

# ASCEND

The ASCEND Modelling and Simulation Environment

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<http://ascend4.org/>

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# Chapter 1

## Starting Points

### 1.1 Our goal

The purpose of this chapter is to help you find out what you need to read first about ASCEND IV in order to accomplish some portion of your mathematical modeling tasks. Since there is no single best order to learn in for all people, we list the introductory documents and their sound bytes concisely, in the hope that this makes your search task less difficult. If ASCEND IV is new to you, work through the first three listed in sequence, then branch to the special topics you need most. Without further ado, your goals.

#### 1.1.1 Primal Subjects

**Chapter ??** Building and solving a small mathematical model from a simple problem description of a water tank. This is basic mathematical modeling of a physical system. If you have never, ever used ASCEND IV, you should probably start here to build and solve a model.

**Chapter ??** Making any model easier to share with others by adding basic methods, scripts, and model interfaces.

**Chapter ??** Reusing a model for plotting and case studies with an introduction to type refinement and inheritance. Defining and executing a case study to generate data and plots which indicate how your mathematical model responds to alternative input values.

**Chapter ??** Managing modeling project files with REQUIRE and PROVIDE. ASCEND will automatically load the other type definition files you need when working on a model if you follow some simple rules.

**Chapter ??** Defining a plot which gathers scattered data from your models into a plt\_plot that can be viewed from the Browser window.

**Chapter ??** Defining new types of variables or constants when the standard library does not have what you want.

**Chapter ??** Entering correlation equations with units and how we support degrees Fahrenheit.

**Chapter ??** Defining new units of measure based on SI or other existing units.

**Chapter ??** Making basic models easy to use later by adding METHODS. Defining more standard methods and your own methods so you do not have to remember how you made the model work yesterday, last week, last year, or in your last incarnation. Its almost automatic.

### 1.1.2 Engineering Subjects

**Chapter ??** Defining a chemical mixture and physical property calculations for use in process simulation. Equilibrium thermodynamics, phases, species, and all that jazz. Adding species and correlations to the database.

**Chapter ??** Defining a simple dynamic model (initial value problem) and watching it respond. Water level in a tank.

**Chapter** Writing a conditional model where which equations apply is determined by variable values or boundary expressions.

**Chapter** (Ben, in progress) Defining a dynamic model with end-point conditions (boundary value problem) using our collocation (bvp) library.

## Chapter 2

# Vessel Model for Beginners

You read our propaganda about the ASCEND system in which we said it was to help technical people create hard models. We said you can tackle really large models – 100,000 equations, compiling and solving them in minutes on a PC. We also pointed out that you can readily solve the small problems many currently solve using a spreadsheet, only once posed you can solve them inside out, upside down and backwards.

This sounded intriguing so you downloaded the system and installed it. Hopefully, this proved quite straight forward. You double-clicked the ASCEND icon on your desktop and started it up for the first time. Four windows opened up. You panicked.

Who wouldn't?

To use this system properly requires that you learn how to use it. If you pay the price to do so - and we hope it is not a large price, then we believe you will find the tools we have provided to help you create and debug models will pay you back handsomely.

This chapter and the next two chapters (Chapter ?? and Chapter ??) are meant to be a good first step along the path to learning how to use ASCEND. We will lead you through the steps for creating and testing a simple model. You will also learn how to improve this model so it may be more readily shared with others. We will present our reasons for the steps we take. We will show you all the buttons you should push as you proceed.

We strongly suggest you put time aside and go through all three of these early chapters to introduce yourself to ASCEND. It should take you about two to three hours. The second chapter is particularly important if you wish to understand our approach to good modeling practices.

Step 1: *We are going to create and test an ASCEND model to compute, the mass of the metal in the sides and ends of the thin-walled cylindrical vessel shown in Figure ??.*

Step 2: *This model is to become a part of a library of models which others can use in the future. You must document it. You must add methods to it to make it easy for others to make it well-posed. You should probably parameterize it, and finally you must create a script which anyone can easily run that solves an example problem to illustrate its use.*

Topics covered in this and the following two chapters are:

- Converting the word description to an ASCEND model.
- Loading the model into ASCEND, dealing with the error messages.
- Compiling the model.
- Browsing the model to see if it looks right
- Solving the model.
- Examining the results.
- More thoroughly testing the model.
- Converting the model to a more reusable form by adding methods to it and by parameterizing it.

the problem

topics covered

This chapter)

Chapter ??



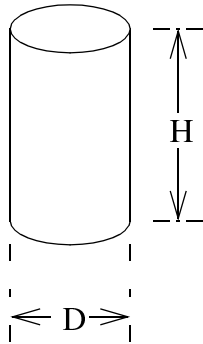


Figure 2.1: A thin-walled cylindrical vessel with flat ends

Table 2.1: Variables required for model

Symbol	Meaning	Typical Units	ASCEND variable type
D	vessel diameter	m, ft	length
H	vessel height	m, ft	length
wall_thickness	wall thickness	mm, in	length
metal_density	metal density	kg/m <sup>3</sup> , lbm/ft <sup>3</sup>	mass_density

- Creating a script to load and execute an instance of the model.
- Creating an array of models.
- Using an existing library model for plotting.
- Creating a case study using the model.

Chapter ??

We shall introduce many of the features of the modeling language as well as the use of the interactive interface you use when compiling, debugging, solving and exploring your model. Language features include units conversion, arrays and sets.

## 2.1 Converting the word description into an ASCEND model

Every ASCEND model is, in fact, a type definition. To "solve a model," we make an instance of a type and solve the instance. So we shall start by creating a vessel type definition. We will have to create our type definition as a text file using a text editor. (Some simple text editors include `emacs` and `gedit` on Linux, and Notepad and TextPad on Windows. We shall discuss editors shortly.)

an ASCEND model is a type definition

We need first to decide the parts to our model. In this case we know that we need the variables listed in Table ???. We readily fill in the first three columns in this table. We shall discuss the entry in the last column in a moment.

We will be computing the masses for the metal in the side wall and in the ends for this vessel. As this is a thin-walled vessel, we shall compute the volume of metal as the area of the walls times the wall thickness. The following equations allow us to compute the required areas

Table 2.2: Some more variables required for vessel model

Symbol	Meaning	Typical Units	ASCEND variable type
side_area	area in the sidewall of the vessel	m <sup>2</sup> , ft <sup>2</sup>	area
end_area	total area in the ends of the vessel	m <sup>2</sup> , ft <sup>2</sup>	area
vessel_volume	volume of the vessel	m <sup>3</sup> , ft <sup>3</sup>	volume
metal_volume	total volume of metal in the walls	m <sup>3</sup> , ft <sup>3</sup>	volume
metal_mass	total mass of the metal in the walls of the vessel	kg, lbm	mass

```

ATOM volume REFINES solver_var
  DIMENSION L^3
  DEFAULT 100.0{ft^3};
  lower_bound := 0.0{ft^3};
  upper_bound := 1e50{ft^3};
  nominal := 100.0{ft^3};
END volume;

```

Figure 2.2: A typical type definition, called an atom, used to define variable and constant types

$$\text{side wall area} = \pi DH \tag{2.1}$$

$$\text{single end area} = \frac{\pi D^2}{4} \tag{2.2}$$

We should be interested in the volume of the vessel, which we compute as:

$$\text{vessel volume} = \text{single end area} \times H \tag{2.3}$$

We add the variables in Table ?? to our list.

We believe that no one should create a model of any consequence without worrying about the units for expressing the variables within it. We consider that to be a commandment handed down from somewhere on high; however, we know that others do not believe as we do. Grant us our beliefs. We have created in the ASCEND system a library of variable and constant types called atoms.a41 .

The file type ".a41" designates it to be an ASCEND IV library file. Double-click on this link to see the approximately 150 different types ranging from universal constants such as  $\pi$  (=3.14159...) and  $e$  (=2.718...) to **length**, **mass** and **angle**. If we have not created one that you need, you can use this library of types to see how to construct one for yourself and add it to your file of type definitions. You will find detailed instructions for how to make your own variable type library in Chapter ??.

type definition

ASCEND considers variable and constant types to be elementary or "atomic" to the system. These type definitions can contain only attributes for variables and constants. They cannot contain equations, for example. Thus ASCEND calls such a type definition an atom rather than a model. Figure ?? illustrates the definition for the type volume.

library for variables and constants

The definition starts by stating that volume is a specialization of **solver\_var**. The type **solver\_var** refines a base type in the system known as **real** and adds several attributes to it that a nonlinear equation solver may need, such as a lower and upper bounds, a 'fixed' flag, and so forth.

dimensions

The type definition for volume states that volume has dimensionality of length to the power 3 (L<sup>3</sup>) where L is one of the 10 dimensions supported by ASCEND (see in ASCEND Syntax document for the 10 dimensions defined within the ASCEND language).

and units in ASCEND.

One may express the value for a volume using any units which are consistent with the dimensionality of L<sup>3</sup>, such as {ft^3}, {m^3}, {gal}, or even {mile^4/mm}. Setting the lower bound to 0 {ft^3}

```

UNIVERSAL CONSTANT circle_constant
  REFINES real_constant ::= 1{PI};

```

Figure 2.3: Type definition for `circle_constant`; has value of 1 {PI} or 3.1415927

```

REQUIRE "atoms.a41";
MODEL vessel;
  (* variables *)
  side_area, end_area      IS_A area;
  vessel_vol, wall_vol     IS_A volume;
  wall_thickness, H, D     IS_A distance;
  H_to_D_ratio            IS_A factor;
  metal_density           IS_A mass_density;
  metal_mass              IS_A mass;

  (* equations *)
  FlatEnds:end_area = 1{PI} * D^2 / 4;
  Sides:side_area = 1{PI} * D * H;
  Cylinder:vessel_vol = end_area * H;
  Metal_volume:(side_area + 2 * end_area) *
  wall_thickness = wall_vol;
  HD_definition:D * H_to_D_ratio = H;
  VesselMass:metal_mass = metal_density * wall_vol;
END vessel;

```

Figure 2.4: First version of the type definition for `vessel`.

says volume must be a nonnegative number. ASCEND used the nominal value for scaling a variable of type volume when solving, here  $100 \text{ ft}^3$ .

One may change the values for the bounds, default and nominal values at any time.

We now can understand the last column in Table ?? and Table ?. For each variable or constant in the system, we have identified its type in the file `atoms.a41`. That is, we looked in this file for the type definition that corresponded to the variable we were defining and listed that type here. This task is not as onerous as it seems. As we shall see later, we provide a tool to find for you all atom types that correspond to a particular set of units, e.g., `ft^3` – i.e., the computer will do the searching for you.

In Figure ?? we see the definition of one of the universal constants contained in `atoms.a41`. This definition is very short; it gives the name of the type `circle_constant`, that it refines `real_constant` and that it has the value `1 {PI}` where the internal conversion needed for `{PI}` is defined in the file defining the built-in units in ASCEND. One can add more units if desired at any time to ASCEND by defining one or more personal units files (Chapter ?? tells you how to do this).

universal con-  
stant definition

We shall in fact find this constant useful in our program, and we can either introduce a constant with this value or simply use the value `1{PI}` in our program. We shall choose to do the latter.

It is time to write our first version for the model, which we do in Figure ?? (available as `vesselPlain.a4c` in the ASCEND model library). We first list any other files containing type definitions which this model will use; here we list `"atoms.a41"` following the keyword `REQUIRE`. ASCEND is sensitive to case so pay attention to where we use and do not use capital letters. Keywords are always capitalized. Often for clarification we use capital letters in a name we use for a variable or label (e.g., we use `D` for diameter rather than `d`). Note that all ASCEND statements end with a semicolon (i.e., with `;`) and not at the end of a line and that blank lines have no impact. Comments are between opening and closing parenthesis/asterisk pairs, i.e., `'(*' and '*)'`.

the first version  
of the code for  
vessel

Our model definition has the following structure for it so far:

- MODEL statement
- list of variable we intend to use in the type definition

- equations
- END statement

While we have put the statements in this order, we could mix up and intermix the middle two types of statements, even going to the extreme of defining the variables after we first use them. The `MODEL` and `END` statements begin and end the type definition.

You should see little that surprises you in the syntax here. However, you may have noted that we have created a definition that says absolutely nothing about how to use the variables and equations listed. There is no solution procedure buried in this type definition. In ASCEND the idea of solving is separate from saying what we intend to solve. Also note that we have not said anything about the values for any of the variables nor what we intend to calculate and what variables we intend to treat as fixed input.

## 2.2 Editing, compiling and browsing an ASCEND model

Could we compile an instance of a vessel given this definition? If there had been some arrays in our definition for which we did not say how many items were in the arrays, we could not. However, here we could compile an instance, putting aside storage space for each of the variables and somehow capturing the equations relating them.

When we compile new models, we need a place to store them. One possibility would be to put them into the `models` subdirectory of the ASCEND installation<sup>1</sup>. However, you really should leave the contents of this subdirectory untouched – always. Hopefully the files will be read-only from your user account. We count on being able to replace the model library totally every time you install a new version of ASCEND. Whenever we add new model libraries or corrected versions of previously existing model libraries, we put them in this subdirectory. This subdirectory belongs to us (the developers of the system): hands off, please.

To avoid this problem, ASCEND also creates a subdirectory called `ascdata` that it will not touch when you install a new version of ASCEND. It will look in this subdirectory first when looking for a file to load when you have not given a full path name for finding that file. The install process for ASCEND will place `ascdata` into your home directory<sup>2</sup>. ASCEND tells you where it has placed this subdirectory when you install it. However, if you did not note where that was, then you will have to search for it (using a tool like "FIND file or folder").

It is within the folder `ascdata` that you should place any ASCEND models you create. When running a script (which we shall talk about later), ASCEND first looks in this subdirectory for files, and then it looks in the `models` subdirectory. It stops looking when it finds the first available version of the file.

Next open an editor, such as `emacs`, `gedit`, `vi`, `vim`, Notepad or TextPad. Now type in or, better yet, cut-and-paste the statements in Figure ???. Be very careful to match the use of capital and small letters. Do not worry about blanks between symbols but do not embed blanks within symbols. In other words, do not put a blank in the middle of the symbol `side_wall` but do not worry about putting zero or more blanks between `side_wall` and `=` in an equation.

When you are finished, be sure to save the file as a text file. Call it `vesselPlain.a4c`. The `".a4c"` stands for "ASCEND 4 Code". Many Windows editors will append `".txt"` to the file name. Remove the `.txt` ending off the file name – do not let Microsoft bully you into thinking you should not – and change it to `".a4c"`.

(This model is also available as `vesselPlain.a4c` in the ASCEND models library, but we suggest it would be better for you to go through the exercise of creating your own version here. At the least copy the library file to your ASCEND space so you can play with your own version at this time.)

When you are done, you should have a text file called `vesselPlain.a4c` stored in your `ASCEND/models/vessel` subdirectory. It should contain precisely the statements in Figure ??? with care having been taken to match capital and lower case letters as shown there.

<sup>1</sup>On windows this might be `c:\Program File\ASCEND\models`. On Linux, this might be `/usr/share/ascend/models`. The location can vary depending on how you went about installing ASCEND.

<sup>2</sup>On Windows, your home directory will normally be the My Documents folder. On Linux, it will normally be `/home/username`. Note that in both systems, you can set an "environment" variable to designate your home directory.

Do not alter the models subdirectory

rather put your things into the `ascdata` subdirectory (you own it)

create a text file containing the model definition

start the ASCEND system. Move and resize the windows to make yourself comfortable.

Start the ASCEND system by double clicking on the ASCEND icon if you are on Windows or typing `ascend` at the command line if you are using a Linux machine<sup>3</sup>. Four windows will appear, three smaller ones and one larger one that will, if left unattended, disappear by itself in a few seconds. Move the three smaller ones around on your screen so they do not overlap or so they overlap very little. Resize them if you want to. You might start by putting the one called Script in the upper left, the one called Library in the upper right and the one called Console in the lower right. We shall assume you have placed them in these positions in the following so, even if that is not your favorite placement, it might be useful to use it for now.

As you can see, each window by itself looks like a pretty normal window. Each has buttons across the top under which one will find different tools to run. Each also has one to three sub-windows for displaying things. Each has a Help button that you can push at any time that you want to read all kinds of detailed things about the window<sup>4</sup>. For the moment we will provide you with the "just-in-time" details here so you do not need to be sidetracked just yet by pushing these Help buttons.

If you ever lose a window, open the Script window and under the Tools button, select the window you wish to open. You cannot lose the Script window unless you shut down ASCEND. For other windows in ASCEND, you can close them and re-open them as required. Any window that you closed can usually be restored by going back to the Script window and selected it from the Tools menu there.

To exit ASCEND, close the Script window. You will be asked to confirm that you want to exit ASCEND. If you have simulations in memory this will stop you from losing your results.

ASCEND will not remember your window locations automatically. If you like where you have placed the windows for ASCEND on your display, go to the Script window and select 'Save all appearances' under the View menu. A similar tool exists for each window for saving only its position.

We shall start with the Library window in the upper right. This window provides you with the tools to load and compile files containing type definitions. You can also display the code for the different types you have loaded.

Let's load your file. Under the File button select the 'Read types from File' tool. You select this tool by clicking on it using the left mouse button - i.e., the button you should have expected to use. A window will appear asking you to find the file you want to read into ASCEND. Navigate to where you stored `vesselPlain.a4c` (in the subdirectory `ascdata`) and select that file. If you have the wrong ending on the file (you left `.txt` or you forgot to put `.a4c` as the ending), tell the system to list all files and pick the one you want. The `.a4c` is used by the system to list only the files it thinks you might want to load, but ASCEND isn't fussy. It will attempt to load any file you pick.

Look in the Console window at the lower right, and, if the file loads without any errors being listed there, you can skip past the next bit to where you should start to compile an instance. The next bit has some useful hints on how to debug your models. If you want some debugging experience, put a known error into your `vesselPlain.a4c` file and see what happens. This move will give you a reason to read the following section.

If the Console window in the lower right starts filling with several tens of lines of diagnostics, look to see if you included the `REQUIRE` statement at the beginning of your model file. Without that statement, ASCEND is missing all the definitions for the types of variables in your model, and it will go wild telling you so<sup>5</sup>.

While loading the files containing these types, ASCEND will look very closely at the syntax and will give you all kinds of diagnostic messages in the Console window (lower right) if you have done something wrong. It will also at times spew out some warning messages if you have done something thought to be poor modeling style. You must heed the error messages as the file will not load if there are any. ASCEND will tell you if it did not load the file.

You should consider heeding the warnings if you get any. If you ignore them now, they may come back and haunt you later. However, there are times when we issue a warning but everything will work, and you will think we were not too clever. Our response: better modeling style can eliminate these warnings. (It's been our system so we get to have the last word.)

The error and warning messages will contain a line number in the file where the error has occurred. This will be the line number as counted by an editor with the first line being line 1 in the file. Editors

<sup>3</sup>Depending on the Linux version you have installed, you might find that the command is `ascend4` or that you have an ASCEND option in your GNOME 'Applications' menu.

<sup>4</sup>assuming you have got the help files installed on your system, which you may not find you have.

<sup>5</sup> It might also be choking on a Word document because you forgot to save it as a text file.

note that each window by itself looks pretty nonthreatening

hey, where did that window go? I want it back NOW!

How do I quit ASCEND?

saving window positions

start by loading and compiling using tools in the Library window

use the left mouse button unless we tell you otherwise (however, on your own explore using the right mouse button in any of the windows)

Do not ignore the diagnostics that might appear in the Console window

how do I jump to line 100 of a file when using some of the standard editors?

always provide you with a means to get directly to a line number in a file. Find out how to do that or you will not be too happy with debugging a large file.

You will be in the debug mode for a new system so do not expect it to be totally obvious the first few times you make an error. We have tried to use language that should be meaningful, but we may have failed or the error may be pretty subtle and not possible for us to anticipate how to describe it in your terms. (Send us a bug report if you have any good ideas on language.)

You can reload any file you have corrected using the Read types from file tool under the File menu. It will overwrite the previous version of the file only if the file has changed since it was last loaded (note that we do not reload those big files unless you make a change even if you tell us to).

You can display the code you have written. Select the model vessel in the right window of the Library. Then under the Display menu at the top, select the tool Code. The Display window will open displaying the code for this model.

Okay, you have your file loaded without getting any diagnostics. You are ready to compile. In the Library window, look in the left window and select the file `vesselPlain.a4c`. It contains the type definition you wish to compile. You should see the type vessel appear in the right window. Select vessel. Under the Edit button, select Create simulation. A small window opens and asks you to name the simulation. Call it `v` – yes, just the letter "v", and select "OK". Short names for instances often seem to be preferable.

Look again in the Console window for diagnostics. If everything worked without error, you will see some statistics telling you how many models, relations and so forth you have created during the compile step.

Select `v IS A vessel` in the bottom of the Library window. Then under the Export button, select 'Simulation to Browser' to export `v` to the Browser tool set. The Browser window will open and contain `v`. It might be useful to enlarge this window and move it down a bit, placing it a bit to the right of the center of your screen. (Remember you can save this positioning and sizing of the Browser window by going under the View menu and picking 'Save appearance'.)

In the left upper window of the Browser, you will find `v` to be the current object. Listed in the right window are all the parts of the current object. You will see the variables listed here along with an indication of their type. For example, you will find `Cylinder IS A relation` and `D IS A distance` listed, among many others. `Cylinder` is one of the equations you wrote describing the model while `D` was the diameter of the vessel.

If you pick any of the parts in the right or bottom windows, it becomes the current object; its parts then show in the right window. For example, a relation has a boolean part (a flag that takes the value `TRUE` or `FALSE`) indicating whether or not it is to be included when `ASCEND` solves the equations you defined for the model.

If you wish to display the current value for this flag, pick 'Display Atom Values' under the View menu. This tool toggles a switch that causes either the value or the type to show for a variable, a constant or a relation in the upper right window of the Browser. Try toggling it back and forth and looking at different things in the Browser.

Pick each of the tools under View and note what happens to the displaying of things in the Browser.

Across the bottom of the Browser window note the buttons you can select labeled `RV`, `DV` and so forth. If you have made the Browser window large enough, you will see to the right of these buttons the type of objects whose value you want to appear or not in the lower Browser window as you toggle each button. Toggle each of these buttons and see if the lower display changes. If it does not, then this type of part is not in the current object.

## 2.3 Solving an ASCEND instance

Well, you have been patient. While there are lots of interesting tools left to explore in the Browser, perhaps it is time to try to solve this model. To solve `v`, make it the current object (it alone should be listed in the upper left window of the Browser). Then, under the Export menu, select 'to Solver'. The Solver window will open, along with a smaller window labeled Eligible. Move the Eligible window up a bit so it does not cover any or very little of the Solver window. Move the Solver window to the lower left and enlarge it so you can see all of its contents.

This Eligible window is 'modal': if it is open and you do not do something to make it happy and go away, it will stop you from doing anything else in the `ASCEND` system. Such windows appear

reloading a file overwrites the previous version displaying the code now compile as "v"

and pass the instance to the Browser

examine `v` by playing with it in the Browser

included flags for relations

if `ASCEND` stops responding, hunt down one of those "nasty" windows with a "yellow lock" and close it

Table 2.3: Variables we have fixed

variable
D
H_to_D_ratio
meta_density
wall_thickness

with a black lock icon in a yellow field – we shall call it a "yellow lock." They demand you attend to them *now*. A good solution would be for such a window to stay open and on top of all the other open windows. Unfortunately we have not been able under all window managers to stop it from ducking under another window. If you ever find ASCEND unwilling to respond, iconify the other windows to get them out of the way, until you find one of these windows. On the PC you can go to the icon bar at the bottom of your screen and, by clicking on the window, bring it to the top. Then do whatever it takes to make it happy and close properly – such as cancel it. If you are not careful here, for example, this window will hide under the Solver window before you are through with it.

The Solver window contains the information we need to see to explain why the Eligible window opened in the first place. Examine the information the Solver displays. It tells you that  $v$  has 6 relations defining it and that all are equalities and included. It has no inequalities. On the right side we see there are 10 variables and all are 'free.' A free variable is one for which you want the system to compute a value. Hmm, 6 equations in 10 variables. Something is wrong here. For a well-posed problem, you want 6 equations in 6 variables (i.e., square). ASCEND reports that the system is underspecified by 4. This means you need to pick four of the variables and declare them to be fixed. You will also have to pick values for these fixed variables before you can solve for the remaining 6. For such a small problem as this one, this task is not formidable. For a model with 50,000 equations and 60,000 variables, one would quit and go home. We have exposed a need here. We certainly would like ASCEND to help us here for this small problem. But we insist that it help us in major ways to make the 50,000 equation, 60,000 variable problem possible.

is our problem well-posed?

Okay, the small help such as needed here is why the Eligible window opened. Let's return to it. It lists all the variables of those not yet fixed that are eligible to be fixed and still leave us a calculation that has a chance to solve. The algorithm to find eligible variables does an quick analysis of the structure of the equations. The variables it lists are those that can be fixed *without* the system becoming numerically singular. So any variables that are not shown *cannot possibly* help you.

picking variables we are going to fix

So look at the list and decide what you would like to fix for your first calculation with this model. Diameter ( $v.D$ ) seems a good choice. Now you can see why we called the instance just plain old  $v$ . A longer name would get tiring here. Anyway, pick  $v.D$ . Immediately the list reappears with  $v.D$  no longer on it. ASCEND has just repeated the eligibility analysis, and found that more variables still need to be fixed.

We have three more to pick. On the list are both vessel height,  $v.H$ , and  $v.H\_to\_D\_ratio$ . We certainly cannot pick both of these. One implies the other if we know a value for  $v.D$ . Pick  $v.H\_to\_D\_ratio$ . Note that  $v.H$  is no longer eligible. Good. We would be worried if it were still there.

We see  $v.metal\_density$ . Pick it. Strange. Metal mass and volume stayed eligible. Why? If we pick metal mass, wall thickness is implied, and the same is true if we were to pick metal volume. However, as it seems much more natural to pick **wall\_thickness**, make that the last variable you choose. The Solver window now says this problem is square (i.e., it has 6 equations in the same number of unknowns). Table ?? summarizes the four variables we have elected here to fix.

ASCEND partitions the problem into smaller problems for solving

Toward the bottom right of the **Solver** window, we see there are 6 "blocks." What are blocks? ASCEND has examined the equations and, in this case, has discovered that not all the equations have to be solved simultaneously. There are 6 blocks of equations which it can solve in sequence. 6 blocks and 6 equations means that ASCEND has found a way to solve the model by solving 6 individual equations in sequence – i.e., one at a time. This is a good thing: it usually means that the solver will have less problems with locating the overall solution.

As well as breaking down the system into blocks, ASCEND has the ability to rearrange some simple algebraic equations so that unknown variables can be evaluated directly from the known values, with no need for iterative numerical methods. This is only possible if there is just one equation in the block. In fact, this problem, with the 4 variables we selected to be fixed, can be solved entirely without

Table 2.4: Values to use for fixed variables

variable	value	units
D	4	ft
H_to_D_ratio	3	
meta_density	5000	kg/m <sup>3</sup>
wall_thickness	5	mm

iteration.

Can we see what ASCEND has just discovered? It turns out we can (we would not have asked if we could not). Under the Display menu on the Solver, select the 'Incidence matrix tool'. A window pops open showing us the incidence of variables in the equations and display them in the order that ASCEND has found to solve them, also known as a sparsity matrix or sparsity pattern. The dark squares are incidences under the variables for which we are solving; the lighter looking 'X' symbols to the right side are incidences for the fixed (known) variables. Click on the incidence in the upper left corner. ASCEND immediately identifies it for us as the `end_area`. It identifies the equation as the one we labeled `FlatEnds`. We can go back to our model and find the equation ASCEND will solve first. The other variable in this equation is in the set we fixed; pick it and discover it is D, the vessel diameter. Of course we can compute the area of the ends given the diameter. The `end_area` is  $\pi D^2/4$ .

Play with the other incidences here. See what the other equations are and the order ASCEND will use to solve them.

Okay, we return to our task of solving. We need next to supply values for the variables we have selected to be fixed. Again, the approach we are going to take is acceptable for this small problem, but we would not want to have to do what we are about to do for a large problem. Fortunately, we really have thought about these issues and have some nice approaches that work even for extremely large problem – like 100,000 equations.

Let's see. Do you remember the variables we fixed? What if you do not? Well, we go back to the Browser. Be sure `v` remains the current object (it alone is in the upper left window). Under the Find menu select 'by Type.' A small window opens with default information in it saying it will find for us all objects contained in the current object `v` of type `solver_var` whose `fixed` flags are set to `TRUE`. These are precisely the attributes for the variables we have fixed. Select OK and a list of the four variables we fixed earlier appears.

For each variable on this list, we should supply a value. Select D in the lower window of the Browser using the right (the right, not the left – make `v` the current object and do it again) mouse button. A window opens in which we input a value for D. Put in the value 4 in the left window and ft in the right. Continue by putting in the values for the variables as listed in Table ???. These values immediately appear in the Browser window as you enter them. If you did not fully appreciate the proper handling of dimension and units before, you just got a taste of its advantages. You did not have to worry about specifying these things in consistent preselected units – ASCEND did this for you.

