# **RDS INCORPORATED**



# RDS Analysis Tool v5.3 <sup>User Manual</sup>

RDS INC. RDSAT 5.3 User Manual

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# Chapter

# **RDSAT 5.3 Basics**

his chapter will introduce the basics of the RDS Analysis Tool version 5.3. Topics covered include installing the Analysis Tool, and preparing data from SPSS, Excel, SAS, and the RDS Coupon Manager.

## Installing the RDS Analysis Tool v5.3

The RDS Analysis Tool (RDSAT) is installed using a standard windows installer application. First, download the installer to a temporary folder from the following web address (URL): <u>http://www.respondentdrivensampling.org</u>. Click on "Downloads" and select the download that matches your particular operating system and java configuration. If you are unsure about your java configuration, and are running windows, choose "Option #2" which includes the Java Virtual Machine (JVM).

Once the file is downloaded, double click the newly downloaded application: "RDSAT\_windows\_5\_3.exe." The installer program will guide you through the installation process. Default installation options are recommended and assumed throughout this manual.

To open the program, double click the "RDSAT" icon or select it from the Programs listing in the Start Menu.

# **Basic Layout Information**

All RDSAT features are located in the right-hand side of the main screen as buttons, or in the menu bar (See Figure 1.1). The current dataset being analyzed is displayed in the selection menu entitled "Rds Data File." When a dataset has been analyzed, all graphs and figures can be found in the set of tabbed windows at the bottom of the main screen.

RD5 Analysis Tool							
File Analyze Help							
Rds Data File:	Copen New RDS	Analyze Partition					
Data Included:	Add Data	Analyze Breakpoint					
	Edit Data	Change Options					
Recruitment Estimation Network Sizes and Homophily Graphics and Histo	ograms	1					
<b>RDS</b> Respondent Driven Sampling Analysis Tool v. 5.0.1							
If you are new to Respondent Driven Sampling, refer to the documentation included with this distribution. More help and resources are available on the web at <u>http://www.respondentdrivensampling.org</u> .							
Erik Volz <u>emv7@cornell.edu</u> Douglas Heckathorn <u>douglas, heckathorn@cornell.edu</u> Department of Sociology Cornell University							

FIGURE 1.1 RDSAT Main Window.

# **Preparing Data from Excel**

RDSAT Accepts data in the form of a text file. To load an existing excel spreadsheet into RDSAT, the columns of the dataset must be in the following order:

- 1. Respondent ID (Note: for NHBS, this will be the "Survey ID")
- 2. Self-Reported Network Size
- 3. Coupon Received from Recruiter
- 4. Coupons given to Respondent (C1 to C4)
- 5. Other variables then follow (e.g., gender, race, age, etc.)

The first two rows of the spreadsheet make up the RDSAT header: The first line must be RDS. The second line is the sample size, the maximum number of coupons given to each respondent, the symbol for missing values. In this sample dataset, the number of respondents in 264, the maximum number of coupons distributed to each respondent is 4\*, and 0 entries are treated as missing data.

For NHBS, the data will not include the network information (RDS\_INJ) because it comes from the questionnaire data file and not the coupon manager data file. In this case, the network information must be taken from the questionnaire data file and merged into the coupon manager data file before the data is exported.

Microsoft Excel - Book3							
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1	RDS						
2	264	4	0				
3	1	350	0	14250004	14250005	14250006	14:
4	2	0	0	14250007	14250008	14250009	14:
5	3	585	0	14250010	14250011	14250012	14:
6	4	400	0	14250025	14250026	14250027	14:
7	5	150	0	14250022	14250023	14250023	- 14:
8	6	100	0	14250028	14250029	14250030	14:
a	7	200	0	1/1250016	1//250017	1/1250018	1/1

FIGURE 1.2 Sample RDS Data in an Excel Spreadsheet.

\* Note: In this sample data set, each recruiter is given 4 coupons to distribute and the coupon numbers are 8 digits. For NHBS, each recruiter is initially given 3 coupons and the coupon numbers are 4 digits.

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	Gender(mf)	Race(WBO)	)Age	Airplay	╘────┇──
14256002	1	1	40	1	
14256003	1	2	64	1	
14256004	2	3	41	1	
14256009	2	2	77	0	
14256008	1	1	33	1	
14256010	1	3	31	2	
14256006	1	2	70	1	
14256010	1		31	2	

FIGURE 1.3 Excel Spreadsheet - Custom Field Headers and Data.

Column headers must be entered for all fields other than the "main data set" (i.e. respondent or survey ID, network size, coupon received from recruiter, coupons given to respondents), such as Gender, Race, Age, etc. If a data value corresponds to a specific group, for example if a value of 1 corresponds to "Male," and 2 to "Female," you can indicate this in the data set. Abbreviate the group with a single character, for example 'm' for Male and 'f' for Female. Add the abbreviations in order of increasing value to the gender header, surrounded by parentheses. In this example, the resulting header would be "Gender(mf)." Similarly, to indicate for the Race header that Whites correspond to group 1, Blacks to group 2 and all other races to group 3, you may use "Race(WBO)."

Save As					? ×
Save in:	😋 My Documents	•	] 🗕 🗈   🔕 🗡	📸 🎫 🝷 Tools 🗸	
History My Documents Desktop Favorites	SnagIt Catalog My Pictures My Music My eBooks Adobe WebYear WebGenDL Web2 Web1 test4 test3 test3 test2 test1 test1 test1 test1 test4 test3	<ul> <li>RANet</li> <li>RAData90</li> <li>RAData50</li> <li>RAData5</li> <li>RAData30</li> <li>RAData3</li> <li>RAData2</li> <li>RAData</li> <li>RAData</li> <li>nyvar</li> <li>nyvar</li> <li>nyrace</li> <li>nyjazz4</li> <li>NYJazz</li> <li>nygroup</li> </ul>	<ul> <li>NYGenU</li> <li>nygender</li> <li>NYEth7</li> <li>nyeth4</li> <li>NYEth</li> <li>Nydata</li> <li>Nybreak</li> <li>Nybreak</li> <li>NYAirU</li> <li>nyair</li> <li>nyage</li> <li>LMSM_SF8</li> <li>LMSM_SF6</li> <li>LMSM_SF5</li> </ul>	<ul> <li>■ LMSM_SF4</li> <li>■ LMSM_SF3</li> <li>■ LMSM_SF2</li> <li>■ LMSM_SF1</li> <li>■ LMSM_CH9</li> <li>■ LMSM_CH8</li> <li>■ LMSM_CH5</li> <li>■ LMSM_CH4</li> <li>■ LMSM_CH2</li> <li>■ junk</li> <li>■ CUYear2_4</li> <li>■ CUYear</li> <li>■ cmtest2</li> <li>■ abu2</li> </ul>	≝ abu ≝ abcd
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FIGURE 1.4 Excel "Save As" Dialog.

To save this data set to a file, choose, "Save As" and choose the Text (Tab Delimited) format.

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2	2	0	0	14250007	14250008	14250009			
3	3	585	0	14250010	14250011	14250012			
4	4	400	0	14250025	14250026	14250027			
5	5	150	0	14250022	14250023	14250023			
6	6	100	0	14250028	14250029	14250030			
7	7	300	0	14250016	14250017	14250018			
8	8	700	0	14250040	14250041	14250042			
9	9	300	14256002	14250013	14250014	14250015			
10	10	200	14250013	14250019	14250020	14250021			
11	11	200	14250005	14250031	14250032	14250033			
12	12	300	14250004	14250034	14250035	14250036			

# **Preparing Data from SPSS**

FIGURE 1.5 Sample RDS Data in SPSS.

If the data you wish to analyze is in an SPSS spreadsheet (see Figure 1.5), you may convert it to the RDS format by copying and pasting the data into an excel spreadsheet. First, organize the columns so that the "main data set" appears in the standard RDSAT format, namely Respondent ID ("Survey ID" for NHBS-IDU), Self-Reported Network Size, Coupon Received from Recruiter, Coupons given to Respondent (C1 to C3 in Figure 1.5), and finally other variables you want to analyze, like gender, race, age, etc.

Note: In this sample data set, the variable label for Respondent or Survey ID is "rid", for the network size is "net", for the coupon received from the recruiter is "coupon" for the coupons given to respondents is "C1-C4." For NHBS, these variable labels may look differently when exported from RDSCM v2.0 or from the questionnaire data file :

Variable	Variable label	Data Source
Survey ID	"SurveyID"	RDSCM v2.0
Network Size	"RDS_INJ"	questionnaire data file
Coupon received from recruiter	"Coupon_submitted"	RDSCM v2.0
Coupons given to respondent	"Coupon_given_0" "Coupon_given_1" "Coupon_given_2"	RDSCM v2.0

For NHBS, the data will not include the network information (RDS\_INJ) because it comes from the questionnaire data file and not the coupon manager data file. In this case, the network information must be taken from the questionnaire data file and merged into the coupon manager data file before the data is exported.

