

**JQA Product Safety Certification Scheme
(S-JQA Mark Certification)
Guide for Application**



September 24, 2015
Japan Quality Assurance Organization

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1. Introduction

1-1. About JQA

Japan Quality Assurance Organization (JQA) was established as an incorporated foundation in accordance with then Article 34 of the Civil Code in 1957, and it was then converted to a general incorporated foundation in accordance with the Three Laws Related to Public Corporation System Reform in April 1, 2011.

JQA is operated with operating revenues derived mainly from service charges, and with no donations from companies, organizations and individuals, and it conducts business as a third-party body for testing, inspection and certification while ensuring fairness and neutrality.

For an overview of JQA and certification, registration and testing, etc. performed by JQA, go to the JQA website: <http://www.jqa.jp>

1-2. S-Mark Certification Scheme

S-mark certification scheme is administrated by the Steering Council of Safety Certification for Electrical and Electronic Appliances and Parts of Japan, which consists of certification bodies, manufacturers, consumers, distributors, and academic experts. The scheme objectively certifies conformity with safety standards through assessment of products for certification and their manufacturing factories conducted by a fair and independent third party from a technical viewpoint, and supplements the self-verification of business operators based on the principle of self-responsibility.

The S-mark certification scheme, implemented since 1995, is a third-party certification scheme for every electrical product, but this scheme is not compulsory under law. Therefore, it is up to the business operator whether or not to receive third-party verification (certification).

For further information, see the following website of the Steering Council of Safety Certification for Electrical and Electronic Appliances and Parts of Japan (SCEA):

<http://www.S-ninSho.com>

1-3. S-Mark Certification in JQA

JQA has conducted S-JQA mark certification since 1995 as a certification body for S-mark certification schemes.

2. JQA Services

JQA conducts assessment of applied components and materials based on testing standards, and it inspects the factories that manufacture them.

Business operators, importers, or sellers inside and outside Japan can apply for S-JQA mark certification. If making an application on behalf of business operators, importers, or sellers inside and outside Japan, please submit a power of attorney.

JQA may reject the acceptance of an application or suspend the implementation of certification evaluation in the following cases:

- (1) When the application content involves illegal acts, acts against public order and morality, anti-social acts, other acts affecting JQA's business in some other way, or submissions from organizations or groups that are likely to perpetrate such acts;
- (2) When the applicant sustains deteriorating assets or credibility, or that is likely to occur;
- (3) When the applicant has failed to submit testing samples deemed necessary by JQA;
- (4) When it is difficult for JQA to technically support the application content; and
- (5) When JQA deems an application to be inappropriate for some other reason.

2-1. S-Mark Certification Items in JQA

The S-mark certification items are managed by product category/item category. Product categories/item categories are shown in Table-1 in Appendix 1.

2-2. Data Utilization

(1) Utilization of product testing data

JQA utilizes as product testing data the test reports by S-JQA registered laboratories (manufacture laboratories), certificates (CB certification) and test reports (CB certification) based on the IECEE scheme, or test reports based on the CMJ registration scheme.

If there is a test report that you want JQA to utilize, specify it in the application form.

(2) Utilization of initial factory inspection data

JQA utilizes as initial factory inspection data the reports on factory inspections such as those conducted by JQA, those conducted by other certification bodies in the S-mark certification scheme, or those in the quality management system (ISO 9001) and JIS mark display scheme.

If there is a test report that you want JQA to utilize, specify it in the application form.

2-3. Rights and Duties of Applicants

For rights and duties of applicants, refer to the description in the application form "Terms of Agreement for Application regarding Testing and Certification Services".

Rights and duties of S-JQA mark certificate holders after obtaining the certificate are described in the agreement separately.

2-4. Complaints and Claims Regarding S-JQA Mark Certification

JQA will in good faith and based on the rules, respond to complaints from applicants and others concerning the S-JQA mark certification scheme in general, objections against the judgment for certification, or complaints and so on from other interested parties.

If you have any objection, please lodge it with JQA no later than 45 days after the event occurs. JQA will respond no later than three months after the date when the allegation is received.

3. Procedures

Figure-1 in Appendix 2 shows the flow from applying to JQA for S-JQA mark scheme to obtain the S-JQA mark certification.

