

Portal 2.0 – Phases I and II

C-TPAT Headquarters

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U.S. Customs and
Border Protection



Topics

Phase 1:

- New Trade Interface
- Data Migration
- Common Processes (ASPR, Val Response, etc.)
- Help Text, User Manual, & Admin Tool

Phase 2:

- New Internal Interface
- New Security Profile
- Enhanced account management

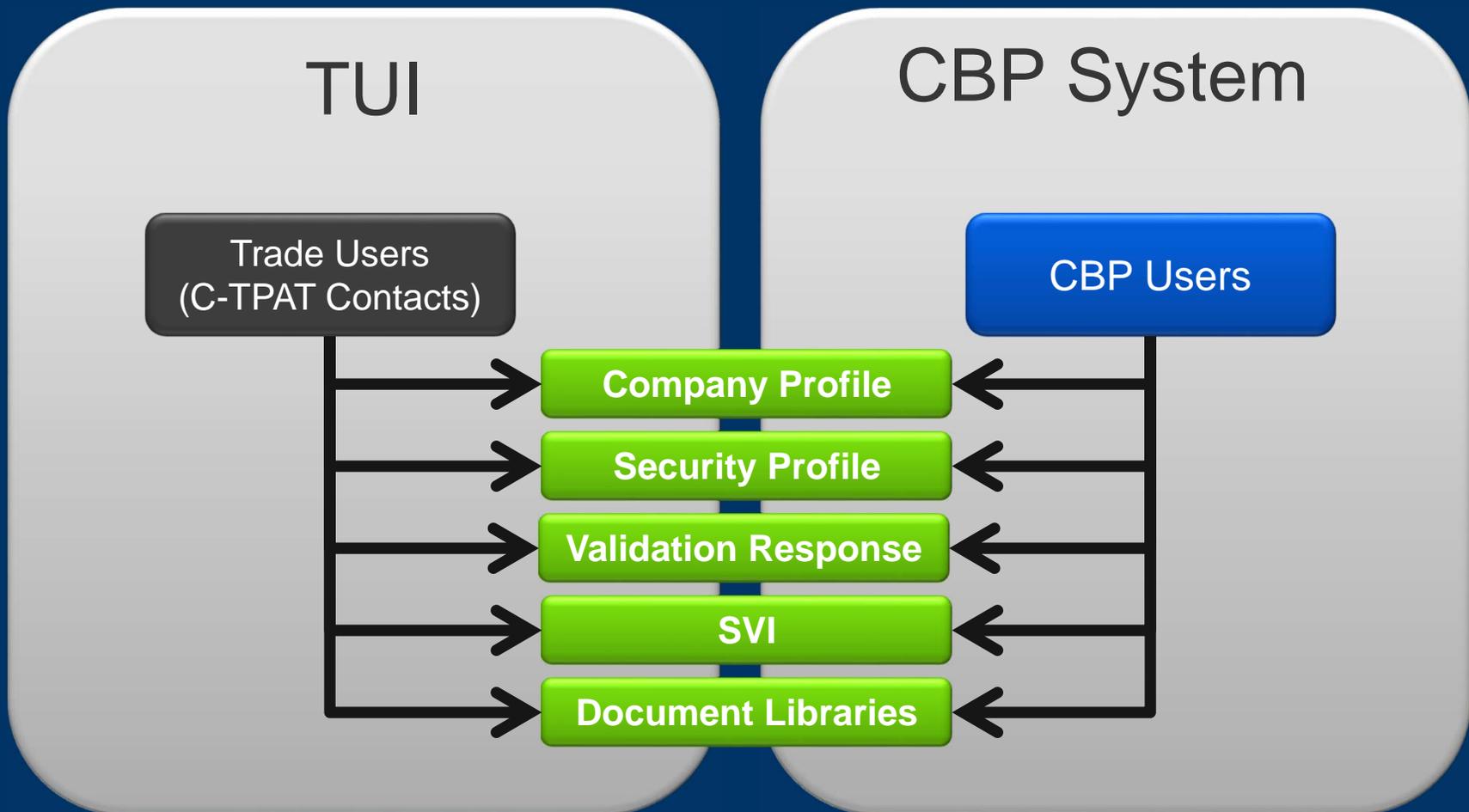


Portal 1.0 Design

C-TPAT Portal 1.0



Splitting the System



Phase I

- All data will transfer to new Portal
- All C-TPAT contacts become Portal 2.0 Users
- Trade Accounts
 - One for every active C-TPAT account
 - Contains Company info, addresses, and contacts
 - Designed as a multi-program application, account management and partner monitoring
- Internet Explorer compatibility