You can now solve this model. Go the Solver window and, under the Execute menu, select Solve. You will get a message telling you the model solved. Dismiss that message and return to the Browser window to examine the results. You should see the following results:

```
D = 1.21922 meter
H = 3.65765 meter
H_to_D_ratio = 3
end_area = 1.16748 meter^2
metal_density = 5000 kilogram/meter^3
metal_mass = 408.62 kilogram
side_area = 14.0098 meter^2
vessel_vol = 4.27025 meter^3
wall_thickness = 0.005 meter
wall_vol = 0.0817239 meter^3
```

You may wish to alter the units used to display these results. For example, you enter the diameter `D` in ft. You may wish to reassure yourself the 1.21922 meter is 4 ft. Go to the Script window and

displaying  
the incidence  
matrix

which variables  
are currently  
fixed for this  
problem?

specifying val-  
ues for the fixed  
variables - this  
approach is  
useful for small  
problems

alter the units  
used for dis-  
playing values



under the Tools menu select 'Measuring units'. The **Units** window will open. Enlarge it appropriately and then place it to the top and far right of your display.

There are two ways you can reset the units for displaying length.

1. Length is a basic dimension in ASCEND so under the *Display* button select Length. A side window will open with all the alternate units supported in ASCEND for length. Select ft.
2. Or, in the lower part of the Units window is a frame labeled 'Set units'. Clear and then type `ft` then hit Enter.

In either way, the units for all length variables will switch to ft. Look at the values in the Browser window.

The left upper window of the Units window contains many variable types that have composite dimensions. For example, you will find volume there. Pick it and the right window fills with all the alternative units in which you can express volume.

Play with changing the units for displaying the various variables in the vessel instance `v`.

One point - the left window displaying types having composite dimensions will display only one type for each composite dimension. If the atom types you have loaded were to include `volume_difference` as well as `volume`, then only one of the two types, `volume` or `volume_difference`, will be listed here. Changing the units to express either changes the units for both.

When you are done, you may wish to return to a consistent set, such as SI. Under the Display button are different sets; pick *SI (MKS) set*.

We can now resolve our vessel instance in any number of different ways. For example we can ask what the diameter would be if we had a volume of *250 ft<sup>3</sup>*. To accomplish this calculation, we need first to make `vessel_volume` a variable whose value we wish to fix. When we do this the model will be overspecified. ASCEND will indicate this problem to us and offer us a list of variables - including the vessel diameter `D`, one of which we will have to "unfix." Finally we need to alter the value of `vessel_volume` to the desired value and solve. Explicit instructions to accomplish these steps are as follows.

returning to a consistent set of units now we can solve the model in other ways

- In the **Browser** window, make `vessel_volume` the current object (select it using the left mouse button). The right window of the **Browser** display the parts of the `vessel_volume`, among them is the fixed flag with a value of `FALSE`.
- (If you do not see the value for fixed but rather its type as a boolean, under the *View* button at the top, select *Display Atom Values*.)
- Pick fixed with the right mouse button, and, in the small window that opens, delete the value `FALSE`, enter the value `TRUE` and select *OK*.
- Now make `v` the current object by picking it in the left window of the **Browser**.
- Export `v` to the Solver again by selecting to Solver under the Export button. A window entitled Overspecified will appear listing the variables `v.D`, `v.H_to_D_ratio` and `v.vessel_volume`. Pick `v.D` and hit the OK button; ASCEND will reset its fixed flag to `FALSE`.
- Finally, return to the **Browser** window and select `vessel_volume` with the right mouse button. In the small window that appears type *250* in the left window, *ft<sup>3</sup>* in the right, and hit the *OK* button.
- Under the *Execute* button in the **Solver** window, select *Solve*.

Note the **Solver** reports only 4 blocks for 6 equations. This time it has to solve some equations simultaneously. In the **Solver** window, under the *Display* button, select the *Incidence matrix* tool. You will see that the first three equations must be solved together as a single block of equations.

clearing all the fixed flags

For a more complicated model you may wish to start over on the process of selecting which variables are fixed. You can set the fixed flags for all the variables in a problem to `FALSE` all at once - without knowing which are currently set to `TRUE`. In the Browser window, under the Edit button, select the Run method tool. A window will open that displays a list of default methods that are automatically attached to every model in ASCEND. One is called *ClearAll*. Pick it and hit OK. All the fixed flags for the entire model will now be reset to `FALSE`. Can you think of a way to check if this is true? (Do

you remember how to check which variables are currently fixed? Repeat that check and you should find no variables are on the list.)

You might now want to play by changing what you calculate and fix.

## 2.4 Discussion

You have just completed the creation and solving of a very small model in ASCEND. In doing so, you have been exposed to some interesting issues. How can we separate the concept of the model from how we intend to solve it? How do we make a model to be well-posed – i.e., a model involving  $n$  equations in  $n$  unknowns – so we can solve it? How should one handle the units for the variables in a modeling system? What we have shown you here is for a small model. We still need to show you how one can make a large model well-posed, for example. You will start to understand how one can do this in the next chapter.

The next chapter is crucial for you to understand if you want to begin to understand how we approach good modeling practice. Please do continue with it. As it uses the vessel model, it would, of course, be best to continue with that chapter now.

# Chapter 3

## Preparing a model for reuse

There are four major ways to prepare a model for reuse. First, you should add comments to a model. Second, you should add methods to a model definition to pass to a future user your experience in creating an instance of this type which is well-posed. Third, you should parameterize the model type definition to alert a future user as to which parts of this model you deem to be the most likely to be shared. And fourth, you should prepare a script that a future user can run to solve a sample problem involving an instance of the model. We shall consider each of these items in turn in what follows.<sup>1</sup>

### 3.1 Adding comments and notes

In ASCEND we can create traditional comments for a model – i.e., add text to the code that aids anyone looking at the code to understand what is there. We do this by enclosing text with the delimiters (\* and \*). Thus the line

```
(* This is a comment *)
```

is a comment in ASCEND. Traditional comments are only visible when we display the code using the Display code tool in the Library window or when we view the code in the text editor we used to create it.

We suggest we can do more for the modeler with the concept of Notes, a form of "active" comments available in ASCEND. ASCEND has tools to extract notes and display them in searchable form.

In Figure ?? we show two types of notes the modeler can add. **Longer notes** are set off in block style starting with the keyword NOTES and ending with END NOTES. In this model, we declare two notes in this manner: (1) to indicate who the author is and (2) to indicate the creation date for this model. Note that the notes are director to documenting SELF, which is the model itself – i.e., the vessel model as a whole object. The object one documents can be any instance in the model – any variable, equation or part. The tools for handling notes can sort on the terms enclosed in single quotes so one could, for example, isolate the author notes for all the models.

Vessel model with NOTES added (model `vesselNotes.a4c`)

```
REQUIRE "atoms.a41";
```

```
MODEL vessel;
```

```
  NOTES
```

```
    'author' SELF {Arthur W. Westerberg}
```

```
    'creation_date' SELF {May, 1998}
```

```
  END NOTES;
```

```
  (* variables *)
```

```
  side_area      "the_area_of_the_cylindrical_side_wall_of_the_vessel",
```

```
  end_area       "the_area_of_the_flat_ends_of_the_vessel"
```

---

<sup>1</sup>More detail on these is available in papers and reports by Allan, Zaher, Chittur et al [?],[?],[?],[?],[?].

```

        IS_A area;

vessel_vol      "the volume contained within the cylindrical vessel",
wall_vol       "the volume of the walls for the vessel"
        IS_A volume;

wall_thickness  "the thickness of all of the vessel walls",
H              "the vessel height (of the cylindrical side walls)",
D              "the vessel diameter"
        IS_A distance;

H_to_D_ratio   "the ratio of vessel height to diameter"
        IS_A factor;

metal_density  "density of the metal from which the vessel
               is constructed"
        IS_A mass_density;

metal_mass     "the mass of the metal in the walls of the vessel"
        IS_A mass;

(* equations *)
FlatEnds:      end_area = 1{PI} * D^2 / 4;
Sides:         side_area = 1{PI} * D * H;
Cylinder:      vessel_vol = end_area * H;
Metal_volume:  (side_area + 2 * end_area) * wall_thickness = wall_vol;
HD_definition: D * H_to_D_ratio = H;
VesselMass:    metal_mass = metal_density * wall_vol;
END vessel;

ADD NOTES IN vessel;
'description' SELF {This model relates the dimensions of a
                    cylindrical vessel — e.g., diameter, height and wall thickness
                    to the volume of metal in the walls. It uses a thin wall
                    assumption — i.e., that the volume of metal is the area of
                    the vessel times the wall thickness.}
'purpose' SELF {to illustrate the insertion of notes into a model}
END NOTES;

```

A user may use any term desired in the single quotes. We have not decided yet what the better set of terms should be so we do not as yet suggest any. With time we expect the terms used to settle down to just a few that are repeated for all the models in a library.

There are also **short notes** we can attach to every variable in the model. A "one liner" in double quotes just following the variable name allows the automatic annotation of variables in reports.

The last few lines of Figure ?? shows adding **separate notes** we write using ADD NOTES IN syntax. This object can appear before or after or in a different file from the object it describes. This style of note writing is useful as it allows another person to add notes to a model without changing the code for a model. Thus it allows several different sets of notes to exist for a single model, with the choice of which to use being up to the person maintaining the model library. Finally, it allows one to eliminate the "clutter" the documentation often adds to the code.

## 3.2 Adding methods

We would next like to pass along our experiences in getting this model to be well-posed – i.e., we would like to tell future users which variables we decided to fix and which we decided to calculate. We would also like to provide some typical values for the variables we decided to fix. ASCEND allows us to attach any number of methods to a type definition. Methods are procedural code that we can

Table 3.1: Some of the methods we require for putting a model into an ASCEND library

<b>ClearAll</b>	a method to set all the .fixed flags for variables in the type to FALSE. This puts these flags into a known standard state – i.e., all are FALSE. All models inherit this method from the base model and the need to rewrite it is very, very rare.
<b>specify</b>	a method which assumes all the fixed flags are currently FALSE and which then sets a suitable set of fixed flags to TRUE to make an instance of this type of model well-posed. A well-posed model is one that is square (n equations in n unknowns) and solvable.
<b>reset</b>	a method which first runs the ClearAll method and then the specify method. We include this method because it is very convenient. We only have to run one method to make any simulation well-posed, no matter how its fixed flags are currently set. All models inherit this method from the base model, as with ClearAll . It should only rarely have to be rewritten for a model.
<b>values</b>	a method to establish typical values for the variables we have fixed in an application or test model. We may also supply values for some of the variables we will be computing to aid in solving a model instance of this type. These values reflectiveness that we have tested for a simulation of this type and found to work.

request be run through the interface while browsing a model instance. We shall include methods as described in Table ?? to set just the right fixed flags and variable values for an instance of our vessel model to be well-posed.

The system has defaults definitions for all these methods. You already saw that to be true if you went through the process of setting all the fixed flags to FALSE in the previous chapter. In case you did not, load and compile the vesselPlain.a4c model in ASCEND. Export the compiled instance to the Browser. Then in the Browser, under the Edit button, select Run method. You will see a list containing these and other methods we shall be describing shortly. Select specify and hit the OK button. Then look in the Console window. A message similar to the following will appear, with all but the first line being in red to signify you should pay attention to the message:

```
Running method specify in v
Found STOP statement in METHOD
C:\PROGRAM FILES\ASCEND\ASCEND4\models\basemodel.a41:307
STOP {Error! Standard method "specify" called but not
written in MODEL.};
```

This message is telling you that you have just run the default specify method. We have to hand-craft every specify method so the default method is not appropriate. This message is alerting us to the fact that we did not yet write a special specify method for this model type.

Try running the ClearAll method. The default ClearAll method is always the one you will want so it does not put out a message to alert you that it is the default.

To write the **specify** and **values** methods for our vessel model, we note that we have successfully solved the vessel model in at least two different ways above. Thus both variations are examples of being ‘well-posed’. We can choose which variation we shall use when creating the **specify** method for our vessel type definition. Let us choose the alternative where we fixed **vessel\_volume**, **H\_to\_D\_ratio**, **metal\_density** and **wall\_thickness** and provided them with the values of 250 ft<sup>3</sup>, 3, 5000 kg/m<sup>3</sup> and 5 mm respectively to be our ‘standard’ specification. Default methods **ClearAll** and **reset** are appropriate

As already noted, the purpose of `ClearAll` is to set all the variables to `FREE`, a well-defined state from which we can start over to set variables `FIXed` as we wish. The method `reset` simply runs `ClearAll` followed by the `specify` method for a model. The default versions for these two methods are generally exactly what one wants so one need not write these.

Figure ?? illustrates our vessel model with our local versions added for `specify` and `values`. Look only at these for the moment and note that they do what we described above. We show some other methods we shall explain in a moment.

Version of vessel with METHODS added (`vesselMethods.a4c`)

```

REQUIRE "atoms.a41";

MODEL vessel;
  NOTES
    'author' SELF {Arthur W. Westerberg}
    'creation_date' SELF {May, 1998}
  END NOTES;

  (* variables *)
  side_area      "the area of the cylindrical side wall of the vessel",
  end_area      "the area of the flat ends of the vessel"
    IS_A area;

  vessel_vol     "the volume contained within the cylindrical vessel",
  wall_vol      "the volume of the walls for the vessel"
    IS_A volume;

  wall_thickness "the thickness of all of the vessel walls",
  H              "the vessel height (of the cylindrical side walls)",
  D              "the vessel diameter"
    IS_A distance;

  H_to_D_ratio  "the ratio of vessel height to diameter"
    IS_A factor;

  metal_density "density of the metal from which the vessel
  is constructed"
    IS_A mass_density;

  metal_mass    "the mass of the metal in the walls of the vessel"
    IS_A mass;

  (* equations *)
  FlatEnds:     end_area = 1{PI} * D^2 / 4;
  Sides:        side_area = 1{PI} * D * H;
  Cylinder:     vessel_vol = end_area * H;
  Metal_volume: (side_area + 2 * end_area) * wall_thickness = wall_vol;
  HD_definition: D * H_to_D_ratio = H;
  VesselMass:   metal_mass = metal_density * wall_vol;

METHODS
  METHOD specify;
    NOTES
      'purpose' SELF {to fix four variables and make the problem well-posed}
    END NOTES;
    FIX vessel_vol;
    FIX H_to_D_ratio;
    FIX wall_thickness;

```

```

        FIX metal_density;
    END specify;

    METHOD values;
    NOTES
        'purpose' SELF {to set the values for the fixed variables}
    END NOTES;
        H_to_D_ratio           :=      2;
        vessel_vol              :=      250 {ft^3};
        wall_thickness          :=      5 {mm};
        metal_density           :=      5000 {kg/m^3};
    END values;

    METHOD bound_self;
    END bound_self;

    METHOD scale_self;
    END scale_self;

    METHOD default_self;
        D                       :=      1 {m};
        H                       :=      1 {m};
        H_to_D_ratio            :=      1;
        vessel_vol               :=      1 {m^3};
        wall_thickness           :=      5 {mm};
        metal_density            :=      5000 {kg/m^3};
    END default_self;
END vessel;

ADD NOTES IN vessel;
    'description' SELF {This model relates the dimensions of a
        cylindrical vessel — e.g., diameter, height and wall thickness
        to the volume of metal in the walls. It uses a thin wall
        assumption — i.e., that the volume of metal is the area of
        the vessel times the wall thickness.}
    'purpose' SELF {to illustrate the insertion of notes into a model}
END NOTES;

```

In Table ?? we describe additional methods we require before we will put a model into one of our libraries. Each of these had two versions, both of which we require. The designation `_self` is for a method to do something for all the variables and/or parts we have defined locally within the current model with an `IS_A` statement. The designation `_all` is for a method to do something for parts that are defined within an ‘outer’ model that has an instance of this model as a part. The ‘outer’ model is at a higher scope. It can share its parts with this model by passing them in as parameters, a topic we cover shortly in Section ?. Only the `_self` versions of these methods are relevant here and are in Figure ??.

The `bound_self` and `scale_self`, methods we have written are both empty. We anticipate no difficulties with variable scaling or bounding for this small model. Larger models can often give difficult problems in solving if the variables in them are not properly scaled and bounded; these issues must be taken very seriously for such models.

We have included the variables that define the geometry of the vessel in `defaults_self` method to avoid such things as negative initial values for `vessel_volume`. The compiler for ASCEND runs this method as soon as the model is compiled into an instance so the variables mentioned here start with their default values.

Exit ASCEND and repeat all the steps above to edit, load and compile this new vessel type definition. Then proceed as follows.

- In the Browser window, examine the values for those variables mentioned in the `default_self`

Table 3.2: Additional methods required for model in ASCEND libraries

method	description
<code>default_self</code> , <code>default_all</code>	a method called automatically when any simulation is compiled to provide default values and adjust bounds for any variables which may have unsuitable defaults in their ATOM definitions. Usually the variables selected are those for which the model becomes ill-behaved if given poor initial guesses or bounds (e.g., zero).
<code>bound_self</code> , <code>bound_all</code>	a method to update the <code>. upper_bound</code> and <code>. lower_bound</code> value for each of the variables. ASCEND solvers use these bound values to help solve the model equations.
<code>scale_self</code> , <code>scale_all</code>	a method to update the <code>. nominal</code> value for each of the variables. ASCEND solvers will use these nominal values to rescale the variable to have a value of about one in magnitude to help solve the model equations.
<code>check_self</code> , <code>check_all</code>	a method to check that the computations make sense. At first this method may be empty, but, with experience, one can add statements that detect answers that appear to be wrong. As ASCEND already does bounds checking, one should not check for going past bounds here. However, there could be a rule of thumb available that suggests one computed variable should be about an order of magnitude larger than another. This check could be done in this method.

method. Note they already have their default values.

- To place the new instance `v` in a solvable state, go to the Browser window. Select **Run method** under the Edit menu. Select first the method values and hit OK.
- Repeat the last step but this time select the method reset.

In the Browser, examine the values for the variables listed in the method values in Figure ???. They should be set to those stated (remember you can alter the units ASCEND uses to report the values by using the tools in the Units window). Also examine the fixed flags for these variables; they should all be TRUE (remember that you can find which variables are fixed all at once by using the By type command under the Find button).

- Finally export `v` to the Solver. The Eligible window should NOT appear; rather that Solver should report the model to be square.
- Solve by selecting **Solve** under the Execute menu.

The inclusion of methods has made the process of making this model much easier to get well-posed. This approach is the one that works for really large, complex models.

### 3.3 Parameterizing the vessel model

Reuse generally implies creating a model which will have as a part an instance of a previously defined type. For example, let us compute `metal_mass` as a function of the `H_to_D_ratio` for a vessel for a fixed `vessel_volume`. We would like to see if there is a value for the `H_to_D_ratio` for which the `metal_mass` is minimum for a vessel with a given `vessel_volume`. We might wonder if `metal_mass` goes to infinity as this ratio goes either to zero or infinity.



### 3.3.1 Creating a parameterized version of vessel

To use instances of our model as parts in another model, we can parameterize it. We use parameterization to tell a future user that the parameters are objects he or she is likely to share among many different parts of a model. We wish to create a table containing different values of `H_to_D_ratio` vs. `metal_mass`. We can accomplish this by computing simultaneously several different vessels having the same `vessel_volume`, `wall_thickness` and `metal_density`. The objects we want to see and/or share for each instance of a vessel should include, therefore: `H_to_D_ratio`, `metal_mass`, `metal_density`, `vessel_volume` and `wall_thickness`.

The code in Figure ?? indicates the changes we make to the model declaration statement and the statements defining the variables to parameterize our model.

The parameterized version of vessel model (vesselParams.a4c)

```

MODEL vessel(
  vessel_vol      "the volume contained within the cylindrical vessel "
                WILL_BE volume;
  wall_thickness  "the thickness of all of the vessel walls "
                WILL_BE distance;
  metal_density   "density of the metal from which the vessel
  is constructed "
                WILL_BE mass_density;
  H_to_D_ratio    "the ratio of vessel height to diameter "
                WILL_BE factor;
  metal_mass      "the mass of the metal in the walls of the vessel "
                WILL_BE mass;
);

NOTES
  'author' SELF {Arthur W. Westerberg}
  'creation_date' SELF {May, 1998}
END NOTES;

(* variables *)
side_area        "the area of the cylindrical side wall of the vessel ",
end_area         "the area of the flat ends of the vessel "
                IS_A area;

wall_vol         "the volume of the walls for the vessel "
                IS_A volume;
H                "the vessel height (of the cylindrical side walls)",
D                "the vessel diameter "
                IS_A distance;

(* equations *)
FlatEnds:       end_area = 1{PI} * D^2 / 4;
Sides:          side_area = 1{PI} * D * H;
Cylinder:       vessel_vol = end_area * H;
Metal_volume:   (side_area + 2 * end_area) * wall_thickness = wall_vol;
HD_definition:  D * H_to_D_ratio = H;
VesselMass:     metal_mass = metal_density * wall_vol;

METHODS
METHOD specify;
NOTES
  'purpose' SELF {to fix four variables and make the problem well-posed}
END NOTES;
FIX vessel_vol;

```

```

        FIX H_to_D_ratio;
        FIX wall_thickness;
        FIX metal_density;
    END specify;

    METHOD values;
    NOTES
        'purpose' SELF {to set the values for the fixed variables}
    END NOTES;
        H_to_D_ratio           :=      2;
        vessel_vol             :=      250 {ft^3};
        wall_thickness         :=      5 {mm};
        metal_density          :=      5000 {kg/m^3};
    END values;

    METHOD bound_self;
    END bound_self;

    METHOD bound_all;
        RUN bound_self;
    END bound_all;

    METHOD scale_self;
    END scale_self;

    METHOD scale_all;
        RUN scale_self;
    END scale_all;

    METHOD default_self;
        D                       :=      1 {m};
        H                       :=      1 {m};
    END default_self;

    METHOD default_all;
        RUN default_self;
        vessel_vol              :=      1 {m^3};
        wall_thickness          :=      5 {mm};
        metal_density           :=      5000 {kg/m^3};
        H_to_D_ratio            :=      1;
    END default_all;
END vessel;

ADD NOTES IN vessel;
    'description' SELF {This model relates the dimensions of a
        cylindrical vessel — e.g., diameter, height and wall thickness
        to the volume of metal in the walls. It uses a thin wall
        assumption — i.e., that the volume of metal is the area of
        the vessel times the wall thickness.}
    'purpose' SELF {to illustrate the insertion of notes into a model}
END NOTES;

```

Substitute the statements in Figure ?? for lines 2 through 9 in Figure ?. Save the result in the file vesselParam.a4c.

Note the use of the `WILL_BE` statement in the parameter list. By declaring that the type of a parameter will be compatible with the types shown, the compiler can tell immediately if a user of this model is passing the wrong type of object when defining an instance of a vessel.

### 3.3.2 Using the parameterized vessel model

A type definition will set up our table of H\_to\_D\_ratio values vs. metal\_mass so we can observe approximately where it attains a minimum value. ASCEND allows us to create arrays of instances of any type. Here we shall create an array of vessels. The type definition is shown in Figure ???. Note that the line numbers are not a part of the actual code. We include them here only so we can reference them as needed later.

```
tabulated_vessel_values model
```

```
REQUIRE "atoms.a4l";
```

```
PROVIDE "vesselTabulated.a4c";
```

```
MODEL vessel(
  vessel_vol      "the volume contained within the cylindrical vessel "
                 WILL_BE volume;
  wall_thickness  "the thickness of all of the vessel walls "
                 WILL_BE distance;
  metal_density   "density of the metal from which the vessel
                 is constructed "
                 WILL_BE mass_density;
  H_to_D_ratio    "the ratio of vessel height to diameter "
                 WILL_BE factor;
  metal_mass      "the mass of the metal in the walls of the vessel "
                 WILL_BE mass;
);
```

#### NOTES

```
'author' SELF {Arthur W. Westerberg}
```

```
'creation_date' SELF {May, 1998}
```

```
END NOTES;
```

```
(* variables *)
```

```
side_area      "the area of the cylindrical side wall of the vessel ",
```

```
end_area       "the area of the flat ends of the vessel "
```

```
IS_A area;
```

```
wall_vol       "the volume of the walls for the vessel "
```

```
IS_A volume;
```

```
H              "the vessel height (of the cylindrical side walls)",
```

```
D              "the vessel diameter "
```

```
IS_A distance;
```

```
(* equations *)
```

```
FlatEnds:      end_area = 1{PI} * D^2 / 4;
```

```
Sides:         side_area = 1{PI} * D * H;
```

```
Cylinder:      vessel_vol = end_area * H;
```

```
Metal_volume:  (side_area + 2 * end_area) * wall_thickness = wall_vol;
```

```
HD_definition: D * H_to_D_ratio = H;
```

```
VesselMass:    metal_mass = metal_density * wall_vol;
```

#### METHODS

```
METHOD specify;
```

#### NOTES

```
'purpose' SELF {to fix four variables and make the problem well-posed}
```

```
END NOTES;
```

```
FIX vessel_vol;
```

```
FIX H_to_D_ratio;
```

```

        FIX wall_thickness;
        FIX metal_density;
END specify;

METHOD values;
  NOTES
    'purpose' SELF {to set the values for the fixed variables}
  END NOTES;
  H_to_D_ratio      :=      2;
  vessel_vol        :=      250 {ft^3};
  wall_thickness    :=      5 {mm};
  metal_density     :=      5000 {kg/m^3};
END values;

METHOD bound_self;
END bound_self;

METHOD bound_all;
  RUN bound_self;
END bound_all;

METHOD scale_self;
END scale_self;

METHOD scale_all;
  RUN scale_self;
END scale_all;

METHOD default_self;
  D      :=      1 {m};
  H      :=      1 {m};
END default_self;

METHOD default_all;
  RUN default_self;
  vessel_vol      :=      1 {m^3};
  wall_thickness  :=      5 {mm};
  metal_density   :=      5000 {kg/m^3};
  H_to_D_ratio   :=      1;
END default_all;

END vessel;

ADD NOTES IN vessel;
'description' SELF {This model relates the dimensions of a
  cylindrical vessel — e.g., diameter, height and wall thickness
  to the volume of metal in the walls. It uses a thin wall
  assumption — i.e., that the volume of metal is the area of
  the vessel times the wall thickness.}
'purpose' SELF {to illustrate the insertion of notes into a model}
END NOTES;

MODEL tabulated_vessel_values;
  vessel_volume "volume_of_all_the_tabulated_vessels"
    IS_A volume;
  wall_thickness "thickness_of_all_the_walls_for_all_the_vessels"

```

```

        IS_A distance;
    metal_density "density_of_metal_used_for_all_vessels"
        IS_A mass_density;
    n_entries "number_of_vessels_to_simulate"
        IS_A integer_constant;
    n_entries := 20;
    H_to_D_ratio[1..n_entries] "set_of_H_to_D_ratios_for_which_we_are
    computing_metal_mass"
        IS_A factor;
    metal_mass[1..n_entries] "mass_of_metal_in_walls_of_vessels"
        IS_A mass;
    FOR i IN [1..n_entries] CREATE
        v[i] "the_i-th_vessel_model"
            IS_A vessel(vessel_volume, wall_thickness,
                metal_density, H_to_D_ratio[i], metal_mass[i]);
    END FOR;

```

**METHODS**

```

METHOD default_self;
END default_self;

```

```

METHOD specify;
    RUN v[1..n_entries].specify;
END specify;

```

```

METHOD values;
    NOTES 'purpose' SELF {to set up 20 vessel models having H to D ratios
        ranging from 0.1 to 2.}
    END NOTES;
    vessel_volume := 250 {ft3};
    wall_thickness := 5 {mm};
    metal_density := 5000 {kg/m3};
    FOR i IN [1..n_entries] DO
        H_to_D_ratio[i] := i/10.0;
    END FOR;
END values;

```

```

METHOD scale_self;
END scale_self;

```

```

END tabulated_vessel_values;

```

```

ADD NOTES IN tabulated_vessel_values;
'description' SELF {This model sets up an array of vessels to
    compute a range of metal_mass values for different values
    of H_to_D_ratio.}
'purpose' SELF {to illustrate the use of arrays in ASCEND}
END NOTES;

```

Add this model to the end of the file `vesselParam.a4c` (after the vessel model) and save the file as `vesselTabulated.a4c`. Compile an instance of `tabulated_vessel_values` (call it `tvv`), run the values and specify methods for it, and then solve it. You will discover that the tenth element of the `metal_mass` array, corresponding to an `H_to_D_ratio` of 1 has the minimum value of 510.257 kilograms.

### 3.4 Creating a script to demonstrate this model

The last step to make the model reusable is to create a script that anyone can easily run. Running the model successfully will allow a user to demonstrate the use of the model and to explore an instance it by browsing it.

ASCEND allows one to create such a script using either an editor or the tools in the **Script** window.

Restart the ASCEND system. You will have three windows open plus the large one which disappears by itself in a few seconds: the **Script**, the **Library** and the **Console** windows.

In the **Script** window you will see the license agreement information for ASCEND. First clear the license agreement from this window by doing the following two steps:

- Click **Select all** under the Edit menu.
- Then click **Delete statements** under the same button.

