

# User Manual for MetaSim V0.9.4

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# MetaSim

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[www-ab.informatik.uni-tuebingen.de/software/metasing](http://www-ab.informatik.uni-tuebingen.de/software/metasing)

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## 1 Introduction

**Disclaimer:** This software is provided "AS IS" without warranty of any kind. This is developmental code, and we make no pretension as to it being bug-free and totally reliable. Use at your own risk. We will accept no liability for any damages incurred through the use of this software. Use of the MetaSim is free, however the program is not open source.

**How to cite:** If you publish results obtained in part by using MetaSim , then we require that you acknowledge this by citing the program as follows:

- D.C. Richter, F. Ott, A.F. Auch, R. Schmid and D.H. Huson, *MetaSim- A Sequencing Simulator for Genomics and Metagenomics*, 2008 PLoS ONE, *accepted*.

The research field of Metagenomics is based on the isolation and characterization of DNA from environmental samples without the need for prior cultivation of microorganisms. In contrast to single genome studies, analyses are applied to entire communities of microbes instead of only few isolated organisms. It has already led to exciting insights into the ecology of different habitats.

The research field of Metagenomics is spurred by the recent development and improvement of next-generation sequencing technologies like Roches 454 pyrosequencing. Although these high throughput technologies promise faster and relatively inexpensive generation of reads, Sanger sequencing still has been used in environmental genome projects to avoid the drawbacks of shorter read lengths.

The aim of MetaSim is to provide a tool for the simulation of reads based on given genome sequences reflecting (adaptable) error models of current sequencing technologies. Additionally, the user is able to determine the abundance of the chosen taxa. Therefore, MetaSim integrates an *induced tree view*

of the NCBI taxonomy that can be used to interactively select taxa and inner nodes of the taxonomy to configure their relative abundances.

Another feature of **MetaSim** allows the user to simulate an evolved population of a single genome sequence, using a population simulator. This feature is aimed at simulating the common real world situation that many different, but closely related strains of a lineage coexist in the same habitat.

The resulting data sets can be used to plan and design metagenome studies and for evaluation and improvement of metagenomic software tools and assembly algorithms.

This document provides both an introduction and a reference manual for **MetaSim** .

## 2 Getting Started

This section describes how to get started.

First, download an installer for the program from [www-ab.informatik.uni-tuebingen.de/software/metasim](http://www-ab.informatik.uni-tuebingen.de/software/metasim), see Section 3 for details.

Upon first startup of the program, the internal database does not contain any genome sequences. To import the necessary files, see Section 6.1 Alternatively, to import an individual genome sequence as (multi)fasta file use the **Database→Import Files** item.

Once sequences are loaded into the database, create a new project using **File→New Project** . After this, the source genome sequences have to be selected for simulation. Therefore, a **Taxon Profile** containing all desired sequences has to be created: Click on the **Database→Show Database** to display the content of the database in the main panel. Then select the sequence entries from the database (Linux/Win user: left-click + hold down *ctrl* key, Apple Users: left-click + hold down *cmd* key). Right-click on the selected entries in the database view and use the **Create Taxon Profile From Selection** item. After saving the file as *.mprf* file, this profile is added to the *Taxon Profile* folder in the **Project Tree**. By clicking on the taxon profile, its contents are displayed in the **Main Panel**.

To start a simulation, use **Project→Run Simulation** to open the final configuration window. First, select one of the available simulation setting presets (The presets can be, of course, individually adapted, refer to Section 8.) Second, choose the desired taxon profile by (un)tickling the boxes for the listed profiles. Finally, click on the button **Run Simulation** to start the simulation.

After each simulation process, a new subfolder (yellow colored) is added to the project folder containing a log file and a snapshot of the (compressed) multifasta file with the simulated reads. The multifasta file is written into the folder where the project has been saved to.

## 3 Obtaining and Installing the Program

MetaSim is written in Java and requires a Java runtime environment version 1.5 or newer, freely available from [www.java.org](http://www.java.org).

MetaSim is installed using an installer program that is freely available from [www-ab.informatik.uni-tuebingen.de/software/metasim](http://www-ab.informatik.uni-tuebingen.de/software/metasim).

There are four different installers, targeting different operating systems:

- `MetaSim_windows_0.9.4.exe` provides an installer for Windows.
- `MetaSim_macos_0.9.4.sit` provides an installer for MacOS.
- `MetaSim_linux_0.9.4.rpm` provides a RPM package for Linux.
- `MetaSim_unix_0.9.4.sh` provides a shell installer for Linux, MacOS and Unix.

The executable program is called `MetaSim` . The unix and linux installers additionally provide an executable called `MetaSim-cmdline`.

## 4 Program Overview

In this section, we give an overview of the main design goals and features of this program. Basic knowledge of the underlying design of the program should make it easier to use the program.

MetaSim is written in the programming language Java. The advantages of this is that we can provide versions that run under the Linux, MacOS, Windows and Unix operating systems. A potential draw-back is that an algorithm implemented in Java will generally run slower than the same algorithm implemented in *C* or *C++*.

The program is designed to be run in GUI mode. A [Message Panel](#) provides information about the progress of the program. Additionally, MetaSim can be controlled from the command-line by typing `./MetaSim cmd` and using switches such as `-r 5000` to set all aspects of a simulation.

Known genome sequences (fasta files) are the input for MetaSim . These source sequence are internally stored in a database. The user then specifies the taxa composition and abundances in a profile file or via a tree editor in GUI mode. The sampling of the reads is based on the chosen sequencing technology and error model. Output is a collection of reads in a multifasta file (optionally compressed). The fasta header of each read contains multiple information:

- the source genome,
- the sampled position of the reads in the source genome and
- the base positions where the read sequence was modified by the selected error model.

### 4.1 General concept of MetaSim

The aim of MetaSim is to provide a flexible tool, that enables the user to design several simulation runs with e.g.

1. the same sequencing technology but with different genome sequences
2. the same sequencing technology but with different  $x$ -fold coverages
3. the same genome sequences but with different sequencing technologies
4. the same genome sequences but with different abundance values
5. etc.

For this, the overall organisation of MetaSim is based on three compounds: a database holding the genome sequences, *taxon profiles* and simulation parameter settings.