Portal 2.0 Users

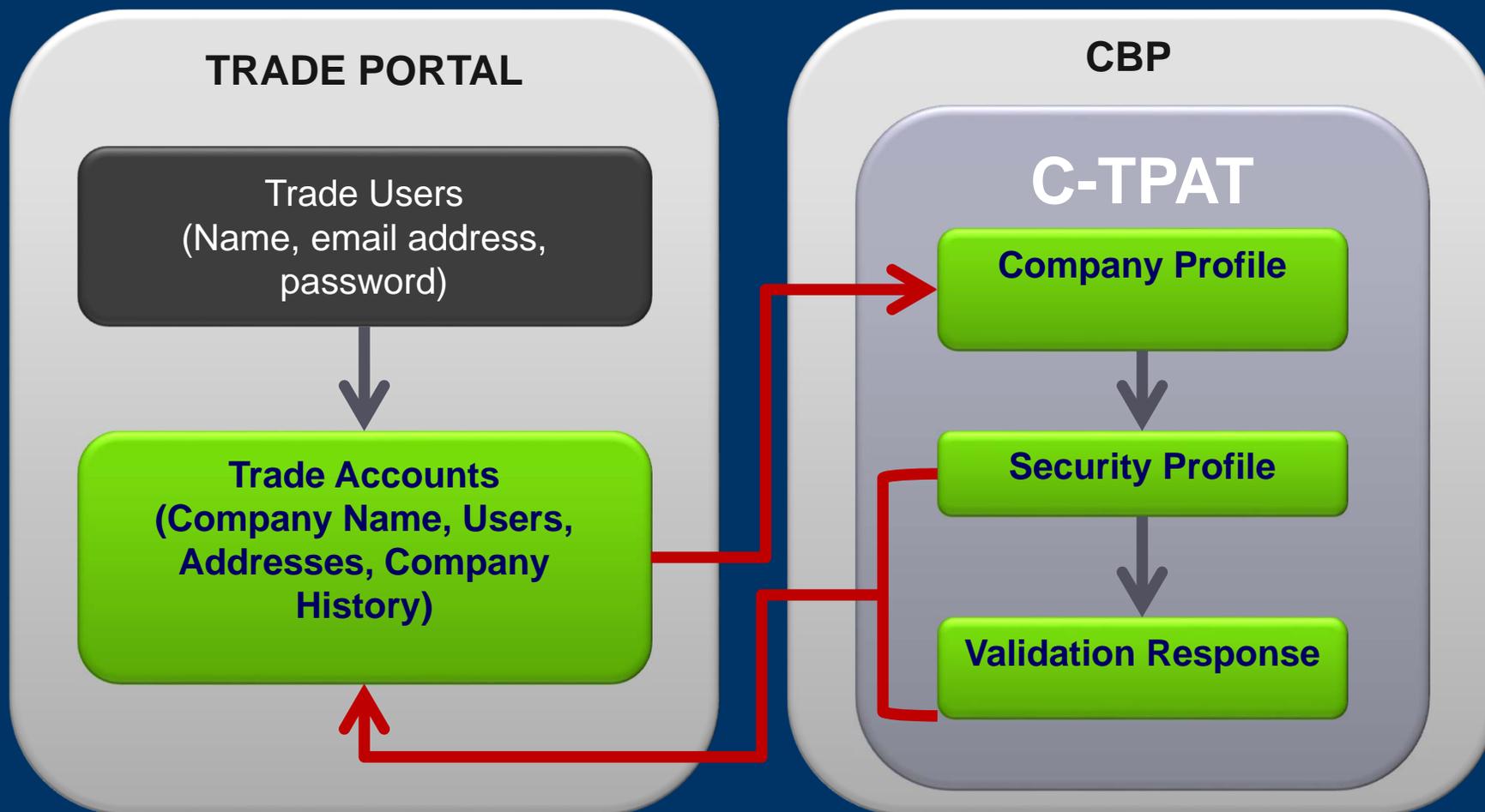


- Access is user-oriented
- All C-TPAT Portal 1.0 contacts will become Portal 2.0 trade users
- All passwords will have to be reset when Phase I is deployed
- All users must provide responses to three security questions (used to reset passwords in future)
- A user can be associated with one or many Trade Accounts

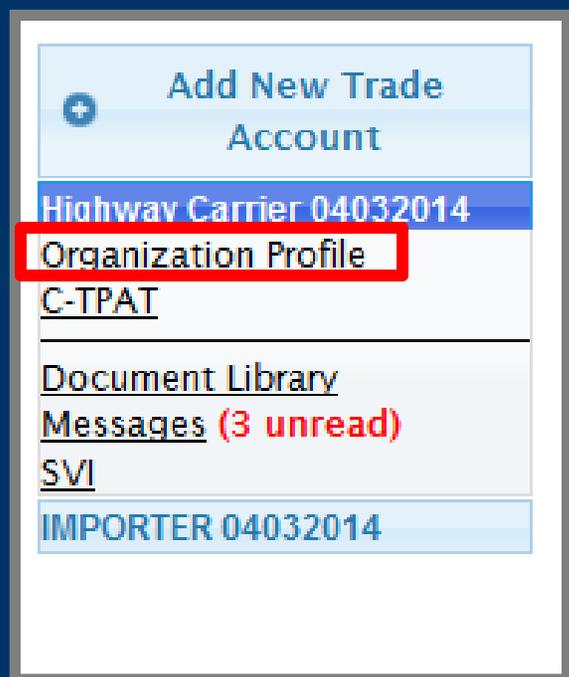
- Users with more than one account that have the same email address will no longer be required to log out to switch accounts



Trade Accounts



Trade Account



- A Trade Account is a collection site for company information—housed in the **Organization Profile**—that is designed for multi-program application, account management and partner monitoring.
- ALL Users and Addresses are associated with a Trade Account in Portal 2.0.



Trade Account

Highway Carrier 04032014

Please enter the following information about your company as the primary Trade Account.
This information will be used in your application to C-TPAT.

Please click the **Submit** button to save your changes

Company Information	Addresses	Users	Summary
Company Name*	Highway Carrier 04032014		
Doing Business As	Highway Carrier 04032014High		
Ownership Type*	Corporation		
Telephone Number*	784592013		
Fax Number			
Website			
Business Start Date(mm/dd/yyyy)*	04/05/201		
Number of Employees*	10		
Brief Company History*	testing		

Tabbed Screen allows the user to add, modify, or delete company information, addresses, and users.

See the Portal 2.0 User Manual, pages 13 – 18 for more details.

[Previous](#) [Next](#) **[Submit](#)**

* is a required field

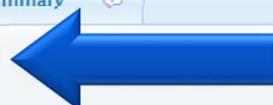


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Trade Account: Addresses

Please click the Submit button to save your changes

Company Information | **Addresses** | Users | Summary

Type* 

Street Line 1*

Street Line 2

City*

Country*

Postal Code*

State

Please enter Trade Account addresses related to: headquarters offices (including international corporate headqu...
Point of Contacts and office locations, import/export cargo handling facility locations, policy generation and tra...
import/export cargo handling facilities exist, please provide the Top 3 locations by volume of imported/export...
highest risk.

	Address Type	Mailing Address	Address Line 1	Address Line 2	City	Postal Code
1	Other	<input type="checkbox"/>	23 Mani DR		jila	ty874
2	Distribution Center	<input type="checkbox"/>	12 Mexico		Tital	7845
3	Headquarters	<input checked="" type="checkbox"/>	12 Head Quarter BLVD		City	78459

+ Add | Edit | Del | Page 1 of 1 | 10 | View 1 - 3 of 3

Previous | Next |

* is a required field

ALL new addresses must be entered into the Organization Profile of the Trade account.

Mailing address indicator is located to the right of the address type.

See the Portal 2.0 User Manual, page 15 for more details.



Trade Account: Users

Please click the Submit button to save your changes

Company Information Addresses **Users** Summary

Email:*

Confirm Email:*

Salutation:*

First Name:*

Last Name:*

Middle Initial:

Title:*

Phone No:*

Type:*

Save to the list below Cancel

Every Trade Account and ship account should have more than one POC and contacts and a Primary POC must be a... information for all people who can and should have access to your company's account in the Portal. C-TPAT account... the application process from the users you enter now.

	Email	Last Name	First Name	Initial	Salutation	Title
1	testaccount7@test7.com	NEW	CHECK	P	Mr.	Title