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	rid	net	coupon	c1	c2	сЗ	c4	gender	race	age	airplay	var	var
1	1	350	0	14250004	14250005	14250006	14256002	Male	White	40	Yes 💌		
2	2	0	0	14250007	14250008	14250009	14256003	Male	Black	64	Yes		
3	3	585	0	14250010	14250011	14250012	14256004	Female	Other	41	Yes		
4	4	400	0	14250025	14250026	14250027	14256009	Female	Black	77			
5	5	150	0	14250022	14250023	14250023	14256008	Male	White	33	Yes		
6	6	100	0	14250028	14250029	14250030	14256010	Male	Other	31	No		
7	7	300	0	14250016	14250017	14250018	14256006	Male	Black	70	Yes		
8	8	700	0	14250040	14250041	14250042	14256014	Male	White	49	Yes		
9	9	300	14256002	14250013	14250014	14250015	14256005	Female	Other	38	Yes		
10	10	200	14250013	14250019	14250020	14250021	14256007	Male	Black	37	Yes		
11	11	200	14250005	14250031	14250032	14250033	14256011	Male	Black	50	Yes		
12	12	300	14250004	14250034	14250035	14250036	14256012	Male	White	41	Yes		
13	13	100	14250012	14250103	14250102	14250101	14256101	Female	White	51	Yes		
14	14	383	14250026	14250037	14250038	14250039	14256013	Female	White	46	Yes		
15	15	700	14256007	14250043	14250044	14250045	14256015	Female	White	101	No		
16	16	80	14250043	14250046	14250047	14250048	14256017	Female	Black	50	Yes		
17	17	300	14250033	14250104	14250105	14250106	14256016	Male	Black	41	Yes		
18	18	200	0	14250049	14250050	14250051	14256018	Male	White	35	Yes		
19	19	100	14250010	14250116	14250117	14250118	14256020	Male	Black	34	Yes		
20	20	400	14250029	14250107	14250108	14250109	14256102	Male	White	42	Yes		
21	21	200	14250105	14250111	14250112	14250110	14256103	Male	Black	33	No		
22	22	150	14250037	14250113	14250114	14250115	14256019	Male	Other	50	Yes		
23	23	100	14250030	14250052	14250053	14250054	14256021	Male	White	34	Yes		
24	24	150	14256004	14250057	14250056	14250055	14256022	Female	Black	60	No		
25	25	200	14250054	14250058	14250059	14250060	14256023	Male	White	34	Yes		
26	26	50	14250053	14250061	14250062	14250063	14256024	Male	White	45	No		
27	27	300	14250025	14250064	14250065	14250066	14256025	Female	White	40	Yes		
28	28	850	14256009	14250122	14250123	14250124	14256027	Female	Other	59	Yes		
29	29	0	14256003	0	0	0	0	Female	White		Yes		
30	30	500	14250066	14250067	14250068	14250069	900	Male	Black	54	Yes		1

FIGURE 1.6 RDS Data highlighted in SPSS

Highlight all relevant columns in the dataset. To do this, first click on the left-most column header, this should highlight the entire first column. Next, hold down the "Shift" key and press the right arrow key until all the desired fields have been highlighted (see Figure 1.6). Finally either press (Ctrl-C) on the keyboard, or click Edit - > Copy on the menu screen to copy the data to the clipboard. Paste this data into the third line of a blank excel spreadsheet (see Figure 1.7) and add the relevant header information described in the previous section entitled "Preparing Data from Excel."

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2							
3	1	350	0	14250004	14250005	14250006	1425600
4	2	0	0	14250007	14250008	14250009	1425600
5	3	585	0	14250010	14250011	14250012	1425600
6	4	400	0	14250025	14250026	14250027	1425600
7	5	150	0	14250022	14250023	14250023	1425600
8	6	100	0	14250028	14250029	14250030	1425601
9	7	300	0	14250016	14250017	14250018	1425600
10	8	700	0	14250040	14250041	14250042	1425601
11	9	300	14256002	14250013	14250014	14250015	1425600

FIGURE 1.7 RDS Data pasted to the third line of an excel spreadsheet

Replace	? ×
Fi <u>n</u> d what:	<u>Fi</u> nd Next
Replace with: 0	Close
Search: By Rows	<u>R</u> eplace
Find entire cells only	Replace <u>Al</u> l

 $FIGURE \ 1.8 \ \text{Excel replace dialog window.}$ 

### Note

If there are missing data entries in the SPSS dataset, they will be denoted by a period ('.'). However RDSAT only accepts integers in the dataset. Before saving to the Tab Delimited Text Format, you must replace all occurrences of a period to the missing data value integer. This can be done by clicking Edit -> Replace in the Excel menu bar. In the window that appears, type a period in the "Find what:" textbox, and the missing data value in the "Replace with:" textbox (see Figure 1.8). Then click "Replace All."

# Preparing data from SAS

If the data to be analyzed is in a SAS data file, then the following steps will transform the data from a SAS data file to a data file that can be read by RDSAT. First, export the SAS data file using the following code fragment. The portions highlighted in bold are specific to the dataset, and must be altered.

```
data <one>;
  set <name of your main SAS data file>;
  file <'Target Directory/RDSATdata_txt'>;
    put
    #1 SurveyID RDS_INJ Coupon_submitted Coupon_given_0
Coupon_given_1 Coupon_given_2 age sex race;
Run;
```

### Note

The <> brackets indicate that user fills in this information. Age, sex, and race are examples of variables you might want to analyze.

There are two features of note in the above code. First, the output file must be a text file (suffix .txt) or a data file (suffix .dat). RDSAT only reads these file types. Second, the variables that comprise the "main data set": SurveyID RDS\_INJ Coupon\_submitted Coupon\_given\_0 Coupon\_given\_1 Coupon\_given\_2 must be in the order shown above. Then add variables you want to analyze, such as age, sex, race. RDSAT requires that the data be placed in this order and doing so in the output step will save time.

For NHBS, the data will not include the network information (RDS\_INJ) because it comes from the questionnaire data file and not the coupon manager data file. In this case, the network information must be taken from the questionnaire data file and merged into the coupon manager data file before the data is exported. This will be the same for any additional variables you want to analyze.

Once the data has been exported, open the file using NOTEPAD (or WORDPAD) and add the two line header as described in the Section of this chapter entitled "Preparing Data From Excel." An example header is displayed highlighted in bold in the data file fragment below.

The data file is ready to be read by RDSAT. Note that SAS will export the data as a 'space-delimited' data file and not a 'tab-delimited' data file. RDSAT is capable of reading both file types. The completed data file will resemble the example below.

### RDS

 530
 11
 0
 sex
 agecat
 race

 3
 33
 1
 0
 0
 0
 0
 0
 0
 2
 2
 2

 4
 25
 2
 0
 0
 0
 0
 0
 0
 0
 2
 2
 2

 5
 50
 3
 17
 608
 607
 609
 18
 0
 0
 0
 0
 1
 2
 2

 6
 10
 4
 20
 21
 414
 416
 415
 622
 0
 0
 0
 1
 2
 1

 7
 40
 17
 25
 23
 24
 0
 0
 0
 0
 1
 2
 2

# Preparing Data from the RDS Coupon Manager (RDSCM v2.0)

Text Import Wizard - Step 1 of 3	<u>?</u> ×
The Text Wizard has determined that your data is Fixed Width. If this is correct, choose Next, or choose the data type that best describes your data. Original data type Chrose the number of the set describes your data: • Delimited • Characters such as commas or tabs separate each field. • Fields are aligned in columns with spaces between each field.	
Start import at <u>r</u> ow: 1 🚔 File <u>o</u> rigin: 437 : OEM United States	•
Preview of file C:\Temp\rdsat\nyjazz.txt.	
1 RDS 2 264 7 0 Gender(MF) Race(WB0) Age Degree Airplay(yn) Union(yn) 3 1 350 0 14250004 14250005 14250006 14256002 0 0 901 1 1 40 350 4 2 0 0 14250007 14250008 14250009 14256003 0 0 902 1 2 64 0 1 2 5 3 585 0 14250010 14250011 14250012 14256004 0 0 903 2 3 41 585	
•	
Cancel < Back <u>N</u> ext > <u>F</u> inis	<u>۱</u>

FIGURE 1.9 Excel text import window.

To load data exported from the RDSCM v2.0, click "File -> Open" in Excel's menu bar, and select the exported data. The window of Figure 1.9 should appear. Select "Delimited" in the file type section, and click "Next."