3-1. Application

Fill in “JQA Application for Testing/Certification Services” and “S-JQA Certification Application Form”, and then send them to the following destinations by postal mail, e-mail, or Fax. Those application forms are available on the JQA website.

<http://www.jqa.jp/english/safety/action/application/sjqa.html>

Send the following documents:

Necessary document	Application content				
	New	Modification	Addition of model	Change of factory	Addition of factory
Application Form for JQA Testing/Certification Services	○	○	○	○	○
Application Form for S-JQA Mark Certification	○	○	○	○	○
Manufacturing Factory List	○	○	○	○	○
Overview of Similar Models	※1	※1	○	-	-
Modification Details Comparison Chart	※1	○	○	-	-
Circuit Diagrams	○	※1	※1	-	-
Critical Component List	○	○	○	-	-
Photos/Appearance Diagram	○	※1	※1	-	-
User Manual/Specifications/Catalog	○	※1	※1	-	-
Initial Factory Inspection Checklist	※1	-	-	※1	※1
Power of Attorney	※2	※2	※2	※2	※2

Note: ※1 Only if applicable.

※2 Power of attorney is required only if the application is made via an agent of the applicant.

If an additional document is required in evaluating the S-JQA mark certification,
JQA will give you notification of it.

Sending destination:

Japan Quality Assurance Organization Safety & EMC Center Promotion Div.

Fax : +81(0)42-679-0170

E-mail : jtp-safety-cstm@jqa.jp

Tel : +81(0)42-679-0246

Address : 4-4-4, Minamiosawa, Hachioji-shi, Tokyo, 192-0364, Japan

Or

Japan Quality Assurance Organization KITA-KANSAI Testing Center Customers Div.

Fax : +81(0)72-728-6848

E-mail : kita-customers@jqa.jp

Tel : +81(0)72-729-2244

Address : 1-7-7, Ishimaru, Mino-shi, Osaka, 562-0027, Japan

3-2. Implementation of Product Testing and Initial Factory Inspection

(1) Product testing

Regarding testing standards of the S-JQA mark certification, the technical standards of Electrical Appliance and Material Safety Law apply to products relevant to Electrical Appliance and Material Safety Law, and “**additional standard**” is provided in terms of ensuring safety based on the accident information in the market. Detailed information on “additional standard” is available on the SCEA website.

Alternatively, standards established by JQA as an S-mark certification body or technical standards recognized by JQA, or standards of JIS, IEC, etc. apply to products that are not relevant to Electrical Appliance and Material Safety Law.

JQA or subcontracted testing laboratory of JQA by the applicant’s request conducts testing in accordance with appropriate standards. If any doubt arises as to the applicable standards specified in the application form, JQA will notify the applicant.

If nonconformity with applicable standards is found in the testing of submitted sampling product, JQA will notify the applicant of the nonconforming items. If the applicant receives the report on non-conformity, the applicant must consider the contents and take actions such as corrective measures and withdrawal of the application within a certain period of time. (For a withdrawal of the application, please notify JQA accordingly)

(2) Initial factory inspection

For a new factory to be registered for the S-JQA certification scheme, the factory must be assessed via the CENELEC method (factory inspection method employed by European bodies) by JQA or subcontracted inspection body of JQA by the applicant’s request to determine whether products are manufactured under an appropriate quality control system. .

If non-conformity with requirements is found in the initial factory inspection, JQA will notify the applicant of the non-conforming items. If the applicant receives the report on non-conformity, the applicant will take corrective actions, and then JQA will conduct necessary re-inspection.

3-3. Decision on Certification

After the product testing and the initial factory inspection are completed, the certification implementation division will determine the S-JQA mark certification.

3-4. Conclusion of Agreement

Licensing agreement of the S-JQA mark will be concluded. The agreement will be concluded prior to the completion of the first certification.

3-5. Issuance of Certificate

If the certification is determined, JQA will issue the certificate. The certificate will be sent together with an invoice for costs related to the application.

Note that the test report is issued for a price if application is made.

3-6. Initial Lot Inspection

In any of the following cases, JQA will visit the factory and conduct the initial lot inspection when the

first lot of the S-JQA mark certified product is manufactured. The initial lot inspection will verify that the products in the initial shipment have the same specifications as those certified and ensure that they are shipped appropriately as S-JQA mark certified products.