+ Add Edit Del Page 1 of 1 10 View 1 - 1 of 1

Previous Next **Submit**

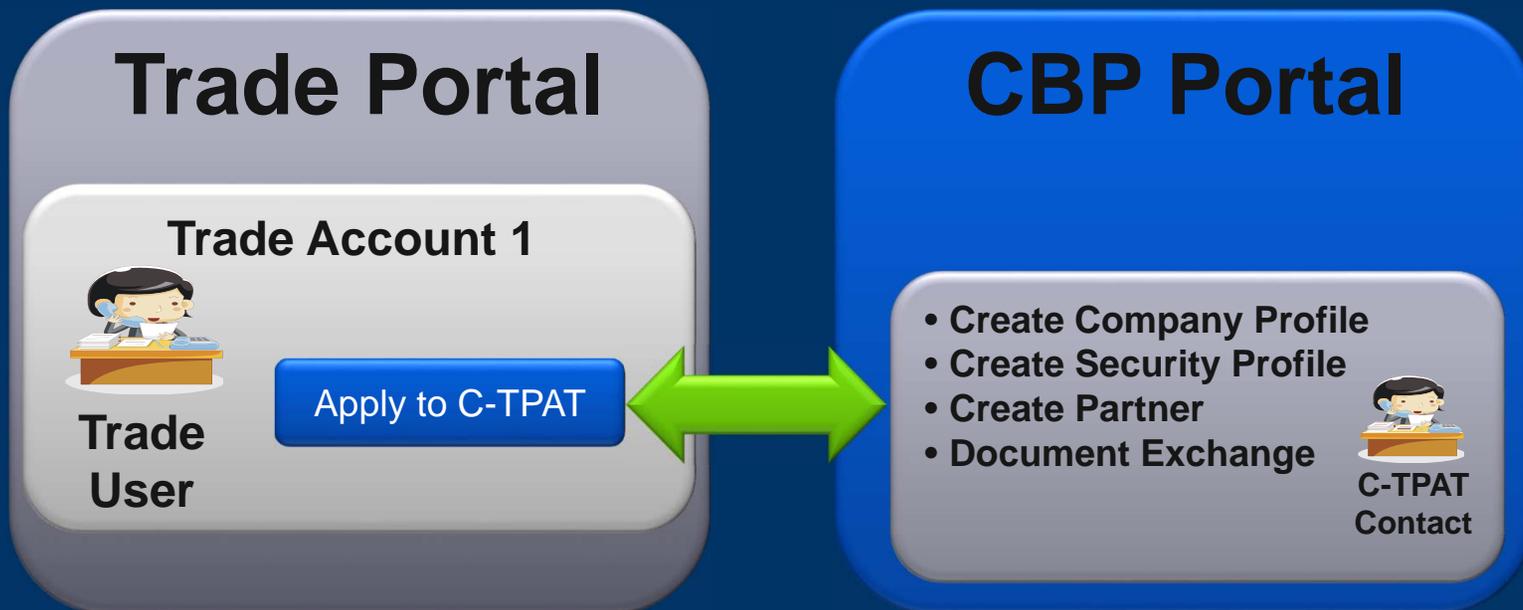
* is a required field

ALL new users must be entered into the Organization Profile of the Trade account. See the Portal 2.0 User Manual, page 16 for more details.



Existing C-TPAT Account

- Trade Account information for existing C-TPAT accounts will be transferred to the new Portal
- Any new addresses or users **MUST** be added to the trade account then connected to the C-TPAT account.



C-TPAT Account

Existing Program Membership

Business Type	Highway Carrier - U.S. / Canada	CTPAT Account #	31614261
Account Status	Certified	Security Profile Status	Approved Submit Security Profile
Certification Date	04/07/2014	Anniversary Date	04/07/2015
SCSS: Name	SCSS SCSS NY1	SCSS: Office	NY
SCSS: Phone	716-555-1212	SCSS:Email	SCSSNY1@atsdev.cbp.dhs.gov
Primary POC	CHECK NEW (eml: testaccount7@test7.com, phn: 874596321)		

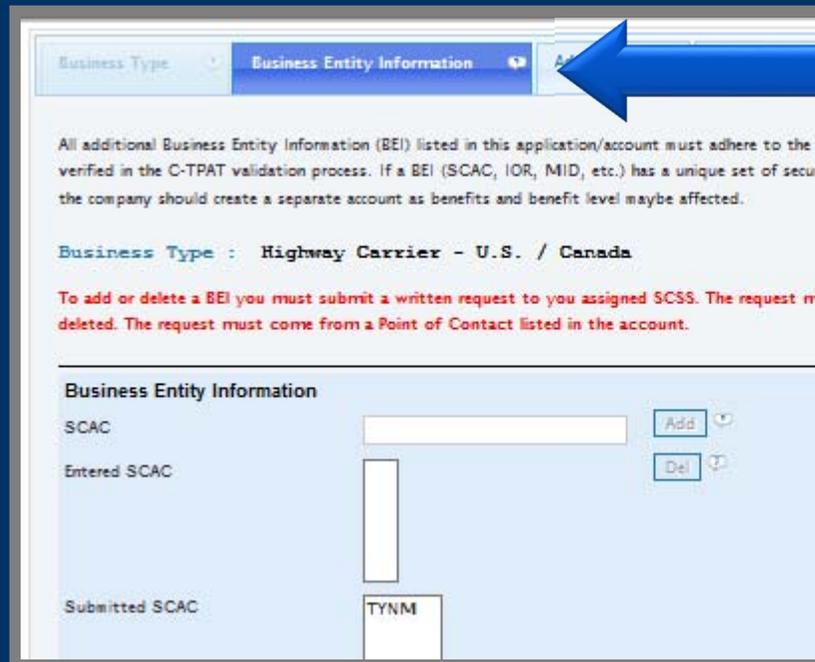
[Edit Company Profile](#) [Edit Security Profile](#) [Validation Summary](#)

- Clicking C-TPAT under the selected Trade Account will display the new Partner Summary screen.
- From here, partners can edit profiles and access validations.



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C-TPAT Account: Business Entity Info



Business Type Business Entity Information Add

All additional Business Entity Information (BEI) listed in this application/account must adhere to the standards verified in the C-TPAT validation process. If a BEI (SCAC, IOR, MID, etc.) has a unique set of security requirements, the company should create a separate account as benefits and benefit level may be affected.

Business Type : Highway Carrier - U.S. / Canada

To add or delete a BEI you must submit a written request to you assigned SCSS. The request must be approved and the BEI must be deleted. The request must come from a Point of Contact listed in the account.

Business Entity Information

SCAC	<input type="text"/>	Add
Entered SCAC	<input type="text"/>	Del
Submitted SCAC	TYNM	

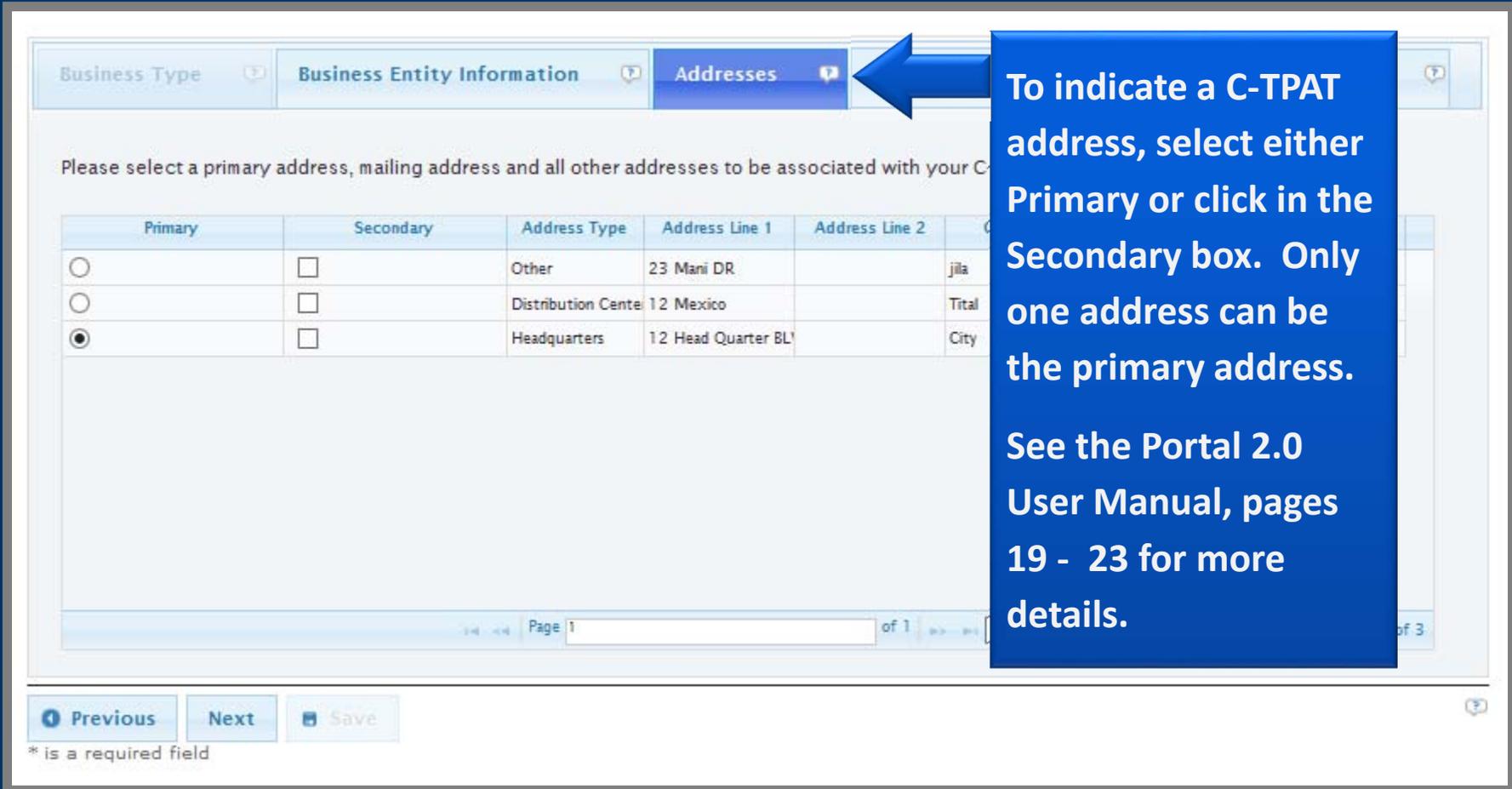
Business Entity Information displays all BEI's, Countries of Origin, etc.