Note: For NHBS, variables such as network size, gender, race, age, etc. will be found in the questionnaire data file and cannot be exported from RDSCM v2.0

T	Text Import Wizard - Step 2 of 3											
This screen lets you set the delimiters your data contains. You can see how your text is affected in the preview below.												
		<u>T</u> ab Space		e <u>m</u> icolon [ <u>p</u> ther:	Comma	☑ Treat co Text gu	L.	imiters as one				
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	RDS 264	,	0	Gender (MF)	Race(WBO)	Age	Degree	Airplay(yn)				
	μ	350	þ	14250004	14250005	1	14256002	o				
	2	0	р		14250008		14256003	p				
	3	585	p	14250010	14250011	14250012	14256004	p	-			
	Cancel < <u>B</u> ack <u>N</u> ext > <u>F</u> inish											

FIGURE 1.10 Excel text import window.

In the next wizard screen, be sure to check the box entitled "Space." You should see the data line itself up properly at this point. (see Figure 1.10). Finally, click "Finish."

	licrosoft Ex	cel - FromR	DSCM.txt			_	
	<u>F</u> ile <u>E</u> dit	<u>V</u> iew <u>I</u> nse	ert F <u>o</u> rmat	<u>T</u> ools <u>D</u> a	ta <u>W</u> indow	<u>H</u> elp <b>_</b>	. 8 ×
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4	2	1	-1	1002	-1		
5	3	1	1002	-1	-1		
6	4	1	2128	4563	453		
7	5	1	2546	4563	4565		
8	6	1	5452	7456	2314		
9	7	1	4566	4564	4564		
10		4	400.4	470 A	470 A		

FIGURE 1.11 Imported RDSCM Data

Change the network sizes to their appropriate values by double-clicking the appropriate cells, and save the data as described in the section entitled "Preparing Data from Excel." Figure 1.11 shows fictitious NHBS data exported from RDSCM v2.0.





# Loading, Viewing, and Editing Data in RDSAT

his chapter covers how to load data into RDSAT. Topics covered include loading RDSAT format files, setting options for analysis, and viewing/editing the data.

# **Loading Data**

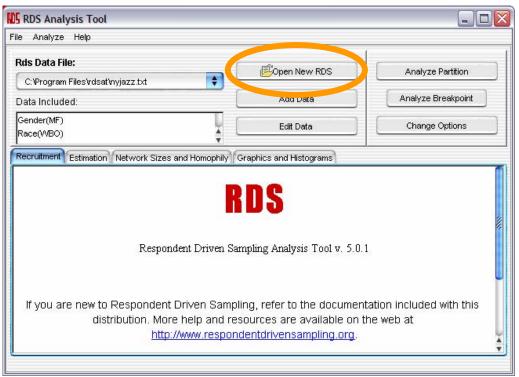


FIGURE 2.1 RDSAT "Open New RDS" Button

First open the "core data set." The "core data set" contains information about the sample size, missing data values, and number of coupons per respondent.. Start the RDS Analysis Tool and choose "Open New RDS", or select the file menu and click on

"New RDS" (see Figure 2.1). When a file chooser dialog window appears, select the RDS data file and choose Open. The nyjazz.txt file included in this distribution is a good sample file to work with if no real dataset is available. If the default installation directory was used, this sample file will be located at

C:\Program Files\rdsat\nyjazz.txt

For more information on the "core data set" refer to Appendix? 1. Data pertaining to other population features of interest can also be included in this file. Analysis cannot be carried out until this data is loaded.

#### Note

The sample RDS data set of New York jazz musicians was collected by Douglas Heckathorn and analyzed in:

"Finding the Beat: Using Respondent-Driven Sampling to Study Jazz Musicians." Douglas D. Heckathorn and Joan Jeffri. Poetics. (2000)

### **Viewing Data**

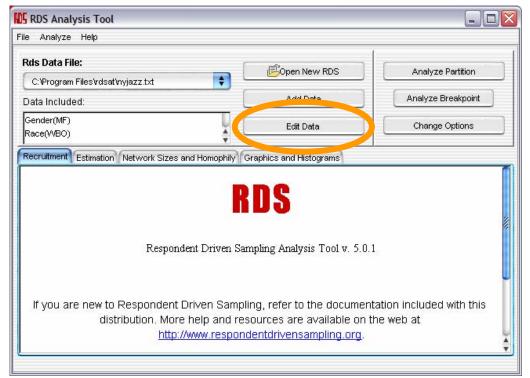


FIGURE 2.2 RDSAT "Edit Data" Button

View the data loaded by clicking on the "Edit Data" Button, or select "View/Edit RDS" from the file menu. A new window will pop-up, displaying the contents of the data files you have loaded (see Figure 2.3). Sample size (264), the value for missing data (0), and the number of coupons per respondent (7) are displayed on the left.

The table columns may be rearranged by clicking and dragging them. Click on "Save RDS Data" to save the data loaded into one file with an .rds extension. The next time this file is loaded, all data including the core and trait data will load automatically. (Trait data is any variable that is not core data. Core data consists of the respondent id, network size, and coupons. Trait data can be Race, Age, etc.) Notice that when a cell in the table is clicked on, its contents may be changed. The changes will be saved to any data file created with the "Save RDS Data" button.

	ID	Network Size	Own Coupon	Coupons	Co
Save RDS Data File	1	350	0	14250004	14
	2	0	0	14250007	14
	3	585	0	14250010	14
Sample Size :264	4	400	0	14250025	14
	5	150	0	14250022	14
Number of Coupons per Recruit :7	6	100	0	14250028	14
	7	300	0	14250016	14
Value for Missing Data :0	8	700	0	14250040	14
	9	300	14256002	14250013	14
	10	200	14250013	14250019	14
	11	200	14250005	14250031	14
	12	300	14250004	14250034	14
	13	100	14250012	14250103	14
	14	383	14250026	14250037	14

Note: Be careful not to delete data unintentionally.

FIGURE 2.3 RDSAT Spreadsheet View

# **Setting Options For Analysis**

Before conducting an analysis, check the options that will be used. Choose "Options" from the main window. The window of figure 2.4 will appear

Options	X
Adjust Average Network Sizes	
Number of Resamples for Bootstrap: 2500	
Confidence Interval tail (alpha) : 0.05	
Cut Outliers of Network Sizes	
Minimum Net Size: Maximum Net Size:	
Algorithm type:	
OLLS	
<ul> <li>Data Smoothing</li> </ul>	
CEnhanced Data-Smoothing	
ок	

FIGURE 2.4 RDSAT Options Window

### Adjust Average Network Sizes

In a chain referral sample, those with more connections and larger personal network sizes tend to be over-represented in the sample. This can potentially bias sample estimates. The phenomenon can be corrected, however, and the RDS analysis tool does so by default. To learn more about the methods used refer to: "Sampling and Estimation in Hidden Populations Using Respondent-Driven Sampling" by Douglas Heckathorn and Mathew Salganik. If you do not wish to adjust the average network sizes for this sample bias, uncheck the flag.

Note: For NHBS, it is recommended to keep box checked.

### **Number of Re-samples**

This is the number of times the data is re sampled to derive the bootstrap confidence intervals. For accurate confidence intervals, keep this option at least the default value of 2500. For optimal accuracy, a number over 15,000 is recommended. Be aware,

however, that the bootstrap is demanding of CPU time. There may be a short wait if this value is set to a high number.

Note: For most NHBS analysis, 2500 is recommended.

### **Confidence Interval**

The value of this parameter determines the level of confidence for the confidence intervals reported in the analysis. The default, .05, measures the normalized length of a tail of the distribution of population proportions. In short, it determines 90% confidence for the intervals reported in the analysis.

### **Cut Outliers**

With this option you may eliminate extremely small and large outliers in network sizes. Check the box, and input the desired minimum and maximum network sizes to be used in the analysis. If this option is selected, when the program encounters an individual whose network size is outside of the specified bounds, their network size will be set to the value of the nearest lower or upper bound. To view the changes, use the "View/Edit" utility. The changes enacted by the "Cut Outliers" option may then be saved to a data file.

Note: Check for outliers by running a univariate frequency in SAS/SPSS/Excel before importing data to RDSAT.

### **Algorithm Type**

Three different algorithms are available for analyzing an RDSAT dataset: Linear Least Squares (LLS), Data Smoothing, and Enhanced Data Smoothing. The recommended algorithm is "Data Smoothing," which adjusts recruitments across groups, providing tighter Confidence Intervals than the naïve LLS method. Enhanced Data Smoothing assigns tiny, non-zero number to all cells in recruitment matrix, then uses Data Smoothing. This allows for an analysis to include non-recruiting groups, which would normally fail using LLS or Data Smoothing.