- 1) For first-time certification in any product category
- 2) When a major improvement is pointed out in the product testing and it is considered that corrective actions are necessary.
- 3) When requested by the applicant or it is considered that the initial lot inspection is necessary.

The initial lot inspection will be conducted over the range where JQA can check with components before assembling them, without checking components that must be disassembled. If it is determined that checking of the interior of components is needed, JQA will check them via documents such as component drawings. Checking items will be as follows:

- 1) Visual check
- 2) Checking of component materials (Manufacturer name, model number, rating, certification mark if applicable, materials are checked with certificates for materials)
- 3) Checking of product marking
- 4) Checking of whether corrective actions are taken for nonconforming items pointed out

An invoice for the initial lot inspection fee will be sent after the initial lot inspection is completed.

3-7. Modification to or Withdrawal of Application

If the applicant wishes to modify the contents of an application or withdraw it, please inform JQA accordingly.

4. Marking for S-JQA Mark Certified Products

“S-JQA mark” is displayed on products by the certificate holder as a proof of being certified in accordance with the S-JQA certification scheme. For the marking method, refer to Appendix 3 “Outlines of J-JQA Mark”.

5. Rights and Duties of Certificate Holders

Certificate holders may manufacture and ship products that carry the S-JQA mark.

The maintenance of certification requires implementation of the periodic annual factory inspection and payment of the license maintenance fee.

If a S-JQA mark certified product causes an accident or trouble in the market, report to JQA about that condition. For other rights and duties of certificate holders, refer to the contract.

6. Suspension, Cancellation, or Agreement Termination of S-JQA Mark Certification

The certification will be suspended, canceled or terminated in the following cases. JQA will notify the registrant in writing prior to the implementation:

- (1) When requirements (e.g., conformity with technical standards) for continuous certification are not met;
 - (2) When the applicant has failed to report the necessary information (e.g., modification of components, materials or factory), or has provided false report;
 - (3) When the applicant has used the S-JQA mark even though the certification was canceled;
 - (4) When the applicant indicates S-JQA mark on components and materials other than certified ones;
- and

- (5) When the applicant has breached the performance of a contract clause, and has failed to correct the breach despite reminder.

7. Costs

Costs consist of the following items:

(1) License fee

This is the basic service cost of new applications, addition of a models, and modifications to applications.

(2) Testing fee

This is the cost of product testing. (Validation fee for using the testing data is also included)

(3) Initial factory inspection fee

This is the cost of the initial inspection of factories manufacturing products.

(4) Initial lot inspection fee

This is the cost of the initial lot inspection.

(5) Fee for business trip

This is the cost of travel for the on-site examination. This is calculated in accordance with JQA regulations.

(6) Structure report fee

Costs for issuing the report summarizing the component structure.

(7) Test report fee (option)

This is the cost of issuing the report summarizing the component structure.

(8) Certification maintenance fee (License maintenance fee)

This is the cost of the certification maintenance for one year (April 1 to March 31).

The license maintenance fee is: Basic Maintenance Fee + Periodic Factory Inspection Fee.

(a) The basic maintenance fee is calculated based on the numbers of registered factories and item categories, respectively, and is charged at the start of every new fiscal year.

(b) Periodical factory inspection fee

This is the cost of visiting inspection in the registered factory. The cost is charged after the visiting inspection every year.

Sample s of costs

(1) First-time application for S-JQA mark certification (new application)

License fee + Testing fee + Initial factory inspection fee + Initial lot inspection fee + Fee for business trip + Structure report fee

(2) Application for modification or model addition

License fee + Testing fee + Structure report fee

(3) Application for change or addition of factory

License fee + Initial factory inspection fee + Fee for business trip

(4) Application for cancellation of certification model or registered factory

Free of charge (Included in the certification maintenance fee)

(5) Certification maintenance

Basic maintenance fee + Periodic factory inspection fee + Fee for business trip

Costs depend on the product and whether an additional component testing is conducted. Therefore, JQA will make a quotation individually. If you need a quotation in advance, contact the following address.

Contact information:

Japan Quality Assurance Organization Safety & EMC Center Promotion Div.

Fax : +81(0)42-679-0170
E-mail : jtp-safety-cstm@jqa.jp
Tel : +81(0)42-679-0246
Address : 4-4-4, Minamiosawa, Hachioji-shi, Tokyo, 192-0364, Japan

Or

Japan Quality Assurance Organization KITA-KANSAI Testing Center Customers Div.