NOTE: BEI's cannot be added to existing accounts except through SCSS.

See the Portal 2.0 User Manual, pages 19 - 23 for more details.



C-TPAT Account: Addresses



The screenshot shows a web interface for managing C-TPAT addresses. At the top, there are three tabs: "Business Type", "Business Entity Information", and "Addresses". A blue arrow points to the "Addresses" tab. Below the tabs, a message reads: "Please select a primary address, mailing address and all other addresses to be associated with your C-TPAT account." A table lists three addresses with columns for "Primary", "Secondary", "Address Type", "Address Line 1", "Address Line 2", and "City". The first address is "Other" at "23 Mani DR" in "jila". The second is "Distribution Center" at "12 Mexico" in "Tital". The third is "Headquarters" at "12 Head Quarter BL" in "City", and its "Primary" checkbox is selected. At the bottom, there are "Previous", "Next", and "Save" buttons, and a note: "* is a required field".

Primary	Secondary	Address Type	Address Line 1	Address Line 2	City
<input type="radio"/>	<input type="checkbox"/>	Other	23 Mani DR		jila
<input type="radio"/>	<input type="checkbox"/>	Distribution Center	12 Mexico		Tital
<input checked="" type="radio"/>	<input type="checkbox"/>	Headquarters	12 Head Quarter BL		City

To indicate a C-TPAT address, select either Primary or click in the Secondary box. Only one address can be the primary address. See the Portal 2.0 User Manual, pages 19 - 23 for more details.



C-TPAT Account: Contacts

Business Type | Business Entity Information | Addresses | **Contacts** | International | Summary

Please select the appropriate contacts for C-TPAT related to the account. A Primary POC must be a company officer. Only company officers can electronically sign the C-TPAT Partner, SVI, Exporter, NEEC, or Mutual Recognition agreements.

Primary Contact	Officer	Emp	Consultant	User Email	Last Name
<input checked="" type="radio"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	testaccount7@test7.com	NEW

Navigation: Page 1 of 1 | 10 | View 1 - 1 of 1

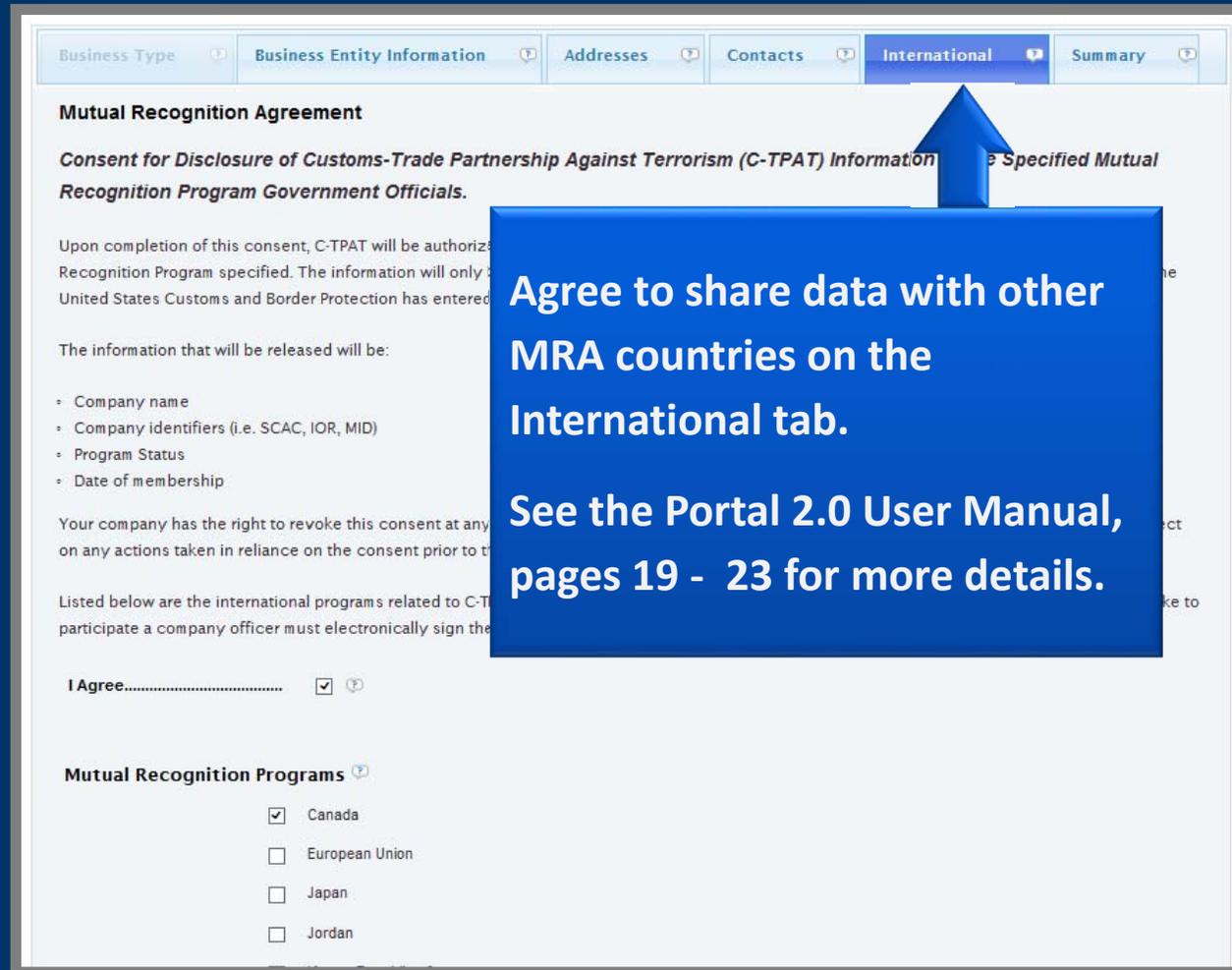
Buttons: Previous | Next | Save

* is a required field

To indicate a C-TPAT contact, select the Primary contact, or click in the Office (Officer), Emp (Employee) or Consultant box. See the Portal 2.0 User Manual, pages 19 - 23 for more details.