With the **Script** window now cleared of text, select **Record actions** under the Edit menu to start recording the steps you are about to undertake. Then,

- In the **Library** window, under the Edit menu, select **Delete all types**. Hit **Delete all** on the small window that appears.
- Load the file `vesselTabulated.a4c`, the file containing the model called `tabulated_vessel_values`. Do this by selecting the Read types from file tool under the File menu and browsing the file system to find it. If you have trouble finding it, be sure to set the **Files of type** dropdown at the bottom of the file browsing window to allow all types of files to be seen.
- Select the type `tabulated_vessel_values` in the right **Library** window and compile an instance of it by selecting **Create simulation** under the Edit menu. In the small window that appears, enter the name `tvv` and hit OK.
- Export the instance to the **Browser** by selecting **Simulation to Browser** under the Export menu.
- Initialize the variable values by running the `values` method. Do this by selecting **Run method** under the Edit menu. Select the `values` method and hit **OK**.
- Set the `fixed` flags to get a well-posed problem by repeating the last step but this time select the `reset` method.
- Export the instance `tvv` to the Solver by selecting **to Solver** under the Export menu.
- Solve `tvv` by selecting **Solve** under the Execute menu in the **Solver** window.
- Return to the **Script** window and turn off the recording by deselecting the **Record actions** option under the Edit menu.
- Save the script you have just created by selecting **Save** under the File menu of the **Script** window. Name the file `vesselTabulated.a4s` (note the 's' ending) to indicate it is a script file corresponding to the model file `vesselTabulated.a4c` (note the 'c' ending) file.
- Exit by selecting **Exit ASCEND** under the File menu on the **Script** window. The contents of the **Script** window at this point will be similar to that in Figure ?? (although the path to the file may differ).
- Restart ASCEND.
- Open the script you just created by selecting **Read file** under the File menu on the **Script** window. (Be sure you are allowing the system to see files with the ending `.a4s` by using the **Files of type** dropdown at the bottom of the file-browsing window.)
- Highlight all the instructions in this script and then execute the highlighted instructions by selecting **Statements selected** under the **Execute** menu.

You will run the same sequence of instructions you ran to create the script.

Script to run `vesselTabulated.a4c` (this is the contents of the file `vesselTabulated.a4s`)

```
DELETE TYPES;
READ FILE "vesselTabulated.a4c";

COMPILE tvv OF tabulated_vessel_values;
BROWSE {tvv};
RUN {tvv.reset};
RUN {tvv.values};
SOLVE {tvv} WITH QRSlv;
```

## 3.5 Discussion

In this chapter we converted the vessel model into a form where you and others in the future will have a chance to reuse it. We did this by first adding methods to make the problem well-posed and to provide values for the fixed variables for which we readily found a solution when playing with our original model as we did in the previous chapter. We then thought of a typical use for this model and developed a parameterized version based on that use. If this model were in a library, a future user of it would most often simply have to understand the parameters to create an instance of this type of model. We next added `NOTES`, a form of active comments, to the model. We suggest that notes are much more useful than comments as we can provide tools that can extract them and allow us to search them, for example, to find a model with a given functionality. Finally, we showed you how to create a script by turning on a "phone" session where `ASCEND` records the actions one takes when loading, compiling and solving a model. One can save and play this script in the future to see a typical use of the model.

In the next chapter, we look at how we can plot the results we created in the model `vesselTabulated.a4c`. We will have to reuse a model someone else has put into the library of available models. In other words, the "shoe is on the other foot," and we quickly experience the difficulties with reuse first hand. We will also learn how to run a case study from which we can extract the same information with a single vessel model run multiple times.

## Chapter 4

# Creating a plot (using a library model)

In this chapter we are going to produce a plot by using a model that someone else has created. We gain two lessons: (1) you will understand first hand the difficulties one encounters when trying to use a model someone else has created and (2) you will learn how to produce a plot in ASCEND. The approach we take is not the one you should take if your goal is simply to produce this plot. Our goal is pedagogical, not efficiency. In the last chapter we created an array of vessel models to produce the data that we now about to plot. We approached this problem this way so you could see how one creates arrays in ASCEND. Having this model, we have the data. The easiest thing we can do now it use it to produce a plot.

We also have in ASCEND the ability to do case studies over a model instance, varying one or more of the fixed variables for it over a range of values and capturing the values of other variables that result. This powerful case study tool is the proper way to produce this plot as ASCEND only has to compile one instance and solve it repeatedly rather than produce an array of models. We finish this chapter showing you how to use this case study tool.

### 4.1 Creating a plot

We want a plot of `metal_mass` values vs. `H_to_D_ratio`. If we look around at the available tools, we find there is a **Plot** option under the Display menu in the **Browser** window. While not obvious, it turns out we can plot the arrays we produce when we include instances of type `plt_plot_integer` and `plt_plot_symbol` in our model. We find these types in the file `plot.a4l` located in the ASCEND `models` directory which is distributed with ASCEND. Figure ?? is shows a distilled version of that file.

The file `plot.a4l`

```
MODEL pltmodel() REFINES cmumodel();
(* the methods in this MODEL library have
   basically nothing to do except exist.
*)
METHODS
  METHOD check_self;
  END check_self;
  METHOD scale_self;
  END scale_self;
  METHOD bound_self;
  END bound_self;
  METHOD default_all;
  END default_all;
  METHOD check_all;
  END check_all;
```



```

    METHOD bound_all;
    END bound_all;
    METHOD scale_all;
    END scale_all;
END pltmodel;

MODEL plt_point(
    x WILL_BE real;
    y WILL_BE real;
) REFINES pltmodel();
END plt_point;

MODEL plt_curve(
    npnts IS_A set OF integer_constant;
    y_data[npnts] WILL_BE real;
    x_data[npnts] WILL_BE real;
) REFINES pltmodel();
    (* points of matching subscript will be plotted in order of
       increasing subscript value.
    *)
    legend "Label for curve (displayed in legend box)"
    , format "colour/linestyle in pylab format , eg 'r-' for red line"
    IS_A symbol;
    FOR i IN [npnts] CREATE
        pnt[i] IS_A plt_point(x_data[i], y_data[i]);
    END FOR;
END plt_curve;

ATOM plt_integer_default_0 REFINES integer
    DIMENSIONLESS
    DEFAULT 0;
END plt_integer_default_0;

MODEL plt_plot_integer(
    curve_set IS_A set OF integer_constant;
    curve[curve_set] WILL_BE plt_curve;
) REFINES pltmodel();
    title "Plot title (shown at top)"
    , XLabel "X-axis label"
    , YLabel "Y-axis label"
    IS_A symbol;
    legend_position "Legend position (see http://matplotlib.sourceforge.net/api/pyplot\_ap
    IS_A plt_integer_default_0;
    Xlow, Ylow, Xhigh, Yhigh IS_A real;
    Xlog, Ylog IS_A boolean_start_false;
END plt_plot_integer;

MODEL plt_plot_symbol(
    curve_set IS_A set OF symbol_constant;
    curve[curve_set] WILL_BE plt_curve;
) REFINES pltmodel();
    title "Plot title (shown at top)"
    , XLabel "X-axis label"
    , YLabel "Y-axis label"

```

```

MODEL cmumodel();
(* This MODEL does nothing except provide a root
   for a collection of loosely related models.
   If it happens to reveal a few bugs in the software,
   and perhaps masks others, well, what me worry? BAA, 8/97.
*)
END cmumodel;

```

Figure 4.1: The code for cmumodel

```

        IS_A symbol;
    legend_position "Legend_position_(see_http://matplotlib.sourceforge.net/api/plot_ap
        IS_A plt_integer_default_0;
    Xlow, Ylow, Xhigh, Yhigh IS_A real;
    Xlog, Ylog IS_A boolean_start_false;
END plt_plot_symbol;

```

As you can see, this file contains the two types we seek. However, before we can use them, we do need to understand them. We are, so to speak, on the receiving end of the reusability issue. To make that less painful, we will examine how the above code works. If these models were better documented, they would be much less difficult to interpret. In time we will add Notes to them to remedy this deficiency.

#### 4.1.1 Model refinement

The first model, `pltmodel`, is two lines long, having a `MODEL` statement indicating it "refines" `cmumodel` and an `END` statement. We have not encountered the concept of refinement as yet. In `ASCEND` the to refine means the model `pltmodel` inherits all the statements of `cmumodel`, a model which has been defined at the end of the file `system.a41`. We show the code for `cmumodel` in Figure ??, and we note that it too is an empty model. It is, as it says, a root for a collection of loosely related models. You will note (and forgive) a bit of dry humor by its author, Ben Allan. So far as we know, this model neither provokes nor hides any bugs.

We need to introduce the concept of type refinement to understand these models. We divert for a moment to do just that.

Suppose model B refines model A. We call A the parent model and B the child. The child model B inherits all the code defining the parent model A. In writing the code for model B, we do not write the code it inherits from A; we simply understand it is there already. The code we write for model B will be only those statements that we wish to add beyond the code defining its parent. `ASCEND` supports only single inheritance; thus a child may have only one parent. A parent, on the other hand, may have many children, each inheriting its code.

We are dealing in `ASCEND` with models defined by their variables and equations. As we have noted above, the order for the statements defining each of these does not matter – i.e., the variables and equations may be defined in any order. So adding new variables and equations through refinement may be done quite easily.

In contrast, the methods are bits of procedural code – i.e., they are run as a sequence of statements where order does matter. In `ASCEND`, a child model will inherit all the methods of the parent. If you wish to alter the code for a method, you must replace it entirely, giving it the same name as the method to be replaced. (However, if you look into the documentation on the language syntax for methods, you will find that the original method is still available for execution. You simply have to add a qualifier to its name to point to it.)

If we look into this file we see the refinement hierarchy shown in Figure ?. 'cmumodel' is the parent model for all these models. `pltmodel` is its child. The remaining three models are children of `pltmodel`.

There are three reasons to support model refinement, with the last being the most important one.

- We write more compact code: The first reason is compactness of coding. One can inherit a lot of

please, explain  
"refines"

parents and  
children in  
a refinement  
hierarchy

order does not  
matter in non-  
procedural code

but it does in  
the procedural  
code for meth-  
ods

reasons for re-  
finement

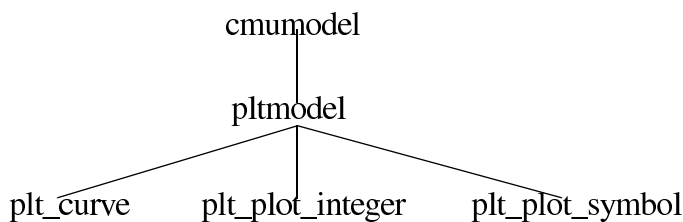


Figure 4.2: The refinement hierarchy in the file plot.a4l

code from a parent. Only the new statements belonging to the child are then written to define it. This is not a very important reason for having refinement.

- Changes we make to the parent propagate: A second reason is that one can edit changes into the parent and know that the children will inherit those changes without having to alter the code written for the child. (Of course, one can change the parent in such a way that the changes to the child are not what is wanted for the child, introducing what will likely become some interesting debugging problems.)
- We know what can substitute for what: The most important reason is that inheritance tells us what kinds of parts may be substituted for a particular part in a model. Because a child inherits all the code from its parent, we know the child has all the variables and equations defined for it that the parent does – and typically more. We can use an instance of the child as a replacement for an instance of the parent. Thus if you were to write a model with the part A1 of type A in it, someone else can create an instance of your model and substitute a part B1 which is of type B. This substituted part will have all the needed variables in it that you assumed would be there.

with the most important being we know what can substitute for what

This third reason says that when a object passed as a parameter WILL\_BE of type A, we know that a part of either type A or type B will work.

### 4.1.2 Continuing with creating a plot

We are going to include in our model a part of type `plt_plot_integer` or `plt_plot_symbol` that ASCEND can plot. We need to look at the types of parameters required by whichever of these two we select to include here. Tracing back to its parents, we see them to be empty so all the code for these types is right here.

The first parameter we need is a `curve_set` which is defined to be a set of `integer_constant` or of `symbol_constant`. We have to guess at this time at the purpose for `curve_set`. It would really help to have notes defining the intention here and to have a piece of code that would demonstrate the use of these models. At present, we do not. We proceed, admitting we will appear to "know" more than we should about this model. It turns out that `curve_set` allows us to identify each of the curves we are going to plot. These models assume we are plotting several variables (let's call them  $y[1]$ ,  $y[2]$ , ...) against the same independent variable  $x$ . The values for `curve_set` are the '1', '2', etc. identifying these curves.

Here we wish to plot only one curve presenting `metal_mass` vs. `H_to_D_ratio`. We can elect to use `plt_plot_symbol` and label this curve '5 mm'. The label '5 mm' is a symbol so we will create a set of type symbol with this single member.

The second object has to be a object of type `plt_curve`.

Looking at line 45, we see how to include an object of type `plt_curve`. It must be passed three objects: a set of integers (e.g., the set of integers from 1 to 20) and two lists of data giving the y-values vs. the x-values for the curve. In the model `tabulated_vessel_values`, we have just these two lists, and they are named `metal_mass` and `H_to_D_ratio`.

You need now to add to the model `tabulated_vessel_values` in Figure ?? (it is saved as `ves-selPlot.a4c`). It contains a part called `massVSratio` of type `plt_plot_symbol` that ASCEND can plot. This code is at the end of the declarative statements in `tabulated_vessel_values`. It also replaces the first method, `default_self`.]

Also just after the first line in this file – which reads

```

CurveSet "the_index_set_for_all_the_curves_to_be_plotted"
    IS_A set OF symbol_constant;
CurveSet := ['5mm'];

Curves['5mm']
    "the_one_curve_of_20_points_for_metal_mass\
    \vs.\H_to_D_ratio"
    IS_A plt_curve(
        [1..n_entries],
        metal_mass,
        H_to_D_ratio
    );
massVSratio "the_object_ASCEND_can_plot"
    IS_A plt_plot_symbol(
        CurveSet,
        Curves
    );

METHODS
METHOD default_self;
    (* set the title for the plot and the labels
    for the ordinate and abscissa *)
    massVSratio.title :=
        'Metal_mass_of_the_walls_vs_H_to_D_ratio\
        \for_a_thin-walled_cylindrical_vessel';
    massVSratio.XLabel := 'H_to_D_ratio';
    massVSratio.YLabel := 'metal_mass_IN_kg/m^3';
END default_self;

```

Figure 4.3: The last bit of new code to include a plot in the model `tabulated_vessel_values`

```
REQUIRE "atoms.a41";
```

place the instruction

```
REQUIRE "plot.a41";
```

When you solve this new instance and make `massSVratio` the current object, you will find the Plot option under the Display menu in the **Browser** window lights up and can be selected. If you do this, you will get a plot of `metal_mass` vs. `H_to_D_ratio`. A clear minimum is apparent on this plot at `H_to_D_ratio` equal to approximately one.

You should create a script to run this model just as you did for `vesselTabulated.a4c` in the previous chapter. Save it as `vesselPlot.a4s`.

## 4.2 Creating a case study from a single vessel

You may think creating an array of vessels and a complex plot object just to generate a graph is either an awful lot of work or a method which will not work for very large models. You think correctly on both points. The `plt_plot` models are primarily useful for sampling values from an array of inter-related models that represent a spatially distributed system such as the pillars in a bridge or the trays in a distillation column. You can conduct a case study, solving a single model over a range of values for some specified variable, using the Script command `STUDY`.

We will step through creating a base case and a case study using the vessel model. Start by opening a new buffer in the Script window and turning on the record button of the Scripts edit menu. In the Library window run the Delete all types button to clear out any previous simulations. Load the vessel model from the file `vesselMethods.a4c` you created in Section 3.2????.

### 4.2.1 The base case

Select and compile the vessel model. Give the simulation the name `V`. Select the simulation `V` in the bottom pane of the Library window and use the right mouse button (or **Alt-x b**) to send the simulation to the Browser.

In the Browser, place the mouse cursor over the upper left pane. Use the right mouse button to run methods `reset` and `values`, then send the model to the Solver by typing **Alt-x s**. Move the mouse to the **Solver** window and hit the **F5** key to solve the model.

We now know that it takes 535.7 kg of metal to make a 250 cubic foot vessel which is twice as high as it is broad. Suppose that now we want to know the largest volume that this amount of metal can contain assuming the same wall thickness is required. Perhaps a skinnier or fatter vessel can hold more, so we need to do a case study using the aspect ratio (`H_to_D_ratio`) as the independent variable. Use the Browser to change `V.metal_mass.fixed` to `TRUE`, since we are using a constant amount of metal. The solver will want you to free a variable now, so select `V.vessel_vol` to be freed, since volume is what we want to study.

Turn off the recording button on the Script window. The recording should look something like

script recorded  
so far

```
DELETE TYPES;
READ FILE {vesselMethods.a4c};
COMPILE V OF vessel;
BROWSE {V};
RUN {V.reset};
SOLVE {V} WITH QRS1v;
ASSIGN {V.metal_mass.fixed} TRUE {};
# you must type the next line in the script yourself.
ASSIGN {V.vessel_vol.fixed} FALSE {};
```

The file `vesselStudy.a4s` was recorded in a similar manner.

## 4.2.2 Case study examples

The STUDY command takes a lot of arguments. We'll explain them all momentarily, but should you forget them simply enter the command STUDY without arguments in the ASCEND Console window or xterm window to see an error message explaining the arguments and giving an example. Enter the following command in the Script window exactly as shown except for the file name following OUTFILE. Specify a file to be created in your ascdata directory.

```
STUDY {vessel_vol} \
IN {V} \
VARYING {{H_to_D_ratio} {0.1} {0.5} {0.8} {1} {1.5} {2} \
{3} {4} {8}} \
USING {QRS1v} \
OUTFILE {/usr0/ballan/ascdata/vvstudy.dat} \
ERROR STOP;
```

This is the simplest form of case study; the backslashes at the end of each line mean that it is all one big statement. Select all these lines in the Script at once with the mouse and then hit F5 to execute the study. The solver will solve all the cases and produce the output file vvstudy.dat. The quickest way to see the result is to enter the following command in the Script, then select and execute it. (Remember to use the name of your file and not the name shown).

```
ASC PLOT {/usr0/ballan/ascdata/vvstudy.dat};
ASC PLOT CLOSE; #omit if you want to see data table
```

You should get a graph that looks something like Figure 4-5???. The largest volume is in the neighborhood of an H\_to\_D\_ratio of 1.

### 4.2.2.1 Multi-variable studies

We now have an idea where the solution is most interesting, so we can do a detailed study where we also monitor other variables such as surface areas. Additional variables to watch can be added to the STUDY clause of the statement.

```
STUDY {vessel_vol} {end_area} {side_area} \
IN {V} \
VARYING {{H_to_D_ratio} {0.5} {0.6} {0.7} {0.8} {0.9} \
{1} {1.1} {1.2} {1.3}} \
USING {QRS1v} \
OUTFILE {/usr0/ballan/ascdata/vvstudy.dat} \
ERROR STOP;
ASC PLOT {/usr0/ballan/ascdata/vvstudy.dat};
ASC PLOT CLOSE; #omit if you want to see data table
```

### 4.2.2.2 Multi-parameter studies

We can also do a multi-parameter study, for example also varying the wall thickness allowed. In general, any number of the fixed variables can be varied in a single study, but be aware that ASCEND's relatively simple plotting capabilities do not yet include surface or contour maps so you will need another graphic tool to view really pretty pictures.

```
STUDY {vessel_vol} \
IN {V} \
VARYING \
{{H_to_D_ratio} {0.8} {0.9} {1} {1.1} {1.2} {1.3}} \
{{wall_thickness} {4 {mm}} {5 {mm}} {6 {mm}} {7 {mm}}}} \
USING {QRS1v} \
OUTFILE {/usr0/ballan/ascdata/vvstudy.dat} \
ERROR STOP;
ASC PLOT {/usr0/ballan/ascdata/vvstudy.dat};
```

**AscPlot**



Figure 4.4: Study of Volume as Function of H/D

In this study the peak volume occurs at the same H\_to\_D\_ratio for any wall thickness but the vessel volume increases for thinner walls. This may be hard to see with the default graph settings, but column 2 in rows 8-11 (H\_to\_D = 1.0) of the ASCPLOT data table have the largest volumes for any given thickness in column 1. Notice that the units must be specified for the wall\_thickness values in the VARYING clause.

#### 4.2.2.3 Plotting output with other tools

To convert the study results from the ASCPLOT format to a file more suitable for importing into a spreadsheet, the following command does the trick. As usual, change the names to match your ascd data directory.

```
asc_merge_data_files excel \  
{/usr0/ballan/ascd data/vvs.txt} \  
{/usr0/ballan/ascd data/vvstudy.dat}
```

If you prefer Matlab style text, substitute matlab for excel in the line above and change the output name from vvs.txt to vvs.m.

### 4.2.3 STUDY behavior details

We now turn to the details of the STUDY statement. As we saw in Section ??, any number of variables to be monitored can follow the STUDY keyword.

The IN clause specifies which part of a simulation is to be sent to the Solver; a small part of a much larger model can be studied if you so desire. All the variable and parameter names that follow the STUDY keyword and that appear in the VARYING clause must be found in this part of the simulation.

The VARYING clauses is a list of lists. Each inner list gives the name of the parameter to vary followed by its list of values. Each possible combination of parameter values will be attempted in multi-parameter studies. If a case fails to solve, then the study will behave according to the option set in the ERROR clause.

The solver named in the USING clause is invoked on each case. The solver may be any of the algebraic solvers or optimizers, but the integrators (e.g. LSODE) are not allowed.

The case data are stored in the file name which appears in the OUTFILE clause. By default, this file is overwritten when a STUDY is started, so if you want multiple result files, use separate file names.

When the solver fails to converge or encounters an error, the STUDY can either ignore it (ERROR IGNORE) and go on to the next case, warn you (ERROR WARN) and go on to the next case, or stop (ERROR STOP). The ERROR option makes it possible start a case study and go to lunch. Cases which fail to solve will not appear in the output data file.

Note that if the model is numerically ill-behaved it is possible for a case to fail when there is in fact a solution for that combination of parameters. STUDY uses the solution of the last successfully solved case as the initial guess for the next case, but sometimes this is not the best strategy. STUDY also does not attempt to rescale the problem from case to case. When a case that you think should succeed fails, go back and investigate that region of the model again manually or with a more narrowly defined study.

## 4.3 Discussion

We have just led you step by step through the process of creating, debugging and solving a small ASCEND model. We then showed you how to make this model more reusable, first by adding comments and methods. Methods capture the "how you got it well-posed" experience you had when first solving an instance of the vessel model. We then showed you how to parameterize this model and then use it to construct a table of metal\_mass values vs. H\_to\_D\_ratio values. Finally we showed you how to add a plot of these results. You should next look at the chapter in the documentation where you create two more small ASCEND models. This chapter gives you much less detail on the buttons to push. Finally, if you are a chemical engineer, you should look at the chapter on the script and model for a simple flowsheet (simple\_fs.a4s and simple\_fs.a4c respectively).



With this experience you should be ready to write your own simple ASCEND models to solve problems that you might now think of solving using a spreadsheet. Remember that once you have the model debugged in ASCEND, you can solve inside out, backwards and upside down and NOT just the way you first posed it – unlike your typical use of a spreadsheet model.

## Chapter 5

# Managing model definitions, libraries, and projects

Most complex models are built from parts in one or more libraries. In this chapter we show typical examples of how to make sure your model gets the libraries it needs. We then explain in more general terms the ASCEND mechanism which makes this work and how you can use it to manage multiple modeling projects simultaneously.

### 5.1 Using REQUIRE and PROVIDE

#### 5.1.1 REQUIREing `system.a41`

Suppose you are in a great hurry and want to create a simple model and solve it without concern for good style, dimensional consistency, or any of the other hobgoblins we preach about elsewhere. You will write equations using only `generic_real` variables as defined in `system.a41`. The equations in this example do not necessarily have a solution. In your `ascdata` (see `howto1`) directory you create an application model definition file "`myfile.a4c`" which looks like:

```
REQUIRE "system.a41";
MODEL quick_n_dirty;
x = y^2;
y = x + 2*z;
z = cos(x+y);
x,y,z IS_A generic_real;
(* homework problem 3, due May 21. *)
END quick_n_dirty;
```

The very first line `REQUIRE "system.a41";` tells ASCEND to find and load a file named `system.a41` if it has not already been loaded or provided in some other way. This `REQUIRE` statement must come before the `MODEL` which uses the `generic_real` ATOM that `system.a41` defines.

The `REQUIRE` statements in a file should all come at the beginning of the file before any other text, including comments. This makes it very easy for other users or automated tools to determine which files, if any, your models require.

On the ASCEND command line (in the Console window or `xterm`) or in the Script window, you can then enter and execute the statement

```
READ FILE "myfile.a4c";
```

to cause `system.a41` and then `myfile.a4c` to be loaded.

### 5.1.2 Chaining required files

Notice when you read `myfile.a4c` that ASCEND prints messages about the files being loaded. You will see that a file `basemodel.a41` is also loaded. In `system.a41` you will find at the beginning the statements

```
REQUIRE "basemodel.a41";
PROVIDE "system.a41";
```

The `basemodel` library is loaded in turn because of the `REQUIRE` statement in `system.a41`. We will come back to what the `PROVIDE` statement does in a moment. This chaining can be many files deep. To see a more complicated example, enter

```
READ FILE column.a41;
```

and watch the long list of files that gets loaded. If you examine the first few lines of each file in the output list, you will see that each file `REQUIRES` only the next lower level of libraries. This style minimizes redundant loading messages and makes it easy to substitute equivalent libraries in the nested lower levels without editing too many higher level libraries. The term "equivalent libraries" is defined better in the later section on `PROVIDE`.

### 5.1.3 Better application modeling practice

It is generally a bad idea to create a model using only `generic_real` variables. The normal practice is to use correct units in equations and to use dimensional variables. In the following file we see that this is done by requiring `atoms.a41` instead of `system.a41` and by using correct units on the coefficients in the equations.

never require  
system.a41 in  
an application  
model.

```
REQUIRE "atoms.a41"; MODEL quick_n_clean;
x = y^2/1{PI*radian};
y = x + 2{PI*radian}*z;
z = cos(x+y);
x, y IS_A angle;
z IS_A dimensionless;
(* homework problem 3, due May 21. *)
END quick_n_clean;
```

### 5.1.4 Substitute libraries and PROVIDE

ASCEND keeps a list of the already loaded files, as we hinted at in Section ???. A library file should contain a `PROVIDE` statement, as `system.a41` does, telling what library it supplies. Normally the `PROVIDE` statement just repeats the file name, but this is not always the case. For example, see the first few lines of the file `ivpsystem.a41`, which include the statement

```
PROVIDE "system.a41";
```

indicating that `ivpsystem.a41` is intended to be equivalent to file `system.a41` while also supplying new features. When `ivpsystem.a41` is loaded both `"system.a41"` and `"ivpsystem.a41"` get added to the list of already loaded files. For one explanation of when this behavior might be desirable, see Section ???. Another use for this behavior is when creating and testing a second library to eventually replace the first one.

When a second library provides compatible but extended definitions similar to a first library, the second can be substituted for the first one. The second library will obviously have a different file name, but there is no need to load the first library if we already have the second one loaded. `ivpsystem.a41` is a second library substitutable for the first library `system.a41`. Note that the reverse is not true: `system.a41` does not

```
PROVIDE "ivpsystem.a41";
```

so `system` is not a valid substitute for `ivpsystem`.

### 5.1.5 REQUIRE and combining modeling packages

Model libraries frequently come in interrelated groups. For example, the models referred to in Ben Allan's thesis are published electronically as a package `models/ben/` in ASCEND IV release 0.9. To use Ben's distillation libraries, which require rather less memory than the current set of more flexible models, your application model should have the statement

```
REQUIRE "ben/bencolumn.a41";
```

at the beginning.

Combining models from different packages may be tricky if the package authors have not documented them well. Since all packages are open source code that you can copy into your `ascdata` directory and modify to suit your needs, the process of combining libraries usually amounts to changing the names of the conflicting model definitions in your copy.

Do NOT use `\` instead of `/` in the package name given to a `REQUIRE` statement even if you are forced to use Microsoft Windows.

## 5.2 How REQUIRE finds the files it loads

The file loading mechanism of `REQUIRE` makes it simple to manage several independent sets of models in simultaneous development. We must explain this mechanism or the model management may seem somewhat confusing. When a statement is processed, ASCEND checks in a number of locations for a file with that name: `ascdata`, the current directory, and the `ascend4/models` directory. We will describe how you can extend this list later. ASCEND also looks for model packages in each of these same locations.

### 5.2.1 ascdata

If your `ascdata` directory exists and is readable, ASCEND looks there first for required files. Thus you can copy one of our standard libraries from the directory `ascend4/models` to your `ascdata` directory and modify it as you like. Your modification will be loaded instead of our standard library. The `ascdata` directory is typically put into your HOME directory (see Section ??).

### 5.2.2 the current directory

The current directory is what you get if you type `'pwd'` at the ASCEND Console or xterm prompt. Under Microsoft Windows, the current directory is usually some useless location. Under UNIX, the current directory is usually the directory from which you started ASCEND.