# Chapter

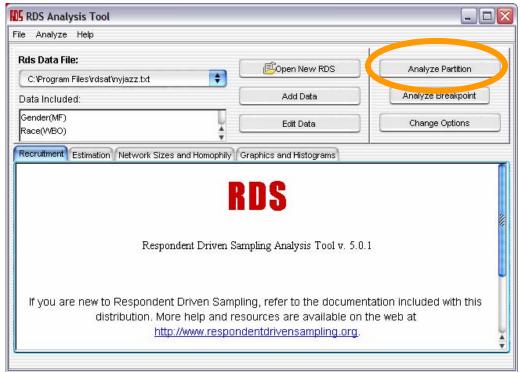
# 3

# **Analyzing a Dataset**

his chapter introduces the analysis features of RDSAT. This is the heart of the software's functionality. Topics include Partition Analysis, Breakpoint Analysis, and Custom Analysis.

# **Partition Analysis**

When an RDS dataset is successfully loaded, click on "Analyze Partition" in the upper right of the main window. (see Figure 3.1). By clicking on this button, the window of Figure 3.2 will appear.



### FIGURE 3.1 RDSAT "Analyze Partition" Button

A "partition" is a user-defined set of groups. Everyone in the population belongs to a group in a partition. The groups are defined by common traits. For instance, a simple partition would consist of just one trait such as, gender. Those with a gender of 1 (in this case, male) would form one group, those with gender of 2, (female) another. A multi-trait partition of race and gender can also be created. A group would then be defined by both a gender and race value. For example, (race, gender) = (1, 1) (white, male) would be a separate group from (race, gender) = (2, 1) (black, male) although both groups have the same gender.

Analyze Partition	X
Attributes: Gender(MF) Race(VVBO) Age Degree Airplay(yn) Union(yn)	Attributes to be analyzed:
Complete Breakpoint Custom	Analyze!

FIGURE 3.2 RDSAT "Analyze Partition" Window

The partition panel is divided into three parts (see Figure 3.2). The top left contains a list of all traits that may be used for analysis. The top right contains a list of all traits that will be used to make the partition. The bottom contains options for parsing the trait data.

To include a trait in the partition, select it and press the right-arrow. To remove it from the partition, select it and press the left-arrow. For each of the traits included in the partition, how to parse the data values must be selected.

### **Data Parsing Options**

### Complete

This option will find every distinct value in the data file associated with that trait, and create new groups based on that value. For example, if the trait "gender" has two values in the data file, (1, 2), the complete option will make a new group associated with each of these values. If the trait "race" has three values (1, 2, 3), then the complete

option will create 3 more groups corresponding to those trait values. If both gender and race are included in the partition, there will be  $2 \ge 3 = 6$  groups in all: (race, gender) = {(1, 1), (2, 1), (3, 1), (1, 2), (2, 2), (3, 2)}

#### **Breakpoint**

This will take every value below the specified breakpoint and create a new group based on it; a 2nd group is created based on every value greater than or equal to the specified breakpoint. This is different from a "breakpoint analysis" (discussed in the next section) in that only one breakpoint is chosen for the dataset, rather than a range of breakpoints. The analysis is identical to a complete partition analysis with the exception of creating exactly 2 groups from a partition in the dataset, rather than one for every possible trait value.

For example, the trait "age" has a range of values associated with it. It would be impractical to create a group for every distinct age, but by choosing breakpoint with a value of 40, the population can be divided into a group less than 40 years old and a group 40 years old and greater.

### Custom

This allows partitions to be created based on non-overlapping ranges of values. For instance, selecting a trait such as age and using a custom partition with parameters. {10, 20}, {21, 30}, {31, 40}, {41, 50} would create 5 groups based on 5 intervals of ages. Each range must be enclosed in curly-braces and delimited with commas. Ranges should not overlap. Upper and lower bounds may be the same however (e.g. {30, 30}) if a group must be based on only one value.

#### Note

It is very easy to create a partition with a large number of groups (e.g. more than 10), by selecting "complete" with a trait with many values (e.g. age). In general, the amount of data is insufficient to handle partitions with such a large number of groups and **the analysis will fail.** 

# **Breakpoint Analysis**

Breakpoint analysis allows one trait to be analyzed over a range of possible breakpoints. This is very useful for continuous variables, such as age.

NS RDS Analysis Tool		_ 🗆 🛛
File Analyze Help		
Rds Data File: C:VProgram FilesVrdsatVnyjazz.txt	Open New RDS	Analuze Portition
Data Included:	Add Data	Analyze Breakpoint
Gender(MF) Race(WBO)	Edit Data	Change options
Respondent Driven Sa	<b>Ampling Analysis Tool v. 5.0</b> .	L
If you are new to Respondent Driven Samp distribution. More help and re <u>http://www.respor</u>		CONTRACTOR CONTRA

FIGURE 3.3 RDSAT "Analyze Breakpoint" Button

To analyze a breakpoint, click on "Analyze Breakpoint" in the main window (see Figure 3.3). A Breakpoint analysis can be done on any trait, but it is more effective to use traits with many values, such as 'age' in the data set of New York jazz musicians. The *bound* fields allow the range of values to be chosen over which the breakpoint will be set.

For example, from the NYC Jazz dataset (located in the RDSCM distribution folder, see Chapter 2 for details), 'age' is selected from the drop down list. The step size is set to 1, and 25 and 50 are entered for the lower and upper bound (see Figure 3.4). This will perform a breakpoint analysis for groups above and below 25, then above and below 26, and so on.

👙 Breakpoint Ana	lysis	X
Trait to Analyze:		
Age		•
Lower Bound:	25	
Upper Bound:	50	
Step:	1	Analyze!

FIGURE 3.4 RDSAT Breakpoint Analysis Window

In the above window, we are selecting "Age" as the variable to be analyzed, and choosing where the breakpoints will lie. A "Step" of 5 with lower and upper bounds of 25 and 50 will break the dataset into the following (7) categories:

- Recruits age 25 or under
- Recruits 26-30
- Recruits 31-35
- Recruits 36-40
- Recruits 41-45
- Recruits 46-50
- Recruits age 51 or older

Likewise a Step of 1 would produce 27 different categories, one for recruits 25 or under, one for a recruit of every age between 25 and 50, and one for recruits age 51 or older.





# Interpreting Analysis Results

his chapter explains how to interpret the results of an RDSAT analysis. The various size and proportion estimates are explained along with their corresponding graphs and diagrams.

# **Interpreting a Partition Analysis**

First create a simple partition with one variable, and the *complete* option, as shown in Figure 4.1. Click **Analyze!** 

Analyze Partition	
Attributes:	Attributes to be analyzed:
Gender(MF) Degree Airplay(yn) Union(yn) Age	Race(WBO)
Complete Breakpoint 0.0 Custom	Analyze!

FIGURE 4.1 RDSAT Single Variable Partition Analysis

After a moment, the results of the analysis will be output to the pages in the main window. To move between pages of the analysis, click on its corresponding tab.

### Recruitment

Displays general statistics regarding the recruitment.

ecruitment	Loundro		Recruitments:				Homophily Graphics and Histograms Data-Smoothed Recruitments:			
			Group 3	Data-5		Group 2				
Group 1	94.0	32.0	18.0	Group 1	85.619	30.116	15.425			
Group 2	29.0	41.0	8.0	Group 2	30.116	43.948	9.544			
Group 3	11.0	8.0	4.0	Group 3	15.425	9.544	5.256			
		robabiliti		Data	-Smooth Probab	ed Trans ilities:	ition			
	-	-	Group 3			Group 2	Group			
Group 1	0.652	0.222	0.125	Group 1	0.652	0.229	0.117			
Group 2	0.371	0.525	0.102	Group 2	0.360	0.525	0.114			
-	0.470	0.047	0.170	oroφ z	0.000					
-	0.478	0.347	0.173	Group 2 Group 3		0.315	0.173			
Group 3 Demo	ographic	0.347 ally Adju ent Matr	sted	Group 3 Samp		Ini				
Group 3 Dema R	ographica ecruitme	ally Adju ent Matr	sted	Group 3 Samp	0.510 le Pop. :es:	Ini	0.173 itial ruits:			
Group 3 Dema R	ographic ecruitme Group 1	ally Adju ent Matr	isted ix	Group 3 Samp Siz Group	0.510 le Pop. ses: 1 142	Ini Reci Grou	0.173 itial ruits: p1 8			
Group 3 Demo R Group 1 Group 2	ographic ecruitme Group 1 85.619 31.085	ally Adju ent Matr Group 2 29.147 43.948	<b>sted</b> ix Group 3 16.395 8.575	Group 3 Samp Siz Group Group	0.510 le Pop. es: 1 142 2 85	Ini Reci Grou Grou	0.173 itial ruits: p 1 8 p 2 3			
Dema Dema R	o <b>graphic</b> ecruitme Group 1 85.619	ally Adju ent Matr <mark>Group 2</mark> 29.147	<b>sted</b> ix Group 3 16.395	Group 3 Samp Siz Group	0.510 le Pop. es: 1 142 2 85	Ini Reci Grou	0.173 itial ruits: p 1 8 p 2 3			

### FIGURE 4.2 RDSAT SINGLE VARIABLE PARTITION ANALYSIS RECRUITMENT

#### Note

Seeds are not included in the sample population sizes

### Key of Group and Trait Correspondence

The Key of Group and Trait Correspondence is used to interpret the data related to recruitment in the analysis. It lists all of the various groups that were analyzed, and relates them to the trait they have in common. In this example, Group 1 corresponds to Race #1. Looking at the Race variable, we see that the races are listed in parentheses by their initials, WBO (W – White, B – Black, O – Other). So Group 1 corresponds to the first race in the list, namely "White." Group 2 corresponds to "Black" in the same manner, and Group 3 corresponds to "Other."