The [Database](#) provides all genome sequences the user previously has to import (see Section 6).

Only those names of genome sequences present in the database can be listed in [Taxon Profiles](#) to determine which genomes should be taken as source sequences for the simulation of reads. In this way, the composition of metagenomes can individually be determined. See Section 7.1 to see

how a taxon profile is structured. A single project can contain several taxon profiles. (Prior to simulation of a project, one is able to select the desired taxon profile(s).)

If the genome sequences in the database are assigned a unique taxonomy id (taxid) (see Section 6.1 how to import this information), the text-based taxon profile can be visualized in a [Taxonomy Editor](#). In this window, all taxa (genome sequences) of a single profile are visible in an induced taxonomical tree based on the NCBI taxonomy (see Section 12).

The third compound, the configuration of **Simulation Parameter Settings**, is independent of the configuration of taxon profiles. Each project comes up with four installed presets (sequencing error models) at startup: 454, Sanger, Empirical and Exact (see Section 8). Each preset defines several simulation parameter, such as type of sequencing technology, length of reads, mate-pair probability, etc.. Of course, all parameter values are adaptable and new presets can be created easily. Each project has its own set of simulation presets but one is able to export and import selected parameter settings. More on simulation parameters can be found in Section 8.

MetaSim projects can be saved as *.msim* file using the [File→Save As](#) item. Parameter settings and taxon profiles of all projects are recovered upon startup of the program.

## 5 Main Window

The **Main Window** comprises of three different panels: a *Project Tree*, a [Main Panel](#) and a [Message Panel](#).

### 5.1 Project Tree Panel

This panel displays the database and all existing projects hierarchically in a data tree. The first root node represents the [Database](#). Clicking on this node will open the database view in the main panel. If sequences are already loaded into the database, they are listed in the database table. If projects have been already created they are listed below the database item. Each project folder has several subfolders:

- **Simulator Settings** - This subfolder originally contains four simulation presets (454, Empirical, Sanger, Exact). Presets determine the simulation settings for simulation runs (sequencing technology, parameters,... See Section 8) and can be individually adapted. Further presets can be added by right-clicking on the *Simulator Settings* node and choosing **Create New Preset**. This is further explained in Section 8. By clicking on a preset in the project tree, an overview of the settings will be displayed in the Main panel.
- **Taxon Profiles** - In this folder, each taxon profile is listed that has been previously created. By clicking on a profile item, the Main panel displays the content of the profile file. By right-clicking on the *Taxon Profiles* node and choosing **New Taxon Profile** a new profile file will be created. All existing profile files can be edited within MetaSim by clicking on the *Text Editor* Button at the bottom of the Main panel. Changes are written to the file when the *Done* button is clicked. More on Taxon Profile can be found in Section 7

- *simulation run folder* - After each simulation run, a result folder is created named with the current date and time. Within this folder, a simulation log can be found which contains information on the type of simulation, its parameters and the generated output (number of reads created, etc.). Additionally, a snapshot of the generated multifasta file with the resulting reads is displayed. With a left-click on this item, the first fasta entries are shown in the Main panel.

## 5.2 Main Panel

The *Main Panel* displays information of items that have been selected by the user in the project tree.

## 5.3 Message Panel

The program writes all messages to the *Message Panel*.

## 5.4 File Menu

The *File* menu contains the following file-related items:

- The *File*→*New Project* item creates a new project. It is displayed in the project tree.
- The *File*→*Open* item provides an Open File dialog to open a *MetaSim* project (*.msim*).
- The *File*→*Recent Projects* item can be used to re-open a recently opened project.
- The *File*→*Revert* item can be used to revert an opened project to the saved version. This is useful if one wants to undo changes that have been made to the project (e.g. parameter settings).
- The *File*→*Save* item can be used to quick-save the current project.
- The *File*→*Save As* item can be used to save the current project assigning a name and location.
- The *File*→*Print* item is used to print the content of the [Main Panel](#).
- The *File*→*Close* item is used to close a window.
- The *File*→*Quit* item quits the program. Under MacOS, this item is contained in the [MetaSim](#) menu.

## 5.5 Edit Menu

The *Edit* menu contains the usual edit-related items:

- The *Edit*→*Cut* item is used to cut text, e.g. when editing a taxon profile.



- The `Edit→Copy` item is used to copy text.
- The `Edit→Paste` item is used to paste text.
- The `Edit→Find` item opens the [Find Window](#) which can be used to search the database, taxon profiles and the message panel.
- The `Edit→Find Again` finds the next occurrence of a search string.
- The `Edit→Preferences` opens a submenu with the following items:
  - `Preferences→Set Database Location` can be used to set the location of the database to a new path in the file system. The default path is the installation directory.
  - The `Preferences→Set Defaults` opens the simulation settings window to enable the user to determine default values, e.g. number of reads. These values will appear for each new simulation preset, by default.
  - If the `Preferences→Show Message Panel` is checked the message panel will be visible, otherwise it will be hidden.
  - The `Preferences→Increase Font Size` and `Preferences→Decrease Font Size` items change the font size of the database. The database has to be selected first, to enable these menu items.
- The `Edit→Taxonomy Editor` items open the [Taxonomy Editor](#) for a selected taxon profile.
- The `Edit→Text Editor` items open the text editor for a selected taxon profile in the [Main Panel](#).

## 5.6 Database Menu

The `Database` menu contains the following database-related items:

- The `Database→Show Database` item activates the database and displays it in the main panel.
- The `Database→Import Files` item opens a file dialogue to import (*.gzipped*) fasta files with genome sequences for the database (See Section [6.1](#)).
- The `Database→Remove Selected Sequences` item removes selected sequences from the database.
- The `Database→Create Taxon Profile from Selection` item can be used to create a new taxon profile based on selected entries in the database (See Section [7.1](#)).
- The `Database→Export Selected Sequences` item can be used to export selected sequences into a (multi)fasta file.
- The `Database→Enable Selected Sequences` enables the selected sequence. Enabled sequences are used in the process of read simulation.