Fax : +81(0)72-728-6848
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(Appendix 1)

Table-1: Classification Table of Product Categories/Item Categories

Classification	Product category	Item category		Product name
Class 1	Wires	1-01	Wires	Rubber insulated wires, synthetic-resin insulated wires, CD conduits, conduit wires, etc.
Class 2	Current fuses	2-01	Current fuses	End-contact fuses, covered fuses, cartridge fuses, tubular fuses, enclosed fuses, link-fuses, etc.
Class 3	Thermal fuses	3-01	Thermal fuses	Current fuses (automatic recovery type, manual recovery type, non-recovery type, etc.)
Class 4	Wiring devices	4-01	Switches, etc.	Open knife switches, iron plugs, sockets, tumbler switches, built-in type switches, sewing machine controllers, etc.
Class 5	Transformer s	5-01	Transformers/Ballasts	Transformers for neon tubes, transformers for bells, transformers for toys, ballasts for fluorescent lamps, transformers for shaving, transformers for fire lighters, etc.
		5-02	AC Adapters/Recharger	Rechargers (for general batteries, transformers for electronic flash, etc.), DC power supplies, etc.
		5-03	Component type power-supply units	Integrated power-supply units, etc.
		5-90	Other transformers/Power-supply units	Uninterruptible power supplies, electric fence energizers, etc.
Class 6	Electric motors	6-01	Electric motors/Electric generators	Squirrel-cage induction motors, gear motors
		6-02	Electric generator set (Portable electric generators)	Portable electric generators, etc.
Class 7	Electric motor-operated appliances	7-01	Air conditioners	Air conditioner, electric dehumidifiers, electric cooled air fans (water-cooling type)
		7-02	Refrigerators	Electric refrigerators, electric ice cream freezers, electric freezers, electric ice makers, milk coolers, etc.
		7-03	Washing machines/Spin-dryers	Washing machines, spin-dryers, etc.
		7-04	Kitchen appliances/Vacuum cleaners	Carpet cleaners, coffee mills, electric juice squeezers, electric food waste disposers, electric can opener, electric floor polishers, electric dishwashers, electric vacuum cleaners, electric meat choppers, electric toothbrushes, electric rice cake (mochi) makers, electric mincers, etc.
		7-05	Electric fans/Ventilating fans	Range hoods, ventilating fans, electric bubble generators for aquariums, electric fan-forced air heaters (fan motors), etc.
		7-06	Sewing machines	Sewing machines
		7-07	Bookmaking machines	Motor-operated cutting machines for bookmaking, etc.
		7-08	Printing machines	Offset printing machines, etc.
		7-09	Electric motor-operated appliances for aesthetic salon, barber, and household	Electric shavers, electric hair clippers, electric massagers, electric barber chairs, Finger pressure (shiatsu) simulators, electric nail polishers, electric bubble machines for shaving, etc.
		7-10	Vending machines	Vending machines of beverages, dry foods, magazines and cards, etc.
		7-11	Electric injectors/Electric spreader/Electric mist sprayers	Electric sprinklers, electric water sprayers, electric mist sprayers, etc.
		7-12	Liquid pump/Gas pump	Compressors, air pumps for tanks, water pumps for tanks, etc.
		7-13	Other electric motor-operated appliances for household use	Ultrasonic humidifiers, electric pottery wheels for household use, electric vehicle for household use, electric motor-operated appliances for children toys, etc.
		7-90	Other electric motor-operated appliances	Hand towel rolling machines, hand towel wrapping machines, electric sirens (motor-operated), laundry finishing machines, laundry folding machines, fiber cutting machines, electric music boxes, wrapping machines, etc.
Class 8	Electric heating applied equipment	8-01	Microwave ovens/Electromagnetic cookers	Microwave ovens (single-function, with grill, with heater), electromagnetic cookers, etc.
		8-02	Cookers	Electric coffee makers, electric espresso coffee makers, bread machines, electric frying pans, electric popcorn makers, electric kettles, electric waffle irons, electric rice cookers, electric toasters, electric cooking heating plates, electric ovens, electric immersion heaters, etc.
		8-03	Electric heaters	Electric hot air heaters, electric foot warmers, electric carpets, electric kotatsu, electric room heaters, electric blankets, electric heating floor mats, etc.