### Recruitments

Matrix of recruitments to and from each group. The vertical axis (rows) depicts the recruiters and the horizontal axis (columns) show recruits. For example, this matrix tells us that Group 1 recruited 94 other people in Group 1 (from the same group)

### Transition probabilities

Normalizes recruitments by dividing by the total number of recruitments and gives the probability of one group recruiting another. For example Group 1 recruited 94 from the same group, and so the normalized transition probability is 94 / (94 + 32 + 18) = .652, where the denominator is the total number of recruits Group 1 made.

### **Demographically-adjusted Recruitment Matrix**

Gives hypothetical recruitments if each group recruited with equal effectiveness. Transition probabilities implied by this matrix are identical to those of the original Recruitment Matrix.

It is well known that some groups of respondents recruit more than others, e.g., HIV positives often recruit substantially more than do negatives. This is shown in the recruitment matrix if the number of recruitments <u>by</u> HIV positives (i.e., the row sum in the matrix) exceeds the number of recruitments <u>of</u> HIV positives (i.e., the column sum in the matrix). The demographically adjusted recruitment matrix shows what the recruitment matrix would have looked like if all groups had recruited equally (i.e., so row and column sums are equal), without any change in recruitment patterns (i.e., no change in transition probabilities).

This type of adjusted matrix is useful for testing one of the assumptions of the statistical theory on which RDS is based, which holds that if recruitment effectiveness is uniform across groups, cross group recruitments will tend to be equal. Therefore, the cross-group recruitments in the adjusted matrix will differ only by amounts consistent with stochastic variation.

Thus, if positives recruit more than negatives then in the original recruitment matrix, all else equal, the number of negatives recruited by positives will tend to be greater than the number of positives recruited by negatives. However, in the demographically adjusted matrix these will be, if not equal, at least strongly correlated.

### Sample population sizes

Reports the total number of recruits in each group.

### **Initial Recruits**

Reports the number of "seeds" from each group, i.e. people recruited by the researcher in each group.

#### Note

Much of the data reported above also have corresponding data-smoothed estimates. **Data-Smoothing** is a method for eliminating deviations in cross-group recruitments that occur due to chance. For more information about data-smoothing, refer to Douglas D. Heckathorn: 2002, "Respondent Driven Sampling II: Deriving Valid Population Estimates from Chain-Referral Samples of Hidden Populations." Social Problems v.49, No. 1, pages 11-34.

## **Estimation**

Displays estimates of population proportions.

Population estimates								
	Group 1	Group 2	Group	3				
Estimated Population Proportions	0.537	0.350	0.112					
Sample Population Proportions	0.548	0.328	0.123					
Equilibrium Sample Distribution	0.535	0.341	0.123					
Population Weights	0.980	1.066	0.908					
Confidence Int	erval (alı	(ha=0.05	)					
Estimated Population Pro				pper Bound				
<b>Group 1</b> 0.537		0.45	4	0.629				
Group 2 0.350		0.26	б	0.444				
Group 3 0.112		0.06	3	0.152				
Key of Group and Trait Correspondence								
Race(WBO)								
Group 1 (1)								
Group 2 (2)								

FIGURE 4.3 RDSAT Single Variable Partition Analysis Estimation Tab

### **Estimated Population Proportions**

The estimated population proportion can either be calculated using the linear least squares algorithm, or the data-smoothing algorithm, depending on how the options are set for the RDS analysis. In the above diagram, the data smoothing algorithm was used. See the "Algorithms" section of Chapter 2 for more information on the difference between various estimation algorithms in RDSAT.

### 1) Least-Squares Population Proportions

Reports the estimated population proportions of each group using linear least squares to solve the population equations.

### 2) Data-Smoothed Population Proportions

Reports estimated population proportions for the Data-Smoothed population equations.

### **Sample Population Proportions**

Report the sample population proportions, also called the "naive" estimates of population proportions. The term naïve is used because the proportion is a simple ratio of how many of a particular group were recruited to the total number of recruits. It is not adjusted for any statistical biases. (To learn more about the methods used refer to: "Sampling and Estimation in Hidden Populations Using Respondent-Driven Sampling" by Douglas Heckathorn and Mathew Salganik).

### **Equilibrium Sample Distribution**

The equilibrium sample population proportions indicate each group's population size after the proportions have converged to their equilibrium value. This occurs when further recruitment waves do not change the population proportion by a significant amount.

### **Population Weights:**

The population weights can either be calculated using the linear least squares algorithm, or the data-smoothing algorithm, depending on how the options are set for the RDS analysis. In the above diagram, the data smoothing algorithm was used. See the "Algorithms" section of Chapter 2 for more information on the difference between various estimation algorithms in RDSAT.

### 1) LLS Population Weights

Multiplicative factors by which the Least Squares Estimates are different from the naive estimates.

### 2) Data-Smoothed Population Weights

Multiplicative factors by which the Data-Smoothed Estimates are different from the naive estimates.

### **Confidence Intervals**

Are obtained by bootstrapping the original sample. The confidence intervals only correspond to the Least Squares population estimates and can be set in the options panel (click "options" in the main window).

# **Network Sizes and Homophily**

This tab displays Homophily, Affiliation, and Average Network Sizes.

Recruitment	t Estimati	ion Netwo	rk Sizes a	nd Homophil	y Graphics ar	nd Histograms	
Adjus Averag Size	e Net	Unadju Averag Size	ge Net				
Group 1	106.135	Group 1	230.960				
Group 2	100.071	Group 2	207.361				
Group 3	119,118	Group 3	200.0				
Homopl	hily:						
Group 1	0.249						
Group 2	0.27						
Group 3	0.069						
A	ffiliatio	n Matrix:		-	Group and		
	Group 1	Group 2	Group 3		respondence Race(WBO)		
Group 1	0.249	-0.365	0.014	Group 1	{1}		
Group 2	-0.309	0.27	-0.086	Group 2	{2}		
Group 3	-0.111	-0.0060	0.069	Group 3	{3}		
				01040	(9)		

FIGURE 4.4 RDSAT Single Variable Partition Analysis Network Sizes Tab

### Adjusted Average Network Sizes

Network sizes are adjusted for sampling bias. In a chain referral sample, those with more connections and larger personal network sizes tend to be over-represented in the sample. This can potentially bias sample estimates. (To learn more about the methods used refer to: "Sampling and Estimation in Hidden Populations Using Respondent-Driven Sampling" by Douglas Heckathorn and Mathew Salganik).

### **Unadjusted Network Sizes**

Straight-forward arithmetic mean of the sample's network sizes.

### Homophily

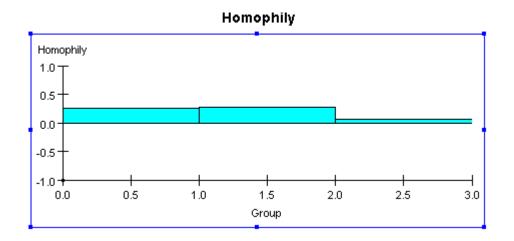
A measure of preference for connections to one's own group. Varies between -1 (completely heterophilous) and +1 (completely homophilous).

### **Affiliation Matrix**

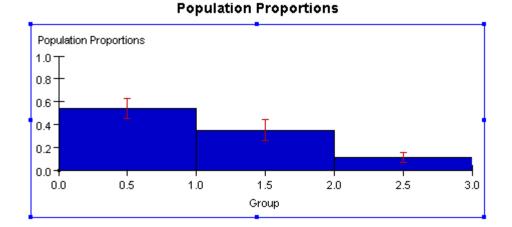
Displays the same preference measures as homophily, but for all group pairs.