C-TPAT Account: International



Business Type Business Entity Information Addresses Contacts **International** Summary

Mutual Recognition Agreement

Consent for Disclosure of Customs-Trade Partnership Against Terrorism (C-TPAT) Information to Specified Mutual Recognition Program Government Officials.

Upon completion of this consent, C-TPAT will be authorized to share information with the specified Mutual Recognition Program. The information will only be shared with the specified Mutual Recognition Program Government Officials. United States Customs and Border Protection has entered the following information:

The information that will be released will be:

- Company name
- Company identifiers (i.e. SCAC, IOR, MID)
- Program Status
- Date of membership

Your company has the right to revoke this consent at any time. Revocation will be effective on any actions taken in reliance on the consent prior to the revocation.

Listed below are the international programs related to C-TPAT. If you wish to participate a company officer must electronically sign the consent.

I Agree.....

Mutual Recognition Programs

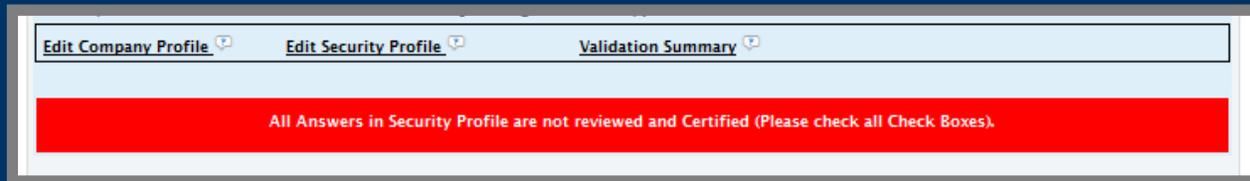
- Canada
- European Union
- Japan
- Jordan



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Annual Security Profile Review

- A warning will appear on the Existing Program Membership screen within the 90-day window.



The screenshot shows a navigation bar with three tabs: "Edit Company Profile", "Edit Security Profile", and "Validation Summary". Below the tabs is a red warning banner with the text: "All Answers in Security Profile are not reviewed and Certified (Please check all Check Boxes)."

- Partners append data and check in the review box at the end of each criteria statement.



The screenshot shows a form section with the text: "A response is required in this section." and "Date:09/12/2012". Below this is a red oval highlighting a checkbox and its associated text: " As part of the Annual Review of Security Profile, I have reviewed the information in this section and certify that it is accurate". At the bottom of the form are buttons for "Previous", "Next", "Save", and "Submit to C-TPAT".



Validation Summary Screen

CONSOLIDATOR02042014

C-TPAT Validation Summary 

Partner Name:	CONSOLIDATOR02042014	SCSS Name:
Doing Business As:	CONSOLIDATOR02042014	SCSS Phone:
C-TPAT Account Number:	12757488	SCSS Office:
Business Type:	Consolidator	Primary Point of Contact:
Account Status:	Certified	POC Phone:

The full Validation Report and Scorecard are available in the Validations performed window.

See the Portal 2.0 User Manual, pages 26 & 27 for more details.

Response Status 	Response Due Date	Type	Validation Report	Scorecard
Pending	05/06/2014	InitialValidation		

Double click on the Response Status or Type box to access Validation Response page.



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Validation Response

- Validations operate the same way as Portal 1.0
- Screens rebuilt using different technology
- Ability to attach documents per Recommendation/Action Required



Validation Response

Current Status

Instructions: Please complete the following information and submit to SCSS.

Report to Partner On: 02/05/2014

Response Due Date: 05/06/2014

Current Extension Due Date:

Latest Partner Response Date:

Executive Summary | **Site Visits**

Management Support / Self-Assessments / Audits
Response Id : 362477, Question# : 1

Recommendation
CONSOLIDATOR02042014

Did you implement this?
 Yes No

Please briefly explain why this recommendation is not being implemented. Click

Navigate through Executive Summary and Site Visits using the tabs.

Response boxes must have text answers. Actions Required must have evidence of implementation files uploaded.

See the Portal 2.0 User Manual, pages 26 & 27 for more details.



Partner Library

CONSOLIDATOR02042014

The document library includes the C-TPAT Public Document Library and the Partner Document Exchange.

C-TPAT Public Document Library

C-TPAT Partner Document Exchange

View Public Documents...

	View File	Document Name	Size	Document Type	Uploaded	Uploader
1	View	modified San Francisco External Worksh	3592192	Other		wayne.ko
2	View	validation response.wmv	4024642	Other		charles.m
3	View	03-01-13 C-TPAT Achievements Report	75757	Other		
4	View	2013 C-TPAT Conference C-TPAT 101.p	2173195	Other		NORMAN.
5	View	2013 C-TPAT Conference Conveyance Ir	4784764	Other		NORMAN.
6	View	2013 C-TPAT Conference Evidence of Im	2124627	Other		NORMAN.
7	View	2013 C-TPAT Conference General Sessio	5416052	Other		NORMAN.
8	View	2013 C-TPAT Conference ISA.pdf	1255647	Other		NORMAN.
9	View	2013 C-TPAT Conference Internal Cons	2189052	Other		NORMAN.
10	View	2013 C-TPAT Conference Southwest Bor	4744615	Other		NORMAN.
11	View	2013 C-TPAT General Session Conferen	1174758	Other		NORMAN.
12	View	CTPAT Video.wmv	15627711	Other		charles.m
13	View	C-TPAT Best Practices Catalog Addendur	563200	Other	03/27/2009 08:50	keith.cousins@dhs.g
14	View	C-TPAT Program Benefits Guide.pdf	811966	Other	11/17/2008 02:52	keith.cousins@dhs.g
15	View	C-TPAT...	07/24/2006 00:10	ROBERT.T...

Public Document Library and Partner Document Exchange are located under Document Library.

See the Portal 2.0 User Manual, pages 30 & 31 for more details.



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Messages

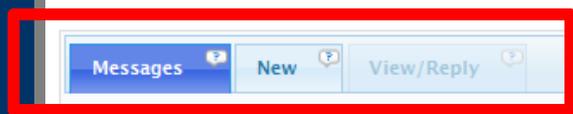
- Replaces the Direct Messaging for Trade users, as a method of contacting your SCSS.
- We use this as a way of automatically notifying partners of Security Profile Reviews
- In Phase II we will introduce Tasks for the Trade to accompany C-TPAT lifecycle events (i.e., Annual Review, Validation Response, etc.)



Messages

CONSOLIDATOR02042014

Partner messaging is internal communication system to receive messages from C-TPA. Attachments included in the message will be sent to your partner document exchange.