### 5.2.3 ascend4/models/

The standard (CMU) models and packages distributed with ASCEND are found in the `ascend4/models/` subdirectory where ASCEND is installed. This directory sits next to the directory `ascend4/bin/` where the `ascend4` or `ascend4.exe` executable is located.

### 5.2.4 Multiple modeling projects

If you dislike navigating multi-level directories while working on a single modeling project, you can separate projects by keeping all files related to your current project in one directory and changing to that directory before starting ASCEND. If you have files that are required in all your projects, keep those files in your `ascdata` directory. Under Windows, `cd` to the directory containing the current project from the Console window after starting ASCEND.

### 5.2.5 Example: Finding ben/bencolumn.a41

Suppose an application model requires `bencolumn.a41` from package `ben` as shown in Section ??. Normally ASCEND will execute this statement by searching for:

```
~/ascdata/ben/bencolumn.a4l
./ben/bencolumn.a4l
$ASCENDDIST/ascend4/models/ben/bencolumn.a4l
```

Assuming we started ASCEND from directory `/usr1/ballan/projects/test1` under UNIX, the full names of these might be

```
/usr0/ballan/ascdata/ben/bencolumn.a4l
/usr1/ballan/projects/test1/ben/bencolumn.a4l
/usr/local/lib/ascend4/models/ben/bencolumn.a4l
```

Assuming we started ASCEND from some shortcut on a Windows desktop, the full names of these locations might be

```
C:\winnt\profiles\ballan\ascdata\ben\bencolumn.a4l
C:\Program Files\netscape\ben\bencolumn.a4l
C:\ASCEND\ascend4\models\ben\bencolumn.a4l
```

The first of these three which actually exists on your disk will be the file that is loaded.

### 5.2.6 How REQUIRE handles file and definition conflicts

Normally you simply delete all types before loading a new or revised set of ASCEND models and thus you avoid most conflicts. When you are working with a large simulation and several smaller ones, you may not want to delete all the types, however. We decided to make REQUIRE handle this situation and the almost inevitable redundant REQUIRE statements that occur in the following reasonable way.

When a file is REQUIRED, ASCEND first checks the list of loaded and provided files for a name that matches. If the name is found, then that file is checked to see if it has changed since it was loaded. If the file has changed, then any definition that was changed is loaded in the ASCEND Library and the new definition is used in building any subsequently compiled simulations. Old simulations remain undisturbed and are not updated to use the new definitions since there may be conflicts that cannot be automatically resolved.

### 5.2.7 Extending the list of searched directories

ASCEND uses the environment variable `ASCENDLIBRARY` as the list of directory paths to search for required files. Normally you do not set this environment variable, and ASCEND works as described above.

To see or change the value of `ASCENDLIBRARY` that ASCEND is using, examine `ASCENDLIBRARY` in the System utilities window available from the Script Tools menu. Changes made to environment variables in the utilities window are NOT saved. If you are clever enough to set environment variables before running ASCEND, you can make it look anywhere you want to put your model files. Consult your operating system guru for information on setting environment variables if you do not already know how.

## Chapter 6

# Plotting data sampled from complex models

Often you need a plot of data sampled from arbitrary locations in a model that are not naturally grouped in a single easily plotted vector. The `plot.a4l` library provides models (`plt_curve`, `plt_plot_symbol`, and `plt_plot_integer`) that can be used with the Browser's Display Plot button. In this chapter we see how to create such a plot using the ASCEND statement `ALIASES/IS_A` to sample data from a mechanical system of stretched springs, masses, anchors, and fingers. Creating plots of time series data output from ASCEND's initial value solver LSODE is discussed in Chapter ??.

Chemical engineers who can tolerate distillation models should visit the file `plotcol.a4c` in the `models` library for more complicated examples of plotting and visit the model `simple_column_profiles` in `column.a4l` for more complicated examples of sampling data. Reading this chapter first may be of help in interpreting those models.

### 6.1 The graph we want

We want to plot the positions `X1` to `X3` of the connecting hooks `h1`, `h2`, and `h3` in a mechanical system as shown in Figure ??. The anchor, hooks, springs, and finger (we could replace either spring with a block mass, also) are all separate objects which we have modeled very simply. These models are given at the end of the chapter and can also be found (with improvements) in `force1d.a4c`, a model file in the distributed ASCEND libraries.

Plotting is usually a post-solution analysis tool, so our plots should not be entangled with the basic models or with the total mechanical system model, `st`. We might want to explain the system `st` to someone and this could be hard to do if the code is cluttered up with plot information.

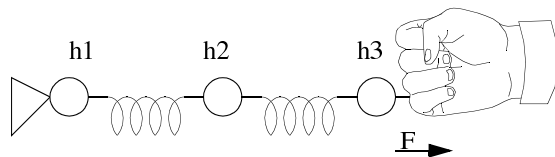


Figure 6.1: Spring test model system, `st`

## 6.2 Constructing a plot curve

The plot library models follow object-oriented thinking carefully, perhaps a little too carefully. A `plt_plot_integer` is a plottable model built out of `plt_curves` which are in turn built out of arrays of data points from the user. Constructing these data arrays is the only significant challenge in using the plot models. Begin by building a new model with the system `st` as a part:

```
MODEL plot_spring_test;
  st IS_A spring_test;
  Plot_X IS_A plt_plot_integer(curve_set,curves);
END plot_spring_test;
```

We want to create a `plt_curve` from the array of hook numbers `y_data[1..3]` plotted against horizontal hook position `x_data[1..3]`. There are obvious problems with the model above: `curves` and `curve_set` are used without being defined, and there is no mention of `x_data` or `y_data`.

Begin by using an `ALIASES/IS_A` statement to construct the array of positions `x_data` from the variables `X` stored in the hooks of model `st`.

```
x_data[Xset] ALIASES (st.h1.X,st.h2.X,st.h3.X)
  WHERE Xset IS_A set OF integer_constant;
```

This statement creates a set, `Xset`, indexing a new array `x_data` with elements collected from `st`. Since the value of `Xset` is not specified, it becomes by default the set `[1,2,3]`.

Now we need the hook numbers, `y_data`. These do not exist in `st`, so we create them. We will set the numeric values of these in the `default_self` method. We will include method in the final model, but do not show it here.

```
y_data[Xset] IS_A real;
```

Having both `y_data` and `x_data`, we can construct a curve from them:

```
X_curve IS_A plt_curve(Xset,y_data,x_data);
```

## 6.3 Constructing the array of curves

We have a curve, but the `plt_plot_integer` model `Plot_x` expects an array of curves and the set indexing this array as input. We can make both from `X_curve` easily using, once again, an `ALIAS-ES/IS_A` statement.

```
curves[curve_set] ALIASES (X_curve)
  WHERE curve_set IS_A set OF integer_constant;
```

All the pieces are now in place, so we have the final model:

```
MODEL plot_spring_test;
  (* create our system model and plot. *)
  st IS_A spring_test;
  Plot_X IS_A plt_plot_integer(curve_set,curves);
  (* Gather the sampled data into an array *)
  x_data[Xset] ALIASES (st.h1.X,st.h2.X,st.h3.X)
  WHERE Xset IS_A set OF integer_constant;
  (* Create the Y coordinates *)
  y_data[Xset] IS_A real;
  (* create the curve *)
  X_curve IS_A plt_curve(Xset,y_data,x_data);
  (* Make X_curve into the array for plt_plot_integer *)
  curves[curve_set] ALIASES (X_curve) WHERE
  curve_set IS_A set OF integer_constant;
```

```

METHOD default_self;
RUN st.default_self;
st.s1.LO := 0.2{m}; (* make st more interesting *)
RUN Plot_X.default_self;
RUN X_curve.default_self;
FOR i IN Xset DO
y_data[i] := i;
END FOR;
X_curve.legend := 'meter';
Plot_X.title := 'Hook locations';
Plot_X.XLabel := 'location';
Plot_X.YLabel := 'hook #';
END default_self;
END plot_spring_test;

```

## 6.4 Resulting position plot

We can compile the plot model and obtain the graph in with the following short script.

```

READ FILE force1d.a4c;
COMPILE pst OF plot_spring_test;
BROWSE {pst};
RUN {pst.st.reset};
SOLVE {pst.st} WITH QRSLv;
PLOT {pst.Plot_X} ;
SHOW LAST;

```

We can also obtain the plot by moving to `pst.Plot_X` in the Browser window and then pushing the Display→Plot button or then typing "Alt-d p". We see the hooks are positioned near 0, 230, and 370 mm. We also see that xgraph sometimes makes less than pretty graphs (Figure ??).

## 6.5 1-D mechanical hook, spring, mass, anchor, and finger models

The models used in this chapter are very simple versions of masses and springs horizontally at rest, but possibly under tension, stretched between an anchor and a finger. Only the code absolutely necessary for this example is given here; the full code with methods and additional comments is given in `force1d.a4c`, an ASCEND modeling example in the library.

These models could easily be extended to include mass, momentum, and acceleration in two or three dimensions. Most of the methods in the `force1d.a4c` models are unedited from the code generated by the ASCEND Library button Edit→Suggest method. If you improve on these models, please share them with us and the rest of the ASCEND community.

```

REQUIRE "atoms.a41";
CONSTANT spring_constant REFINES real_constant DIMENSION M/T^2;
CONSTANT position_constant REFINES real_constant DIMENSION L;
ATOM position REFINES distance DEFAULT 0{m};
END position;
MODEL hook;
  F_left, F_right IS_A force;
  F_left = F_right;
  X IS_A position;
METHODS
METHOD default_self;
  (* ATOM defaults are fine *)
END default_self;

```



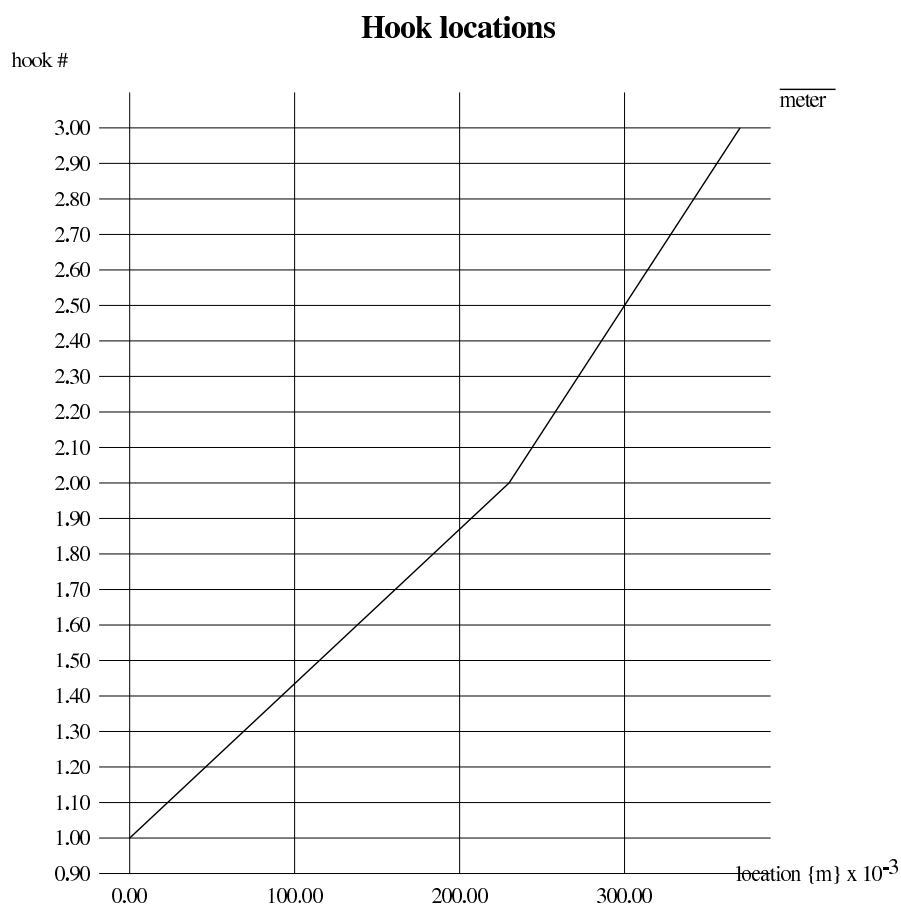


Figure 6.2: Plot\_X in plot\_spring\_test

```

METHOD specify;
  F_right.fixed := TRUE;
END specify;
METHOD specify_float;
END specify_float;
END hook;
MODEL massless_spring(
  k IS_A spring_constant;
  h_left WILL_BE hook;
  h_right WILL_BE hook;
) WHERE (
  h_left, h_right WILL_NOT_BE_THE_SAME;
);
  L0, dx IS_A distance;
  h_right.X = h_left.X + L0 + dx;
  F = k * dx;
  h_left.F_right = F;
  h_right.F_left = F;
  F IS_A force;
METHODS
METHOD default_self;
  dx := 1{cm};
  L0 := 10{cm};
END default_self;
METHOD specify;
  L0.fixed := TRUE;
  RUN h_left.reset;
  RUN h_right.reset;
  h_left.F_right.fixed := FALSE;
  h_left.X.fixed := TRUE;
END specify;
METHOD specify_float;
  L0.fixed := TRUE;
  RUN h_left.specify_float;
  RUN h_right.specify_float;
END specify_float;
END massless_spring;
MODEL massless_block(
  h_left WILL_BE hook;
  h_right WILL_BE hook;
) WHERE (
  h_left, h_right WILL_NOT_BE_THE_SAME;
);
  width IS_A distance;
  h_left.F_right = h_right.F_left;
  h_right.X = h_left.X + width;
  X "center of the block" IS_A position;
  X = width/2 + h_left.X;
METHODS
METHOD default_self;
  width := 3{cm};
END default_self;
METHOD specify;
  width.fixed := TRUE;
  RUN h_left.reset;
  h_left.F_right.fixed := FALSE;

```





```
METHODS
METHOD default_self;
  RUN st.default_self;
  st.s1.L0 := 0.2{m};
  RUN X_curve.default_self;
  RUN Plot_X.default_self;
  FOR i IN Xset DO
    y_data[i] := i;
  END FOR;
  X_curve.legend := 'meter';
  Plot_X.title := 'Hook locations';
  Plot_X.XLabel := 'location {m}';
  Plot_X.YLabel := 'hook #';
END default_self;
END plot_spring_test;
```

## Chapter 7

# Defining Variables and Scaling Values

the purpose of  
this chapter

By now you have probably read Chapter ?? and seen an example of how to create a model using existing variable types in ASCEND. You found that variables of types `area`, `length`, `mass`, `mass_density`, and `volume` were needed and that they could be found in the library `atoms.a41`. You want to know how to generalize on that; how to use variables, constants, and scaling values in your own models so that the models will be easier to solve.

This chapter is meant to explain the following things:

- The "Big Picture" of how variables, constants, and scaling values relate to the rest of the ASCEND IV language and to equations in particular. We'll keep it simple here. More precise explanations for the language purist can be found in our syntax document `**syntax.fm5**`. You do not need to read about the "Big Picture" in order to read and use the other parts of this chapter, but you may find it helpful if you are having trouble writing an equation so that ASCEND will accept it.
- How to find the type of variable (or constant) you want. We keep a mess of interesting `ATOM` and `CONSTANT` definitions in `atoms.a41`. We provide tools to search in already loaded libraries to locate the type you need.
- How to define a new type of variable when we do not have a predefined `ATOM` or `CONSTANT` that suits your needs. It is very easy to define your own variable types by copying code into an `atoms` library of your own from `atoms.a41` and then editing the copied definition.
- How to define a scaling variable to make your equations much easier to solve.

### 7.1 The Big Picture: a taxonomy

As you read in Chapter ??, simulations are built from `MODEL` and `ATOM` definitions, and `MODEL` and `ATOM` definitions are defined by creating types in an ASCEND language text file that you load into the ASCEND system. Figure ?? shows the types of objects that can be defined. You can see there are many more types than simply real variables used for writing equations. Some of these types can also be used in equations. You also see that there are three kinds of equations, not simply real relations. Throughout our documentation we call real relations simply "relations" because that is the kind of equation most people are interested in most of the time. Notice that "scaling values" do not appear in this diagram. We will cover scaling values at the end of this The major features of this diagram are:

#### ATOM

- Any variable quantity for use in relations, logical relations, or when statements or other computations. These come in the usual programming language flavors `real`, `boolean`, `symbol`, `integer`. Not all kinds of atoms can be used in all kinds of equations, as we shall explain when describing

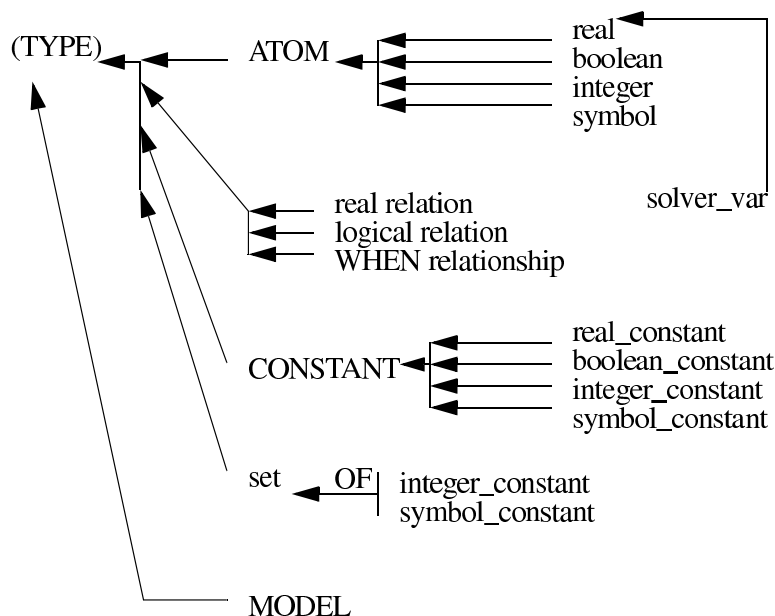


Figure 7.1: The big picture: how to think about variables

relations in a little bit. Atoms may be assigned values many times interactively, with the Script ASSIGN statement, with the METHOD := assignment operator, or by an ASCEND client such as a solver.

An ATOM may have attributes other than its value, such as `.fixed` in `solver_var`, but these attributes are not atoms. They are subatomic particles and cannot be used in equations. These attributes are interpretable by ASCEND clients, and assignable by the user in the same ways that the user assigns atom values.

Each subatomic particle instance belongs to exactly one atom instance (one variable in your compiled simulation). This contrasts with an atom instance which can be shared among several models by passing the atom instance from one model into another or by creating aliases for it.

## CONSTANT

- Constants are "variables" that can be assigned no more than once. By convention, all constant types in `atoms.a4l` have names that end in `_constant` so that they are not easily confused with atoms. A constant gets a values from the DEFAULT portion of its type definition, by an interactive assignment, or by an assignment in the a model which uses the `:=` assignment operator. Constants cannot be assigned in a METHOD, nor can they be assigned with the `:=` operator.

Integer and symbol constants can appear as members of sets or as subscripts of arrays. Integer, boolean, and symbol constants can be used to control SELECT statements which determine your simulation's structure at compile-time or to control SWITCH and WHEN behavior during problem solving .

## set

- Sets are unordered lists of either integer or symbol constants. A set is assigned its value exactly once. The user interface always presents a set in sorted order, but this is for convenience only. Sets are useful for defining an array range or for writing indexed relations. More about sets and their use can be found in `**syntax.fm5**`.

## relationships

- Relations and logical relations allow you to state equalities and inequalities among the variables and constants in you models. WHEN statements allow you to state relationships among the

models and equations which depend on the values of variables in those models. Sets and symbols are not allowed in real or logical relations except when used as array subscripts.

Real relations relate the values of real atoms, real constants, and integer constants. Real relations cannot contain boolean constants and atoms, nor can they contain integer atoms.

Logical relations relate the values of boolean atoms and boolean constants. The **SATISFIED** operator makes it possible to include real relations in a logical relation. Neither integer atoms and constants nor real atoms and constants are allowed in logical relations. If you find yourself trying to write an equation with integer atoms, you are really creating a conditional model for which you should use the **WHEN** statement instead. See **conditional modeling** to learn about how ASCEND represents this kind of mathematical model. There are also a real variable types, `solver_integer` and `solver_binary`, which are used to formulate equations when the solver is expected to initially treat the variable as a real value but drive it to an integer or 0-1 value at the solution. The integer programming features of ASCEND are described **elsewhere**.

Like atoms, real and logical relations may have attributes, subatomic particles for use by ASCEND clients and users. The name of a relation can be used in writing logical relations and **WHEN** statements.

**WHEN** statements are outside the scope of this chapter; please see **conditional modeling** or **syntax.fm5** for the details.

## MODEL

- A model is simply a container for a collection of atoms, constants, sets, relations, logical relations, when statements, and arrays of any of these. The container also specifies some of the methods that can be used to manipulate its contents. Compiling a model creates an instance of it— a simulation.

## SOLVER\_VAR

- The real atom type `solver_var` is the type from which all real variables that you want the system to solve for must spring. If you define a real variable using a type which is not a refinement of `solver_var`, all solvers will treat that variable as an a scaling value or other given constant rather than as a variable.

`Solver_vars` have a number of subatomic attributes (`upper_bound`, `lower_bound`, and so forth) that help solvers find the solution of your model. **ATOM** definitions specify appropriate default values for these attributes that depend on the expected applications of the atom. These attribute values can (and should) be modified by methods in the final application model where the most accurate problem information is available.

## Scaling value

- A real that is not a member of the `solver_var` family is ignored by the solver. Numerical solvers for problems with many equations in many variables work better if the error computed for each equation (before the system is solved) is of approximately size 1.0. This is most critical when you are starting to solve a new problem at values far, far away from the solution. When the error of one equation is much larger than the errors in the others, that error will skew the behavior of most numerical solvers and will cause poor performance.

This is one of the many reasons that scientists and engineers work with dimensionless models: the process of scaling the equations into dimensionless form has the effect of making the error of each equation roughly the same size even far away from the solution. It is sometimes easiest to obtain a dimensionless equation by writing the equation in its dimensional form using natural variables and then dividing both sides by an appropriate scaling value. We will see how to define an atom for scaling purposes in the last part of this chapter.



## 7.2 How to find the right variable type

The type of real atom you want to use depends first on the dimensionality (length, mass/time, etc.) needed and then on the application in which the atom is going to be used. For example, if you are modeling a moving car and you want an atom type to describe the car's speed, then you need to find an atom with dimensionality length/time or in ASCEND terms L/T. There may be two or three types with this dimensionality, possibly including `real_constants`, a real scaling value, and an atom derived from `solver_var`.

Load atoms.a4l

The first step to finding the variable type needed is to make sure that `atoms.a4l` is loaded in your Library window from `ascend4/models/atoms.a4l`.

Find an ATOM or CONSTANT by units

The next step is to open the "ATOM by units" dialog found in the Library window's Find menu. This dialog asks for the units of the real variable type you want. For our example, speed, you would enter "feet/second," "furlongs/fortnight," "meter<sup>3</sup>/second/ft<sup>2</sup>" or any other combination of units that corresponds to the dimensionality L/T.

If the system is able to deduce the dimensionality of the units you have entered, it will return a list of all the currently loaded ATOM and CONSTANT definitions with matching dimensions. It may fail to understand the units, in which case you should try the corresponding SI units. If it understands the units but there are no matching atoms or constants, you will be duly informed. If there is no atom that meets your needs, you should create one as outlined in *the next section*.

Selecting the right type

The resulting list of types includes a Code button which will display the definition of any of the types listed once you select (highlight) that type with the mouse. Usually you will need to examine several of the alternatives to see which one is most appropriate to the physics and mathematics of your problem. Compare the default, bounds, and nominal values defined to those you need. Check whether the type you are looking at is a CONSTANT or an ATOM.

You now know the name of the variable type you need, or you know that you must create a new one to suit your needs.

## 7.3 How to define a new type of variable

In this section we will give examples of defining the atom and constant types as well as outline a few exceptional situations when you should NOT define a new type. More examples can be found and copied from `atoms.a4l`. You should define your new atoms in your personal atoms library.

Saving customized variable types

The user data file `~\ascdata\myatoms.a4l` is the normal location for a personal library. This file contains the following three lines and then the ATOM and CONSTANT definitions you create.

```

REQUIRE "atoms.a4l"; (* loads our atoms first *)
PROVIDE "myatoms.a4l"; (* registers your library *)
(* Custom atoms created by <insert your name here> *)

```

If you develop an interesting set of atoms for some problem domain outside chemical engineering thermodynamics, please consider mailing it to us through our web page.

The user data directory `~/ascdata` may have a different name if you are running under Windows and do not have the environment variable HOME defined. It may be something like `C:\ascdata` or `\WINNT\Profiles\Your Name\ascdata`. When ASCEND is started, it prints out the name of this directory.

When you write a MODEL which depends on the definition of your new atoms, do not forget to add the statement

```

REQUIRE "myatoms.a4l";

```

at the very top of your model file so that your atoms will be loaded before your model definitions try to use them.

### 7.3.1 A new real variable for solver use

Suppose you need an atom with units {dollar/ft<sup>2</sup>/year} for some equation relating amortized construction costs to building size. Maybe this example is a bit far fetched, but it is a safe bet that our

library is not going to have an atom or a constant for these units. Here is the standard incantation for defining a new variable type based on `solver_var`. ASCEND allows a few permutations on this incantation, but they are of no practical value. The parts of this incantation that are in italics should be changed to match your needs. You can skip the comments, but you *must* include the units of the default on the bounds and nominal.

```

ATOM amortized_area_cost
REFINES solver_var DEFAULT 3.0 {dollar/ft^2/year};
lower_bound := 0 {dollar/ft^2/year};
(* minimum value *)
upper_bound := 10000 {dollar/ft^2/year};
(* maximum value for any sane application *)
nominal := 10 {dollar/ft^2/year};
(* expected size for all reasonable applications*)
END amortized_area_cost;

```

In picking the name of your atom, remember that names should be as self-explanatory as possible. Also avoid choosing a name that ends in `_constant` (as this is conventionally applied only to `CONSTANT` definitions) or `_parameter`. `Parameter` is an extremely ambiguous and therefore useless word. Also remember that the role a variable plays in solving a set of equations depends on how the solver being applied interprets `.fixed` and other attributes of the variable.

Exceptions

If an atom type matches all but one of the attributes you need for your problem, say for example the `upper_bound` is way too high, use the existing variable type and reassign the bound to a more sensible value in the `default_self` method of the model where the variable is created. Having a dozen atoms defined for the same units gets confusing in short order to anyone you might share your models with.

The exception to the exception (yes, there always seems to be one of those) is the case of a `lower_bound` set at zero. Usually a `lower_bound` of zero indicates that there is something inherently positive about variables of that type. Variables with a bound of this type should not have these physical bounds expanded in an application. Another example of this type of bound is the `upper_bound` 1.0 on the type fraction.

For example, negative temperature just is not sensible for most physical systems. ASCEND defines a temperature atom for use in equations involving the absolute temperature. On the other hand, a temperature difference,  $\Delta T$ , is frequently negative so a separate atom is defined. Anyone receiving a model written using the two types of atoms, which both have units of {Kelvin}, can easily tell which variables might legitimately take on negative values by noting whether the variable is defined as a temperature or a `delta_temperature`.

### 7.3.2 A new real constant type

Real constants which do not have a default value are usually needed only in libraries of reusable models, such as `components.a41`, where the values depend on the end-user's selection from alternatives in a database. The standard incantation to define a new real constant type is:

```

CONSTANT critical_pressure_constant
REFINES real_constant DIMENSION M/L/T^2;

```

Here again, the italic parts of this incantation should be redefined for your purpose.

Universal exceptions and unit conversions

It is wasteful to define a `CONSTANT` type and a compiled object to represent a universal constant. For example, the thermodynamic gas constant,  $R = 8.314\dots$  {J/mole/K}, is frequently needed in modeling chemical systems. The SI value of  $R$  does not vary with its application. Neither does the value of  $\pi$ . Numeric constants of this sort are better represented as a numeric coefficient and an appropriately defined unit conversion. Consider the ideal gas law,  $PV = NRT$  and the ASCEND unit conversion {GAS\_C} which appears in the library `ascend4/models/measures.a41`. This equation should be written:

```

P * V = n * 1.0{GAS_C} * T;

```

Similarly,  $\text{area} = \text{pi} * \text{r}^2$  should be written

```
area = 1{PI} * r^2;
```

The coefficient 1 of {GAS\_C} and {PI} in these equations takes of the dimensionality of and is multiplied by the conversion factor implied by the UNITS definition for the units. If we check `measures.a41`, we find the definition of PI is simply {3.14159...} and the definition of GAS\_C is {8.314... J/mole/K} as we ought to expect.

For historical reasons there are a few universal constant definitions in `atoms.a41`. New modelers should not use them; they are only provided to support outdated models that no one has yet taken the time to update.

### 7.3.3 New types for integers, symbols, and booleans

The syntax for ATOM and CONSTANT definitions of the non-real types is the same as for real number types, except that units are not involved. Take your best guess based on the examples above, and you will get it right. If even that is too hard, more details are given in `**syntax.fm5**`.