- The `Database→Disable Selected Sequences` disables the selected sequence. Disabled sequences in the database are not considered (commented out) in taxon profiles. Likewise, they are not considered for the simulation of reads.
- The `Database→Evolve Selected Sequences` item opens the [Population Sampler](#) window. It can be used to generate a set of evolved (mutated) offsprings derived from the selected sequences.
- The `Database→Get Taxon IDs by GI` can be used to infer taxon ids from GIs for each existing sequence in the database. Therefore a special mapping file is needed. See [Section 6.1](#).
- The `Database→Get Taxon IDs (NCBI ftp)` can be used to infer taxon ids from a remote file for each existing sequence in the database. Therefore a ftp network connection is established. See [Section 6.1](#).
- The `Database→Show Hash Key` item is used to add another column to the database named 'key'. It is the (internal,) unique key that distinguishes sequences from each other.
- The `Database→Set SQL Query` item can be used to query the database with the standard query syntax. (See [Section 6.3](#))
- The `Database→Reset SQL Query` item resets the SQL query to:

```
SELECT enabled, gi, taxid, name, circular, copies, length
FROM sequences
ORDER BY name
```

## 5.7 Project Menu

The `Project` menu contains the usual project-related items:

- The `Project→Add Files` item is used to import further genome sequence files such as (multi)fasta files.
- The `Project→Remove Selected Files` can be used to remove selected files from a project, such as simulation presets, taxon profiles or output folders.
- The `Project→New Taxon Profile` item opens a file dialogue enabling to create a new file. A new, empty taxon profile will then be added to the Taxon Profile folder of the [Project Tree](#). It can be edited by any system text editor or the in-built editor of `MetaSim` (see [Section 7.2](#)).
- The `Project→Reload Selected Profile` item reloads a taxon profile in case it has been changed with an external text editor.
- The `Project→Rename Project` can be used to rename the project string.
- The `Project→Create New Preset` item opens the simulation settings window. After adjusting all parameters, a new preset is added to the [Project Tree](#).

- The `Project→Edit Selected Preset` opens the simulation settings window for a selected preset.
- The `Project→Import Presets` item imports a file (*.mprs*) defining a simulation setting preset.
- The `Project→Export Selected Preset` writes all parameters of a selected preset to a file (*.mprs*). This file can be imported again by using `Project→Import Presets`. This can be useful for sharing presets between different projects.
- The `Project→Run Simulation` item opens the `Run Simulation Window`. The user can select from the available presets and simulation settings. Finally, the simulation can be started.
- The `Project→Run With Selected Preset` item opens the `Run Simulation Window`. In contrast to `Project→Run Simulation` the selected preset is already set.
- The `Project→Run For Selected Profile` item opens the `Run Simulation Window`. In contrast to `Project→Run Simulation` the selected taxon profile is already set.

## 5.8 Window Menu

- The `Window→How to cite` item gives instructions on how to cite the program.
- The `Window→About` item shows information about the version of `MetaSim`. When the program is run under MacOS, this menu item appears in the `MetaSim` menu.

## 5.9 MetaSim Menu

Under MacOS, there is an additional, standard menu associated with the program, called the `MetaSim` menu. As usual, this contains the `Window→About` and `File→Quit` menu items.

## 5.10 Tool Bar

The `Main Window` provides a tool bar containing buttons that provide short cuts to some of the menu items associated with the window. These are the `File→Open`, `File→Save As`, `Edit→Find`, and `Project→Run Simulation` items.

# 6 Database Functionality

The internal `Database` represents a collection of genome sequences that are available for the read sampling. The database is based on the HSQL database engine (<http://hsqldb.org/>).

## 6.1 Importing Sequence Files

To start working with the program, you must first import one or more databases of genomes. Use [Database→Show Database](#) to activate the database.

1. You can use your own ((multi)fasta) files or simply download all prokaryotic sequences from <ftp://ftp.ncbi.nlm.nih.gov/genomes/Bacteria/all.fna.tar.gz> (738MB). Import your (gzip compressed) files using [Database→Import Files](#) .
2. To (additionally) work with virus sequences, in the same way download and install <ftp://ftp.ncbi.nih.gov/refseq/release/viral/viral1.genomic.fna.gz>
3. For the program to function correctly, please also download the file [ftp://ftp.ncbi.nlm.nih.gov/pub/taxonomy/gi\\_taxid\\_nucl.dmp.gz](ftp://ftp.ncbi.nlm.nih.gov/pub/taxonomy/gi_taxid_nucl.dmp.gz) (345Mb) and then provide it to MetaSim using [Database→Get Taxon IDs by GI](#) . Alternatively, use the menu item [Database→Get Taxon IDs \(NCBI ftp\)](#) to download and parse this file in one step. This may take some time.

Importing the genome files has only to be done once. Imported sequences are kept in the database even after closing the program, so they are still available when you restart [MetaSim](#) .

By default, the database is placed in the installation folder of [MetaSim](#) . You can change the location using [Edit→Preferences](#) (Set Database Location)

## 6.2 Structure

To see the content of the database in the [Main Panel](#), use [Database→Show Database](#) or click on the *Database* item in the [Project Tree](#)

Several column headers are shown:

1. *enabled*: enables/disables a genome sequence. Only enabled sequences are used for the read sampling.
2. *gi*: NCBI's gi number of the genome sequence
3. *taxid*: NCBI's taxonomy id (-1 if not assigned)
4. *name*: name of the organism/genome sequence
5. *circular*: does the sequence have a circular topology?
6. *copies*: copy number of the sequence
7. *length*: length of the sequence (base pairs)

You can click on each column header to sort the table.

To be able to use the [Taxonomy Editor](#), each sequence should be assigned a unique taxonomy id ( $\neq -1$ ). This is explained in [Section 6.1](#).

### 6.3 Searching the Database

The database can be searched by using standard SQL syntax. For searching use [Database→Set SQL Query](#) .

Example:

```
SELECT enabled, gi, taxid, name, length
FROM sequences
WHERE length > 3000000
ORDER BY length
```

To reset the SQL query i.e. to see all present genome sequences in the database, use [Database→Reset SQL Query](#) . Additionally, use the [Find Window](#) .

### 6.4 Exporting Sequence Files

To export sequences to (multi)fasta file(s) (*.fna*), select one or more entries in the database and use the [Database→Export Selected Sequences](#) . Alternatively, right-click on the set of selected entries and use *Export Selected Sequences* .