View all archived messages

CTPAT Account #	Subject	From
12757488	Validation Response Required	SCSSNY1@atsdev.cbp
12757488	Validation report and cover letter have	SCSSNY1@atsdev.cbp
12757488	Validation Response Required	SCSSNY1@atsdev.cbp
12757488	Validation Response Required	SCSSNY1@atsdev.cbp

Page 1 of

Cancel Send

* is a required field.

Navigate through Messages using the tabs.

Double click on the message line to view the content.

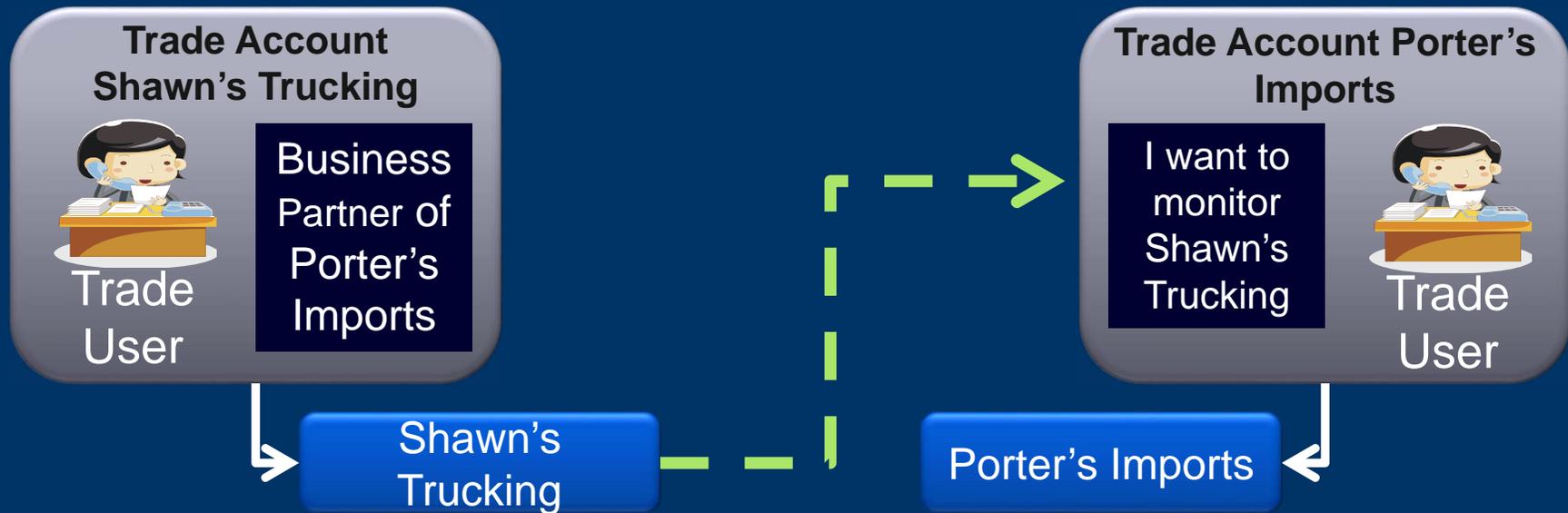
Opening a message will mark the message as "read."

See the *Portal 2.0 User Manual*, pages 31 - 33 for more details.



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Border Protection

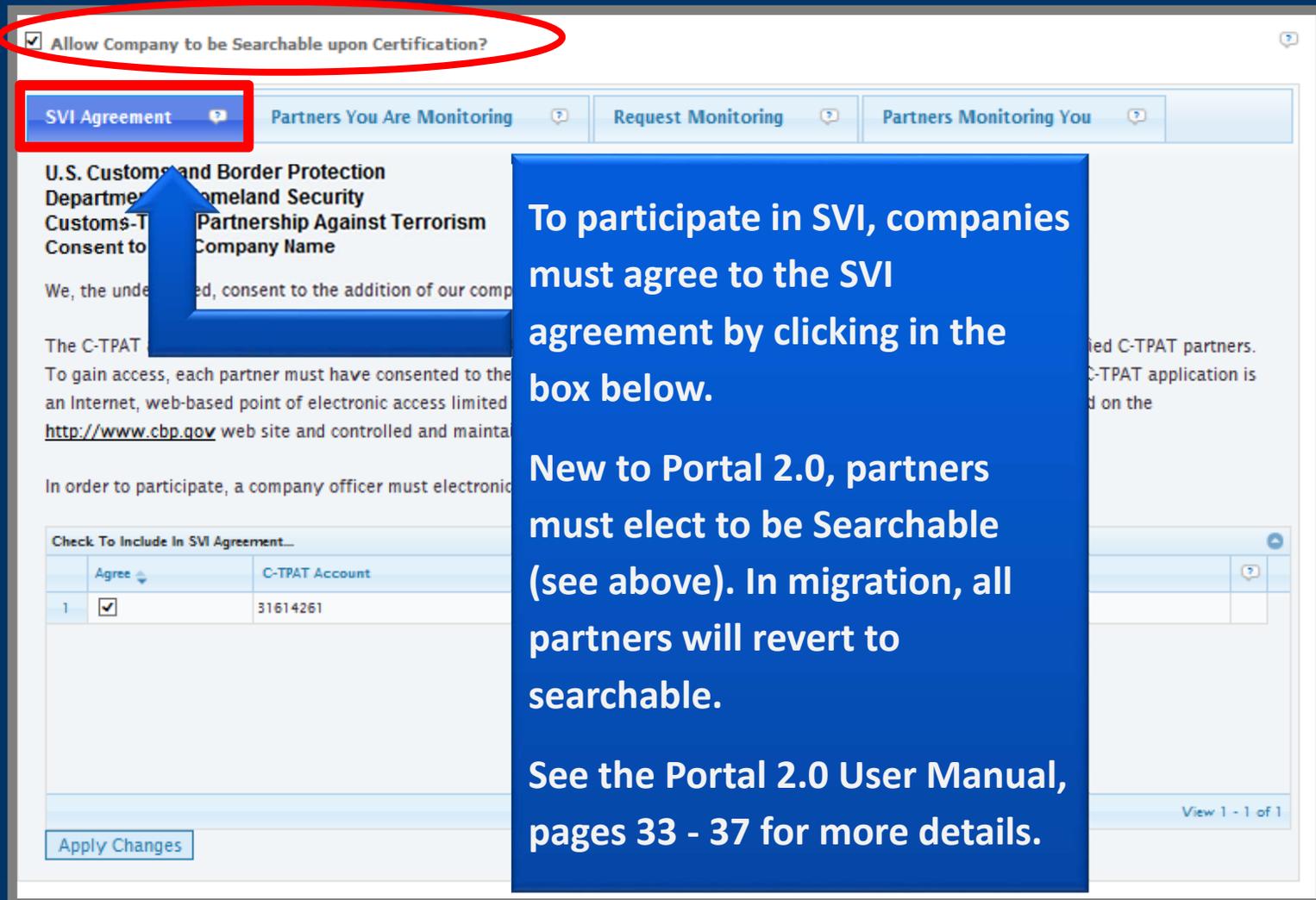
SVI in Portal 2.0



- SVI will undergo significant changes in Portal 2.0
- SVI # will transition to new SVI monitoring system.
- The C-TPAT account holder (Shawn's Airplanes) will push a monitoring request to their business partner (Porter's Imports) that wants to monitor them.