## 7.4 How to define a scaling variable

A scaling variable ATOM is defined with a name that ends in `_scale` as follows. Note that this ATOM does not refine `solver_var`, so solvers will not try to change variables of this type during the solution process.

```
ATOM distance_scale REFINES real DEFAULT 1.0{meter};
END distance_scale;
```

ASCEND uses a combination of symbolic and numerical techniques to create and solve mathematical problems. Once you get the problem close to the solution, ASCEND can internally compute its own scaling values for relations, known elsewhere as "relation nominals," assuming you have set good values for the `.nominal` attribute of all the variables. It does this by computing the largest additive term in each equation. The absolute value of this term is a very good scaling value.

This internal scaling works quite well, but not when the problem is very far away from the solution so that the largest additive terms computed are not at all representative of the physical situation being modeled. The `scale_self` method, which should be written for every model as described in Section ?? of Chapter ?? should set the equation scaling values you have defined in a MODEL based on the best available information. In a chemical engineering flowsheeting problem, for example, information about a key process material flow should be propagated throughout the process flowsheet to scale all the other flows, material balance equations, and energy balance equations.

The default value for any scaling atom should always be 1.0 in appropriate SI units, so that the scaling will have no effect until you assign a problem specific value. Multiplying or dividing both sides of an equation by 1.0 obviously will not change the mathematical behavior, but you do not want to change the behavior arbitrarily either— you want to change it based on problem information that is not contained in your `myatoms.a41` file.

ASCEND cannot do it all for you

Scaling atom default value

## Chapter 8

# Entering Dimensional Equations from Handbooks

Often in creating an ASCEND model one needs to enter a correlation given in a handbook that is written in terms of variables expressed in specific units. In this chapter, we examine how to do this easily and correctly in a system like ASCEND where all equations must be dimensionally correct.

### 8.1 Example 1— vapor pressure

Our first example is the equation to express vapor pressure using an Antoine-like equation of the form:

$$\ln(P_{sat}) = A - \frac{B}{T + C} \quad (8.1)$$

where  $P_{sat}$  is in {atm} and  $T$  in {R}. When one encounters this equation in a handbook, one then finds tabulated values for  $A$ ,  $B$  and  $C$  for different chemical species. The question we are addressing is:

How should one enter this equation into ASCEND so one can then enter the constants  $A$ ,  $B$ , and  $C$  with the exact values given in the handbook?

ASCEND uses SI units internally. Therefore,  $P$  would have the units {kg/m/s<sup>2</sup>}, and  $T$  would have the units {K}.

Eqn ?? is, in fact, dimensionally incorrect as written. We know how to use this equation, but ASCEND does not as ASCEND requires that we write dimensionally correct equations. For one thing, we can legitimately take the natural log (ln) only of unitless quantities. Also, the handbook will tabulate the values for  $A$ ,  $B$  and  $C$  without units. If  $A$  is dimensionless, then  $B$  and  $C$  would require the dimensions of temperature.

The mindset we describe in this chapter is to enter such equations is to make all quantities that must be expressed in particular units into dimensionless quantities that have the correct numerical value.

We illustrate in the following subsections just how to do this conversion. It proves to be very straight forward to do.

#### 8.1.1 Converting the ln term

Convert the quantity within the  $\ln()$  term into a dimensionless number that has the value of pressure when pressure is expressed in {atm}.

Very simply, we write

$$P_{\text{atm}} = P/1\{\text{atm}\};$$

Note that  $P_{\text{atm}}$  has to be a dimensionless quantity here.

We then rewrite the LHS of Equation ?? as

$\ln(P_{\text{atm}})$

Suppose  $P = 2 \text{ {atm}}$ . In SI units  $P = 202,650 \text{ {kg/m/s}^2}$ . In SI units, the dimensional constant  $1 \text{ {atm}}$  is about  $101,325 \text{ {kg/m/s}^2}$ . Using this definition,  $P_{\text{atm}}$  has the value 2 and is dimensionless. ASCEND will not complain with  $P_{\text{atm}}$  as the argument of the  $\ln()$  function, as it can take the natural log of the dimensionless quantity 2 without any difficulty.

### 8.1.2 Converting the RHS

We next convert the RHS of Equation ??, and it is equally as simple. Again, convert the temperature used in the RHS into:

$$T_{\text{R}} = T/1\{\text{R}\};$$

ASCEND converts the dimensional constant  $1\{\text{R}\}$  into  $0.55555555\dots\{\text{K}\}$ . Thus  $T_{\text{R}}$  is dimensionless but has the value that  $T$  would have if expressed in  $\{\text{R}\}$ .

### 8.1.3 In summary for example 1

We do not need to introduce the intermediate dimensionless variables. Rather we can write:

$$\ln(P/1\{\text{atm}\}) = A - B/(T/1\{\text{R}\} + C);$$

as a correct form for the dimensional equation. When we do it in this way, we can enter  $A$ ,  $B$  and  $C$  as dimensionless quantities with the values exactly as tabulated.

## 8.2 Fahrenheit— variables with offset

What if we write Equation ?? but the handbook says that  $T$  is in degrees Fahrenheit, i.e., in  $\{\text{F}\}$ ? The conversion from  $\{\text{K}\}$  to  $\{\text{F}\}$  is

$$T\{\text{F}\} = T\{\text{K}\} * 1.8 - 459.67$$

and the 459.67 is an offset. ASCEND does not support an offset for units conversion. We shall discuss the reasons for this apparent limitation in Section ??.

You can readily handle temperatures in  $\{\text{F}\}$  if you again think as we did above. The rule, even for units requiring an offset for conversion, remains: convert a dimensional variable into dimensionless one such that the dimensionless one has the proper value.

Define a new variable

$$T_{\text{degF}} = T/1\{\text{R}\} - 459.67;$$

Then code ??EQUATION 7.1 as

$$\ln(P/1\{\text{atm}\}) = A - B/(T_{\text{degF}} + C);$$

when entering it into ASCEND. You will then enter constants  $A$ ,  $B$ , and  $C$  as dimensionless quantities having the values exactly as tabulated. In this example we must create the intermediate variable  $T_{\text{degF}}$ .

## 8.3 Example 3— pressure drop

From the Chemical Engineering Handbook by Perry and Chilton, Fifth Edition, McGraw-Hill, p10-33, we find the following correlation:

$$\Delta P'_a = \frac{y(V_g - V_l)G^2}{144g}$$

where the pressure drop on the LHS is in psi,  $y$  is the fraction vapor by weight (i.e., dimensionless),  $V_g$  and  $V_l$  are the specific volumes of gas and liquid respectively in  $\text{ft}^3/\text{lbm}$ ,  $G$  is the mass velocity in  $\text{lbm}/\text{hr}/\text{ft}^2$  and  $g$  is the acceleration by gravity and equal to  $4.18 \times 10^8 \text{ ft}/\text{hr}^2$ .

We proceed by making each term dimensionless and with the right numerical value for the units in which it is to be expressed. The following is the result. We do this by simply dividing each dimensional variable by the correct unit conversion factor.

$$\text{delPa}/1\{\text{psi}\} = y \cdot (V_g - V_l) / 1\{\text{ft}^3/\text{lbm}\} \cdot (G / 1\{\text{lbm}/\text{hr}/\text{ft}^2\})^2 / (144 \cdot 4.18 \times 10^8);$$

## 8.4 The difficulty of handling unit conversions defined with offset

How do you convert temperature from Kelvin to centigrade? The ASCEND compiler encounters the following ASCEND statement:

$$\text{d1T1} = \text{d1T2} + \text{a.Td}[4];$$

and  $\text{d1T1}$  is supposed to be reported in centigrade. We know that ASCEND stores temperatures in Kelvin  $\{K\}$ . We also know that one converts  $\{K\}$  to  $\{C\}$  with the following relationship  $T\{C\} = T\{K\} - 273.15$ .

Now suppose  $\text{d1T2}$  has the value  $173.15 \{K\}$  and  $\text{a.Td}[4]$  has the value  $500 \{K\}$ . What is  $\text{d1T1}$  in  $\{C\}$ ? It would appear to have the value  $173.15 + 500 - 273.15 = 400 \{C\}$ . But what if the three variables here are really temperature differences? Then the conversion should be  $T\{dC\} = T\{dK\}$ , where we use the notation  $\{dC\}$  to be the units for temperature difference in centigrade and  $\{dK\}$  for differences in Kelvin. Then the correct answer is  $173.15 + 500 = 673.15 \{dC\}$ .

Suppose  $\text{d1T1}$  is a temperature and  $\text{d1T2}$  is a temperature difference (which would indicate an unfortunate but allowable naming scheme by the creator of this statement). It turns out that  $\text{a.Td}[4]$  is then required to be a temperature and not a temperature difference for this equation to make sense. We discover that an equation written to have a right-hand-side of zero and that involves the sums and differences of temperature and temperature difference variables will have to have an equal number of positive and negative temperatures in it to make sense, with the remaining having to be temperature differences. Of course if the equation is a correlation, such may not be the case, as the person deriving the correlation is free to create an equation that "fits" the data without requiring the equation to be dimensionally (and physically) reasonable.

We could create the above discussion just as easily in terms of pressure where we distinguish absolute from gauge pressures (e.g.,  $\{\text{psia}\}$  vs.  $\{\text{psig}\}$ ). We would find the need to introduce units  $\{\text{dpisa}\}$  and  $\{\text{dpsig}\}$  also.

### 8.4.1 General offset and difference units

Unfortunately, we find we have to think much more generally than the above. Any unit conversion can be introduced both with and without offset. Suppose we have an equation which involves the sums and differences of terms  $t_1$  to  $t_4$ :

$$t_1 + t_2 - (t_3 + t_4) = 0 \tag{8.2}$$

where the units for each term is some combination of basic units, e.g.,  $\{\text{ft}/\text{s}^2/\text{R}\}$ . Let us call this combination  $\{X\}$  and add it to our set of allowable units, i.e., we define  $\{X\} = \{\text{ft}/\text{s}^2/\text{R}\}$ .

Suppose we define units  $\{X_{\text{offset}}\}$  to satisfy:  $\{X_{\text{offset}}\} = \{X\} - 10$  as another set of units for our system. We will also have to introduce the concept of  $\{dX\}$  and should probably introduce also  $\{dX_{\text{offset}}\}$  to our system, with these two obeying  $\{dX_{\text{offset}}\} = \{X_{\text{offset}}\}$ .

For what we might call a "well-posed" equation, we can argue that the coefficient of variables in units such as  $\{X_{\text{offset}}\}$  have to add to zero with the remaining being in units of  $\{dX\}$  and  $\{dX_{\text{offset}}\}$ . Unfortunately, the authors of correlation equations are not forced to follow any such rule, so you can find many published correlations that make the most awful (and often unstated) assumptions about the units of the variables being correlated.

Will the typical modeler get this right? We suspect not. We would need a very large number of unit conversion combinations in both absolute, offset and relative units to accomodate this approach.

We suggest that our approach to use only absolute units with no offset is the least confusing for a user. Units conversion is then just multiplication by a factor both for absolute  $\{X\}$  and difference  $\{dX\}$  units— we do not have to introduce difference variables because we do not introduce offset units.

When users want offset units such as gauge pressure or Fahrenheit for temperature, they can use the conversion to dimensionless variables having the right value, using the style we introduced above, i.e.,  $T_{\text{defF}} = T/1\{\text{R}\} - 459.67$  and  $P_{\text{psig}} = P/1\{\text{psi}\} - 14.696$  as needed.

Both approaches to handling offset introduce undesirable and desirable characteristics to a modeling system. Neither allow the user to use units without thinking carefully. We voted for this form because of its much lower complexity.

# Chapter 9

## Defining New Units of Measure

Occasionally units of measure are needed that do not come predefined in the ASCEND system. You can define a new unit of measure by defining the conversion factor. In this chapter, we examine how to do this easily for an individual user and on a system-wide basis.

### 9.1 *Caveats*

Order matters!

Order matters for defining units of measure in three ways.

- a unit of measure must be defined before it is used anywhere.
- the first definition ASCEND reads for a unit of measure is the only definition ASCEND sees.
- new units can be defined only from already defined units.

Measuring units are absolutely global in the ASCEND environment – they are not deleted when the Library of types is deleted. Once you define a unit’s conversion factor, you are stuck with it until you shut down and restart ASCEND. For any unit conversion definition, only the first conversion factor seen is accepted. Redefinitions of the same unit are ignored.

Multiplicative unit conversions only!

The various units ASCEND uses are all obtained by conversion factors (multiplication only) from the SI units. So, for example, temperatures may be in degrees Rankine but not in Fahrenheit. In this chapter we address creating new conversion factors. For handling non-multiplicative conversions (such as the Fahrenheit or Celsius offsets) see Section ??.

### 9.2 Individualised units

There are two scenarios for individualized units of measure. One in which you need a measure defined only for a specific model and another in which you want to define a measure that you will use throughout your modeling activities in the future. The syntax for both is the same, but where best to put the UNITS statement differs.

#### 9.2.1 Units of measure for a specific model

Units of measure that are used in only one model can be defined at the beginning of the model itself or before the model, but not the units appear in the model definition. Let us suppose you want to measure speed in {furlong/fortnight} in a model. ASCEND does not define `furlong`, `fortnight`, or `furlong/fortnight`. (Interestingly, we have been unable to find standard definitions for them!).

```
MODEL mock_turtle;
  d IS_A distance;
  delta_t IS_A time;
s IS_A speed
  s = d/delta_t;
  (* We really should write s * delta_t = d;
```



```

        to avoid division by zero. *)
UNITS
    furlong = {3.17*kilometer};
    fortnight = {10*day};
END UNITS;
METHODS
METHOD default_self;
    d := 1 {furlong};
    t := 5 {hours};
END default_self;

(* other standard methods omitted *)
END mock_turtle;

```

In `mock_turtle` we define `furlong` and `fortnight` conversions **before** they are used in the methods and before any equations which use them. Also, notice that, even though ASCEND rejects this model `mock_turtle`, as it will because of the missing ";" after "speed" in the fourth line, `furlong` and `fortnight` still get defined. The UNITS statement can appear in any context and gets processed regardless of any other errors in that context.

## 9.2.2 UNITS OF MEASURE FOR ALL YOUR PERSONAL MODELS

If you commonly use a set of units that is not in the default ASCEND library `measures.a41`, you can create your own personal library of units in the user data directory `ascdata`. The location of this directory is given by ASCEND at the end of all the start-up spew it prints to the Console window (or xterm under UNIX) as shown below. You will see a path other than `/usr0/ballan/` of course.

```

-----
User data directory is /usr0/ballan/ascdata
-----

```

Create the library file `myunits.a41` in your `ascdata` directory. This file should contain a UNITS statement and any comments or NOTES you wish to make. This file should contain any conversions that you change often. For example:

```

UNITS
    (* Units for Norway, maybe?*)
    euro = {1*currency};
    (* currency is the fundamental financial unit *)
    kroner = {0.00314*euro};
    nk = {kroner};
    USdollar = {0.9*euro};
    CANdollar = {0.65*USdollar};
END UNITS;

```

Note that this file contains a definition of `USdollar` different from that given in the standard library `measures.a41`. ASCEND will warn you about the conflict. You must load `myunits.a41` into ASCEND before `atoms.a41` or any of our higher level libraries. You can ensure that this happens by putting the statement

```

REQUIRE "myunits.a41";

```

on the very first line in all your model definition files.

## 9.3 NEW SYSTEM-WIDE UNITS

Suppose you are maintaining ASCEND on a network of computers with many users. You have a standard set of models stored in a centrally located directory, and you want to define units for use by

Table 9.1: Groups of units in the current measures library

distance
mass
time
molecular quantities
money
reciprocal time (frequency)
area
volume
force
pressure
energy
power
absolute viscosity
electric charge
miscellaneous electromagnetic
swiped from C math.h
constant based conversions
subtly dimensionless measures
light quantities
miscellaneous rates
time variant conversions

everyone on the network. In this case, just edit `models/measures.a41`, the default units of measure library. ASCEND is an open system.

Make the new unit conversion definition statement(s) of the form

```
newunit = {combination of old units};
```

as described in **Section 9.2**. In the file `measures.a41`, add your statement(s) anywhere inside the block of definitions that starts with `UNITS` and ends with `END UNITS`. The existing definitions are divided up into groups by comment statements. If your conversion belongs to one of the groups, it is best to put the conversion in that group. The groups are given in Table ??.

## 9.4 Send them in

We are always on the lookout for useful unit conversions to add to `measures.a41`. If you create a `myunits.a41` containing unit conversion definitions of general use (i.e. not currency exchange rates and other time-varying conversions), please mail us a copy and include your name in a comment. Thank you very much.

# Chapter 10

## Writing METHODS

In this chapter we describe a methodology (pun intended) which can help make anyone who can solve a quadratic equation a mathematical modeling expert. This methodology helps you to avoid mistakes and to find mistakes quickly when you make them. Finding bugs weeks after creating a model is annoying, inefficient, and (frequently) embarrassing. Because METHOD code can be large, we do not include many examples here. One of the advantages of this methodology is that it allows almost automatic generation of methods for a model based on the declarative structure (defined parts and variables) in the model, as we shall see in Section ???. Even if you skip much of this chapter, read Section ???

We divide methods into `_self` and `_all` categories. The premise of our approach to design methods is that we can write the `_self` methods incrementally, building on the already tested methods of previous MODEL parts we are reusing. In this way we never have to write a single huge method that directly manipulates the hundreds of variables that are in the model hierarchy. Were that all there was to it, things would actually be pretty simple. However, in ASCEND, one can also select to solve any part of an ASCEND model (in particular, any part that is an instance of a single type), and this capability complicates method writing - but not by much if we really understand the approach we advocate here. As an example, suppose we have a flowsheet that has a reactor followed by a flash unit in it. In ASCEND, we can select to solve the entire flowsheet, only the reactor, only the flash unit or even any one of the streams in it (yes, each stream has physical property calculations that belong to it making it interesting to isolate and solve). Should we choose to solve only the flash unit, ASCEND will isolate the equations defining the flash - including the equations we use when defining the input and output streams to it as they are a part of the flash unit. But the input to the flash is also the output from the reactor and is a part of the reactor, too. Each part would typically take the prime responsibility for supplying methods that will set fix flags, set nominal values, etc., for its variables, but who owns the variables they both share such as in the connecting stream? By “tradition” in chemical engineering flowsheet modeling, we will assert that the reactor has prime responsibility for its output stream. If we are solving the entire flowsheet, it should set the flags, etc., for its output stream. However, when we isolate the flash for solving, the flash unit must assume responsibility to set fix flags, nominal values, etc., for the output stream from the reactor as that stream is its input stream. The `_all` methods allow us to handle these shared variables correctly when we isolate a part for solving by itself.

Usually discovery of the information you need to write the methods proceeds in the order that they appear below: `check`, `default`, `specify`, `bound`, `scale`.

### 10.1 Why use standardised methods on models?

In the present chapter, we are proposing that you use a particular standardised set of methods on your models. While ASCEND doesn't force you to follow these conventions, we hope that you will *choose* to follow them, because:

- You models will be more portable.

- They will integrate better with the existing model library when composing larger models composed of smaller perhaps pre-existing models.
- Other users will be more easily able to understand what you have built.
- The proposed structure has, in our experience, made for models that are easier to debug.

There will be cases where these standard methods don't suffice for your needs; these are just proposals for a useful starting point and shared-use conventions.

Note that if you do not write the standard methods, your MODEL will inherit the ones given in the library `basemodel.a41`. The `ClearAll` and `reset` methods here will work for you if you follow the guidelines for the method `specify`. The other methods defined in `basemodel.a41` (`check_self`, `default_self`, `bound_self`, `scale_self`, `check_all`, `default_all`, `bound_all`, `scale_all`) all contain STOP statements that will warn you that you have skipped something important, should you accidentally call one of these methods. If you create a model for someone else and they run into one of these STOP errors while using your model, that error is your fault.

## 10.2 Methods `*_self` VS `*_all`

When you create a model definition, you create a container holding variables, equations, arrays, and other models. You create methods in the same definition to control the state of (the values stored in) all these parts. ASCEND lets you share objects among several models by passing objects through a model interface (the MODEL parameter list), by creating ALIASES for parts within contained objects, and even by merging parts (though merging should be avoided for any object larger than a variable).

Too many cooks spoil the broth.

The problem this creates for you as a METHOD writer is to decide which of the several MODELS that share an object is responsible for updating that variable's default, bounds, and nominal values. You could decide that every model which shares a variable is responsible for these values. This decision will lead to many, many, many hard to understand conflicts as different models all try to manage the same value. And, the last one run will in fact have the final say. But it is difficult to know always which is the last one run. The sensible approach is to make only one model responsible for the bounding, scaling, and default setting of each variable: *the model that creates the variable in the first place*. If you abide by this approach, you will keep things much simpler for yourself. And, fortunately, the modeling language makes it pretty clear who has created each and every variable - except when merging variables.

Use `*_self` methods on locally created variables and parts

Consider the following model and creating the `*_self` methods `default_self`, `check_self`, `bound_self`, and `scale_self` for it.

```
MODEL selfish(
  external_var WILL_BE solver_var;
  out_thingy WILL_BE input_part;
);
my_variable IS_A solver_var;
peek_at_variable ALIASES out_thingy.mabob.cost;
my_thingy IS_A nother_part;
navel_gaze ALIASES my_thingy.mabob.cost;
END selfish;
```

IS\_A statements indicate all the parts we have created in this model: namely, the `solver_var` we call `my_variable` and the `nother_part` we call `my_thingy`. This model should manage the value of the only variable it creates: `my_variable`. The variable, `external_var`, comes in from the outside so some other model has created it and should manage it. The variables `peek_at_variable` and `navel_gaze` also are not created here and should not be managed in the `*_self` methods of `selfish`. `my_thingy.mabob.cost` belongs to a part we created. We want to default, bound, or scale variables in all parts we create, also, so we must call `my_thingy.default_self` whenever `default_self` is called for this model. Its `*_self` method should in turn call the `*_self` method for `mabob`, which should set defaults, bounds and scaling for its variable, `cost`. Finally, `out_thingy` is an input parameter and is not created here; we should not call `out_thingy.default_self`, therefore, as some other model will do so.

Use `*_all` methods to manage a troublesome part

As noted above, you may choose to isolate any mathematical subproblem in a large simulation for debugging or solving purposes. When you do this isolation using the Browser and Solver tools, you still need to call scaling, bounding, and checking methods for all parts of the isolated subproblem, even for those parts that come in from the outside. This is easily done by writing `*_all` methods. In the example above, `scale_all` will scale `external_var` and call `out_thingy.scale_all` because these parts are defined using `WILL_BE` statements. Finally `scale_all` will call its local `*_self` to do all the normal scaling.

That's the big picture of `*_self` and `*_all` methods. Each kind of method (bound, scale, default, check) has its own peculiarities, which we cover in Section ?? and Section ??, but they all follow the rules above and distinguish among variables and parts defined with `WILL_BE` (managed in `*_all` only), `IS_A` (managed in `*_self` only), and `ALIASES` (not our responsibility).

## 10.3 How to write `ClearAll` and `reset`

Writing these two standard methods in your model is very simple: do nothing. You may wish to write alternative `reset_*` methods as we shall discuss. All models inherit these methods from the definitions in `basemodel.a41`. Just so you know, here is what they do.

### 10.3.1 METHOD `ClearAll`

This method finds any variable that is a `solver_var` or refinement of `solver_var` and changes the `.fixed` flag on that var to `FALSE`. This method only touches the `.fixed` flags; i.e., it does not change the value of `.included` flags on relations or return boolean, integer and symbol variables to a default value.

### 10.3.2 METHOD `reset`

This method calls `ClearAll` to bring the model to a standard state with all variables unfixed (free), then it calls the user-written `specify` method to bring the model to a state where it has an equal number of variables to calculate and equations to solve - i.e., to being "square." Normally you do not need to write this method: your models will inherit this one unless you override it (redefine it) in your model.

This standard state is not necessarily the most useful starting state for any particular application. This method merely establishes a base case the modeler finds to be a good starting point. As an example, the modeler may elect to set fix variables for the base case for a flash unit so it corresponds to an isothermal flash calculation. Chemical engineers understand this case and can make changes from it to set up other cases, such as an adiabatic flash, relatively easily by setting and resetting but one or two `.fixed` flags. There is no one perfect "reset" for all purposes. Other `reset_*` methods can also be written for particular purposes, if such arise<sup>1</sup>.

## 10.4 The `*_self` methods

The following methods should be redefined by each reusable library `MODEL`. Models that do not supply proper versions of these methods are usually very hard to reuse.

### 10.4.1 METHOD `check_self`

One can benefit by writing this method first, though it is run last. Just as they taught you in elementary school, always check your work. Start by defining criteria for a successful solution that will not be included in the equations solved and compute them in this method. As you develop your `MODEL`, you should expect to revise the check method from time to time, if you are learning anything about the model. We frequently change our definition of success when modeling.

---

<sup>1</sup>The name of a method, for example `reset_someOtherPurpose` is a communication tool. Please use meaningful names as long as necessary to tell what the method does. Avoid cryptic abbreviations and hyper-specialized jargon known only to you and your three friends when you are naming methods; however, do not shy away from technical terms common to the engineering domain in which you are modeling.

When a mathematical model is solved, the assumptions that went into writing (deriving) the equations should be checked. Usually there are redundant equations available (more than one way to state the physics or economics mathematically). These should be used to check the particularly tricky bits of the model.

Check that the physical or intuitive (qualitative) relationships among variables you expect to hold are true, especially if you have not written such relationships in terms of inequalities ( $x * z \leq y$ ) in the MODEL equations.

In some models, checking the variable values against absolute physical limits (`temperature > 0{K}` and `temperature < Tcritical`, for example) may be all that is necessary or possible. Do not check variable values against their `.lower_bound` or `.upper_bound`, as ASCEND will do this for you.

If a check fails, use a STOP statement to notify yourself (or your end-user) that the solution may be bogus. STOP raises an error signal and issues an error message. STOP normally also stops further execution of the method and returns control to a higher level, though there are interactive tools to force method execution to continue. STOP does not cause ASCEND to exit though: just for the method execution to halt and for control to return to the user.

### 10.4.2 METHOD `default_self`

This method should set default values for any variables declared locally (`IS_A`) to the model. If the default value declared for the atom type, of which the variable is an instance, is appropriate (and typically it is), then you need not set a specific default value here. This method also should run `default_self` on all the complex parts that are declared locally (with `IS_A`) in the model.

This method should not run any methods on model parts that come via `WILL_BE` in the definition's parameter list. This method also should not change the values of variables that are passed in through the parameter list. Sometimes there will be nothing for this method to do. Define it anyway, leaving it empty, so that any writer reusing this model as part of a higher level model can safely assume it is there and call it without having to know the details.

When a top-level simulation is built by the compiler, this method will be run (for the top-level model) at the end of compilation. If your model's `default_self` method does not call the lower-level `default_self` methods in your model locally-declared (`IS_A`) parts, it is quite likely that your model will not solve.

### 10.4.3 METHOD `bound_self`

Much of the art of nonlinear physical modeling is in bounding the solution. This method should update the bounds on locally defined (`IS_A`) variables and (`IS_A`) defined model parts. Updating bounds requires some care. For example, the bounds on fractions frequently don't need updating. This method should not bound variables passed into the MODEL definition or parts passed into the definition.

A common formula for updating bounds is to define a region around the current value of the variable. A linear region size formula, as an example, would be:

$$x_{bound} = x \pm \Delta \cdot x_{nominal} \quad (10.1)$$

or, in ASCEND syntax,

```
v.upper_bound := v + bandwidth * v.nominal;
v.lower_bound := v - bandwidth * v.nominal;
```

Care must be taken that such a formula does not move the bounds (particularly lower bounds) out so far as to allow non-physical solutions. Logarithmic bounding regions are also simple to calculate. Here `bandwidth` is a `bound_width`: it could be a real atom (but **not** a `solver_var`) or some value you can use to determine how much "wobble-room" you want to give a solver.

Small powers of 4 and 10 are usually good values of `bandwidth`. Too small a bandwidth can cut off the portion of number space where the solution is found. Too large a bound width can allow solvers to wander for great distances in uninteresting regions of the number space.

#### 10.4.4 METHOD `scale_self`

Most nonlinear (and many linear) models cannot be solved without proper scaling of the variables. `scale_self` should reset the `.nominal` value on every real variable in need of scaling. It should then call the `scale_self` method on all the locally-defined (`IS_A`) parts of the MODEL. A proper nominal is one such that you expect at the solution

$$0 \leq \text{abs} \left( \frac{x}{x_{\text{nominal}}} \right) \leq 10 \quad (10.2)$$

As one is dividing by the nominal value for the variable to establish its scaled value, zero is about the worst value you could choose for a nominal value.

This method should not change the `.nominal` values for models and variables that are received through the parameter list of the model.

Variables (like fractions), when bounded such that they cannot be too far away from 1.0 in magnitude, probably don't need scaling most of the time, so long as they are also bounded away from 0.0.

Some solvers, but not all, will attempt to scale the equations and variables by heuristic matrix-based methods. This works, but inconsistently; user-defined scaling is generally superior. ASCEND makes scaling equations easy to do. You scale the variables, which can only be done well by knowing something about where the solution is going to be found (by being an engineer, for example). Then ASCEND can calculate an appropriate equation-scaling by efficient symbolic methods.

