basic ROC references are (2-4).

- 1. Standalone windows compatible software (XP, Vista, 7).
- 2. Convenient FROC raw data plotting: this is a quick way to check the quality of the FROC data and train readers in optimal usage of the ratings scale (5).
- 3. Integrated plotting routine uses maximum likelihood method to fit the search model (6, 7) to ROC, FROC and AFROC data.
- 4. Integrated sample size analysis using an adaptation of the Hillis-Berbaum method (8).
- 5. Ability to perform user-defined FROC analysis by accessing the built in DLLs. See JAFROC Development Kit Guide.doc for further details.

Installing the software

Install "Microsoft Visual C++ 2010 Redistributable Package (x86)" before installing JAFROC software. It is available at <u>http://www.microsoft.com/downloads/en/details.aspx?familyid=A7B7A05E-6DE6-4D3A-A423-37BF0912DB84&displaylang=en</u>. Search for "Microsoft Visual C++ 2010 Redistributable Package (x86)" on Google to get to this site.

Double-click JAFROC.MSI file.



Installation creates a "JAFROC" folder in the "Program Files" folder and "JAFROC Data" folder in "Documents" folder and copies a sample JAFROC data file "DataFile.xls" and "plot_template.xls" file to this folder. Click on "Next"

jafroc □ ■ ×	
Welcome to the JAFROC Setup Wizard	1
The installer will guide you through the steps required to install JAFROC on your computer.	
WARNING: This computer program is protected by copyright law and international treaties. Unauthorized duplication or distribution of this program, or any portion of it, may result in severe civil or criminal penalties, and will be prosecuted to the maximum extent possible under the law.	
Cancel < Back <u>N</u> ext >	

If running under Windows 7 the following screen may appear:

🌏 Use	r Account Contr	ol 🛛	<					
Do you want to allow the following program from an unknown publisher to make changes to this computer?								
	Program name: C:\Users\Public\FROCWebSite\archive\JAFROC.msi Publisher: Unknown File origin: Hard drive on this computer							
•	Show details	Yes No						
		Change when these notifications appear	[

Click on "Yes".

After installation, click on [Start] menu and check that the JAFROC folder was created with four icons in.



If you get the error message shown below when you start JAFROC software, try installing "Microsoft Visual C++ 2010 Redistributable Package (x86)" available at

http://www.microsoft.com/downloads/en/details.aspx?familyid=A7B7A05E-6DE6-4D3A-A423-37BF0912DB84&displaylang=en

and restart JAFROC.



Data format

For a quick understanding of the format see the sample input file "Data3.xls" included in the zip file. The file plot_template.xls is not an input file. It is intended for creating FROC and AFROC plots. Note: text input format is no longer supported.

Truth worksheet

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3	- 1		1	1:	_						
4	-		1	1							
5	3		1	1							
1	4		1	1							
6	0			1							
0	0		1	1							
2	6										
4	0		1	4							
12	10		1	1							
â	11		1	4							
4	12		1	1							
6	13		1	1							
6	14		1	4		-					
7	15		1	1							
8	16		1	1							
9	17		1	1							
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1	19		1	1					1		
2	20		1	1				-			
а	21		1	1							
4	22		1	1							
5	23		1	1							
6		In and I	- 4	14.5		1.					-

Label	Meaning
CaseID	This integer field identifies the case (or patient or image). Cases must be uniquely labeled with integers; the integers do not have to be sequentially numbered.
LesionID	This integer field tells the program how many lesions are in a particular image and uniquely identifies them. If this number is zero the case is considered to be normal. If a case has multiple lesions then these must be uniquely identified with integers (they do not need to be sequential). For weighted analysis the lesion IDs in the Truth and TP worksheets must refer to the same lesions. For un-weighted analysis matching is not necessary. E.g., 11, 2, 31 and 4 for an abnormal case with 4-lesions; 1 and 2 for an abnormal case with 2- lesions; 0 for a normal case.
Weight	This positive floating-point value is the weight corresponding to the lesion ID. For each abnormal case there must be as many weights as there are lesions. e.g., 0.1, 0.2, 0.3, and 0.4 for a 4-lesion case; 0.5 and 0.5 for a 2-lesion case (equally weighted). Note that the sum of weights for a case must be 1.0 (to within 1%). For a normal case the weight field must be zero.