## 7 Taxon Profiles

A *Taxon Profile* determines the composition of a (metagenome) dataset that represents the set of source genome sequences for read sampling. In a text-based profile file, each row lists one genome sequence together with its desired abundance value.

### 7.1 Creating Profile Files

Profile files have the file ending *.mprf* and can be created and edited with any text editor. Alternatively, taxon profiles can be created within MetaSim by right-clicking on selected entries in the database and using [Database→Create Taxon Profile from Selection](#) .

### 7.2 Editing Profile Files

Editing can be done with any text editor or the in-built *Text Editor* of MetaSim can be used. To display the text editor in the main panel, select a taxon profile in the [Project Tree](#) and click on the **Text Editor** button at the bottom-right of the main panel. All changes will be written to the file, if one clicks on the *Done* button.

In case the file format (see Section 7.3) is incorrect or if the program is not able to find the mentioned genome sequences in the database, the icon of the taxon profile in the [Project Tree](#) shows a *Red Exclamation Mark* . Otherwise a green colored check indicates that the taxon profile is valid.

If a taxon profile has been edited with an external text editor, MetaSim has to update the file content. For this, right-click on the concerned taxon profile and choose *Reload Selected Profile* .

### 7.3 Profile File Format

Each line in a taxon profile represents a single genome sequence and has the following structure:

```
<abundance value> <key identifier> <key value>
```

1. *abundance value* - is a relative number of arbitrary size reflecting the copy number of the genome sequences of this specific taxon in the total taxon set.
2. *key identifier* - corresponds to the column names of the database suitable to identify a genome sequence. This can be "taxid", "gi" or "name". When using the "name"-identifier, one is able to use "%" as wildcard symbol (as it is used as wildcard symbol in SQL syntax).
3. *key value* - the corresponding value the for chosen key identifier.

A line starting with '#' is treated as comment and is ignored. Here is an example for a taxon profile.:

```
### MetaSim taxon profile
# 100 Methanoculleus marisnigri JR1
# 50 Alcanivorax borkumensis SK1
###
100 name "Methanoculleus marisnigri JR1"
50 name "Alcanivorax bork%"
```

A number of 100 genome copies (=abundance) of *Methanoculleus marisnigri JR1* and 50 genome copies of *Alcanivorax borkumensis SK1* are part of this (meta)genome dataset.

If the wildcard symbol "%" is used for a name and the database contains multiple sequences having the same prefix, the abundance value is assigned to every genome sequence.

For example, if a line is like

```
50 name "Escherichia coli%"
```

all available *E. coli* strains together with their plasmids are added to the profile with the same abundance value 100.

### 7.4 Split Abundance Value

A special feature of the program is the possibility to assign abundance values not only at the species level but also at higher taxonomical levels. The overall abundance value is shared by an arbitrary number of sequences listed below. The syntax is:

```
<shared abundance value> <.> #note the '.'
<.> <key identifier> <key value> #note the '.' at the beginning of the line
<.> <key identifier> <key value>
<.> <key identifier> <key value>
```

Here is an simplified example. The overall abundance value of 30 shall be uniformly assigned to two genome sequences, say. .

```
### MetaSim taxon profile
# 30 split into:
#   15 Escherichia coli str. K12 substr. W3110
#   15 Pseudomonas aeruginosa PA7
###
30 .
. taxid 316407
. taxid 381754
```

The amount of 30 genome copies is split and uniformly applied to two genome sequences identified by their taxonomic ids: *Escherichia coli str. K12 substr. W3110* and *Pseudomonas aeruginosa PA7*. Likewise both taxa are assigned an abundance value of 15.

This feature of a split abundance value is especially needed for the [Taxonomy Editor](#). There, one can assign abundance values to an inner node of the taxonomy e.g. *Shewanella* at genus level to get an uniform mixture of all species in the subtree of *Shewanella*.

## 8 Simulation Parameter Settings

A *preset* is a configuration of simulation parameters for the generation of read sequences. *MetaSim* already provides four in-built presets. Each preset determines a couple of parameters like type of sequencing technology, mate-pair probability, error probability, read length, etc.. The current settings of each preset (error model) are displayed in the main panel by clicking on a simulation preset in the [Project Tree](#). Existing presets can be individually adapted and additional presets can be easily created by using [Project→Create New Preset](#) . To edit an existing preset, select a preset in the project tree and use [Project→Edit Selected Preset](#) .

To *interchange simulation settings* between different projects, use [Project→Export Selected Preset](#) and [Project→Import Presets](#) .

By default, *MetaSim* contains four different presets representing different sequencing approaches. In the following for each preset, all parameters are described that can be adapted in the *preset configuration* window. It can be opened using [Project→Edit Selected Preset](#) .

### 8.1 454 Parameter Settings

To simulate read sequences generated by the pyrosequencing approach (Roche's 454).

- *Primary Configuration*
  - Preset Name** : Title of preset
  - Number of Reads or Mate Pairs** : Number of read sequences to generate.  $x$  mate-pairs means  $2x$  reads.
  - Error Model** : 454/Empirical/Sanger/Exact

**DNA Clone Size Distribution Type** : Uniform/Normal: A *Clone* in MetaSim is a DNA fragment that is randomly extracted from the source genome sequence for read/mate-pair sampling. Selection how the length of the clone is distributed.

**Mean** : Mean length of clone. For Sanger sequencing, this length defines the mate-pairs length.

**Second Parameter** : standard deviation of clone length.

- *Error Model Configuration*

**Expected Read Length** : Read sequence length in bp. This value depends on/affects the number of *Flow Cycles* .

**Number of Flow Cycles** : This value depends on/affects the read length.

**Mate Pair Probability** : Value betw. 0 and 1. 0 means: no mate-pair.

**Mate Pair Read Length** : Determines the length of both reads of a mate pair.

**Remove Linker Sequence From Output** : Originally, 454 mate pairs include a 44bp linker sequence. If checked, MetaSim writes both mates (reads) separately in the output file without any linker sequence. If unchecked, the linker sequence will be written to the fasta file as well. In the latter case, a mate pair is written into a single fasta entry.