### 10.5 The `*_all` methods

#### 10.5.1 METHOD `default_all`

Above we discussed the ability in ASCEND to isolate and solve any part of a model that is defined as an instance of a single type requires you initialize the arguments to a model that you are isolating. This method should run the `default_all` method on each of the parts received through the parameter list via `WILL_BE` statements and should give appropriate default values to any variables received through the parameter list. After these calls and settings have been done, it should then call its own `default_self` to take care of all local defaults.

#### 10.5.2 METHOD `check_all`

When solving only a part of a simulation, it is necessary to check the models and variables passed into the part as well as the locally defined parts and variables. This method should call `check_all` on the parts received as `WILL_BE` parameters, then call `check_self` to check the locally defined parts and equations.

#### 10.5.3 METHOD `bound_all`

This method should be like `bound_self` except that it bounds the passed in variables and calls `bound_all` on the passed in parts. It should then call `bound_self`.

#### 10.5.4 METHOD `scale_all`

This method should be like `scale_self` above except that it scales the variables received through the parameter list and calls `scale_all` on the passed in parts. It should then call `scale_self` to take care of the local variables and models.

### 10.6 METHOD `specify`

The method `specify` sets the `.fixed` flags so an instance of the model is solvable. There are two issues involved. First, the model must be square - i.e., it must have as many free variables as it has eligible equations available to compute them. Second, it should be a setting of `.fixed` flags that the

modeler knows will solve numerically. This latter requirement requires one to have an intuitive feel for the model. A chemical engineer will “know” that a flash calculation, where he has fixed both the pressure and the vapor fraction, is a pretty robust calculation. It would be a good way to set fix flags in the `specify` method. Getting the `.fixed` flags set for a large complex model is one of the hardest tasks ever invented by mathematicians if you go about it in the wrong way. If you follow the prescription here, getting the right number of flags set is almost automatic. We have set written the `specify` methods for a complex hierarchy of models correctly the first time using this approach.

We shall illustrate this section by examining the set of models in `simple_fs.a4c`. You should find that model in the model directory for ASCEND and open it in your favorite text editor. This model is for a simple flowsheet comprising a mixer, a reactor, a flash unit and a simple stream splitter. It contains the following models: `mixture`, `molar_stream`, `mixer`, `reactor`, `flash`, `splitter`, `flowsheet`, `controller`, `test_flowsheet` and `test_controller`. When compiling and solving, one typically creates an instance of `test_controller`.

Model `mixture` only defines new variables `y[components]` and one equation that says their sum is unity. Model `molar_stream` introduces new variables `Ftot` and `f[components]`. It also introduces an instance of the model `mixture`, which it calls `state`. Finally it introduces locally the equations `f_def`, one for each component. Models `mixer`, `reactor`, `flash` and `splitter` introduce their own local variables and equations. Each also defines its input and output streams as instances of the model `molar_stream`. Model `flowsheet` contains a `mixer`, `reactor`, `flash` and `splitter`, etc.

Assume you have just written a set of models, such as those in `simple_fs.a4c`. In this approach you should start with the lowest level models - i.e., the ones that only introduce (using `IS_A` statements) new variables and new parts that are instances of types in existing ASCEND libraries. The lowest level model by this definition is `mixture`. It only introduces new variables and one new equation. Once you have written and debugged a `specify` method for `mixture`, then again look for any model that only introduces new variables and local equations and/or parts for which a debugged `specify` method exists. The model `molar_stream` is such a model and can be considered next. It introduces a part which is an instance of `mixture` for which we already have a `specify` method. Once we have a debugged `specify` method for `molar_stream`, then we can consider any of the models `mixer`, `reactor`, `flash` and `splitter` - they only have parts that are instances of `molar_stream`. After creating and debugging their `specify` methods, we can consider the model `flowsheet`, then `controller`, then `test_flowsheet` and finally `test_controller`.

The safest way to set `.fixed` flags is first to clear all the `.fixed` flags for a model instance by running the method `ClearAll`. The method `specify` does **not** run `ClearAll`, but we always write our `specify` methods assuming `ClearAll` has just been run and thus that all `.fixed` flags are set to false. The following steps will aid you to write, almost automatically, a `specify` method that fixes the correct number of `.fixed` flags.

1. Find all locally defined solver variables (of type `solver_var` - e.g., `y[components]` are of type `fraction` which is of type `solver_var`). In `mixture`, the statement “`y[components] IS_A fraction;`” introduces new `solver_vars`, one for each element in the set `components`. Let us assume there are `nc` such elements.
2. Find locally introduced equations. In `mixture`, there is one such equation that says the variables `y` add up to one.
3. Find all new parts that are instances of previously defined types. In `mixture`, there are no new parts.
4. You must set `.fixed` flags locally equal in number to the number of new locally defined `solver_vars` minus the number of new locally defined equations. In `mixture` you must write set one `fixed` flag to true for all but one of the components as there are `nc` new locally introduced variables and one new locally introduced equation. The `CHOICE` function arbitrarily selects one element of the set (in set theory, you cannot identify a set element as being first, another as second, etc, so for purity’s sake, we only give you the option of letting ASCEND pick one arbitrarily). Thus we set all `nc` flags and then clear one.
5. You must run the `specify` method for each new part. Here there are none. Running `specify` will guarantee each part is “square” - i.e., after being run, the part will not alter the number



of degrees of freedom for the current model definition. However, the same `solver_var` may get fixed in two or more different parts if those parts share that `solver_var`, and you will have to discover this sharing and add special statements to correct this type of multiple setting of the same flag. This discovery will best be done by compiling an instance of the type and using the Find By Type tool in the Browser. Its default setting is to find all `solver_vars` with `.fixed` equal to `TRUE`, exactly what you need to aid you with this task. You may also wish to change in minor ways the flag setting that the parts do to suit the needs of the current type definition - you may wish to free `temperature` and fix `pressure` for a stream, for example, when the stream is part of a higher level model.

Look now at the `molar_stream` model. Running `ClearAll` for an instance of `molar_stream` will clear all the `.fixed` flags for it and all its parts. It introduces `Ftot` and `f[components]` as local new solver variables. It also introduces one new equation for each component, one less than the number of new variables. Finally it introduces a new part called `state`. We have partitioned the `specify` method into two methods here for “chemical engineering reasons,” one of which runs the other. Think of what the two of them accomplish as the `specify` method we wish to create. First we run the `specify` method for the new part: `state`. That will set the `.fixed` flags for `nc-1` of the variables `state.y[components]`. Then, as there is one more variable than equation in this model, we must set a net of one added `.fixed` flag. We accomplish this by first clearing all the flags for the variables `state.y[components]` - one of which was already clear - and then fixing all the variables `f[components]`. We cleared `nc-1` flags and set `nc` for a net of one new flag being set. For our `molar_stream` model, we would prefer that the variables `f[components]` are the ones we fix.

Lastly, look at the `reactor` model. We introduce `nc+1` new variables: `stoich_coef[feed.components]` and `turnover`. We also introduce `nc` new equations. Lastly we introduce two parts `feed` and `out`, which are `molar_streams`. The `specify` method, again a combination of `specify` and `seqmod`, must set a net of one new `.fixed` flag. The way it does it is “tricky” but not difficult to follow. In `seqmod`, we fix `turnover` and all `nc` of the variables `stoich_coef`. We seem to have fixed `nc` too many. In `specify`, which first runs `seqmod`, we only run the `specify` method for `feed` and **not** the `specify` method for `out`. We know that not running the `specify` method for `out`, a `molar_stream` as we just discussed above, will leave us with `nc` `.fixed` flags not set. So we deviously traded these flags for those belonging to `stoich_coef`, giving us a net of fixing one flag. If we had abided by all the steps above, we would have run the `specify` method for `out`, then gone in and cleared the flags for `out.f[components]` while setting those for `stoich_coef[components]` in trade to get the flags we want set for this model. We did the equivalent with a shortcut.

If a model is parametric, the models defined by `WILL_BE` in the parameter list should be viewed as new variables defined in the model. Remember `specify` must fix sufficient variables to make an instance of this model square.

At each of the above steps, pay special attention to indexed variables used in *indexed* equations. Frequently you must fix or free `n` or `n-1` variables indexed over a set of size `n`, if there are `n` matching equations. In general, if you think you have `specify` correctly written, change the sizes of all the sets in your `MODEL` by one and then by two members. If your `specify` method still works, you are probably using sets correctly. Pursuing ‘symmetry’, i.e. the identical treatment of all variables defined in a single array, usually helps you write `specify` correctly.

When writing models that combine parts which do not share very well, or which both try to compute the same variable in different ways, it may even be necessary to write a `WHEN` statement to selectively ‘turn off’ the conflicting equations or model fragments. An object or equation `USED` in any `WHEN` statement is turned off by default and becomes a part of the solved `MODEL` only when the condition of some `CASE` that `USES` that object is matched.

The setting of boolean, integer, and symbol variables that are controlling conditions of `WHEN` and `SWITCH` statements should be done in the `specify` method.

There is no ‘one perfect’ `specify` method for all purposes. This routine should merely define a reasonably useful base configuration of the model. Other `specify_whatElseYouWant` methods can also be written.

## 10.7 METHOD values

In a final application MODEL, you should record at least one set of input values (values of the fixed variables and guesses of key solved-for variables) that leads to a good solution. This facilitates testing of the model, and helps the next person using your model to be assured that it works as expected.

## 10.8 Summary

We have defined a set of standard methods for ASCEND models which we insist a modeler provide before we will allow a model to be placed in any of our model libraries. These are listed in Table ??.

As should be evident from above, not all models must have associated methods; our first vessel model did not. It is simply our policy that models in our libraries must have these methods to promote model reuse and to serve as examples of best practices in mathematical modeling.

adding our  
standard meth-  
ods to a model  
definition

## 10.9 Method writing automation

ASCEND will help you write the standard methods. Writing most of the standard methods can be nearly automated once the declarative portion of the model definition is written. Usually, however, some minor tweaking of the automatically generated code is needed. In the Library window, the Edit menu has a "Suggest methods" button. Select a model you have written and read into the library, then hit this button.

Hit the button  
Library/Ed-  
it/Suggest  
methods and  
tweak the  
results

In the Display window will appear a good starting point for the standard methods that you have not yet defined. This starting point follows the guidelines in this chapter. It saves you a lot of typing but it is a starting point only. Select and copy the text into the model you are editing, then tailor it to your needs and finish the missing bits. The comments in the generated code can be deleted before or after you copy the text to your model file.

If you have suggestions for general improvements to the generated method code, please mail them to us and include a sample of what the generated code ought to look like before the user performs any hand-editing. We aim to create easily understood and easily fixed method suggestions, not perfect suggestions, because procedural code style tastes vary so widely.

Table 10.1: Standard methods required for types in our ASCEND model library

method	description
<code>default_self</code>	a method called automatically when any simulation is compiled to provide default values and adjust bounds for any locally created variables which may have unsuitable defaults in their ATOM definitions. Usually the variables selected are those for which the model becomes ill-behaved if given poor initial guesses or bounds (e.g., zero). This method should include statements to run the <code>default_self</code> method for each of its locally created (IS_A'd) parts. This method should be written first.
<code>ClearAll</code>	a method to set all the fixed flags for variables in the type to FALSE. This puts these flags into a known standard state – i.e., all are FALSE. All models inherit this method from the base model and the need to rewrite it is very, very rare.
<code>specify</code>	a method which assumes all the fixed flags are currently FALSE and which then sets a suitable set of fixed flags to TRUE to make an instance of this type of model well-posed. A well-posed model is one that is square ( $n$ equations in $n$ unknowns) and solvable.
<code>reset</code>	a method which first runs the <code>ClearAll</code> method and then the <code>specify</code> method. We include this method because it is very convenient. We only have to run one method to make any simulation well-posed, no matter how its fixed flags are currently set. All models inherit this method from the base model, as with <code>ClearAll</code> .
<code>values</code>	a method to establish typical values for the variables we have fixed in an application or test model. We may also supply values for some of the variables we will be computing to aid in solving a model instance of this type. These values are ones that we have tested for simulation of this type and found good.
<code>bound_self</code>	a method to update the <code>.upper_bound</code> and <code>.lower_bound</code> value for each of the variables. ASCEND solvers use these bound values to help solve the model equations. This method should bound locally created variables and then call <code>bound_self</code> for every locally created (IS_A'd) part.
<code>scale_self</code>	a method to update the <code>.nominal</code> value for each of the variables. ASCEND solvers will use these nominal values to rescale the variable to have a value of about one in magnitude to help solve the model equations. This method should re-scale locally created variables and then call <code>scale_self</code> for every locally created (IS_A'd) part.

# Chapter 11

## Multi-phase equilibrium libraries

This chapter describes the models we provide to compute thermodynamic properties for multi-phase, multi-component vapor/liquid mixtures where we assume equilibrium exists among co-existing phases.

### 11.1 A description of the libraries

In this section we describe the three libraries, `phases.a41`, `components.a41` and `thermodynamics.a41`. These libraries contain many models, but the end user is only interested in a few of them. Our intention is that these few should be very simple to use, with the complexities buried inside the models.

The first contains the models we use to define the phases we allow for a mixture (i.e., vapor, liquid, vapor/liquid, liquid/liquid and vapor/liquid/liquid)<sup>1</sup>.

The second library contains the models having all the component physical properties for the components we include with ASCEND – e.g., there are property values for heat capacity, heat of vaporization, accentric factor and so forth for water, methanol, carbon dioxide, etc. There is also the very extensive list of group contribution data we need to use the UNIFAC method.

The third provides the models we use to compute multi-component mixture thermodynamic properties for phases, such as ideal gas, Pitzer, UNIFAC, and Wilson. The final model in this library is the one to compute equilibrium conditions for multi-component, multi-phase systems. We provide both a constant relative volatility and a rigorous phase equilibrium model, with the ability to switch interactively between which one to use. Thus one can first assume constant relative volatility to have a better chance to converge and then switch to the version that makes the chemical potential equal for a component in all phases.

first the phase definitions then the components and their data

and finally the mixture thermodynamic models

#### 11.1.1 The `phases.a41` library

The `Phases.a41` library, see Figure ??, has only one model in it, `phases_data`<sup>2</sup>. The user creates an instance of this model, specifying which phases are to exist for a stream or holdup and which thermodynamic model the system should use to compute mixture properties for each phase. Compiling this instance then sets up the data structures required to characterize those phases for the system.

need to create only instances of `phases_data`

For example, suppose we want to model a flowsheet consisting of a single flash unit. Suppose further that we want to allow the feed to the flash unit to be vapor, liquid or vapor/liquid (i.e., 2 phase). The product streams from the flash unit will be a vapor phase mixture and a liquid phase mixture. We would define three instances of the `phases_data` model, one for each type of phase condition we

<sup>1</sup>It should be noted that, while the models will correctly set up the data structures for the liquid/liquid and vapor/liquid/liquid options, we do not really support these alternatives at this time.

<sup>2</sup>In this and following figures, we represent each model as a rectangle. On the upper left is the name of the model. In ??FIGURE 1-1, the model is `phases_data`. On the left side we list in order the parameters for the model. These are shared objects a model containing an instance of `phases_data` will pass to that instance. An example would be `IS_A.phases_data(V, 'Pitzer_vapor_mixture', 'none', 'none')`. We list the parts defined locally within a model on the right side of the rectangle, including instances of models, atoms and sets. The slanted double-headed arrow indicates a set; thus, `phases` and `other_phases` are sets in `phases_data`. In ??FIGURE 1-3 we show lines connecting a model, call it A, to a part within another model, call it B.part. The connection is to the sides of both. This type of connection says B.part is an instance of model A. We also show connections from the bottom of one model, call it C, to the top of another, call it D; with this connection we indicate that the lower model D is a refinement of the upper model C.

phases\_data

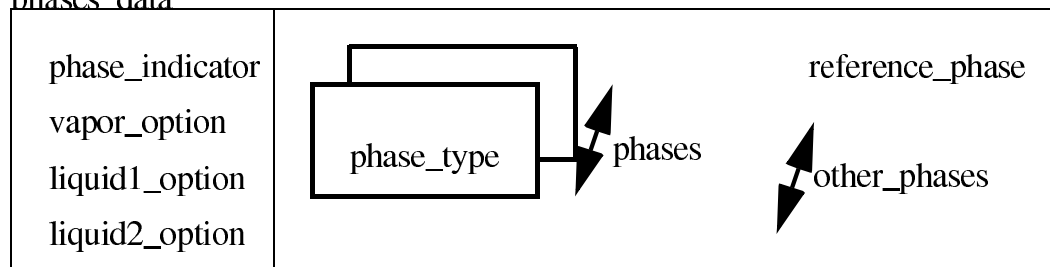


Figure 11.1: Phases.a4l models

wish to model. You can find the following statements in the model `testflashmodel` in the library `flash.a4l`.

```
pdV IS_A phases_data('V', 'ideal_vapor_mixture',
                    'none', 'none');
pdL IS_A phases_data('L', 'none',
                    'UNIFAC_liquid_mixture', 'none');
pdVL IS_A phases_data('VL', 'ideal_vapor_mixture',
                    'UNIFAC_liquid_mixture', 'none');
```

When compiled, `pdV`, `pdL` and `pdVL` contain the data structures the thermodynamic models require to model a vapor, liquid and vapor/liquid stream (or holdup).

The first parameter is a character that indicates the phase option desired - 'M', 'V', 'L', 'VL', 'LL' and 'VLL'. 'M' is for a material only stream (no thermodynamic properties are to be computed), 'V' is for vapor and 'L' for liquid. This model always expects the user to supply in the last three parameters an ordered list giving the three single phase mixture models to be used: vapor, liquid1, liquid2. For a non-existent phase, the user should supply 'none' as the model. If there is only one liquid phase, liquid2 will not exist. The allowed models we can use to estimate multi-component phase mixture properties are in the third of the libraries we describe in this chapter, `thermodynamics.a4l`, which we discuss shortly in Section ??.

the phase indicators and types

### 11.1.2 The `components.a4l` library

In this library (see Figure ??) we provide the actual physical property data for the components supplied with ASCEND. The data we provide is that found in the tables at the back of Reid, Prausnitz and Poling, *The Properties of Vapors & Liquids*, 4th Ed, McGraw-Hill, New York (1986). For a few of the components, we have also identified their UNIFAC groups. We include a few Wilson binary mixture parameters.

The purpose of this library is similar to the `phases.a4l` library. We wish to provide an easy-to-use model that will set up the data structures for the components in a mixture that the thermodynamic models will use when estimating mixture physical properties. All the user has to do is create an instance of the bottom-most model `components_data`, passing into it a list of the components in the mixture and the name of one of them which is to serve as the reference component. This model, having parts which are instances of the others present in this library, then compiles into the needed data structures.

need to create only instances of `components_data`

An example of use is found in the model `testflashmodel` in the library `flash.a4l`:

```
cd IS_A components_data(['n_pentane', 'n_hexane',
                       'n_heptane'], 'n_heptane');
```

When compiled `cd` has in it a data structure containing the physical properties for the three species listed.

The choice of which species to use as the reference component is up to the user. Usually a good choice is one that is plentiful in the mixture, but that need not be so.

reference component adding a new component

One can add more components to this library as follows:

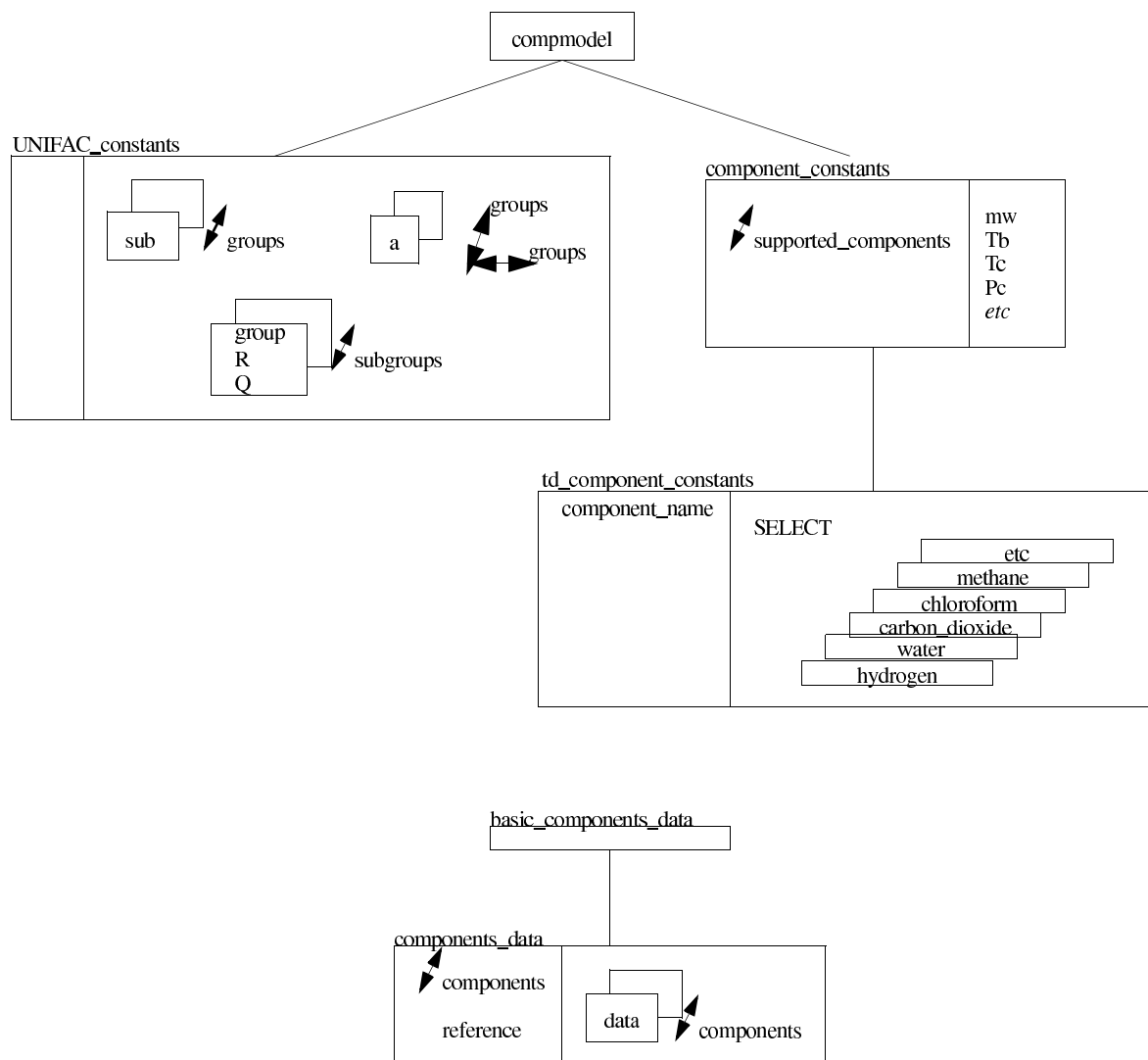


Figure 11.2: components.a4l models

1. add the name of the new component to the list of supported\_components at the beginning of the model `td_thermodynamic_constants` (part of the `WHERE` statement that causes the system to output a diagnostic if someone subsequently misspells the name of a component)
2. add the component data as a `CASE` to the `SELECT` statement in `td_thermodynamic_constants` (for an example, look at how it is done for 'methanol')

Put the UNIFAC group identifiers for the new component into the set subgroups. To illustrate, this statement for methanol is:

adding UNIFAC group identifiers

```
subgroups:== ['CH3', 'OH'];
```

You can find all the UNIFAC group identifiers possible in the model `UNIFAC_constants`. Then fill in the vector `nu` with a value for each of these groups (to indicate how many such groups are in the molecule). To illustrate, the values for methanol are:

```
nu['CH3']:=1;
nu['OH']:=1;
```

If you are entering the component without identifying its UNIFAC groups, then enter the subgroups statement and define it as empty – i.e., write

```
subgroups:== [ ];
```

There should then be no entry for `nu` (see the `CASE` for hydrogen, for example). An activity coefficient estimated by the UNIFAC method will be unity for such a component.

adding Wilson parameters

To add Wilson parameters, first fill in the names of the other components for which you are adding data into the set `wilson_set`. For example, this set for methanol might be:

```
wilson_set:== ['H2O', '(CH3)2CO', 'CH3OH'];
```

Then fill in `lambda` and energy parameters into the arrays `lambda` and `del_ip`, one for each of the other components. Again, to illustrate, these arrays for methanol would be:

```
lambda['H2O']:=0.43045;
lambda['(CH3)2CO']:=0.77204;
lambda['CH3OH']:=1.0;
del_ip['(CH3)2CO']:=2.6493E+002 {J/g_mole};
del_ip['H2O']:=1.1944E+002 {J/g_mole};
del_ip['CH3OH']:=0.0 {J/g_mole};
```

Finally for each of these other components, go to its `CASE` statement, add the name of the new component to its `wilson_set` and then add statements to set the corresponding `lambda` and energy data. `BEN, IS THIS RIGHT????` If you are not adding any Wilson data, enter the statement:

```
wilson_set:== [ ];
```

### 11.1.3 The thermodynamics.a41 library

Figure ?? shows all the models in this library and how they are related to each other. There are two models in this library that the user has to worry about: `phase_partials` and `thermodynamics`. The user creates one instance of `thermodynamics` for every stream or holdup in a process model. Each instance, when compiled has parts which are instances of the other models in this library and which are create the equations to compute the thermodynamic properties for a multi-component, multi-phase mixture.

create instances only of phase\_partials and thermodynamics

However, the user must pass each instance of a `thermodynamics` model an array of instances of `phase_partials`, one for each phase in the mixture. One `phase_partials` model must exist for each phase in each stream or holdup in the process model as it provides the equations modeling that phase.

Each of the models in the array of `phase_partials` must be refined to be one of the possible models for computing properties for a single phase mixture, i.e., one of the models lying below the

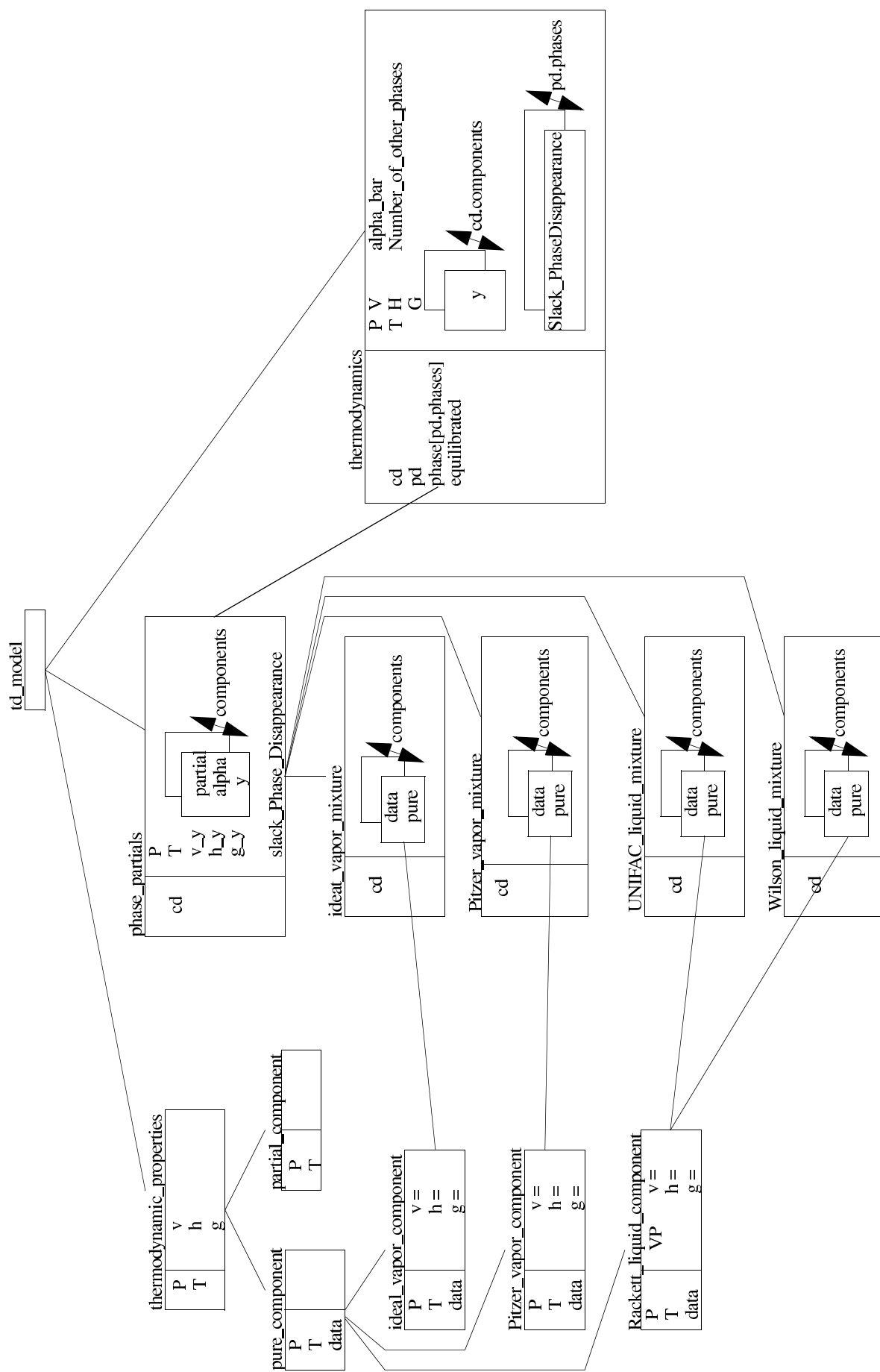


Figure 11.3: Models in thermodynamic.a4l



phase\_partials model in Figure ??: ideal\_vapor\_mixture, Pitzer\_vapor\_mixture, UNIFAC\_liquid\_mixture or Wilson\_liquid\_mixture.