TP (lesion localization) Worksheet

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1	ReaderID	ModalityID	CaseID	LesionID	TP_Rating							
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20	1	1	23	1								
22	1	1	25	1	4							
23	1	. 1	26	1	5							
24	1	1	27	1	5							
25	1	1	28	1	3							
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Label	Meaning
ReaderID	This integer field identifies the readers. The entries must be unique integers, e.g., 1 or 2 or 3 for 3 readers.
ModalityID	This integer field identifies the modalities, e.g., 1 or 2 for 2 modalities.
CaseID	As in Table 1
LesionID	As in Table 1
TP_Rating	This positive integer / floating-point value is the rating assigned to a particular TP mark. Higher numbers represent greater confidence that the location is actually a lesion. For images with multiple lesions the ratings must correspond to the LesionIDs in the truth table unless the lesions are equally weighted. Otherwise the rating associated with a particular lesion will not match its weight.

FP (non-lesion localization) Worksheet

:8)	<u>File E</u> dit	⊻iew Ins	sert F <u>o</u> rmat	Tools	<u>D</u> ata	<u>W</u> indov	w <u>S</u> tatsDire	ct <u>H</u> elp	Add	be PC	F		Туре а	ques	tion fo	or he	lp -		8	×
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4	1	1	2		2															
5	1	1	13		2															
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7	1	1	23		1															
8	1	1	37		2															
9	1	1	38		3															
10	1	1	44		4											_				
11	1	1	46		3				_							_				
12	1	1	47		2				_							_				
13	1	1	52		3				_							_			_	
14	1	1	54		1				_							-			-	
15	1	1	54		1				_							_			-	
16	1	1	b2		3				-		_					-			-	
17	1		12		1						_					-			-	
10	1	1	01		2				-		_					-			-	
10	1	1	05		1						_					-			-	
20	1	1	95		2				-		_					+			-	
21	1	1	103		3				-		-					+			-	
23	1	1	105		3				-		-					-			-	
24	1	1	103		4				+							+				
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Read	ły														NU	M				

Label	Meaning
ReaderID	As in Table 2
ModalityID	As in Table 2
CaseID	As in Table 1, but on this sheet the cases must be grouped: if two or more false positives occur for the same reader, modality and case then they should appear consecutively and not be separated by data for other cases.
FP_Rating	This positive integer / floating-point value is the rating assigned to a particular FP mark. Multiple false positive are indicated on multiple lines.

Running the software

Click [Start] menu and select JAFROC icon.

E Internet Explorer	^	
Windows DVD Maker		C Deserver
🕮 Windows Fax and Scan		Administrator
🚳 Windows Media Center		
🖸 Windows Media Player		Documents
Windows Update		
🛹 XPS Viewer		Pictures
퉬 7-Zip		A Company of Control o
la Accessories		Music
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JAFROC		
△ JAFROC		Control Panel
Operating Characteristics		D . ID. I
plot_template.xls		Devices and Printers
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Maintenance		Deladic Programs
Microsoft Office		Help and Support
Microsoft Silverlight		
Nexon	÷	100 0000
4 Pack	-	
	_	
Search programs and files	1	Shut down
Search programs and files	J	Shut down V
	_	

The following screen will show. Click on the "Datafile" button located at top-left.

JAFROC		X
Reader Training Show RAW FROC plots	1/11/2011 14:12:37 Ready	A
C JAFROC1 C JAFROC C ROC Options		
Create ROC (*/rc) file for DBM/MRMC Pseudovalue files for SAS Sample Size Estimation Perform Sample Size Estimation		
Analyze Data		
Create ROC/AFROC/FROC Excel Plots ROC plots AFROC plots FROC plots		÷

Locate your data file in "Documents\JAFROC Data" folder. Select your data file and double click or click "Open".

⊇ Open ⓒ ♥ 🖟 « Documen	ts 🕨 JAFROC Data 🗸 🗸	Search JAFROC Data
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p૾૾ૣ Computer 실실 Local Disk (C:) 모ੁ iDisk on 'psf' (V:) ▼	<	
File <u>n</u> a	me: DataFile 🗸	JAFROC Data Files (*.xls*) ▼ Open ▼ Cancel

If there are errors (e.g., the selected file is not a valid input file or the data is inconsistent) the program will display appropriate error messages on the console. Otherwise the screen will show the following.