**Mean Negative Flow Signal** : A negative flow is a flow of nucleotides in which the sequence to synthesize is not elongated. Light intensities of negative flows follow a lognormal distribution  $N(\mu, k \cdot \mu)$ . The default value ( $\mu = 0.23$ ) is taken from [1]

**Std. Deviation for Negative Flow Signals** : The default value ( $k = 0.15$ ) is taken from [1]

**Signal Std. Deviation Multiplier** : The default value (0.15) is taken from [1]

**Scale Standard Deviation with Square Root of Mean** : If checked the standard deviation of the light intensity emitted grows with square root of  $\mu$ , i.e.  $N(\mu, k \cdot \sqrt{\mu})$

**Generate Signal Trace** : If checked, the algorithm generates a signal trace. This does not affect the results. Currently, the signal trace is only used internally.

- *Simulator Options*

**Number of Threads** : In case of running MetaSim on a multiprocessor machine, select the number of threads to distribute the computation.

**Generate FASTA Output** : If unchecked, the program will just simulate the generation of reads without writing results to a file. The result log displays the simulation results.

**Compress Output (gzip)** : Automatically compresses the multifasta file using *gzip*.

**Use Uniform Sequence Weights** : If unchecked, disables weighting of sequences by sequence length and number of per-genome copies.

**Merge All Files Into a Single Taxon Profile** : If checked, merge all files in the profile folder into a single taxon profile. Output will be one multifasta file. If unchecked, output will be one multifasta file per taxon profile.

## 8.2 Sanger Parameter Settings

- Primary Configuration

see [Primary Configuration](#) of 454 parameter settings.



- Error Model Configuration
  - Read Length Distribution** : Normal/Uniform Distribution of read sequence length
  - Mean**: Mean length of read sequence.
  - Second Parameter**: Standard deviation of mean read length.
  - Mate Pair Probability**: Value betw. 0 and 1. 0 means: no mate-pair.
  - Error Rate at Read Start** : Value between 0.00 and 1.00
  - Error Rate at Read End** : Value between 0.00 and 1.00
  - Insertion Error Rate** : Value between 0.00 and 1.00.
  - Deletion Error Rate** : Value between 0.00 and 1.00.
- Simulator Options
  - see [Simulator Options](#) of 454 parameter settings.

### 8.3 Empirical Error Model Parameter Settings

As an additional feature, MetaSim includes an *Empirical Error Model* that allows the incorporation of user-defined error statistics for the generation of read sequences. As default configuration, the programs comes with an error model based on *Illumina's sequencing technology* . This error model is derived from empirical studies. It is based on mappings (error curves) that assign error rates to base positions (see Fig. 1 for an example).

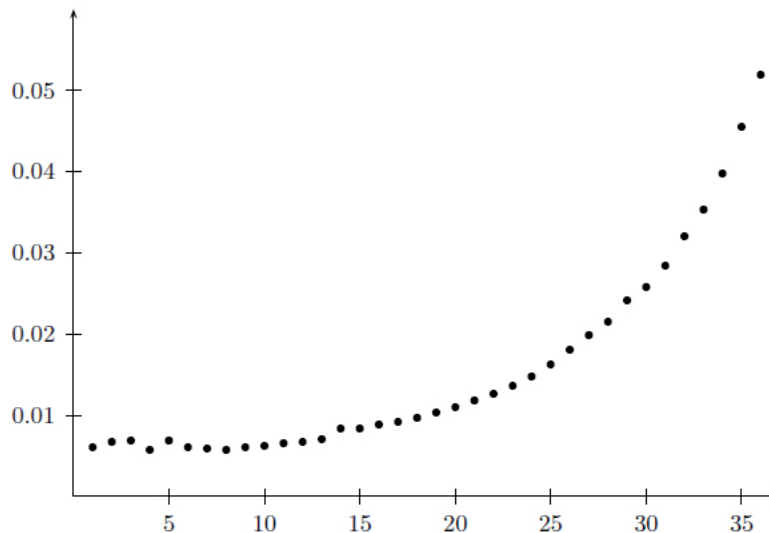


Figure 1: Probability curve of substitution errors for 36bp reads. x-axis: read position, y-axis: error rate.

Each mapping has three parameters (the last two are optional):

- type of error,
- base at position where the error occurs and

- base preceding the position where the error occurs.

In addition, in case of a substitution error the user can specify the probability of integrating a particular base depending on the type of the base at the error positions and the preceding base.

### Instructions for creating individual Empirical Error Models

An empirical error model is a text-based file (*.mconf*). It can be created with any text editor. Lines starting with '#' are ignored. There is only one file for all error model settings.

First of all, the *Base Substitution Rate* can be defined.

```
# [<preceding base>](<from>,<to>) <value>
# "[]" marks optional parameters.
# (Set switch rates for A following G)
G(A,T) 0.4
G(A,C) 0.3
G(A,G) 0.3

# (Alternatively, set switch rates for all C)
(C,T) 0.3
(C,A) 0.3
(C,G) 0.4
```

Further, the *Empirical Error Rates* can be defined in the same file.

The specification of error curves is done by listing the probability values row-wise per base position. E.g. for a 35bp read, 35 probability values have to listed. The header of such a list must contain one type of error: `SUBSTITUTION_ERROR`, `INSERTION_ERROR` or `DELETION_ERROR`. In that case, all bases (A,T,G,C) receive equal error rates. For example:

```
# <error type>
# Set all substitution rates
SUBSTITUTION_ERROR
0.00606508512067950      first base ...
0.00675296642376962
...
0.05190994307855664      ... last base
```

If one wishes to assign error rates to a specific base one can use:

```
# <error type> [[<base>]]
# "[]" marks optional parameters.
# Set insertion rates for every A
INSERTION_ERROR (A)
0.00606508512067950      first base ...
0.00675296642376962
```

```
...
0.05190994307855664    ... last base
```

Additionally, one can define error rates for a specific base that is preceded by another base. For example:

```
# <error type> [<preceding base>] [[<base>]]
# "[]" marks optional parameters.
# Set substitutions rates for a G following an A
SUBSTITUTION_ERROR  A(G)
0.00606508512067950    first base...
0.00675296642376962
...
0.05190994307855664    ... last base
```

Likewise 48 mappings are possible to create.