The information in an instance of a phases\_data model allows us to construct this array of phase\_partials. We extract the following code from the library stream\_holdup.a41 to illustrate how we have created such a model, given a phases\_data model.

```

MODEL select_mixture_type(
    cd WILL_BE components_data;
    type WILL_BE symbol_constant;
) REFINES sh_base;
phase IS_A phase_partials(cd);
SELECT (type)
CASE 'ideal_vapor_mixture':
phase IS_REFINED_TO ideal_vapor_mixture(cd);
CASE 'Pitzer_vapor_mixture':
phase IS_REFINED_TO Pitzer_vapor_mixture(cd);
CASE 'UNIFAC_liquid_mixture':
phase IS_REFINED_TO UNIFAC_liquid_mixture(cd);
CASE 'Wilson_liquid_mixture':
phase IS_REFINED_TO Wilson_liquid_mixture(cd);
OTHERWISE:
END SELECT;
boundwidth IS_A bound_width;
...
...
...
END select_mixture_type;
MODEL stream( .....
...
...
...
FOR j IN phases CREATE
smt[j] IS_A select_mixture_type(cd, pd.phase_type[j]);
END FOR;
FOR j IN phases CREATE
phase[j] ALIASES smt[j].phase;
END FOR;
state IS_A thermodynamics(cd, pd, phase, equilibrated);
...
...
...

```

We had to be a bit tricky, but we hope we have not been so devious that you cannot understand what we have done if we explain it to you here. Look first at the code we extracted from the model stream. The models `cd` and `pd` are instances of a `components_data` and a `phases_data` model respectively. If we look inside `pd`, we will find it contains an array called `phase_type`, with one entry for each phase that gives the type (name) of the model to be used to set up the equations for that phase. ASCEND does not allow `SELECT` statements to be embedded directly within a `FOR` loop – thus we need a bit of deviousness. For each phase `j` we create `smt[j]` as an instance of a `select_mixture_type` model. We parameterize the `select_mixture_type` with the components data `cd` and the type (name) `pd.phase_type[j]` of the model to be used to generate its equations. Then we embed the select statement within the `select_mixture_type` model, something ASCEND does allow.

The model `select_mixture_type` appears first in this code. It uses the type (name) it is passed to select and then to instance the desired refinement of the `phase_partials` model.

Returning to the code extracted from the flash model, the second `FOR` loop creates the desired array by aliasing the array element `phase[j]` with the phase model created within the corresponding `smt` instance.

cannot directly embed  
SELECT statements in FOR  
loops

disappearing  
phases

The multi-phase model handles the case where a phase disappears by using a complementarity formulation. This formulation relaxes the constraint for a phase that its mole fractions must sum to unity when it disappears. Thus the vapor/liquid model will correctly alter the model to handle the situation when the mixture becomes a superheated vapor or a subcooled liquid.

We are now ready to create an instance of a thermodynamics model. When compiled this instance contains all the equations needed to estimate the phase conditions for a multi-phase, multi-component mixture assuming equilibrium exists among the phases. The following line of code, extracted from the stream model referred to above, illustrates its use:

```
state IS_A thermodynamics(cd, pd, phase, equilibrated);
```

where `cd` is an instance of a `components_data` model, `pd` of a `phases_data` model, `phase` an array of instances of `phase_partials`, and `equilibrated` a boolean variable. When `equilibrated` is `FALSE`, the model will generate the equations assuming constant relative volatilities (the user must estimate these volatilities). When `TRUE`, the model generates the equations assuming the chemical potentials for a component are equal in all phases.

## 11.2 Using the thermodynamics models

There are several libraries of models that use the libraries we have just described. The first library to examine is `stream_holdup.a41`. This library contains steady-state models for a stream and a holdup. The following gives the parameter list for a user to create an instance of a stream.

### 11.2.1 Streams and holdups

```
MODEL stream (
  cd WILL_BE components_data;
  pd WILL_BE phases_data;
  equilibrated WILL_BE boolean;
) REFINES sh_base;
```

The model `sh_base` is a dummy model to tie all models into this library back to a common root model. The user need do nothing because of this refinement. What you should note is that all you need to do to create a stream is create a `components_data` model and a `phases_data` model. One supplies the boolean variable `equilibrated` as a variable that one can set interactively or in a method or a script when running the model to decide how to model equilibrium, as we have discussed above. A holdup is equally as easy to model.

### 11.2.2 Flash units and variants thereof

From streams and holdups, we can move on to unit operation models. The library `flash.a41` provide us with a flash model. The parameter list for the flash model is:

```
MODEL vapor_liquid_flash(
  Qin WILL_BE energy_rate;
  equilibrated WILL_BE boolean;
  feed WILL_BE stream;
  vapout WILL_BE stream;
  liqout WILL_BE stream;
) WHERE (
  feed, vapout, liqout WILL_NOT_BE_THE_SAME;
  feed.cd, vapout.cd, liqout.cd WILL_BE_THE_SAME;
  vapout.pd.phase_indicator == 'V';
  liqout.pd.phase_indicator == 'L';
  (feed.pd.phase_indicator IN ['V','L','VL','VLL']) == TRUE;
) REFINES flash_base;
```

Again we see that to create a flash unit, we need to create the variable `Qin` for the heat input to the unit, a boolean `equilibrated` and three streams, `feed`, `vapout` and `liqout`. The three streams must all be different streams. They must have the same components in them. The stream `vapout` must be a vapor stream and the stream `liqout` a liquid stream. The `feed` stream can be of any kind.

Hopefully with the above information, creating a flash unit should not now seem particularly difficult.

If you examine this library further, you will see it contains models which are variations of the flash unit for: `detailed_tray`, `tray`, `feed_tray`, `total_condenser` and `simple_reboiler`.

### 11.2.3 Distillation columns

We provide two libraries that allow you to model distillation columns: `column.a41` and `collocation.a41`. The library `column.a41` first models a tray stack and then a simple column using that model. A third model extracts the profiles for pressure, temperature, a parameter that indicates the deviation from constant molar overflow conditions, total vapor and liquid flows and component compositions against tray number. This information may then be used for plotting these profiles using the ASCEND plotting capability.

The library `collocation.a41` provides collocation models for simple columns. With collocation models, one models composition profiles as smooth functions of tray number in a column section. Columns with a large number of trays are modeled with relatively small collocation models. Also the number of trays becomes a continuous variable, aiding in optimization studies where the number of trays in each section is to be computed.

### 11.2.4 Dynamic unit models

ASCEND contains models for simulating the dynamic behavior of units. Their use is described in Chapter ??.

## 11.3 Discussion

We have presented a description of the libraries that allow one to model the equations providing thermodynamic properties for multi-component, multi-phase mixtures when one assume equilibrium exists among co-existing phases. With this description, we hope that these models become much less difficult to use. We end this chapter by describing other libraries that build on the property estimation libraries, models for streams and holdups, for flash units and variations thereof, and for columns.

## Chapter 12

# The modeling of a simple dynamic tank

This chapter assumes you have read Chapter ?? and Chapter ??, which introduce you to ASCEND modeling concepts.

The purpose of this chapter is to be a good first step along the path to learning how to use ASCEND for dynamic simulations<sup>1</sup>. We shall lead you through the steps for creating a simple model. You will also learn the standard methods that we employ for our dynamic libraries. We will present our reasons for the steps we take.

### The problem

Step 1: We would like to create a dynamic model of a simple tank.

### Topics covered in this chapter are:

- Converting the word description to an ASCEND model.
- Solving the model.
- Creating a script to load and execute an instance of the model.
- Integrating the model.
- View Integration Results.

## 12.1 Converting the word description into an ASCEND model

As stated in Section ??, we need to make an instance of a type and solve the instance. So we shall start by creating a tank type definition. We will have to create our type definition as a text file using a text editor. (Possible text editors are Word, Emacs, Notepad, pico, vi, etc. We shall discuss editors shortly.)

We need first to decide the parts to our model. In this case we know that we need the variables listed in Table (??) We readily fill in the first three columns in this table, and we can also fill out the fourth column if we know the units that are associated with each of the parts. To find the ASCEND variable type needed for the fourth column use the find menu on the library window and select ATOM by units. The result of this search will be all the ASCEND variable type that have the units you entered.

We would like to be able to compute the number of moles in the tank for a given volume assuming steady state ( $dM_{dt} = 0$ ). We would also like to be able to calculate how the volume changes if we are not at steady state. The following equations describe the simple tank system.

---

<sup>1</sup>Some further information on this topic is available in the report by Perry and Allan [?]

Table 12.1: Variables required for model

Symbol	Meaning	Typical Units <sup>2</sup>	ASCEND variable type
M	Moles in Tank	mol, kmol	mole
dM_dt	Rate of change of Moles in tank (derivative)	mol/sec, kmol/sec	molar_rate
input	Feed flow rate	mol/sec, kmol/sec	molar_rate
output	Output flow rate	mol/sec, kmol/sec	molar_rate
Volume	Volume of liquid in the tank	m <sup>3</sup> ,ft <sup>3</sup>	volume
density	Molar density of tank fluid	mol/m <sup>3</sup> ,mol/ft <sup>3</sup>	molar_density
dynamic	Boolean for switching between dynamic and steady state simulations	N/A	boolean

The first equation is the differential equation that relates the input and output flows to the accumulation in the tank. The second equation is the relation of the moles in the tank to the volume of liquid and should be rearranged to avoid division. These equations are all that is need for a simple tank.

$$dM\_dt = input - output \quad (12.1)$$

$$Volume = \frac{M}{density} \quad (12.2)$$

### The first version of the code for tank

```

REQUIRE "ivpsystem.a41";
REQUIRE "atoms.a41";
MODEL tank;
(* List of Variables *)
dM_dt IS_A molar_rate;
M IS_A mole;
input IS_A molar_rate;
output IS_A molar_rate;
Volume IS_A volume;
density IS_A real_constant;
dynamic IS_A boolean;
t IS_A time;
(* Equations *)
dM_dt = input - output;
M = Volume * density;
(* Assignment of values to Constants *)
density :=10 {mol/m^3};
METHODS
METHOD check_self;
IF (input < 1e-4 {mole/s}) THEN
STOP {Input dried up in tank};
END IF;
IF (output < 1e-4 {mole/s}) THEN
STOP {Output dried up in tank};
END IF;
END check_self;
METHOD check_all;

```

```

RUN check_self;
END check_all;
METHOD default_self;
dynamic := FALSE;
t :=0 {sec};
dM_dt :=0 {mol/sec};
dM_dt.lower_bound := -1e49 {mol/sec};
END default_self;
METHOD default_all;
RUN default_self;
END default_all;
METHOD bound_self;
END bound_self;
METHOD bound_all;
RUN bound_self;
END bound_all;
METHOD scale_self;
END scale_self;
METHOD scale_all;
RUN scale_self;
END scale_all;
METHOD seqmod;
dM_dt.fixed :=TRUE;
M.fixed :=FALSE;
Volume.fixed :=TRUE;
input.fixed :=TRUE;
output.fixed :=FALSE;
IF dynamic THEN
dM_dt.fixed :=FALSE;
M.fixed :=TRUE;
Volume.fixed :=FALSE;
output.fixed :=TRUE;
END IF;
END seqmod;
METHOD specify;
input.fixed :=TRUE;
RUN seqmod;
END specify;
METHOD set_ode;
(* set ODE_TYPE -1=independent variable,
0=algebraic variable, 1=state variable,
2=derivative *)
t.ode_type :=-1;
dM_dt.ode_type :=2;
M.ode_type :=1;
(* Set ODE_ID *)
dM_dt.ode_id :=1;
M.ode_id :=1;
END set_ode;
METHOD set_obs;
(* Set OBS_ID to any integer value greater
than 0, the variable will be recorded
(i.e., observed) *)
M.obs_id :=1;
Volume.obs_id :=2;
input.obs_id :=3;

```

```

output.obs_id :=4;
END set_obs;
METHOD values;
Volume :=5 {m^3};
input :=100 {mole/s};
END values;
END tank;

```

Our model definition has the following structure for it so far:

- MODEL statement
- list of variables we intend to use in the type definition
- equations
- METHODS
- END statement

While we have put the statements in this order, we could mix them up and intermix the middle two types of statements, even going to the extreme of defining the variables after we first use them. Once the METHODS section is started no new equations or variables can be declared. The MODEL and END statements begin and end the type definition.

There are two new methods added to a dynamic model that you would not see in a steady state model, and they are the `set_ode` and `set_obs` methods. The `set_ode` method is used to setup the model for integration. The `set_obs` method is used to tell ASCEND which variables you would like to observe in the output of the integration.

Now we need to discuss the how and why of the two new methods. The `set_ode` method is used to set up the equations and variables described in the model for integration by LSODE. In order for LSODE to be able to integrate the model, it needs to know which variable is the independent variable - in this case  $t$  (time), which variables are the derivatives, and which are the states. The way we do this is we have to add a few extra attributes to each variable. In Section ??, the idea of an atom was discussed with its units, default value, bounds etc. We need to add 5 more of this type of parameter. These attributes are `ode_type`, `ode_id`, `obs_id`, `ode_rtol` and `ode_atol`.

This now brings us to the reason there is a `system.a41` and an `ivpsystem.a41`. For a steady state model the new attributes discussed above are not needed, and would take up memory and introduce confusion; therefore, they are excluded for the system library. If a dynamic simulation is to be loaded and solved, the `ivpsystem` library needs to be loaded instead of the `system` library so the extra attributes will be present with each part.

We will now go through the purpose of each of these attributes. First `ode_type` is to tell the system what type of variable it is. A value of -1 for `ode_type` means the variable is the independent variable, 0 means it is an algebraic variable (default), 1 means it is a state variable, and finally 2 means it is a derivative.

The attribute `ode_id` is used to match the state variables with their derivatives and only needs to be used if the variable is a state or derivative. In the example  $M$  is a state and  $dM/dt$  is the derivative. Therefore they both need to have the same `ode_id` so ASCEND will know that they belong together. Each state and derivative pair needs to have a different `ode_id`; however, it does not matter what the number is as long as it is a positive integer and no other state and derivative pair has the same number.

Next `obs_id` is used by the user to flag a variable for observation while integrating. For any integer value of `obs_id` greater than 0 the variable will be observed. The result of flagging a variable for observation is that its values will be in a data column in one of two output files. One of the files of data produced with each integration contains the values of the states and the second the values of the variables flagged for observation. The default file names are `y.dat` and `obs.dat` respectfully; however, they can be changed in the solver options general menu.

Last, but not least, are the error control attributes for LSODE: `ode_rtol` and `ode_atol`. Both of these come directly from the LSODE attributes `rtol` and `atol` which are the local relative and absolute error tolerances for the variable respectively.

There is one other thing about methods that we need to discuss before moving on and that is the `seqmod` method. If you have not already noticed, it is a little different from the other examples as it has an IF statement in it. This is an important part of the dynamic simulation. It switches the degrees of freedom depending on if we are computing an initial condition or performing an integration step. We use the boolean `dynamic` to control whether we are going to solve the model as a steady state model (`dynamic := FALSE;`) or as a dynamic model (`dynamic := TRUE;`). For the current example, we have a simple tank and, for steady state, we would like to calculate the number of moles and output flow rate for a fixed tank volume and input flow rate. Also, for the model to be at steady state, we have to fix the derivative and set it equal to zero, (`dM_dt.fixed :=TRUE; dM_dt :=0 {mole/s};`. The derivative is normally set to zero in the `default_self` method to prepare the model to solve for initial steady-state conditions.) If we then want to integrate this model for a fixed output flow (as when pumping the liquid out under flow control), we would free up the volume and fix the output flow rate. The model will then compute how the liquid volume will change with time.

In dynamic simulation, an initial value integration package, such as LSODE, repeatedly asks the model to compute the time derivatives for the state variables, given fixed values for the states. Using values for `dM_dt` computed by the model, the integration package will then update the state variable, `M`, to its new value. To accommodate this calculation, we therefore fix the state variable, `M`, and free up the derivative, `dM_dt`.

## 12.2 Solving an ASCEND instance

We are now ready to read in and compile an instance of our tank model. We are assuming that you understand how to use the scripting window, and we will show how to go about reading, compiling, solving and integrating a dynamic model using the following script.

### Script code

```
DELETE TYPES;
READ FILE "example.a4c";
COMPILE ex OF tank;
BROWSE ex;
RUN {ex.default_self};
RUN {ex.reset};
RUN {ex.values};
SOLVE ex WITH QRS1v;
RUN {ex.check_all};
ASSIGN {ex.dynamic} TRUE;
RUN {ex.reset};
RUN {ex.set_ode};
RUN {ex.set_obs};
# User will need to edit the next line to correct path
# to the models directory
source "$env(ASCENDDIST)/models/set_intervals.tcl";
set_int 500 10 {s};
INTEGRATE ex FROM 0 TO 50 WITH BLSODE;
ASSIGN {ex.input} 120 {mole/s};
INTEGRATE ex FROM 50 TO 499 WITH BLSODE;
# In order to view integration results for both the
# integrations the user will have to go to the solver
# window, select options, general and turn off the
# overwrite integrator logs toggle.
# (NOTE: If you were then to run a different model or this
# same simulation again it would still write to the same
# files)
# In order to see both sets of data at the same time on
# one plot you will have to merge the two sets of data in
```



```

# the file. This is done with following command.
asc_merge_data_file ascend new_obs.dat obs.dat;
# This command can also be used to convert data into a
# format that can be loaded into matlab for further work.
asc_merge_data_file matlab matlab_obs.m obs.dat;
# This command can also be used to convert data into a
# format that can be loaded into excel as a tab delimited
# text file.
asc_merge_data_file excel excel_obs.txt obs.dat;

```

First of all reading and compiling an instance of a dynamic model is the same as a steady state model except, as stated earlier, we must load `ivpsystem.a4l` instead of `system.a4l`. The file containing `example.a4c` in the first version of the code has `REQUIRE` statements to load the right system file and the file `atoms.a4l`.

Now it is time to solve the model, and this is where things start to change. We must first solve the model for its initial conditions. We set the boolean variable `dynamic` to `FALSE` (in the `default_self` method) and run the `reset` method to get a well-posed steady-state model. We also need to run the `values` method to set the fixed values of the initial conditions. Finally we are solve, getting as the solution the initial conditions for our model.

After solving for the initial conditions, we set things up for the dynamic simulation. We set the boolean variable `dynamic` to `TRUE` and then run the `seqmod` method to give a well-posed dynamic model. We now have to establish which variables are the independent variables, the state variables and their corresponding derivatives, and tell which variables we would like to observe; we run `set_ode` and `set_obs` methods described above.

In order for `ASCEND` and `LSODE` to know what step size and how many steps we want to observe, we must load a Tcl file that defines a new script command. The file we need to load is called `set_intervals.tcl`, and it is found in the `models` subdirectory of the `ASCEND` distribution. The command source comes from Tcl and is used to read and execute the a set of commands in a file. The file in this case is `set_intervals.tcl` and the commands within it setup a new script command `set_int`. Once we have loaded this file, we can use the new command `set_int` to set up the number of possible steps and their maximum size. Now we are ready to integrate. The way we do this is to use the `INTEGRATE` command in the script. The syntax for these command is as follows.

#### Syntax for `set_int`

```

set_int number_of_steps step_size
      {units of step size(time)};

```

#### Syntax for `INTEGRATE`

```

INTEGRATE compiled_model_name
      FROM initial_step
      TO final_step
      WITH BLSODE;

```

The command is set up with the initial and final step so that you can integrate for a number of steps, then make step changes, and then continue to integrate another number of steps.

## 12.3 Viewing Simulation Results

To view the simulation results, open the `ASC PLOT` window using the `Tools` menu on the `Script` window. To view a plot, first use the `File` menu to load the data using `Load data set`. Depending on what you want to look at, you can load the file containing the states or the file containing the variables you flagged for observation. Once the data file is loaded, you can double click on the file name in the top window to get a list of the variables in the file. This list will appear in the left window named `Unused variables` below where you just double clicked. As you will notice on the line below, the independent variable has already been set to time. The way we select the variables we want to plot

vs. time is to highlight them from the list in the left window and, using the top arrow button, move them over to the plotted variables window on the right. We then use the View plot file command from the Execute menu to view the plot.

If we now want to plot something else, we simply highlight those variables that we do not want to plot in the plotted variables window, use the other arrow to move them back to the unused variable window and then move new variables to the plotted variables window.

If we want to change the independent variable, we select the variable we want to be the new independent variable from the list in either the unused variable window or the plotted variable window and then use the appropriate down arrow to move that variable down to become the independent variable.

### 12.3.1 Graphing options

Now that you are able to view a plot, you might want to add titles or change the axis scale, line colors, and so forth. Adding titles can be done by selecting set *titles* under the *Display* menu, a new window will open in which you will have the option to add a plot title and axis labels. To change the axis scale, line color and many other features select see options from the *Options* menu.

#### Graphing in Windows

Under MS Windows the default graph program Tcxgraph gives you full control of the options without having to go through the ASCPLOT Options menu. Tcxgraph is also available for UNIX, but xgraph does a much better job drawing dashed lines with X11 than Tcxgraph does.

If you decide you do not like the plotting tools described above, you have two more options, and they are to convert the ASCEND output data files so that they can be loaded by Matlab or a spreadsheet. To convert the data files a new script command needs to be introduced and the command is `asc_merge_data_file`.

#### Syntax for `asc_merge_data_file` command

```
asc_merge_data_file convert_to \  
output_file_name input_file_names
```

The syntax for the `asc_merge_data_file` command is as follows. First of all the `convert_to` is the format you want the data converted to. There are three options `matlab`, `excel` or `ascend`. The `output_file_name` is exactly that, the name of the file in which you want the converted data to be put. The `input_file_names` is also exactly that, the file name or names that you want converted. If more than one input file is given the data is combined into one output file.

If the `matlab` option is used the output file extension should be `m`, if `excel` is used the extension should be `txt` as it is a tab delimited text file and for `ascend` the extension should be `dat` for use with ASCPLOT.

You maybe wondering what exactly is this `asc_merge_data_file` command doing. In the next three paragraphs we will give a brief explanation of each of the options.

#### Matlab conversion

When the data is converted to be used in matlab the first thing that is done is the header of the ascend data file is placed in the output file but is commented out. This is so the user can still tell when the data was created. The next thing it does is put all the data into a matrix that has the same name as the output file with `var` added to the end. All variable names from the ascend data file are then converted to matlab legal names by replacing the all dots and brackets with underscores(`_`). The new variable names are then set equal to there corresponding column of data in the matrix. Each variable then becomes a vector. When the file is run all the data is loaded and set equal to the new variable names and can easily be plotted using matlab commands.

### Excel conversion

When the data is converted to be used in Excel the only thing that happens is instead of the list of variables and units being a column it is turned into rows. When the data is loaded into Excel as a tab delimited text file all the data will be in columns with the first row being the units of the data and the second being the ascend variable name. The data is then easily plotted using the Excel graphing package.

### Ascend conversion

This is not so much a conversion as a merge and is the origin of the command. It is only useful if there are multiple headers in a file or more than one input file is given. Multiple headers in the file occur when stopping and starting integrations with the overwrite option turned off. This conversion removes all subsequent headers that are the same as the first, whether in one file or multiple, to leave one output file with what looks like one data set for plotting. If the headers are different the data will just be combined into one file and when loaded in ASCPLOT will still look like different data sets.

## 12.4 Preparing a model for reuse

There are four major ways to prepare a model for reuse as described in Chapter ???. All of what is said there about reusable models applies to dynamic models. However, there is one thing that we think should be repeated to make clear for dynamic models, and that is parameterizing a model.

### 12.4.1 Parameterizing the tank model

As stated in Section ??, parameterizing a model type definition alerts a future user as to which parts of this model you deem to be the most likely to be shared. An instance of a parameterized model is then created from previously defined types.

The new thing that needs to be repeated is that the `ode_id`'s of derivative and state pairs must be different even if they are in different part of a larger model. If for instance we wanted to have two tanks in series we could parameterize the tank model and connect the two tanks together with the outlet of the first tank being the feed to the second tank. However, with the `set_ode` method, as we have currently written it, the derivative and state pairs for both tanks would have the same `ode_id`'s. Our way around this is to introduce an `ode_counter` that is used to set the `ode_id`'s and is incremented after each derivative and state pair is set. The `ode_counter` becomes one of the model parameters and is, therefore, the same in all models. We will now give an example of this to help explain.

#### `set_ode` method for parameterized tank model

```
METHOD set_ode;
(* set ODE_TYPE -1=independent variable,
0=algebraic variable, 1=state variable,
2=derivative *)
t.ode_type :=-1;
dM_dt.ode_type :=2;
M.ode_type :=1;
(* Set ODE_ID *)
dM_dt.ode_id := ode_offset;
M.ode_id := ode_offset;
ode_offset := ode_offset+1;
END set_ode;
```

#### `set_ode` method for larger model with two tank models being used as parts

```
METHOD set_ode;
RUN tank_1.set_ode;
RUN tank_2.set_ode;
END set_ode;
```

The parameterized tank `set_ode` method is almost the same as the original one we wrote except it now uses `ode_offset`, an `ode_counter`, to set the `ode_ids`. It may be obvious, but this is how it works. When the larger model `set_ode` is run, the `set_ode` for `tank_1` is run, the `ode_ids` are set to the current value of `ode_offset`, the counter is then incremented and `set_ode` is run for `tank_2` which then gets the incremented `ode_offset` so the values are now different. You can now hopefully see that we can string as many tanks together as we like, and all the derivative and state pairs `ode_id` will be different.

This same idea can be applied to setting the observed variables. The reason this is a good idea is that the variables are placed in the output files in order of their `obs_id` value. This way we can keep all variables flagged for observation from one part of a model together.

The important thing that needs to be stressed for a dynamic system is that the time variable, dynamic boolean, and ode and obs counters must be in the parameter list. All these variables need to be the same in each model to be consistent and to make sure the model gets setup correctly when the `set_ode` method is executed.

## 12.5 In conclusion

We have just led you step by step through the process of creating a small dynamic ASCEND model and the basics on how to view the results.

## Chapter 13

# Conditional Modelling

This chapter hasn't yet been converted from the Framemaker version. There are other references however:

- Vicente Rico-Ramirez' doctoral thesis [?]
- A tech report by Rico-Ramirez, Allan and Westerberg [?]
- A report on a complementarity formulation by Rico-Ramirez [?]
- Joe Zaher's doctoral thesis [?]

## Chapter 14

# Boundary Value Problems

This chapter hasn't been converted from Framemaker sources yet.

**Part II**

**Language Reference**

## Chapter 15

# Syntax reference

We shall present an informal description of the ASCEND IV language. Being informal, we shall usually include examples and descriptions of the intended semantics along with the syntax of the items. At times the inclusion of semantics will seem to anticipate later definitions. We do this because we would also like this chapter to be used as a reference for the ASCEND language even after one generally understands it. Often one will need to clarify a point about a particular item and will not wish to have to search in several places to do so.

Syntax is the form or structure for the statements in ASCEND, where one worries about the exact words one uses, their ordering, the punctuation, etc. Semantics describe the meaning of a statement.

To distinguish between syntax and semantics, consider the statement

```
y IS_A fraction;
```

Rules on the syntax for this statement tell us we need a user supplied instance name, `y`, followed by the ASCEND operator `IS_A`, followed by a type name (`fraction`). The statement terminates with a semicolon. The statement semantics says we are declaring the existence of an instance, locally named `y`, of the type `fraction` as a part within the current model definition and it is to be constructed when an instance of the current model definition is constructed.

The syntax for a computer language is often defined by using a Bachus-Naur formal (BNF) description. The complete YACC and FLEX description of the language described (as presently implemented) is available by FTP and via the World Wide Web. The semantics of a very high level modeling language such as ASCEND IV are generally much more restrictive than the syntax. For this reason we do not include a BNF description in this paper. ASCEND IV is an experiment. The language is under constant scrutiny and improvement, so this document is under constant revision.



# Chapter 16

## Preliminaries

We will start off with some background information and some tips that make the rest of the chapter easier to read. ASCEND is an object-oriented (OO) language for hierarchical modeling that has been somewhat specialized for mathematical models. Most of the specialization is in the implementation and the user interface rather than the language definition.

We feel the single most distinguishing feature of mathematical models is that solving them efficiently requires that the solving algorithms be able to address the entire problem either simultaneously or in a decomposition of the natural problem structure that the algorithm determines is best for the machine(s) in use. In the ASCEND language object-orientation is used to organize natural structures and make them easier to understand. It is not used to hide the details of the objects. The user (or machine) is free to ignore uninteresting details, and the ASCEND environment provides tools for the runtime suppression of these.