JAFROC	
DataFile xls Datafile Reader Training Show RAW FROC plots Analysis Method C JAFROC1 G JAFROC C ROC Options C Create ROC (* Irc) file for DBM/MRMC P Pseudovalue files for SAS Sample Size Estimation C Perform Sample Size Estimation Analyze Data	<pre>1/11/2011 14:12:37 Ready Datafile: C:\Users\Administrator\Documents\JAFROC Data\DataFile.xls Number of READERS: 5 Number of NOWAL CASES: 100 Mumber of ADNORMAL CASES: 100 Maximum number of lesions per case: 4 Average number of lesions per case: 2.5 Total number of lesions: 250 Set default analysis method: JAFROC</pre>
Create ROC/AFROC/FROC Excel Plots ROC plots AFROC plots FROC plots	-



- (1) First, select the analysis option. The simplest way is to keep the defaults.
- (2) Then click on "Analyze Data" button.

Upon successful completion the following will show

JAFROC	
DataFile.xls Datafile	1/11/2011 15:01:39 Ready
Show RAW FROC plots	Datafile: C:\Users\Administrator\Documents\JAFROC Data\DataFile.xls Number of TREATMENTS: 2 Number of READERS: 5
Analysis Method	Number of NORMAL CASES: 100 Number of ABNORMAL CASES: 100 Maximum number of lesions per case: 4
● JAFROC○ ROC	Average number of lesions per case: 2.5 Total number of lesions: 250
Options Create ROC (*.Irc) file for DBM/MRMC	Set derault analysis method: JAFROC Analyzing
Pseudovalue files for SAS	Reporting to file: C:\Users\Administrator\Documents\JAFROC Data \DataFile_JAFROC.txt
Sample Size Estimation	Done.
Analyze Data	
Create ROC/AFROC/FROC Excel Plots	
ROC plots AFROC plots FROC plots	

Open the JAFROC Data folder.

-	Danta Later In			
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ጵ Favorites 📃 Desktop	Documents library JAFROC Data		Arran	ge by: Folder 🔻
Downloads	Name	Date modified	Туре	Size
Recent Places	🗑 DataFile	4/3/2009 3:17 PM	XLS File	294 KB
詞 Libraries	DataFile_JAFROC	1/11/2011 3:01 PM	Text Document	5 KB
Documents Music Music Pictures Computer Local Disk (C:) Disk on 'psf' (V:) Monton 'psf' (X:) Home on 'psf' (X:) Home on 'psf' (Z:) Network	▶ pior_tempiate	1/29/2010 11:33 AM	ALS FIIE	1,220 KB
DataFile_JAFRO Text Document	C Date modified: 1/11/2011 3:01 PM Size: 4.49 KB	Date created: 1/11/2011 2:18	3 PM	

The output file is DataFile_JAFROC.txt. It will contain the following (for the sample data set):

Output file for the sample data set

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```
JAFROC Version 4.0
Last Compilation Date
JAFROC_CORE.DLL : Jan 10 2011 12:34:00
JAFROC.EXE : Jan 11 2011 12:27:18
_____
This run date: 1/11/2011 15:01:46
Input Data Filename : DataFile.xls
Output Data Filename : DataFile_JAFROC.txt
Analysis method: : JAFROC FOM DBM-MRMC SIGNIFICANCE TESTING
Number of Readers
               : 5
Number of Treatments
               : 2
```

Number of Normal Cases : 100

Number of Abnormal Cases : 100 fraction normal cases : 0.500 min LESIONS_PER_IMAGE : 1 max LESIONS_PER_IMAGE : 4 mean LESIONS_PER_IMAGE : 2.5 Total LESIONS : 250 Inc. Loc. Frac. : 0.031

For	TRT	=	1	,	and	RDR	=	1	,	max	FPF	=	0.990.
For	TRT	=	1	,	and	RDR	=	2	,	max	FPF	=	0.990.
For	TRT	=	1	,	and	RDR	=	3	,	max	FPF	=	1.000.
For	TRT	=	1	,	and	RDR	=	4	,	max	FPF	=	0.990.
For	TRT	=	1	,	and	RDR	=	5	,	max	FPF	=	0.990.
For	TRT	=	2	,	and	RDR	=	1	,	max	FPF	=	1.000.
For	TRT	=	2	,	and	RDR	=	2	,	max	FPF	=	1.000.
For	TRT	=	2	,	and	RDR	=	3	,	max	FPF	=	1.000.
For	TRT	=	2	,	and	RDR	=	4	,	max	FPF	=	1.000.
For	TRT	=	2	,	and	RDR	=	5	,	max	FPF	=	1.000.

FOM = Trapezoidal area under AFROC curve, ignoring NLs on abnormal cases

FOMs for TREATMENTS (columns) and READERS (rows)

1 2 _____

1	0.6654	0.6486
2	0.6867	0.6963
3	0.7447	0.7067
4	0.7118	0.7602
5	0.6416	0.7416

F-statistic and p-value