### **Graphics and Histograms**

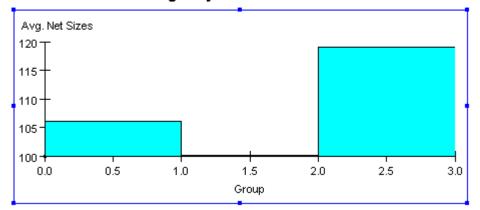
This tab displays visual illustrations of data presented in the previous sections of this chapter.



This graph displays homophily within 3 different groups. Each group is shown as a separate bar. This graph illustrates that Group 2 (the middle bar) has the highest homophily (roughly .3), followed by Group 1 (the leftmost bar) and Group 3 (rightmost).



This graph displays the population proportions of each group. The y axis is the population proportion, and should be read as a percentage. We see that Group 1, (the leftmost bar) comprises more than half the total population, followed by group 2 and 3.

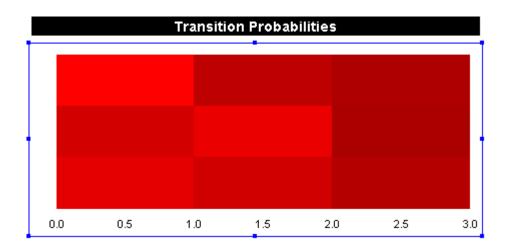


Average Adjusted Network Sizes

This graph displays the adjusted network sizes of each group. Observe that group 3, (the rightmost bar) has the highest network size.

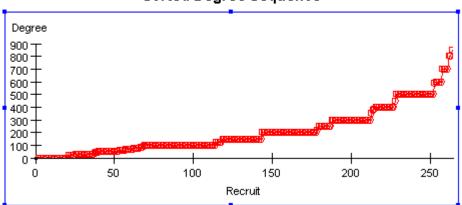
### **Transition Probabilities**

This is a 2 dimensional histogram of the transition probabilities. A brighter color corresponds to a higher value. It is basically a way to better visualize the corresponding transition matrix.



#### **Degree List**

List of all network sizes reported in the sample. The list is sorted from least to greatest for easy view of the distribution.

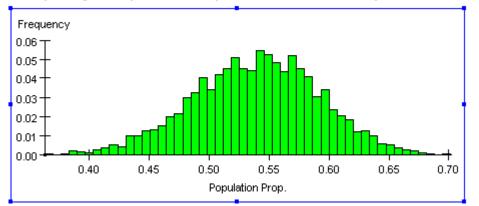


Sorted Degree Sequence

In the graph above we see that there are a few respondents with networks as large as 900, but most respondents fall within a degree of 100-300.

#### **Bootstrap Simulation Results**

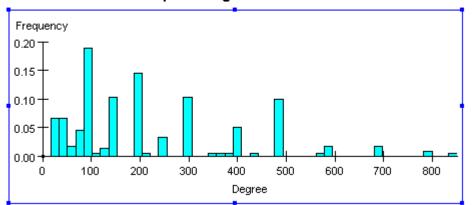
Shows the histogram of Bootstrap estimates of Least Squares population proportions. The horizontal axis depicts population estimates for the specified group. The vertical axis shows the frequency of the Bootstrap estimate.



#### Frequency of Population Proportions from Bootstrap Procedure

#### **Degree Distributions**

Distribution of network sizes for each group and for the population as a whole. The diagram below happens to be of the entire population. We see that most members of the population have network sizes close to 100 or 200, and the frequency of higher network sizes decreases with the exception of an anomaly at 500.



**Complete Degree Distribution** 

#### Interpreting a Breakpoint Analysis

A breakpoint analysis breaks a dataset into groups based on a single continuous variable. A continuous variable of interest might be "Age," where one wouldn't examine each individual age as a separate group, but rather a range of Ages. As such there is no recruitment data for breakpoint analyses. Rather there are interesting trends to notice in Homophily and population proportion as the breakpoint is shifted and respondents are moved from the upper group of the lower group. The **Estimation** tab shows a table of Least Squares population estimates corresponding to each breakpoint value. Similarly, the **Network Sizes** and **Homophily** tables are arranged by breakpoint value (see Figure 4.5).

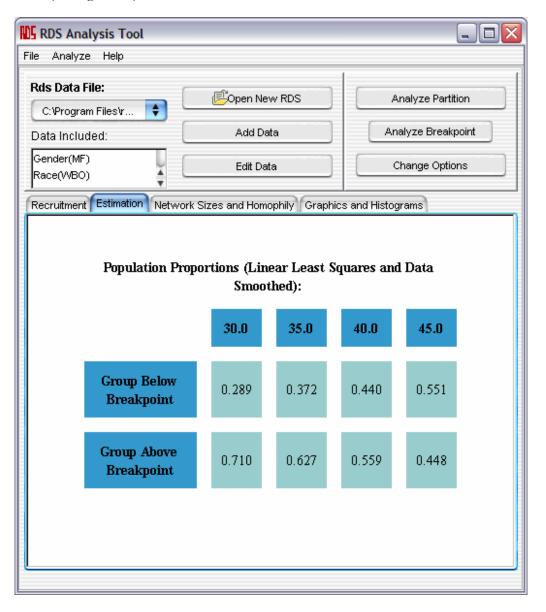
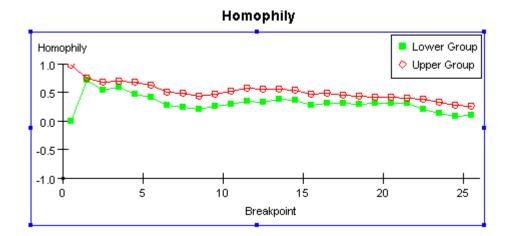
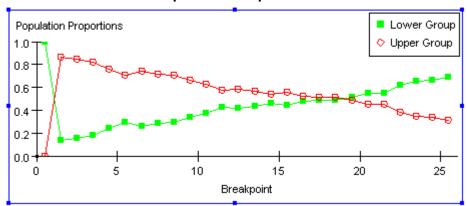


FIGURE 4.5 RDSAT Breakpoint Analysis Estimation Tab

Viewing the data in the graphics tab will often make patterns very clear. For example, in the breakpoint analysis of Chapter 3, New York Jazz musicians were analyzed based on their age. Try clicking on Homophily in the graphics tab of the RDSAT main window.



There are several visible patterns: Homophily tends to zero as the age variable increases. This implies that differences in age become less important for choosing relationships the older the recruits are. It is also notable that the older group is always more homophilous than the younger group. Finally, it is possible to see that homophily is strongest where age is the lowest (25). This implies that young jazz musicians show strong preference for relationships with other young jazz musicians.



**Population Proportions** 

Next click on LLS Population Proportions on the Graphics page to find the breakpoint where the population of the upper group equals that of the lower group. From this it can be inferred that half of the musicians are less than 43 years old. Note that although the graph's x-axis ranges from 0 to 25, we are conducting a breakpoint analysis on groups age 25 to 50. Therefore the above intersection corresponds to an age of 43 (18+25), not 18.

### Chapter

# 5

## Handling Missing Data in the Dataset

ost data sets contain missing data. RDSAT offers two ways of setting missing data and re-analyzing them. Both of these options will be covered in this chapter.

RDSAT employs two data imputation features. The first makes it possible to reassign another value to missing data. In this way, respondents for whom data is missing can be included in the analysis, to see if missing data is random or associated with other variables. For example, in an analysis of HIV prevalence, respondents would be divided into three categories, positive, negative, or missing. One could then run analyses to see if having missing data was correlated with other terms such as race/ethnicity.

The other data imputation procedure uses a regression-like logic to assign values to respondents with missing data based on the estimate regarding what the missing value might be.

#### **Re-Analyze with Specified Missing Data**

This feature allows each trait to be chosen and to specify which value the missing data within that trait to have. This option can also be used to give missing data a unique value to allow groups to form on the basis of whether they have missing data. To reanalyze a dataset, simply load it into RDSAT, and click "Re-analyze with specified missing data" (see Figure 5.1).

File 🖊	Analyze Help	
Rd:	Analyze Partition Analyze Breakpoint	
	Estimate Number Of Waves Required	\$
Da	Re-Analyze with Specified Missing Data	
Ge	Impute Missing Data and Re-Analyze	1

FIGURE 5.1 RDSAT Re-Analyze with Specified Missing Data

#### Impute Missing Data and Re-Analyze

Sets missing data to their most probable value, given the transition probabilities. For instance, if someone is recruited by Group 1, and the missing data prevents that person from being classified as Group 3 or Group 4, transition probabilities of Group 1 will be used to find the most probable trait value for the recruit and then assigns him or her to Group 3 or Group 4.