Note: In case an equal mapping has been defined before in the file, only the last one is considered. This can be useful, if, first, `SUBSTITUTION_ERROR` defines a default substitution rate for all bases and then `SUBSTITUTION_ERROR X(Y)` specifies error rates for base Y following base X.

## Settings in configuration Window

Once, all error probabilities are listed in a `.mconf` file, this file can be loaded into MetaSim .

- Primary Configuration  
see [Primary Configuration](#) of 454 parameter settings.
- Error Model Configuration  
Click the `Load` button to import an error model (text-based file, ending `.mconf`). After the import, the main header that are defined in the error model are summarized and displayed in the text box below. (For an example load the default error model based on *Illumina* reads (36bp) by choosing the file `illumina-error-model.mconf` in the examples folder.
- Simulator Options  
see [Simulator Options](#) of 454 parameter settings.

## Outlook

Due to its abstract definition, the empirical error model is suitable for integrating upcoming sequencing technologies. We are very interested in further empirical models of other technologies. If you like to provide your error models, send them to [huson@informatik.uni-tuebingen.de](mailto:huson@informatik.uni-tuebingen.de). We will provide them on our MetaSim homepage for other users.

## 8.4 Exact Model Parameter Settings

The *Exact Error Model* is suitable for sampling reads without modifying any bases, i.e. in contrast to the other provided error models, no substitution, insertion or deletion will be applied to the read sequences.

- Primary Configuration  
see [Primary Configuration](#) of 454 preset.
- Simulator Options  
see [Simulator Options](#) of 454 preset.

## 9 Run Simulation Window

The *Run Simulation Window* opens if the user clicks on the [Run Simulation](#) button or by using [Project→Run Simulation](#).

Before a simulation run, the user has to configure which simulation settings and which taxon profiles should be used. The settings can be chosen from the pull-down menu. Additionally, all marked taxon profiles are used for the simulation. Note that it depends on the setting [Merge All Files Into a Single Taxon Profile](#) whether the reads of all marked taxon profiles are merged together into one multifasta file or not.

If the simulation has been successful, a new result folder is created within the [Project Tree](#).

## 10 Find Window

The *Find Window* can be opened using the [Edit→Find](#) item. Its purpose is to find sequence entries in the internal database or any text in the simulator settings, taxon profiles and the message panel. Depending on the current view in the [Main Panel](#), the user can select from different *targets* in the Find window. A target is a panel that can be searched.

Use the following check boxes to parametrize the search:

- If the *Whole Words Only* item is selected, then only strings matching the complete query string will be returned.
- If the *Case Sensitive* item is selected, then the case of letters is distinguished in comparisons.
- If the *Regular Expression* item is selected, then the query is interpreted as a Java regular expression.
- If the *Forward* item is selected, the search proceeds in forward direction.
- If the *Backward* item is selected, the search proceeds in backward direction.

- If the `Global` item is selected, the search scope comprises all contained text.
- If the `forward` item is selected, the search scope comprises only the selected text.

Press the `Close`, `First` or `Next` buttons to close the dialog, or find the first, or next occurrence of the query, respectively. Press the `Find All` button to find all occurrences of the query.

## 11 Population Sampler

MetaSim includes a *Population Sampler* that optionally generates a set of evolved (mutated) offsprings derived from single source genomes, using a given evolutionary tree.

This tree describes how the offspring sequences descend from the source sequence. By default, a random phylogenetic tree is generated under the *Yule-Harding* model [?, ?], but alternatively, user-defined trees can also be loaded.

As a simple model of DNA evolution, the *Jukes-Cantor* formula is applied to estimate a probability of change for each base pair, with a customizable transition rate  $\alpha$  (0.001 by default) and time  $t$  based on the edge weights. MetaSim then generates the designated number of evolved genomes and then adds them to the internal genome database.

### 11.1 Usage of Population Sampler

To generate a set of offsprings for a single genome sequence, select a sequence in the database and use [Database→Evolve Selected Sequences](#). The population sampler window will appear with the following parameter settings:

- *Tree File*  
The user can import his own tree file. The Yule-Harding tree is loaded by default.
- *Number of Leaves*  
Determines the number of leaves (amount of desired offspring sequences).
- *Jukes-Cantor Model Alpha*  
Transition rate  $\alpha$ . (default: 0.001)

After clicking on the `Evolve Button`, MetaSim generates the desired number of new (mutated) genome sequences ( *Offsprings* ). These offsprings are added to the database directly following the source sequence entry. To export the generated offspring sequences, one can use [Database→Export Selected Sequences](#).

### 11.2 Naming Convention

Offspring sequences have the following naming convention.

The name of their original sequences their name is taken and automatically extended with two additional tags: an (ascending)*offspring id* and an artificial *taxid*.

For example, if *Acaryochloris marina* MBIC11017 is the source genome and the user decides to generate 100 offsprings, the final offspring sequence names in the database look like this:

```
Acaryochloris marina MBIC11017 {OFF_0001}[taxon0006]
Acaryochloris marina MBIC11017 {OFF_0002}[taxon0003]
Acaryochloris marina MBIC11017 {OFF_0003}[taxon0010]
...
Acaryochloris marina MBIC11017 {OFF_0100}[taxon0087]
```

Offspring sequences are not visualized in the [Taxonomy Editor](#).

## Selecting Offspring Sequences in Database

The naming convention is useful if one wishes to remove or disable all offspring sequences in the database. Therefore, the SQL query tool ( [Database→Set SQL Query](#) ) can be used:

```
SELECT enabled,gi,taxid,name,circular,copies,length,key
FROM SEQUENCES
WHERE name like '%OFF%'
ORDER BY name
```

## 12 Taxonomy Editor

To facilitate the design of taxon profiles, MetaSim provides an interactive *Taxonomy Editor* . It is based on the NCBI taxonomy and visualizes every species (genome sequence from the database) as a leaf in a tree. Genome sequences in the database *must* be assigned a taxon id. Otherwise the taxonomy editor will not work properly.

### 12.1 Usage

The [Taxonomy Editor](#) window is opened using the [Taxonomy Editor](#) button on the bottom-right of the main panel. This button is enabled if the user has selected a (empty) taxon profile from the [Project Tree](#).