```
Significance level of test (alpha) = 0.05
F-statistic : 0.382
p-value : 5.469E-001
DF (Num,Den) : ( 1, 1.370E+001 )
```

N.B. If the p-value is less than 0.05 there is a significant difference between at least one pair of TREATMENTS

READER-averaged FOMs for TREATMENTS and 95% confidence intervals:

1 0.6901, (0.6286, 0.7515)

2 0.7107, (0.6506, 0.7708)

INTER-TREATMENT differences and 95% confidence intervals:

FOM(1) - FOM(2) = -0.0206, (-0.0923, 0.0511)

Variance components needed for sample-size estimation:

var_tr : 1.2143E-003

```
var_tc : 5.1149E-002
```

var_trc : 5.7859E-002

F-statistic and p-value

Significance level of test (alpha) = 0.05
F-statistic : 0.677
p-value : 4.116E-001
DF (Num,Den) : (1, 1.990E+002)

N.B. If the p-value is less than 0.05 there is a significant difference between at least one pair of TREATMENTS

READER-averaged FOMs for TREATMENTS and 95% confidence intervals:

1 0.6901, (0.6390, 0.7411)

2 0.7107, (0.6649, 0.7565)

INTER-TREATMENT differences and 95% confidence intervals:

FOM(1) - FOM(2) = -0.0206, (-0.0700, 0.0288)

F-statistic and p-value

Significance level of test (alpha) = 0.05
F-statistic : 0.706
p-value : 4.480E-001
DF (Num,Den) : (1, 4.000E+000)

N.B. If the p-value is less than 0.05 there is a significant difference between at least one pair of TREATMENTS

READER-averaged FOMs for TREATMENTS and 95% confidence intervals:

1 0.6901, (0.6403, 0.7398)

2 0.7107, (0.6570, 0.7644)

INTER-TREATMENT differences and 95% confidence intervals:

FOM(1) - FOM(2) = -0.0206, (-0.0887, 0.0475)

Interpretation of the output file

Inc. Loc. Frac. : 0.031

This is the incorrect localization fraction (ILF): the fraction of abnormal images on which the highest rated location was a false positive (and not a lesion). See my 2004 Med Phys paper. If ILF is large then one expects a large power advantage of JAFROC over ROC analysis. If it is zero, one does not expect a power advantage and you might as well analyze your data with DBM_MRMC software.

```
----- Results: RANDOM_ALL ------
F-statistic and p-value
Significance level of test (alpha) = 0.05
F-statistic : 0.382
p-value : 5.469E-001
DF (Num,Den) : ( 1, 1.370E+001 )
N.B. If the p-value is less than 0.05 there is a significant difference
between at least one pair of TREATMENTS
```

If p < 0.05 you have a significant difference.

READER-averaged FOMs for TREATMENTS and 95% confidence intervals:

1 0.6901, (0.6286, 0.7515)

Lists the reader-averaged figures of merit for the 2 treatments (modalities) and 95% confidence intervals. The confidence intervals have not been corrected for multiple comparisons.

INTER-TREATMENT differences and 95% confidence intervals:

```
FOM(1) - FOM(2) = -0.0206, (-0.0923, 0.0511)
```

Lists the inter-treatment differences between reader-averaged figures of merit for all pairings of the treatments and 95% confidence intervals for the differences. If the 95% CI does not include 0, then the corresponding modality pairs are significantly different. The confidence intervals have not been corrected for multiple comparisons (more than one pair). If they had been, the corrected CI's would be larger and you would see fewer significant differences. But if p < 0.05 at least one of the differences is significant.

Variance components needed for sample-size estimation:

var_tr : 1.2143E-003
var_tc : 5.1149E-002
var_trc : 5.7859E-002

ROC sample size calculator

[This could be used for sample size estimation for JAFROC but you don't know the JAFROC effect size corresponding to a given ROC effect size, so it is best to use the built in capability in JAFROC described after this section.] This is an implementation of the Hillis Berbaum method (8). Click [Start] menu and select the "Sample Size Calculator" icon.



The following screen will show. Enter the three variance components, set the appropriate parameters, and press [Recalculate].

Sample Size Calcu	lator		x	
Generalization To: -				
Random ALL				
C Random CASES	S			
C Random READ	ERS			
Needed Input:				
Number of Reade	ers:	5		
Effect Size:		0.114222		
VAR_TR:	0.0	00121427		-
VAR_TC:	0.0	0511491	-	
VAR_TRC:	0.0	0578592		
Desired Power (1	-beta)	: 0.8		
Alpha:		0.05		
Prediction:				
Number of Cases		126		
Recalculate		Close	<u> </u>	

JAFROC sample size calculator

If you had selected "Perform Sample Size Estimation" in the main screen, repeated below, the program will walk you through one more step. You will not have to re-enter the variance components manually.

© JAFROC C ROC (Checked)	Total number of lesions: 250
Options Options Create ROC (Airc) file for DBM/MRMC Pseudoralue files for SAS Same Size Estimation	Set default analysis method: JAFROC Select Sample Size Estimation.
Analyze Data	

Upon the "Sample Size Estimation" screen, you will enter appropriate parameters as for your request, then click on "Recalculate" button. Required number of cases per number of readers will be shown. Press "OK" to report the result.

Sample Size Estimation	Notice and care form	×
ROC Effect Size	NH ROC FOM: 0.777212 AH ROC FOM: 0.827212	•
Modality	ROC Effect Size: 0.0500001 NH JAFROC FOM: 0.545253	
 ✓ 1 ✓ 2 	AH JAFROC FOM: 0.659475 JAFROC Effect Size: 0.114222	E
	Alpha: 0.05 Desired power: 0.8	
Alpha 0.05	Prediction Random All # Readers: 4 # Cases: 188	
Desired Power (1-beta)	# Readers: 5 # Cases: 126 # Readers: 6 # Cases: 105 # Readers: 7 # Cases: 97 # Readers: 9 # Cases: 97	
1	# Readers: 9 # Cases: 86 # Readers: 10 # Cases: 82 # Readers: 11 # Cases: 80	
Recalculate	# Readers: 12 # Cases: 79 # Readers: 13 # Cases: 78 # Readers: 14~15 # Cases: 74	
	# Readers: 16~20 # Cases: 72 # Readers: 21~23 # Cases: 71	-
OK - Send the result to re	port	Cancel - Do not report

The rest of the output is for random cases and random readers analyses, as per the DBM-MRMC documentation (9).

Options



(1) Convert FROC data into ROC and save it to the file compatible to DBM-MRMC software (*.lrc).

(2) Save pseudo-values to a file in plain text format, consists of four columns of numbers. For each row, there are Reader Index, Treatment Index, Case Index, and the corresponding pseudo-value.

Plots

Press "Show RAW FROC plots" button in the Reader Training section.



Shown is the raw FROC plot for each reader and modality. Press << and >> button to browse other reader and modality. Or, you can save the raw plot as CSV file.



Press "ROC plots", "AFROC plots", and/or "FROC plots" button in the "Create ROC/AFROC/FROC Excel Plots" section.



Shown is the Search Model fitted curve for each reader and modality. Press << and >> button to browse other reader and modality. Or, you can save the raw plot as CSV file.



Also, the search model fitted curves for every reader and modality pairs can be shown in Excel worksheet. Open the JAFROC Data folder.



The file "plot_template.xls" file includes script to read the search model fitted plots data file "DataFile_ROC_PLOTS.txt". Double-click on "plot_template.xls", and press "Enable Macros" to allow the script to run reading plots.

Security Warning
"C:\work\JAFROC_C\JAFROC\Test Cases\plot_template.xls" contains macros.
Macros may contain viruses. It is usually safe to disable macros, but if the
macros are legitimate, you might lose some functionality.
Disable Macros Enable Macros More Info

Select "DataFile_ROC_PLOTS.txt". Search model fitted ROC curves will be shown on the worksheet, along with the fitted search model parameters.



Operating Characteristics

This is a convenient way to visualize ROC, AFROC, and FROC plots for specific search model parameters, to observe the differences between treatments, and calculate ROC and JAFROC figures of merit.

One modality



Two modalities



If warnings or errors during the analysis, error message is displayed on console window. If you cannot fix the problem send me the data file. Please bring errors on screen to my attention.

Good luck!

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Last revision: 1/18/2011

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