Classification	Product category	Item category		Product name
Class 8	Electric heating applied equipment	8-04	Electric dryers for fibers, electric iron, electric folding machines	Electric irons, steam irons, electric clothes pressers, electric storage water heaters
		8-05	Electric equipment for barber (Electric hair driers, etc.)	Electric hair driers, electric hair curlers, electric hair steamers, helmet-style hair driers, etc.
		8-06	Electric instantaneous water heaters/Electric storage water heaters (directly connected to pipes)	Electric instantaneous water heaters, electric kettles, electric storage water heaters, etc.
		8-07	Soldering and Arc welding machines	Electric soldering irons, arc welding machines, etc.
		8-90	Other heating applied equipment	Electric hot hand towel steamers, laminators, aquarium heaters, electric insect killers (volatile type/fumitory type), electric pipe freeze prevention heaters, electric depilators, electric heated toilet seats, electric igniters, etc.
Class 9	Electric power tools	9-01	Portable electric power tools	Electric impact drills, electric planners, electric grinders, electric drills, etc.
		9-02	Bench tools (Desktop electric power tools)	Desktop electric grinders, desktop electric drills, etc.
		9-03	Machine tools	NC lathes, etc.
Class 10	Optical appliances and machines	10-01	Lighting apparatuses	Fluorescent table lamps, pedestal lighting fixtures, movie lights, electric insect traps, etc.
		10-02	Projectors, episcopes, photographic enlargers	8 mm projectors, slide projectors, photographic enlargers, photographic enlarger lamphouses, etc.
		10-90	Other optical appliances and machines	8 mm editing equipment (electric motor-operated type), etc.
Class 11	Electronic appliances	11-01	Audio equipment	CD radio-cassette recorders (portable/mini component system), amplifiers, audio tuners, tape recorders, preamplifiers, radio receivers, record players, etc.
		11-02	Video equipment	CDV, VTR, televisions, video mixers, projection televisions, portable television cameras, etc.
		11-03	Equipment for musical instrument	Audio mixers, organs, synthesizers, electronic pianos, electronic instruments, accordions, etc.
		11-04	Accessories	Tape unwinding machines, television receiver boosters, demagnetizers, etc.
		11-05	Equipment for game halls and indoor games	CRT game machines, juke boxes, electric game panels, etc.
		11-90	Other electronic appliances	Interphones, electronic clocks, etc.
Class 12	Office equipment and information processing machines	12-01	Information processing units	CPU, computers, scanners, display monitors, printers, plotters, laser printers, word processors, etc.
		12-02	Office equipment	Electric paper punches, electric collators, electric staplers, electric sorters, copying machines, paper holders, time recorders, cash registers, calculators, oral instruction machines, coin counters, erasers, etc.
		12-03	Telephone line connection equipment	Teleprinters, facsimiles, wireless telephones, answer phones, telephones, etc.
		12-04	Automatic developing machines/Microfilm readers	Microfilm viewers, microfilm readers, etc.
		12-90	Other office equipment and information processing machines	Overhead projectors, etc.
Class 13	Electric medical equipment	13-01	Electric medical equipment for household use	Household ultrasonic aspirators, household electric massagers, etc.
		13-02	Electric medical equipment for physician	Automatic electronic manometers, general electrocardiographs, fundus cameras, etc.
		13-03	Electric appliances for welfare	Electric motor-operated beds for nursing care
		13-90	Other electric appliances	DC power supply for electric medical equipment, PCs for electric medical equipment, monitors for electric medical equipment, printers for electric medical equipment, etc.
Class 14	Electric appliances for laboratories	14-01	Electric appliances for laboratories	Blood analysis equipment, oscilloscopes, etc.
		14-90	Other electric appliances for laboratories	Power supply for testing equipment, other electric components, etc.
Class 15	Others	15-01	Other finished appliances	High-frequency welders, high-frequency depilators, ultrasonic rat exterminators, electric cleaners, burglar alarms, automatic print washers, automatic print fixing baths, ultrasonic cleaners, etc.
		15-90	Other electrical and electronic components	Capacitors, cathode-ray tubes, radio interference suppression devices (EMI filters), etc.

Note) It may not be possible to certify some electric products owing to the convenience of the testing facilities, etc.