Once the taxonomy editor is initialized, a taxonomic tree is displayed embedding all sequences with an unique taxon id from the internal database.

To set the abundance values, right-click on a node and select *Set Number of Genomes* from the context menu. After that, one can save these settings to the current (opened) taxon profile or one can create a new taxon profile. After the saving, the profile in the [Project Tree](#) is updated.

### 12.2 Setting the Number of Genomes

By using [Options→Set Number of Genomes](#) the user can determine the abundance value of a specific node or leaf of the taxonomy tree (Can also be done by right-clicking on a node.). This

number equals the value defined in the text-based taxonomy profile for each genome sequence. The higher this value, the bigger the node/leaf is displayed in the tree.

A useful feature is to assign such a value to an inner node instead to a leaf (=specific genome sequence) of the taxonomy. Section 7.4 explains this in more detail.

### 12.3 File Menu Taxonomy Editor

- The `File→Open Taxonomy Editor` item opens an existing taxon profile (*.mprf*).
- The `File→Save Taxonomy Profile` item (quick-)saves the current taxon profile).
- The `File→Save Taxonomy Profile As` item saves the current taxon profile to a (*.mprf*-file).
- The `File→Export Image` item can be used to export the current view to a graphics file (e.g. *.eps*, *.gif*, *.jpg*, *.png*, *.svg*, *.bmp*, *.pdf*).
- The `File→Print` item is used to print the current view.
- The `File→Close` item is used to close the taxonomy window without quitting MetaSim .
- The `File→Quit` item quits the MetaSim . Under MacOS, this item is contained in the `MetaSim` menu.

### 12.4 Edit Menu Taxonomy Editor

- The `Edit→Copy` item is used to copy text e.g. nodes labels.
- The `Edit→Find` item opens the `Find Window`.
- The `Edit→Find Again` finds the next occurrence of a search string.
- The `Edit→Format` can be used to format selected tree items (e.g. size, color of nodes, width of edges, etc.).

### 12.5 Select Menu Taxonomy Editor

The `Select` menu contains items for selecting different sets of substructures of the tree.

- The `Select→Select All` item is used to select all nodes, edges and labels.
- The `Select→Select Nodes` item is used to select all nodes.
- The `Select→Select Edges` item is used to select all edges.
- The `Select→Deselect All` item is used to deselect all nodes, edges and labels that are currently selected.

- The `Select→Deselect Nodes` item is used to deselect all nodes that are currently selected.
- The `Select→Deselect Edges` item is used to deselect all edges that are currently selected.
- The `Select→Select Leaves` item is used to select all leaves with their labels.
- The `Select→Select Subtree` item is used to select a subtree of a selected inner node.
- The `Select→Invert Selection` item is used to invert the current selection.
- The `Select→Scroll to Selection` item is used to scroll to the current selection.
- The `Select→List Selected Taxa` item is used to list all selected taxa.

## 12.6 Options Menu Taxonomy Editor

The `Options` menu contains items for (un)collapsing nodes and subtrees.

- The `Options→Collapse` item enables to collapse a subtree at a selected specified node.
- The `Options→Uncollapse` item is used to uncollapse (expand) the whole tree.
- The `Options→Uncollapse Subtree` item is used to uncollapse (expand) a selected, collapsed subtree.
- The `Options→Collapse Complement` item is used to collapse all subtrees except the currently selected part of the tree.
- The `Options→Collapse at Level` item is used to collapse all subtrees at the specified level from the root.
- The `Options→Set Number of Genomes` can be used to set the abundance value (copy number) of a specific node (see Section [12.2](#)).

## 12.7 View Menu Taxonomy Editor

The `Views` menu contains items for scaling the tree, using the magnifier and showing/hiding labels.

- The `View→Zoom to Fit` item is used to scale the tree to fit the window.
- The `View→Fully Contract` item is used to contract the tree.
- The `View→Fully Expand` item is used to expand the whole tree.
- The `View→Use Magnifier` item is used to turn the magnifier functionality on and off.
- The `View→Show Node Labels` item is used to make all node labels visible.
- The `View→Hide Node Labels` item is used to hide all node labels.



- The `View→Show Edge Labels` item is used to make edge labels visible.
- The `View→Hide Edge Labels` item is used to hide edge labels.
- The `View→Sparse Labels` item instructs the program to show only a subset of the taxon labels, thus avoiding overlapping labels.

## 12.8 Toolbar Taxonomy Editor

For easier access of frequently used functions, a *Toolbar* is provided with the following functions:

- `File→Print` ,
- `File→Export Image` , `Edit→Format`
- The `Expand view vertically` button expands the tree vertically.
- The `Contract view vertically` button shrinks the tree vertically.
- The `Expand view horizontally` button expands the tree horizontally.
- The `Contract view horizontally` button shrinks the tree horizontally.
- `View→Zoom to Fit` ,
- `View→Fully Contract` ,
- `View→Fully Expand` ,
- `View→Use Magnifier` ,
- `Edit→Find` .

## 13 Command-Line Options

As an alternative to the graphical user interface, `MetaSim` can be controlled via command line mode. Therefore, navigate to the `MetaSim` installation folder and execute `./MetaSim cmd <option(s)`. The `MetaSim` program is controlled by options. Here we list all available options. Use the `-h` option to obtain a listing of all options *command line options* directly from the program.

Here is an example:

```
MetaSim cmd -mg /usr/local/metasing/examples/errormodel.mconf -r10000
/usr/local/metasing/examples/example-profile1.mprf
```

The previous example means: start `MetaSim` in command line mode. Use the empirical error model with a specified error model config file. Generate 10000 reads and use the specified taxon profile (alternatively provide a fasta file with a single genome sequence. Then `MetaSim` uses only this genome to sample the reads from).

-r, --reads VALUE           Set the number of reads to generate (default: 5000).

-f, --clones-mean VALUE       Sets the mean value of the fragment lengths (default: Error model dependent).

-t, --clones-param2 VALUE     Sets the second parameter (std./max. deviation) of the fragment length distribution (default: Error model dependent).

-v, --uniform-clones       Use a uniform fragment length distribution instead of gaussian.