In cases where missing data is not distributed randomly over trait values, this option can help resolve a potential source of sample bias. To re-analyze a dataset, simply load it into RDSAT, and click "Impute Missing Data and Re-analyze" (see Figure 5.2).

File	Analyze	Help	
	Analy	ze Partition	
Rd	Analyze Breakpoint		
2	Estima	ate Number Of Waves Required	\$
Da	Re-Ar	nalyze with Specified Missing Data	
Ge	Impute	e Missing Data and Re-Analyze	

FIGURE 5.2 RDSAT Impute Missing Data and Re-Analyze

#### Note

These options only allow trait data for traits which have already been used to analyze a partition set/imputed. Like version 4 of RDSAT, a partition analysis must always be completed before using the data set or impute features.

Also, once enabled, these features **cannot be turned off** within RDSAT. To analyze a dataset without specified values or imputation of missing values, close and re-open RDSAT, or reload the dataset via the "Open New RDS" Button.

### Chapter

# 6

## **Extra RDSAT Features**

he RDS Analysis Tool has several extra features that will be discussed in this chapter.

#### **Estimate Number of Waves Required**

File	Analyze Help	
Dat	Analyze Partition	
Rd	Analyze Breakpoint	
0	Estimate Number Of Waves Required	\$
Da	Re-Analyze with Specified Missing Data	
Ge_	Impute Missing Data and Re-Analyze	E

FIGURE 6.1 RDSAT Estimate Number of Waves Required Menu Item

This feature allows hypothetical recruitment scenarios to be examined. A group is selected to be the initial recruiters, and they are allowed to recruit based on their transition probabilities, until the population proportions converge to the actual sample proportions. This helps in determining how many waves of recruitment are necessary before the population is at equilibrium.

First click on "Estimate Number of Waves Required" in RDSAT's Analyze menu. This will cause the window of Figure 6.2 to appear. Then select a starting group for a hypothetical sample. Next, choose a convergence radius. The smaller this number, the higher the confidence intervals will be. However, the dataset will take longer to analyze. The default is .02, which should serve as a good starting point. A radius of .02 means that the population proportions will change by less than .02 with further recruitment. In other words, the sample population proportions are considered converged (at

equilibrium) when the change in population proportions in between waves is less than the convergence radius times of the population proportions. Select analyze, and this utility will use the Markov process implicit in the calculated transition probabilities to check how many waves are required for the sample population proportions to reach equilibrium. The results of the analysis will be output to a new report page. (See Figure 6.3)

Waves Estimation		$\mathbf{X}$
1	Group with Initial Recruit	
0.02	Convergence Radius	
		ок

FIGURE 6.2 RDSAT Waves Estimation Window

le Analyze Help		
Rds Data File: C:\Program Files\rdsat\nyjazz.txt	Copen New RDS	Analyze Partition
Data Included:	Add Data	Analyze Breakpoint
Gender(MF) Race(WBO)	Edit Data	Change Options
Number Of Waves Required:	4	

FIGURE 6.3 RDSAT Waves Estimation

Figure 6.3 is ascreenshot of the waves estimation output. The actual output is listed below for a partition analysis of the New York Jazz dataset (See Chapter 2 for more information on this dataset).

Number Of Waves Required:4
History of convergence of sample population proportions:
Wave number 1
Group Number 1: 1.0
Group Number 2: 0.0
Wave number 2
Group Number 1: 0.836
Group Number 2: 0.164
Wave number 3
Group Number 1: 0.79
Group Number 2: 0.21
Wave number 4
Group Number 1: 0.778
Group Number 2: 0.222

What this information means is that it took a total of 4 recruitment waves before the population estimates changed by less than .02 times the population proportion (Assuming a convergence radius of .02). As we can see the change in proportion estimates of Group 1 from wave 3 to 4 is .79 - .778 = .012, which is less than .02 \* .79 = .0158. The same is true of Group 2.

#### Save RDS Analysis in the File menu

Allows the report pages from the analysis to be saved to a formatted .html file. The analysis can then be viewed at any time with any web browser and it can be cut and pasted onto most spreadsheets. In the current version of RDSAT, only saving to HTML is possible, however copying and pasting should allow the data to be imported into many applications including plain text editors.

#### **Export DL Network File in the File menu**

Allows a DL network file to be exported to the recruitment chain data. DL format is recognized by numerous network analysis packages, including <u>UCI-net</u> and <u>Pajek</u>. Pajek in particular, can be used to create attractive social network visualizations as seen in Figure 6.4.

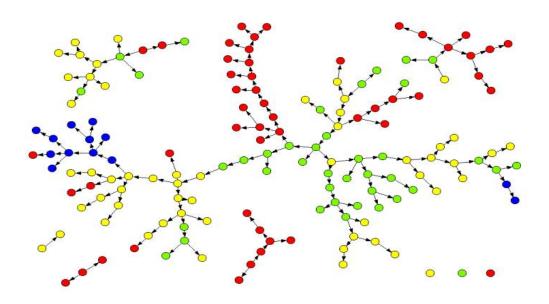


FIGURE 6.4 Pajek Generated Social Network Visualization UCINET - <u>http://www.analytictech.com//ucinet\_5\_description.htm</u> PAJEK - http://vlado.fmf.uni-lj.si/pub/networks/pajek

## **RDS Glossary of Terms**

#### **Adjust Average Network Size Option**

In a chain referral sample, those with more connections and larger personal network sizes tend to be over-represented in the sample. This RDSAT option corrects this bias.

#### **Adjusted Average Network Sizes**

Network sizes that are adjusted for sampling bias.

#### **Affiliation Matrix**

Displays preference measures for connections between all group pairs. The diagonal of this matrix is Homophily within a group.

#### **Bootstrap Simulation Results**

Shows the histogram of Bootstrap estimates of Least Squares population proportions. The horizontal axis depicts population estimates for the specified group. The vertical axis shows the frequency of the Bootstrap estimate.

#### **Breakpoint Analysis**

A Breakpoint analysis allows one trait to be analyzed over a range of possible breakpoints. This is very useful for continuous variables, such as age.

#### **Complete Variable Analysis**

This option will find every distinct value in the data file associated with a variable trait, and create new groups based on that value.

#### **Confidence Interval**

The value of this parameter determines the level of confidence for the confidence intervals reported in the analysis. The default, .05, measures the normalized length of a tail of the distribution of population proportions. In short, it determines 90% confidence for the intervals reported in the analysis.

#### **Cut Outliers**

An RDSAT option that eliminates extremely small and large outliers in network sizes from the dataset.

#### **Data-Smoothed Population Proportions**

Reports estimated population proportions for the Data-Smoothed population equations.

#### **Data-Smoothed Population Weights**

Multiplicative factors by which the Data-Smoothed Estimates are different from the naive estimates.

#### **Degree Distributions**

Distribution of network sizes for each group and for the population as a whole.

#### **Degree List**

List of all network sizes reported in the sample. The list is sorted from least to greatest for easy view of the distribution.

#### **Demographically-adjusted Recruitment Matrix**

Gives hypothetical recruitments if each group recruited with equal effectiveness. Transition probabilities implied by this matrix are identical to those of the original Recruitment Matrix.

#### **DL Network File**

DL format is recognized by numerous network analysis packages, including <u>UCI-net</u> and <u>Pajek</u>. Pajek in particular can be used to create attractive social network visualizations.

#### **Enhanced Data Smoothing**

An RDSAT option that allows analysis to take place even in a dataset with no recruitment data for a particular group.

#### Homophily

A measure of preference for connections to one's own group. Varies between -1 (completely heterophilous) and +1 (completely homophilous).

#### Impute Missing Data and Re-Analyze

Sets missing data to their most probable value, given the transition probabilities.

#### **Initial Recruits**

Reports the number of "seeds", i.e. people recruited by the researcher in each group.

#### **Least-Squares Population Proportions**

Reports the estimated population proportions of each group using linear least squares to solve the population equations.

#### **LLS Population Weights**

Multiplicative factors by which the Least Squares Estimates are different from the naive estimates.

#### Partition

A user-defined set of groups. Everyone in the population belongs to a group in a partition. The groups are defined by common traits.

#### **Re-Analyze with Specified Missing Data**

This feature allows each trait to be chosen and to specify which value the missing data within that trait to have. It can also be used to give missing data a unique value to allow groups to form on the basis of whether they have missing data.

#### **Recruitment Matrix**

Matrix of recruitments to and from each group. The vertical axis (rows) depicts the recruiters and the horizontal axis (columns) show recruits.