(Appendix 2)

Flow to Obtainment of S-JQA Mark Certification

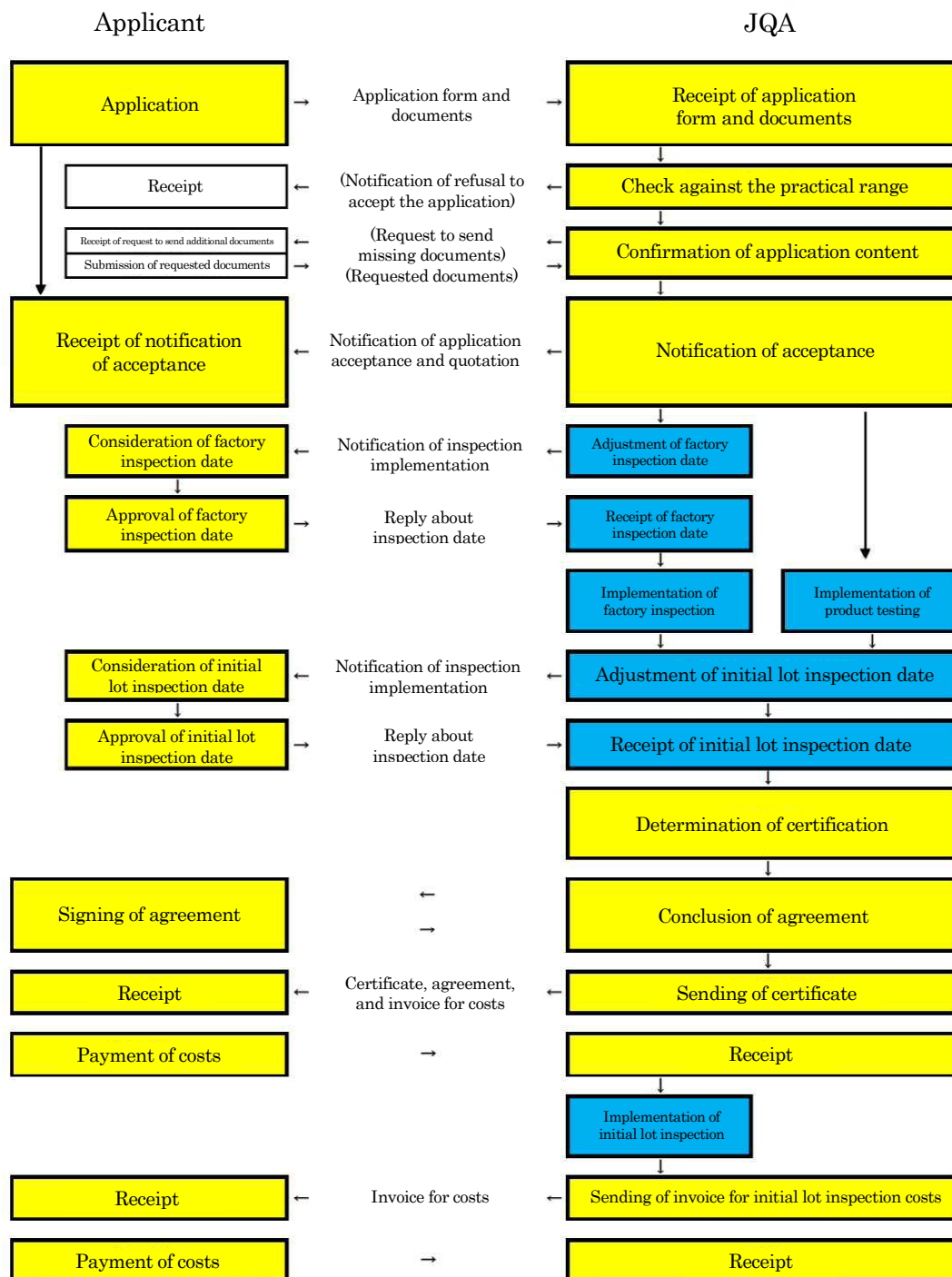


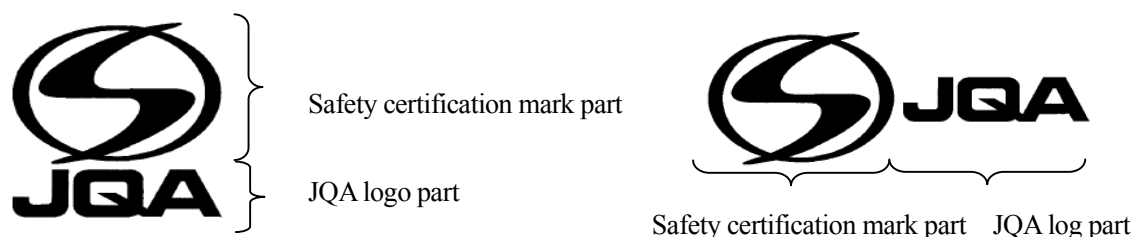
Figure-1

(Appendix 3)

Outlines of S-JQA Mark

1. Design of S-JQA Mark

- (1) S-JQA mark consists of the safety certification mark part (hereinafter called “S-mark”) and JQA logo part.



- (2) The basic color of S-JQA mark shall be blue (JQA blue (DICN891)) or process color C90%+M60% (approximate color)), black, or white against a colored background. In the case of using mold forming, however, you may leave the color as it is because it cannot be specified.
- (3) S-JQA mark is used with reproduction proof reduced or enlarged. In this case, do not change the horizontal to vertical ratio. If you request reproduction proof, please notify accordingly.
The height of S-mark shall be 2mm or more (1mm or more for wires or small products) as a guideline. The size of the JQA logo part can be reduced to an extent that can be visually checked.

2. Marking on Products

- (1) S-JQA Mark shall be marked to the main body of products.
- (2) When it is difficult to mark the S-JQA mark on the main body because of small size of the product, the S-JQA mark can be marked with appropriate methods such as marking for minimum package or marking on the tag. In this case, the marking location and method of the S-JQA mark shall be notified to JQA in advance.
- (3) S-JQA Mark shall be displayed together with the following items.
 - 1) Name of certificate holder, abbreviated name of certificate holder, agreement number or registered trademark (referred to the registered trademark of Article 2, Item 2 of Trademark Act (1959 Law No. 127).)
If you use abbreviated name or registered trademark, please notify JQA in advance.
 - 2) Model name
 - 3) Electrical ratings (rated voltage, rated frequency, rated power consumption, etc.)
 - 4) Display items established in applicable standards
 - 5) Other display items stipulated in laws, etc.
- (4) The S-JQA mark shall be marked so that users can easily identify and it will not easily come off.