-4, --454                   Apply the 454 error model to the generated reads.

    --454-cycles VALUE       Sets the number of cycles (454 error model, default: 99).

    --454-mate-probability VALUE     Sets the probability of paired reads (454 error model, default: 0.0).

    --454-paired-read-length VALUE   Sets the read length for paired reads (454 error model, default: 20).

    --454-remove-linker       remove linker sequence from paired reads (454 error model).

    --454-nosqrt           Signal distributions: "stddev=k\*mean" instead of "stddev=k\*sqrt(mean)" (454 error model).

    --454-multiplier VALUE       Sets the proportionality constant for computation of signal std. deviations (454 error model, default: 0.15).

    --454-logn-mean VALUE       Sets the mean of the lognormal negative flow signal distribution (454 error model, default: 0.23).

    --454-logn-std VALUE       Sets the std. deviation of the lognormal negative flow signal distribution (454 error model, default: 0.15).

--454-trace            Use an explicit signal trace (454 error model).  
--sanger              Apply the sanger error model to the generated  
                      reads.  
  
--sanger-mean VALUE       Sets the mean value for sanger read lengths  
                          (default: 1000.0)  
  
--sanger-param2 VALUE    Sets the second parameter (std./max. deviation)  
                          of the sanger read length distribution (default:  
                          100.0)  
--sanger-uniform        Use a uniform read length distribution for sanger  
                          reads.  
  
--sanger-err-start VALUE   Sets the initial error rate for the sanger error  
                          model (default: 0.01).  
  
--sanger-err-end VALUE    Sets the final error rate for the sanger error  
                          model (default: 0.02).  
  
--sanger-deletions VALUE   Sets the relative deletion rate for the sanger  
                          error model (default: 0.2).  
  
--sanger-insertions VALUE   Sets the relative insertion rate for the sanger  
                          error model (default: 0.2).  
-m, --empirical        Apply the empirical/solexa error model to the  
                          generated reads.  
  
-g, --empirical-cfg VALUE   Specify an empirical error model config file.  
-a, --add-files        Add the provided fastA files to the database.  
--add-archives        Add fastA files from .tar.gz archives to the  
                          database.  
--test-db              Test the database integrity.  
  
--description VALUE     Print out the description of sequences where the  
                          hash string starts with VALUE.  
--length VALUE         Print out the length of sequences where the hash  
                          string starts with VALUE

```

    --taxupdate VALUE    Update taxon ids from a sorted and gzip'ed
                        gi-to-taxon-id map file.
-x, --print-taxids      print a sorted list of all taxon ids present in
                        the database
-c, --combine           Combine all files to a single simulation.
    --uniform-weights    Do not weight sequences by size and number of
                        per-genome copies.
    --threads VALUE     Set number of readsim threads. '0' runs in serial
                        mode (default 1).
-z, --compress         Compress output.
-q, --no-progress      Suppress all progress information.
    --seed VALUE        Sets the random seed. To obtain reproducible
                        results, threading must also be disabled.
-d, --output-dir VALUE Specify the output directory

-s, --simple-output-name
                        Don't hash string in name of output file
-h, --help             Display this help and exit.
--                     stop processing commandline options

```

## 14 File Formats

MetaSim uses and produces three file formats:

- *.msim* : This is the main *Project File* (XML format) that saves all necessary information about a project such as simulation presets, contained taxon profiles and log files.
- *.mprf* : Files having such an ending are [Taxon Profile](#) files. It determines the composition and abundance of selected taxa.
- *.mconf* : These files are used to define individual [Empirical Error Models](#).

### 14.1 FASTA header of Output Files

Output of MetaSim are multifasta files with the generated read sequences. To be able to trace back a read (source genome, sampling position, insertion of errors), all fasta headers contain multiple information separated by "|".

```

r<nr of read>.<index>
|SOURCES={GI=<gi number>, <backward (bw) or forward (fw) read>, <sample
position>}
|ERRORS=<list of generated base errors>
|SOURCE_1="<name of genome>" <MetaSim's hash key of source sequence in database>

```

Here is an example (split into several lines for better overview):

```
>r30.1
```

```
|SOURCES={GI=49474831,bw,494076-494112}  
|ERRORS={33:G,40_1:C,43_2:G,53:-}  
|SOURCE_1="Bartonella henselae str.Houston-1" (6044c4ffa5bc1b2f851929708279679ab66dcc19)
```

The list of inserted errors contains a single, comma-separated entry for every error. The base positions refer to the sampled and *unmodified* read sequence. The symbol ”\_” represents insertions, the symbol ”-” represents deletions. The remaining entries are substitutions. Referring to the mentioned example, read `r30.1` has been sampled from the *B. henselae str.Houston-1* strain with gi number 49474831 from the base positions 494076-494112. It has been sampled as a single backward read (mate pairs consist of two indices `x.1` and `x.2`).

The read has been modified:

At position 30 the base has been substituted with a 'G'.

At position 40 one 'C' has been added.

At position 43 two 'G' have been added.

The base at position 53 has been deleted.

## 15 Examples

Example files are provided with the program. They are contained in the `examples` sub directory of the installation directory. The precise location of the installation directory depends upon your operating system.

- `illumina-error-model.mconf`:  
This file contains the empirical error model for the Illumina Sequencing Technology (for a read length of 36bp). It can be loaded if the [Empirical Error Model](#) is chosen as simulation preset.
- `example-taxon-profile1.mprf`:  
This is a really simple taxon profile. It contains only four entries demonstrating the structure of a typical taxon profile.
- `example-taxon-profile2.mprf`:  
A taxon profile containing 11 diverse taxa from many different phylae.
- `example_project_1.msimsim`:  
An example project.

**Note:** To be able to generate reads with these example profiles, the user first has to fill the database with the appropriate genome sequences. Our recommendation is to download the file with all available genome sequences from the NCBI homepage (see Section [6.1](#)).

## References

- [1] M. Margulies, M. Egholm, W.E. Altman, S. Attiya, J.S. Bader, L.A. Bemben, J. Berka, M.S. Braverman, Y.-J. Chen, Z. Chen, S.B. Dewell, L.D., J.M. Fierro, X.V. Gomes, B.C. Godwin,

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