SVI in Phase I: SVI Agreement



The screenshot shows a web interface for the SVI Agreement. At the top, a checkbox labeled "Allow Company to be Searchable upon Certification?" is checked and circled in red. Below this, a navigation bar contains four tabs: "SVI Agreement", "Partners You Are Monitoring", "Request Monitoring", and "Partners Monitoring You". The "SVI Agreement" tab is highlighted with a red box, and a blue arrow points from this box to a blue text box on the right. The main content area displays the U.S. Customs and Border Protection logo and the text "U.S. Customs and Border Protection Department of Homeland Security Customs-Trade Partnership Against Terrorism Consent to... Company Name". Below this, there is a section titled "Check To Include In SVI Agreement..." with a table containing one row with a checked checkbox and the C-TPAT Account number 31614261. An "Apply Changes" button is located at the bottom left of the table. A blue text box on the right contains the following text:

To participate in SVI, companies must agree to the SVI agreement by clicking in the box below.

New to Portal 2.0, partners must elect to be Searchable (see above). In migration, all partners will revert to searchable.

See the Portal 2.0 User Manual, pages 33 - 37 for more details.



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SVI in Phase I: Request Monitoring

US

Search

Select Trade Organization To Monitor You...

Select	Trade Org
<input type="checkbox"/>	US Company

Page 1 of 1

Click in the Select box to send a request to be monitored, then click Apply Changes.
See the Portal 2.0 User Manual, pages 33 - 37 for more details.

- SVI Tokens/numbers will be replaced by the SVI module.
- All searchable companies will appear in the search list in the Request Monitoring tab.
- Partners can send requests to be monitored ONLY.



SVI: Partners you are Monitoring

	Remove Monitored	Monitored Trade Org	Business Type	Certified	Request Status	Request Date
1	<input type="checkbox"/>	ABC Trucking	Air Carrier	Certified	ACCEPTED DECLINED	06/20/2013
2	<input type="checkbox"/>	ABC Trucking	Importer			06/20/2013

Apply Changes

Showing:

Use the toggle in the box to Accept, Decline or Revoke a request to monitor another partner. Click Apply Changes.

See the Portal 2.0 User Manual, pages 33 - 37 for more details.



SVI in Phase I: Partners Monitoring You

To remove a monitor, click in the box on the left and click Apply Changes. Monitors can be removed one at a time.

See the Portal 2.0 User Manual, pages 33 - 37 for more details.

	Remove Monitor	Monitored CTPAT Account	Monitored Business Type	The Monitoring Trade Org	Request Status	Request Date
1	<input type="checkbox"/>	61			REVOKED	06/20/2013

Apply Changes

Showing: ALL

View 1 - 1 of 1

- Trade will be able to see the Partners Monitoring them – this is new functionality for trade partners.



Phase 2

- New Trade Homepage
- Trade Account Operations
 - Ability to Link Trade Accounts to create relationships
 - Ability to Merge Trade Accounts to better manage multiple C-TPAT accounts
- Multi-mode Security Models
 - Broker/Consolidator, for example
- C-TPAT Account Operations
 - Merge C-TPAT accounts the same security procedures
 - Expand C-TPAT account to include an eligible Business type



Trade Org Tree

Top Account

Division A

Section 1

Section 2

Division B

Section 3

Create Trade Account

Manage Trade Accounts

Program Memberships

SVI

Document Library

Messages

Tasks

Trade Home Page

Task List

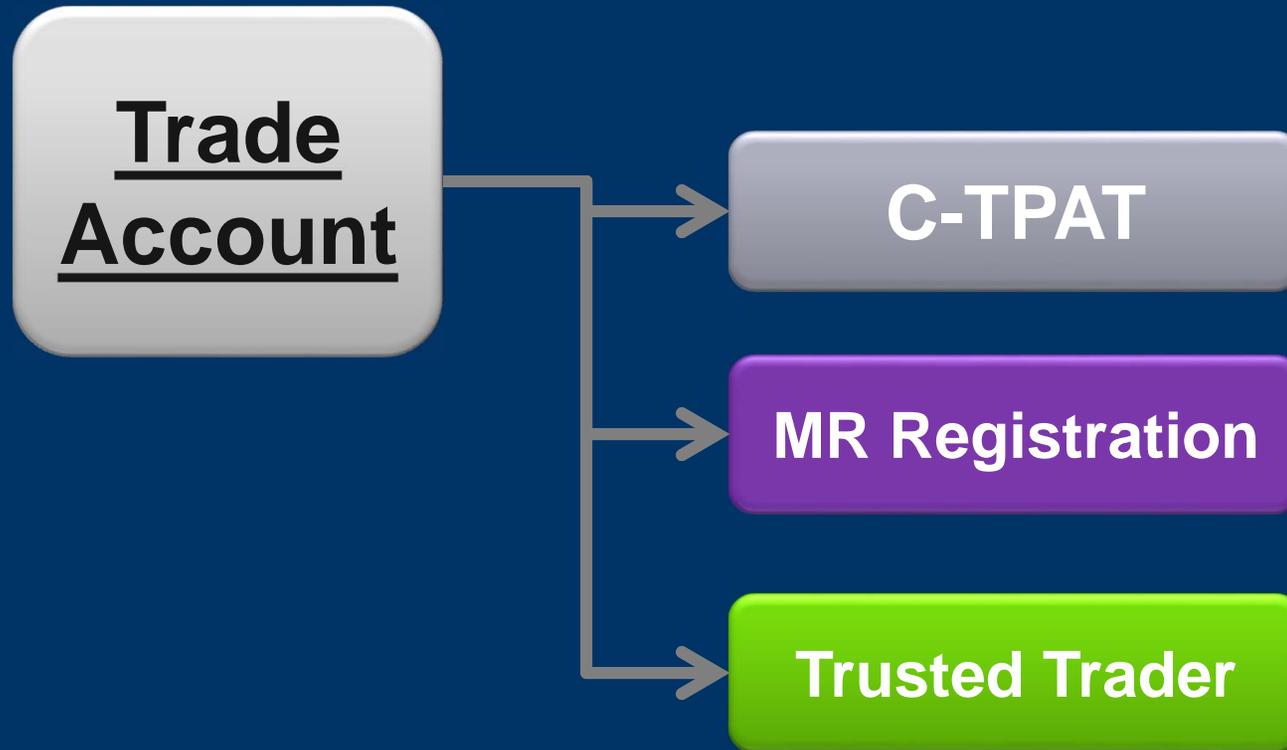
- | | |
|----------|--|
| • Task 1 | |
| • Task 2 | |
| • Task 3 | |
| • Task 4 | |
| • Task 5 | |

C-TPAT Account at a Glance



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Trade Account (Future Development)



Security Model

Definition: Security Model is a C-TPAT Account in Portal 2.0 Phase 2.

- Includes:
 - company profile, BEI's and security profile, which could be multi-mode, e.g., broker/consolidator
- Multi-modal:
 - C-TPAT Partner with multiple C-TPAT accounts that have identical security practices and procedures.
 - C-TPAT Partners will be given the opportunity to merge, expand, and duplicate existing accounts.