#### **Re-samples**

This is the number of times random subsets of the data are sampled to derive the bootstrap confidence intervals. More re-sampling will result in better confidence intervals, but will be more CPU intensive.

#### Respondent

A participant in an RDS sampling study.

#### **Respondent ID**

A unique integer representing a respondent in a given RDS dataset.

#### **Sample Population Proportions**

The "naive" estimates of population proportions, without correction of over-sampling and other biases.

#### **Sample Population Sizes**

The total number of recruits in each group.

#### Self-Reported Network Size

The number of individuals a respondent reports he or she has in his/her network.

#### **Transition Probabilities**

Normalizes recruitments by dividing by the total number of recruitments and gives the probability of one group recruiting another.

#### **Unadjusted Network Sizes**

A straight-forward arithmetic mean of the sample's network sizes.

#### Waves Estimation

This feature allows hypothetical recruitment scenarios to be examined. The sample population proportions are considered converged when the change in population proportions in between waves is less than the convergence radius times of the population proportions.

## Help and Support

In addition to this manual, you may also contact the RDS Coordinating Center for technical assistance with any RDS Inc. product. RDS staff will respond to all requests for assistance within 24 hours.

Email: RDS.CDC@gmail.com

Phone: (607) 257-0787 (voice messages may be left for any team member).

#### Note

For urgent requests, please call the phone number and identify the message as urgent.

## References

 <u>"Respondent-Driven Sampling: A New Approach to the Study of Hidden Populations.</u>" By Douglas D. Heckathorn. Social Problems 44: 174-199

• The original article in which RDS was introduced

- "Respondent-Driven Sampling II: Deriving Valid Population Estimates from Chain-Referral Samples of Hidden
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  - Article extending the RDS method to include calculation of standard errors and post-stratification to control for differences in network size and clustering across groups
- Salganik, Matthew J. and Douglas D. Heckathorn. In press (December, 2004) "Sampling and Estimation in Hidden Populations Using Respondent-Driven Sampling." Sociological Methodology.
  - Article showing through both analytic means and simulations that the RDS population estimator is statistically unbiased
  - Outstanding Article Publication Award of the Mathematical Sociology Section of the American Sociological Association
- <u>"Extensions of Respondent-Driven Sampling: A New Approach to the Study of Injection Drug Users Aged 18-25."</u> By Douglas D. Heckathorn, Salaam Semaan, Robert S. Broadhead, and James J. Hughes. AIDS and Behavior, 2002.
  - O Empirical evaluation of some of the assumptions underlying RDS, and its use to study younger drug injectors
- "Group Solidarity as the Product of Collective Action: Creation of Solidarity in a Population of Injection Drug
   <u>Users."</u> By Douglas D. Heckathorn and Judith E. Rosenstein. Advances in Group Processes, 2002.
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  - O History of RDS and the research project from which it emerged
- Heckathorn, Douglas D., and Joan Jeffri. 2003. <u>"Social Networks of Jazz Musicians,"</u> pp. 48-61 in Changing the Beat: A Study of the Worklife of Jazz Musicians, Volume III: Respondent-Driven Sampling: Survey Results by the Research Center for Arts and Culture, National Endowment for the Arts Research Division Report #43, Washington DC, 2003.
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- <u>"Finding the Beat: Using Respondent-Driven Sampling to Study Jazz Musicians."</u> By Douglas D. Heckathorn and Joan Jeffri. Poetics, 2001.
  - O Use of RDS to study a non-stigmatized hidden population, jazz musicians
- <u>"Making Unbiased Estimates from Hidden Populations Using Respondent-Driven Sampling.</u>" By Matthew J. Salganik and Douglas D. Heckathorn. Paper presented at the International Social Network Conference, February, 2003, Cancun, Mexico
- <u>"Street and Network Sampling in Evaluation Studies of HIV Risk-Reduction Interventions.</u>" By Salaam Semaan, Jennifer Lauby, and Jon Liebman. AIDS Review, 2002.
  - **O** Comparison and Evaluation of Alternate Methods for Sampling Hidden Populations.
- <u>"Review of Sampling Hard-to-Reach and Hidden Populations for HIV Surveillance."</u> By Robert Magnani, Keith Sabin, Tobi Saidel, and Douglas Heckathorn. In AIDS, 2005.

## Appendix

#### Appendix 1: The RDS Data File

#### Components of Core Data Files:

Note that all data outside of the first two lines must be integer-valued.

- Header on line 1: Every "core data set" must begin with the string 'RDS' on the first line.
- Parameters on line 2: From left to right, the second line must contain the following integer-valued information:
  - o Sample Size
  - o Maximum number of coupons received by a recruit in the sample
  - Value for missing data. This value will be used throughout the analysis to refer to missing data. It will over-ride all other values, so it is important to choose an integer value that will not occur elsewhere in the data.
- "Main data set": Subsequent lines contain the main recruitment information with each line corresponding to a recruit. Arrange the columns from right to left as followed:
  - o Survey/Recruit ID: an integer code, acting as the recruit's name
  - o Personal Network Size
  - The serial number of the coupon the recruit received. NOTE: if the recruit is a 'seed', then this number must be set to the *missing-data* value.
  - Serial numbers of the coupons given to the recruit. This data will take up the number of columns specified by the *max-number-of-coupons-given-to-a-recruit* parameter specified on line two. If the recruit was given a number of coupons less than that, set some of the values to the *missing-data* value.

For example, below are the first 7 lines of the core data set for Doug Heckathorn's New York jazz musicians:

```
RDS

264 7 0

1 350 0 14250004 14250005 14250006 14256002 901 0 0

2 0 0 14250007 14250008 14250009 14256003 902 0 0

3 585 0 14250010 14250011 14250012 14256004 903 0 0

4 400 0 14250025 14250026 14250027 14256009 904 0 0

5 150 0 14250022 14250023 14250023 14256008 905 0 0
```

## Appendix

#### **Appendix 2: RDSAT Questions & Answers**

#### Are seeds included in the RDSAT analyses calculations?

Yes, because recruitments by seeds are treated like any other recruitments, and all recruitments in combination are used to calculate the transition probabilities.

In contrast, the self-reported network sizes of seeds are not used to calculate networksize estimates, because seeds were not recruited by a peer, they were recruited by key informants or in some other manner.

## If a participant reports that the person who gave them a coupon is a stranger, are they included in the RDSAT analysis? If so what are the implications for the recruitment chains that follow?

In RDS studies, recruitment rights are both scarce and valuable, so respondents tend not to waste them on strangers, so recruitment by strangers tends to be rare, generally 1% to 3%. A reasonable research strategy is to check to see if the respondents recruited by strangers differ significantly from other respondents, and if not, then to treat these as valid recruitments.

A maximally conservative research strategy would be to delete from the data set the serial number linking the recruit to the stranger/recruiter. The recruit would then be treated as a seed, and the stranger/recruiter would become the terminus of a recruitment chain. Neither respondent would be deleted from the data set, but the number of peer recruitments would be reduced.

## Are there any other essential variables we should be analyzing in RDSAT? Other than gender, race and age.

The variables to be analyzed depend on the research questions being addressed. RDS is a sampling method, a method for drawing statistically valid samples, so its role is to help ensure that the answers are statistically valid.

## How does restricting recruitment to specific races affect the legitimacy of the survey and or RDSAT analysis?

This restriction of the sampling frame narrows the scope of the study, e.g., limiting recruitment to Latino IDU would mean that the study would yield no information about non-Latino IDU or Latina IDU. How to best choose the sampling frame depends on the aims of the study.

#### Can RDSCM allow the user to override an individually expired coupon? Are there anticipated implications for RDSAT as it relates to the expiration dates of coupons an/or referral cards?

RDSCM will allow a coupon's void status to be overridden in the following way:

- 1. Increase the validation timeframe so that coupons won't be automatically voided.
- 2. Change the voided coupons' status to UNPAID (the auto-void will not trigger anymore due to step one)
- 3. Enter the records for the individuals that arrive with the coupons.
- 4. Finally, return the validation timeframe to normal. The coupons in question should be in a PENDING state, so they will no longer be auto-voided.

## How does RDSAT account for missing data? For example, one of our sites lost 2 interviews (handheld computer malfunction)- one from a seed and the other from a non-seed respondent.

Currently, RDSAT will not process the entire recruitment chain linked to a record with missing data.

#### How does RDSAT adjust for differential coupon distribution?

For an in-depth look at the methods used in RDS analysis, please consult: "Sampling and Estimation in Hidden Populations Using Respondent-Driven Sampling." The citation for this paper can be found in the references section of this manual. Please also consult the References section for more RDS related literature.