- (5) For a product certified as a component or a semi-finished product (a product for which the certificate states “It is required to reassess the product after incorporating it into the final product.”), the marking method shall be considered in order to avoid misunderstanding that the finished product into which the corresponding product is incorporated is certified.

3. Subsidiary Marking on Package and Catalog

- (1) S-JQA Mark can be displayed as a proof of certification on the main body of products, and packages, catalogs, and websites of the products, company brochures, etc.
- (2) When it is marked on packages, websites, company brochures, etc., care should be taken not to avoid misunderstanding that other uncertified products are certified.
- (3) In combination with S-JQA Mark, the following explanatory texts by example can be used. However, if texts other than the following examples are used, please notify JQA in advance.

Example-1 : This product is certified in accordance with “JQA General Product Safety Certification Scheme”.

Example-2 : This product is a product certified in “JQA General Product Safety Certification Scheme”.

Example-3 : “JQA General Product Safety Certification Scheme” Certified Product

Example-4 : JQA Mark Certified Product

Example-5 : This mark indicates that Japan Quality Assurance (JQA) has certified the conformity with safety standards in accordance with “JQA General Product Safety Certification Scheme”. In this catalog, products with this mark indicate the ones certified.

- (4) It is possible to display only S-mark. In that case, an explanation of the S-mark shall be added together. If texts other than the following examples are used, please notify JQA in advance.

Example-1 : The S-mark indicates that the electrical product is certified to comply with safety standards.

Example-2 : The S-mark certification scheme objectively certifies the conformity with safety standards through assessment of products for certification and their manufacturing factories conducted by a fair and independent third party from a technical viewpoint, and supplements the self-verification of business operators based on the principle of self-responsibility.

4. Marking with Label

If the S-JQA mark is marked in a seal, a label, etc., at least the name of the certificate holder described in (3)-1) shall be included in the marking.