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Merge Security Model

- Definition: The ability to consolidate 2 security models (BEI, Company and Security Profile) into one multi-mode security profile.

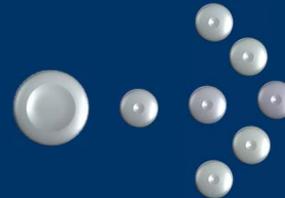
Broker



Consolidator



Merge



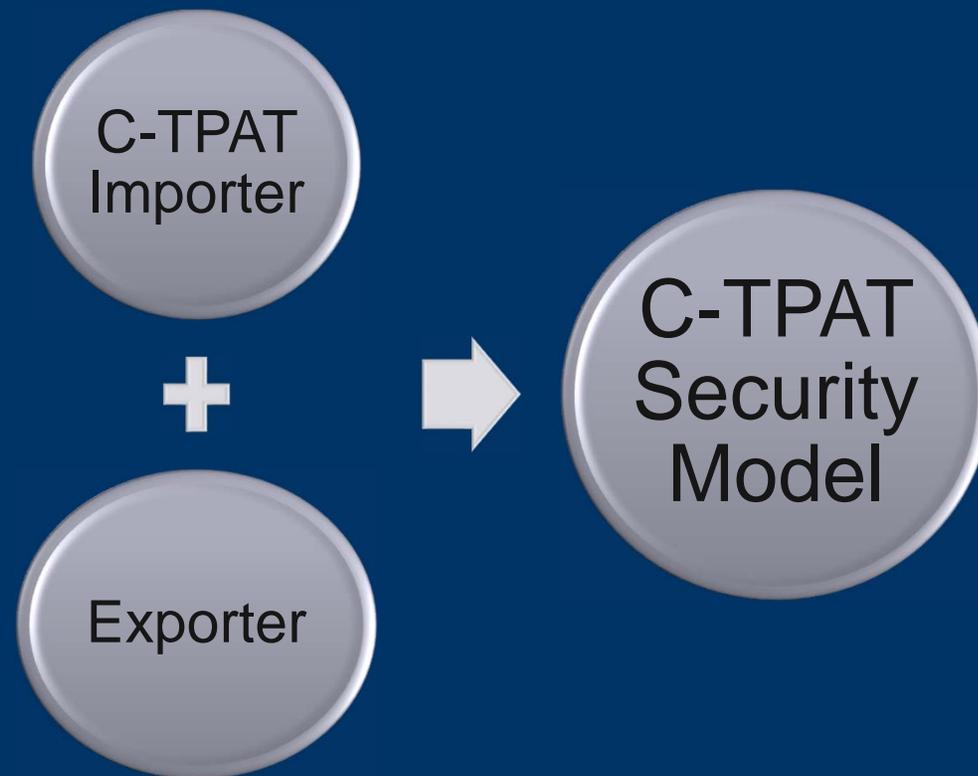
C-TPAT
Account



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Expand Security Model

- Definition: The ability to stretch my current C-TPAT security profile to include a new C-TPAT eligible business type.



Trade Account Operations in Phase 2

Trade Organization(s)

- Trade Organization One
- Trade Organization Two
- Trade Organization Three

Manage Trade Accounts

Merge Trade Accounts Link Trade Accounts De-Link Trade Accounts

Create Trade Account

Manage Trade Accounts



New Partner Summary Screen



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Customs - Trade Partnership Against Terrorism

[Log Off](#)

Trade Organization(s)

- Trade Organization One
 - Trade Account North
 - Trade Account South
- Trade Organization Two
- Trade Organization Three

Existing Models

C-TPAT Security Models

[Apply to C-TPAT](#)

[Manage C-TPAT Models](#)

Model
123456782

Business Type	Air Carrier	Status	Certified
Certified Date	08/20/2014	Anniversary Date	08/20/2014
SCSS Name	SCSS 1	Phone	123-456-7891
Primary POC Name	Test 1	Email	SCSS1@cbp.dhs.gov
		Office	Houston
		Email	Test1@test.com

[Short Cut](#)

Model
1234567822

Business Type	Air Carrier	Status	Submitted	Last Modified Date	08/20/2014
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[Short Cut](#)

[Create Trade Account](#)

[Manage Trade Accounts](#)

[Trade Organization Profile](#)

[Program Memberships\(s\)](#)

[Document Library](#)

[Messages](#)

[SVI](#)

[Task](#)

Manage C-TPAT Security Models

Trade Organization(s)

- Trade Organization One
 - Trade Account North
 - Trade Account South
- Trade Organization Two
- Trade Organization Three

Manage CTPAT Security Models

Duplicate Expand Extract Split Merge

< >

Create Trade Account

Manage Trade Accounts

Trade Organization Profile

Program Memberships(s)

Document Library

Messages

SVI

Task



New Security Profile Look

The screenshot shows a web browser window displaying the 'C-TPAT Security Profile' for an 'Air Carrier'. The interface includes a navigation menu on the left with items like 'Introduction and Audit', 'Business Partner Requirements', 'Domestic or Self-Less Than Domestic Security', 'Physical Access Controls', 'Personal Security', 'Traveler Security', 'Security Training and Threat Awareness', 'Physical Security', and 'IT Security'. Each item is accompanied by a green checkmark or a red 'X' indicating its status. The main content area is titled 'BUSINESS PARTNER REQUIREMENTS - GENERAL' and shows a progress indicator of '1/4'. It contains two paragraphs of text regarding screening processes for business partners and a link to a guidance document. Below the text is a 'Partner Response' section with a text box containing the text 'Process Verified'. At the bottom of the page, there are buttons for 'Previous', 'Next', and 'Home'.

Home My Companies My Document My Messages My Tools Exit

C-TPAT Security Profile

Security Criteria

Air Carrier

- Introduction and Audit ✓
- Business Partner Requirements ✓
- Domestic or Self-Less Than Domestic Security ✓
- Physical Access Controls ✗
- Personal Security ✓
- Traveler Security ✗
- Security Training and Threat Awareness ✗
- Physical Security ✓
- IT Security ✓

Upload Plan View Attached Plan

BUSINESS PARTNER REQUIREMENTS - GENERAL 1/4

Air carriers must have written and update processes for the screening of business partners, including carrier's agents and service providers. Air carriers must also have screening procedures for non-customers, beyond financial statements, to help indicate whether the customer appears to be a legitimate business and/or present a security risk. Air carriers must also have procedures to review their customer's response that could affect the rating of the overall or the cargo or information into significant security concerns, including unusual customer demands.

Air Carriers must conduct a comprehensive assessment of their security practices based upon the following C-TPAT screening security criteria. Click on the following link for guidance on conducting a risk assessment: http://www.fhs.gov/ops/itade/cargo_security/transportation/partners.html.

Partner Response

Process Verified

Previous Next Home

Plan Next Submit



Comments?
CTPAT.Portal2.0@cbp.dhs.gov

Charles Marker

Susan Scheungrab



U.S. Customs and Border Protection

Our Mission

We are the guardians of our Nation's borders.

We are America's frontline.

We safeguard the American homeland at and beyond our borders.

We protect the American public against terrorists and the instruments of terror.

We steadfastly enforce the laws of the United States while fostering our nation's economic security through lawful international trade and travel.

We serve the American public with vigilance, integrity and professionalism.



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