ASCEND is well into its 4th generation. Some features we will describe are not yet implemented (some merely speculative) and these are clearly marked (\* 4+ \*). Any feature not marked (\* 4+ \*) has been completely implemented, and thus any mismatch between the description given here and the software we distribute is a bug we want you to tell us about.

The syntax and semantics of ASCEND may seem at first a bit unusual. However, do not be afraid to just try what comes naturally if what we write here is unclear. The parser and compiler of ASCEND IV really will help you get things right. Of course if what we write here is unclear, please ask us about it because we aim to continuously improve both this document and the language system it describes.

We will describe, starting in Section ??, the higher level concepts of ASCEND, but first some important punctuation rules.

ASCEND is cAsE sensitive!

The keywords that are shown capitalized (or in lower case) in this chapter are that way because ASCEND is case sensitive. IS\_A is an ASCEND keyword; isa, Is\_a, and all the other permutations you can think of are NOT equivalent to IS\_A. In declaring new types of models and variables the user is free to use any style of capitalization he or she may prefer; however, they must remain consistent or undefined types and instances will result.

This case restriction makes our code very readable, but hard to type without a smart editor. We have kept the case-sensitivity because, like all mathematicians, we find ourselves running out of good variable names if we are restricted

to a 26 letter alphabet. We have developed smart add-ins for two UNIX editors, EMACS and vi, for handling the upper case keywords and some other syntax elements. The use of these editors is described in another chapter.

The ASCEND IV parser is very picky and pedantic. It also tries to give helpful messages and occasionally even suggestions. New users should just dive in and make errors, letting the system help them learn how to avoid errors.

## 16.1 Punctuation

This section covers both the punctuation that must be understood to read this document and the punctuation of ASCEND code.

**keywords:** ASCEND keywords and type names are given in the left column in bold format. It is generally clear from the main text which are keywords and which are type names.

Minor items: Minor headings that are helpful in finding details are given in the left column in underline format.

**Tips:** Special notes and hints are sometimes placed on the left.

LHS: Left Hand Side. Abbreviation used frequently.

RHS: Right Hand Side. Abbreviation used frequently.

Simple names: In ASCEND simple names are made of the characters a through z, A through Z, `_`, (`*4+*`: `$`). The underscore is used as a letter, but it cannot be the first letter in a name. The `$` character is used exclusively as the first character in the name of system defined built-in parts. "`$`" is explained in more detail in Section `??`. Simple names should be no more than 80 characters long.

Compound names: Compound names are simple names strung together with dots (`.`). See the description of "`.`" below.

Groupings:

`« »` In documentation **optional fields** are surrounded by these markers.

`(* *)` Comment. Anything inside these is a comment. Comments can nest in ASCEND and span several lines.

`( )` Rounded parentheses. Used to enclose arguments for functions or models where the order of the arguments matters. Also used to group terms in complex arithmetic, logical, or set expressions where the order of operations needs to be specified.

**Efficiency tip:** The compiler can simplify relation definitions in a particularly efficient manner if constants are grouped together.

`{ }` Curly braces. Used to enclose units. For example, `1 {kg_mole/s}`. Also used to enclose the body of annotations. Note: Curly braces are also used in TCL, the language of the ASCEND user interface, about which we will say more in another chapter.

`[ ]` Square brackets. Used to enclose sets or elements of sets. Examples: `my_integer_set := [1,2,3]`, demonstrates the use of square brackets in the assignment of a set. `My_array[1]` demonstrates the use of square brackets in naming an array object indexed over an integer set which includes the element 1.

.	Dot. The dot is used, as in PASCAL and C, to construct the names of nested objects. Examples: if object a has a part b, then the way to refer to b is as a.b. Tray[1].vle shows a dot following a square bracket; here Tray[1] has a part named vle.
..	Dot-dot or double dot. Integer range shorthand. For example, my_integer_set := [1,2,3] and my_integer_set := [1..3] are equivalent. If .. appears in a context requiring (), such as the ALIASES/IS_A statement, then the range is expanded and ordered as we would naturally expect.
:	Colon. A separator used in various ways, principally to set the name of an arithmetic relation apart from the definition.
::	Double colon. A separator used in the methods section for accessing methods defined on types other than the type the method is part of. Explained in Section ??.
;	Semicolon. The separator of statements.

## 16.2 Basic Elements

Boolean value TRUE or FALSE. Can't get much simpler, eh? In the language definition TRUE and FALSE do not map to 1 and 0 or any other type of numeric value. (In the implementation, of course, they do.)

User interface tip: The ASCEND user interface programmers have found it very convenient, however, to allow T/F, 1/0, Y/N, and other obvious boolean conventions as interactive input when assigning boolean values. We are lazy users.

Integer value A signed whole number up to the maximum that can be represented by the computer on which one is running ASCEND. MAX\_INTEGER is machine dependent. Examples are:

```
123
-5
MAX_INTEGER, typically 2147483647.
```

Real value ASCEND represents reals almost exactly as any other mathematically oriented programming language does. The mantissa has an optional negative sign followed by a string of digits and at most one decimal point. The exponent is the letter e or E followed by an integer. The number must not exceed the largest the computer is able to handle. There can be no blank characters in a real. MAX\_REAL is machine dependent. The following are legitimate reals in ASCEND:

```
-1
1.2
1.3e-2
7.888888e+34
.6E21
MAX_REAL, typically about 1.79E+308.
```

while the following are not:

```
1. 2    (*contains a blank within it*)
1.3e2.0 (*exponent has a decimal in it*)
+1.3    (* illegal unary + sign. x = +1.3 not allowed*)
```

Reals stored in SI units

We store all real values as double precision numbers in the metre-kilogram-second (MKS) system of units. This eliminates many common errors in the modeling of physical systems. Since we also place the burden of scaling equations on system routines and a simple modeling methodology, the internal units are not of concern to most users.

Dimensionality:

Real values have dimensionality such as length/time for velocity. **Dimensionality** is to be distinguished from the **units** such as ft/s. ASCEND takes care of mapping between units and dimensions. A value without units (this includes integer values) is taken to be dimensionless. Dimensionality is built up from the following base dimensions:

Name	<u>definition; typical units</u>
L	length; metre, m
M	mass; kilogram, kg
T	time; second, s
E	electric current; ampere, A
Q	quantity; mole, mole
TMP	temperature; Kelvin, K
LUM	luminous intensity; candela, cd
P	plane angle; radian, rad
S	solid angle; steradian, srad
C	currency; currency, CR

The atom and constant definitions in the library illustrate the use of dimensionality.

Dimensions may be any combination of these symbols along with rounded parentheses,  $()$ , and the operators  $*$ ,  $\wedge$  and  $/$ . Examples include  $M/T$  or  $M*L^2/T^2/TMP$  {this latter means  $(M*(L^2)/(T^2))/TMP$ }. The second operand for the to-the-power-of operator,  $\wedge$ , must be an integer value (e.g., -2 or 3) because fractional powers of dimensional numbers are physically undefined.

If the dimensionality for a real value is undefined, then ASCEND gives it a wildcard dimensionality. If ASCEND can later deduce its dimensionality from its use in a model definition it will do so. For example consider the real variable  $a$ , suppose  $a$  has wildcard dimensionality,  $b$  has dimensionality of  $L/T$ . Then the statement:

Example of a dimensionally consistent equation.

$$a + b = 3 \text{ {ft/s}};$$

requires that  $a$  have the same dimensionality as the other two terms, namely,  $L/T$ . ASCEND will assign this dimensionality to  $a$ . The user will be warned of dimensionally inconsistent equations.

Unit expression

A unit expression may be composed of any combination of unit names defined by the system and any numerical constants combined with times ( $*$ ), divide( $/$ ) and to the power ( $\wedge$ ) operators. The RHS of  $\wedge$  must be an integer. Parentheses can be used to group subexpressions with the exception that a divide operator may *not* be followed by a grouped subexpression.

So, `{kg/m/s}` is fine, but `{kg/(m*s)}` is not. Although the two expressions are mathematically equivalent, it makes the system programming and output formatting easier to code and faster to execute if we disallow expressions of the latter sort.

The units understood by the system are defined in the first part of this manual. Note that several units defined are really values of interesting constants in SI, e.g. `R := 1{GAS_C}` yields the correct value of the thermodynamic gas constant. Users can define additional units.

### Units

A Unit expression unit expression must be enclosed in curly braces `{}`. When a real number is used in a mathematical expression in ASCEND, it must have a set of units expressed with it. If it does not, ASCEND assumes the number is dimensionless, which may not be the intent of the modeler. An example is shown in the dimensionally consistent equation above where the number 3 has the units `{ft/s}` associated with it.

Examples:

```
{kg_mole/s/m} same as {(kg_mole/s)/m}
{m^3/yr}
{3/100*ft} same as {0.03*ft}
{s^-1} same as {1/s}
```

Illegal unit examples are

```
{m/(K*kg_mole)}
grouped subexpression used in the denominator; should be
written {m/K/kg_mole}.
{m^3.5}
power of units or dimensions must be integer.
```

### Symbol Value

The format for a symbol is that of an arbitrary character string enclosed between two single quotes. There is no way to embed a single quote in a symbol: we are not in the escape sequence business at this time. The following are legal symbols in ASCEND:

```
'H2O'
'r1'
'Bill said,foo to whom?'
```

while the following are not legal symbol values:

```
"ethanol" (double quotes not allowed)
water (no single quotes given)
'i can't do this' (no embedded quotes)
```

There is an arbitrary upper limit to the number of characters in a symbol (something like 10,000) so that we may detect a missing close quote in a bad input file without crashing.

### Sets values

Set values are lists of elements, all of type `integer_constant` or all of type `symbol_constant`, enclosed between square brackets `[]`. The following are examples of sets:

```
['methane', 'ethane', 'propane']
[1..5, 7, 15]
[2..n_stages]
[1, 4, 2, 1, 16]
[]
```

More about sets in Section ??.

The value range `1..5` is an allowable shorthand for the integers 1, 2, 3, 4 and 5 while the value range `2..n_stages` (where `n_stages` must be of type `integer_constant`) means all integers from 2 to `n_stages`. If `n_stages` is less than 2, then the third set is empty. The repeated occurrence of 1 in the fourth set is ignored. The fifth set is the empty set.

We use the term set in an almost pure mathematical sense. The elements have no order. One can only ask two things of a set: (1) if an element is a member of it and (2) its cardinality (`CARD(set)`). Repeated elements used in defining a set are ignored. The elements of sets cannot themselves be sets in ASCEND; i.e., there can be no sets of set.

Sets are unordered.

A set of integers may appear to be ordered to the modeler as the natural numbers have an order. However, it is the user imposing and using the ordering, not ASCEND. ASCEND sees these integers as elements in the set with NO ordering. Therefore, there are no operators in ASCEND such as successor or precursor member of a set.

### Arrays

An array is a list of instances indexed over a set, in computer-speak, an associative array of objects. The instances are all of the same base type (as that is the only way they can be defined). An individual member of a list may later be more refined than the other members (we shall illustrate that possibility). The following are arrays in ASCEND.

```
stage[1..n_stages]
y[components]
column[areas][processes]
```

where components, areas and processes are sets. For example components could be the set of symbols ['ethylene', 'propylene'], areas the set of symbols ['feed\_prep', 'prod\_purification'] while processes could be the set ['alcohol\_manuf', 'poly\_propylene\_manuf']. Note that the third example (column) is a list of lists (the way that ASCEND permits a multiply subscripted array).

The following are elements in the above arrays:

```
stage[1]
y['ethylene']
column['feed_prep']['alcohol_manuf']
```

provided that `n_stages` is 1 or larger.

There can be any number of subscripts for an array. We point out, however, that in virtually every application of arrays requiring more than two subscripts, there is usually a some underlying concept that is much better modeled as an object than as part of a deeply subscripted array. In the following jagged array example, there are really the concepts of unit operation and stream that would be better understood if made explicit.

### Arrays can be jagged

Arrays can be sparse or jagged. For example:

```
process[1..3] IS_A set OF integer;
process[1] ::= [2];
process[2] ::= [7,5,3];
process[3] ::= [4,6];
FOR i in [1..3] CREATE
  FOR j IN process[i] CREATE
    flow[i][j] IS_A mass;
  END FOR;
END FOR;
```

process is an array of sets (not to be confused with a set of sets which ASCEND does not have) and flow is an array with six elements spread over three rows:

```
flow[1] [2]
flow[2] [7], flow[2] [3], flow[2] [5]
flow[3] [4], flow[3] [6]
```

Arrays are also instances

Each array is itself an object. That is, when you write `a[1..2] IS_A real`, three objects get created: `a[1]`, `a[2]`, and `a`. The object `a` is an array instance which has parts named `[1]` and `[2]` that are real instances. When a parameterized model requires an array, you pass it the single item `a`, not the elements `a[1..2]`.

No contiguous storage

Just in case you still have not caught on, ASCEND arrays are not blocks of memory such as are seen in low-level languages like C, FORTRAN, and Matlab. The modeling language does not provide things like `MatMult`, `Transpose`, and `Inverse` because these are procedural solving tools. If you are dedicated, you could write `METHODs` that implement matrix algebra, but this is a really dumb idea. We aim to structure our software so that it can interact openly with separate, dedicated tools (such as Matlab) when those tools are needed.

Index variable

One can introduce a variable as an index ranging over a set. Index variables are local to the statements in which they occur. An example of using an index variable is the following `FOR` statement:

```
FOR i IN components CREATE
  VLE_equil[i]: y[i] = K[i]*x[i];
END FOR;
```

In this example `i` implicitly is of the same type as the values in the set `components`. If another object `i` exists in the model containing the `FOR` loop, it is ignored while executing the statements in that loop. This may cause unexpected results and the compiler will generate warnings about loop index shadowed variables.

Label:

One can label statements which define arithmetic relationships (objective functions, equalities, and inequalities) in ASCEND. Labeling is highly recommended because it makes models much more readable and more easily debugged. Labels are also necessary for relations which are going to be used in conditional modeling or differentiation functions. A label is a sequence of alphanumeric characters ending in a colon. An example of a labeled equation is:

```
mass_balance: m_in = m_out;
```

An example of a labeled objective function is:

```
obj1: MAXIMIZE revenue - cost;
```

If a relation is defined within a `FOR` statement, it must have an array indexed label so that each instance created using the statement is distinguishable from the others. An example is:

```
FOR i IN components CREATE
  equil[i]: y[i] = K[i]*x[i];
END FOR;
```

The ASCEND interactive user interface identifies relationships by their labels. If one has not provided such a label, the system generates the label:

*modelname\_equationnumber*

where *modelname* and *equationnumber* are the name of the model and the equation number in the model. An example is

mixture\_14

for the unlabeled 14th relation in the mixture definition. If there is a conflict caused with an existing name, the generated name has enough letters added after *equationnumber* to make it a unique name. Remember that each model in a refinement hierarchy inherits the equations of its less refined ancestors, so the first equation appearing in the source code of a refining model may actually be the *n*th relation in that model.

### Lists

Often in a statement one can include a list of names or expression. A name list is one or more names where multiple list entries are separated from each other by commas. Examples of a list of names are:

```
T1, inlet_T, outlet_T
y[components], y_in
stage[1..n_stages]
```

### Ordered lists:

If the ordering of names in a list matters, that list is enclosed in (). Order matters in: calling externally defined methods or models, calling most real-valued functions, passing parameters to ASCEND models or methods, and declaring the controlling parameters that SELECT, SWITCH, and WHEN statements make decisions on.

## 16.3 Basic Concepts

### Instances and types

This is an opportune time to emphasize the distinction between the terms instance and type. A type in ASCEND is what we define when we declare an ASCEND model or atom. It is the formal definition of the attributes (parts) and attribute default values that an object will have if it is created using the type definition. Methods are associated with types.

In ASCEND there are two meanings (closely related) of an instance.

- An instance is a named part that exists within a type definition.
- An instance is a compiled object.

If one is in the context of the ASCEND interface, the system compiles an instance of a model type to create an object with which one carries out computations. The system requires the user to give a simple name for this simulation instance. This name given is then the first part of the qualified name for all the parts of the compiled object.

### Implicit types

It is possible to create an instance that does not have a corresponding type definition in the library. The type of such an instance is said to be **implicit**<sup>1</sup>. The simplest example of an implicit type is the type of an instance compiled from the built-in definition *integer\_constant*. For example:

---

<sup>1</sup> (Some people use the word *anonymous*. However, no computable type is anonymous and the implicit type of an instance is theoretically computable)



```

i, j IS_A integer_constant;
i:= 2;
j:= 3;

```

Instances `i` and `j`, though of the same formal type, are implicit type incompatible because they have been assigned distinct values.

Instances which are either formally or implicitly type incompatible cannot be merged. This will be discussed further in Section ??.

## Parsing

Most errors in the declaration of an ASCEND model can be caught at parse time because the object type of any well-formed name in an ASCEND definition can be resolved or proved ambiguous. We cannot prove at parse time whether a specific array element will exist, but we can know that should such an element exist, it must be of the type with which the array is defined.

Ambiguity is warned about loudly because it is caused by either misspelling or poor modeling style. The simplest example of ambiguity follows.

Assume a type, `stream`, and a refinement of `stream`, `heat_stream`, which adds the new variable `H`. Now, if we write:

```

MODEL mixer;
input[1..2] IS_A stream;
output IS_A heat_stream;
input[1].H + input[2].H = output.H;
END mixer;

```

We see the parser can find the definition of `H` in the type `heat_stream`, so `output.H` is well defined. The author of the `mixer` model may intend to refine `input[1]` and `input[2]` to be objects of different types, say `steam_stream` and `electric_stream`, where each defines an `H` suitable for use in the equation. The parser cannot read the authors mind, so it warns that `input[1].H` and `input[2].H` are ambiguous in the `mixer` definition. The `mixer` model is not highly reusable except by the author, but sometimes reusability is not a high priority objective. The `mixer` definition is allowed, but it may cause problems in instantiation if the author has forgotten the assumption that is not explicitly stated in the model and neglects to refine the input streams appropriately.

## Instantiation

Creating an simulation based on a type definition is a multi-phase process called compiling (or instantiation). When an instantiation cannot be completed because some structural parameter (a `symbol_constant`, `real_constant`, `boolean_constant`, `integer_constant`, or `set`) does not have a value there will be PENDING statements. The user interface will warn that something is incomplete.

In phase 1 all statements that create instance structures or assign constant values are executed. This phase theoretically requires an infinite number of passes through the structural statements of a definition. We allow a maximum of 5 and have never needed more than 3. There may be pending statements at the end of phase 1. The compiler or interface will issue warnings about pending statements, starting with warnings about unassigned constants.

Phase 2 compiles as many real arithmetic relation definitions as possible. Some relations may be impossible to compile because the constants or sets they depend on do not have values assigned. Other relations may be impossible because they reference variables that do not exist. This is determined in a single pass.

Phase 3 compiles as many logical arithmetic relation definitions as possible. Some relations may be impossible to compile because the constants or sets they depend on do not have values assigned. Other relations may be impossible

because they reference real arithmetic relations that do not exist. This is determined in a single pass.

Phase 4 compiles as many conditional programming statements (WHENs) as possible. Some WHEN relations may be impossible to compile because the discrete variables, models, or relations they depend on do not exist. This is determined in a single pass.

Phase 5 executes the variable defaulting statements made in the declarative section of each model *if and only if* there are no pending statements from phases 1-4 anywhere in the simulation.

default\_self

After all phases are done, the method default\_self is called in the top-most model of the simulation, if this method exists.

The first occurrence of each impossible statement will be explained during a failed compilation. Impossible statements include:

- Relations containing undefinable variables (often misspellings).
- Assignments that are dimensionally inconsistent or containing mismatched types.
- Structure building or modifying statements that refer to model parts which cannot exist or that require a type-incompatible argument, refinement, or merge.

# Chapter 17

## Data Type Declarations

In the spectrum of OO languages, ASCEND is best considered as being class-based, though it is rather more a hybrid. We have atom and model definitions, called **types**, and the compiled objects themselves, called **instances**. ASCEND instances have a record of what type they were constructed from.

### Type qualifiers:

#### UNIVERSAL

Universal is an optional modifier of all ATOM, CONSTANT and MODEL definitions. If UNIVERSAL precedes the definition, then *all* instances of that type will actually refer to the first instance of the type that is created. This saves memory and ensures global consistency of data.

Examples of universal type definitions are

```
UNIVERSAL MODEL methane
  REFINES generic_component_model;
UNIVERSAL CONSTANT circle_constant
  REFINES real_constant := 1{PI};
UNIVERSAL ATOM counter_1
  REFINES integer;
```

Tip: Do not use UNIVERSAL variables in relations.

It is important to note that, because *variables* must store information about which relations they occur in, it is a very bad idea to use UNIVERSAL typed variables in relations. The construction and maintenance of the relation list becomes very expensive for universal variables. UNIVERSAL *constants* are fine to use, though, because there are no relation links for constants.

### 17.1 Models

#### MODEL

An ASCEND model has a declarative part and an optional procedural part headed by the METHODS word. Models are essentially containers for variables and relations. We will explain the various statements that can be made within models in Section ?? and Section ??.

Simple models:

```
foo                                MODEL foo;

                                   (* statements about foo go here*)
                                   METHODS
                                   (* METHODS for foo go here*)
                                   END foo;
```

```

bar
    MODEL bar REFINES foo;

    (*additional statements about foo *)
    METHODS
    (* additional METHODS for bar *)
    END bar;

```

Parameterized Models Parameterizing models makes them easier to understand and faster for the system to compile. The syntax for a parameterized model vaguely resembles a function call in imperative languages, but it is NOT. When constructing a reusable model, all the constants that determine the sizes of arrays and other structures should be declared in the parameter list so that

- the user knows what is required to reuse the model.
- the compiler knows what values must be set before it should bother attempting to compile the model.

There is no reason that other items could not also go in the parameter list, such as key variables which might be considered inputs or outputs or control parameters in the mathematical application of the model. A simple example of parameterization would be:

column(n,s)

```

MODEL column(
    ntrays WILL_BE integer_constant;
    components IS_A set of symbol_constant;
);
stage[1..ntrays] IS_A simple_tray;
END column;

```

flowsheet

```

MODEL flowsheet;
    tower4size IS_A integer_constant;
    tower4size := 22;
    ct IS_A column(tower4size,[c5,c6]);
    (* additional flowsheet statements *)
END flowsheet;

```

In this example, the column model takes the first argument, `ntrays`, by reference. That is, `ct.ntrays` is an alias for the flowsheet instance `tower4size`. `tower4size` must be compiled and assigned a value before we will attempt to compile the column model instance `ct`. The second argument is taken by value, `[c5,c6]`, and assigned to `components`, a column part that was declared with `IS_A` in the parameter list. There is only one name for this set, `ct.components`. Note that in the `flowsheet` model there is no part that is a set of `symbol_constant`.

The use of parameters in ASCEND modeling requires some thought, and we will present that set of thoughts in Section ???. Beginners may wish to create new models without parameters until they are comfortable using the existing parameterized library definitions. Parameters are intended to support model reuse and efficient compilation which are not issues in the very earliest phase of developing novel models.

## 17.2 Sets

Arrays in ASCEND, as already discussed in Section ??, are defined over sets. A set is simply an instance with a set value. The elements of sets are *not* instances or sets.

Set Declaration: A set is made of either `symbol_constants` or `integer_constants`, so a set object is declared in one of two ways:

```
my_integer_set IS_A set OF integer_constant;
```

or

```
my_symbol_set IS_A set OF symbol_constant;
```

`:=` A set is assigned a value like so:

```
my_integer_set := [1,4];
```

The RHS of such an assignment must be either the name of another set instance or an expression enclosed in square brackets and made up of only set operators, other sets, and the names of `integer_constants` or `symbol_constants`. Sets can only be assigned once.

Set Operations UNION[setlist]

A function taken over a list of sets. The result is the set that includes all the members of all the sets in the list. Note that the result of the UNION operation is an unordered set and the argument order to the union function does not matter. The syntax is:

`+` UNION[list\_of\_sets]

`A+B` is shorthand for UNION[A,B]

Consider the following sets for the examples to follow.

```
A := [1, 2, 3, 5, 9];
```

```
B := [2, 4, 6, 8];
```

Then UNION[A, B] is equal to the set [1, 2, 3, 4, 5, 6, 8, 9] which equals [1..6, 8, 9] which equals [[1..9] - [7]].

INTERSECTION[] INTERSECTION[list of set expressions]. Finds the intersection (and) of the sets listed.

`*` Equivalent to INTERSECTION[list\_of\_sets].

`A*B` is shorthand for INTERSECTION[A,B]

For the sets A and B defined just above, INTERSECTION[A, B] is the set [2]. The `*` shorthand for intersection is *not* recommended for use except in libraries no one will look at.

Set difference: One can subtract one set from another. The result is the first set less any members in the set union of the first and second set. The syntax is

```
first_set - second_set
```

For the sets A and B defined above, the set difference A - B is the set [1, 3, 5, 9] while the set difference B - A is the set [4, 6, 8].

CARD[set] Cardinality. Returns an integer constant value that is the number of items in the set.

CHOICE<sub>[set]</sub> Choose one. The result of running the CHOICE function over a set is an arbitrary (but consistent: for any set instance you always get the same result) single element of that set.

Running CHOICE[A] gives any member from the set A. The result is a member, not a set. To make the result into a set, it must be enclosed in square brackets. Thus [CHOICE[A]] is a set with a single element arbitrarily chosen from the set A. Good modelers do not leave modeling decisions to the compiler; they do not use CHOICE. We are stuck with it for historical reasons.

To reduce a set by one element, one can use the following

```
A_less_one IS_A set OF integer;
A_less_one := A - [CHOICE[A]];
```

IN lhs IN rhs can only be well explained by examples. IN is used in index expressions. If lhs is a simple and not previously defined name, it is created as a temporary loop index which will take on the values of the rhs set definition. If lhs is something that already exists, the result of lhs IN rhs is a boolean value; stare at the model `set_example` below which demonstrates both IN and SUCH\_THAT. If you still are not satisfied, you might examine [[westerbergksets]].

SUCH\_THAT Set expressions can be rather clever. We will give a detailed example from chemistry because unordered sets are unfamiliar to most people and set arithmetic is quite powerful. In this example we see arrays of sets and sparse arrays.

```
MODEL set_example;
  (* we define a sparse matrix of reaction
  coefficient information and the species
  balance equations. *)
  rxns IS_A set OF integer_constant;
  rxns := [1..3];
  species IS_A set OF symbol_constant;
  species := ['A','B','C','D'];
  reactants[rxns] IS_A set OF symbol_constant; (* species
  in each rxn_j *)
  reactants[1] := ['A','B','C'];
  reactants[2] := ['A','C'];
  reactants[3] := ['A','B','D'];
  reactions[species] IS_A set OF integer_constant;
  FOR i IN species CREATE (* rxns for each species i *)
    reactions[i] := [j IN rxns SUCH_THAT i IN reactants[j]];
  END FOR;
  (* Define sparse stoichiometric matrix. Values of eta_ij
  set later.*)
  FOR j IN rxns CREATE
    FOR i IN reactants[j] CREATE
      (* eta_ij --> mole i/mole rxn j*)
      eta[i][j] IS_A real_constant;
    END FOR;
  END FOR;
  production[species] IS_A molar_rate;
  rate[rxns] IS_A molar_rate; (* mole rxn j/time *)
  FOR i IN species CREATE
    gen_eqn[i]: production[i] =
      SUM[eta[i][j]*rate[j] | j IN reactions[i]];
  END FOR;
END set_example;
```

"|" is shorthand for `SUCH_THAT`.  
 The array `eta` has only 8 elements, and we defined those elements in a set for each reaction. The equation needs to know about the set of reactions for a species `i`, and that set is calculated automatically in the models first `FOR/CREATE` statement.  
 The `|` symbol is the ASCEND III notation for `SUCH_THAT`. We noted that "|" is often read as "for all", which is different in that "for all" makes one think of a `FOR` loop where the loop index is on the left of an `IN` operator. For example, the `j` loop in the `SUM` of `gen_eqn[i]` above.

## 17.3 Constants

ASCEND supports real, integer, boolean and character string constants. Constants in ASCEND do not have any attributes other than their value. Constants are scalar quantities that can be assigned exactly once. Constants may only be assigned using the `:=` operator and the RHS expression they are assigned from must itself be constant. Constants do not have subparts. Integer and symbol constants may be used in determining the definitions of sets.

Explicit refinements of the built-in constant types may be defined as exemplified in the description of `real_constant`. Implicit type refinements may be done by instantiating an incompletely defined constant and assigning its final value.

Sets could be considered constant because they are assigned only once, however sets are described separately because they are not quite scalar quantities.

`real_constant` Real number with dimensionality. Note that the dimensionality of a real constant can be specified via the type definition without immediately defining the value, as in the following pair of definitions.

CONSTANT declaration example:

```

CONSTANT molar_weight
  REFINES real_constant DIMENSION M/Q;
CONSTANT hydrogen_weight
  REFINES molar_weight := 1.004{g/mole};

```

`integer_constant` Integer number. Principally used in determining model structure. If appearing in equations, integers are evaluated as dimensionless reals. Typical use is inside a `MODEL` definition and looks like:

```

n_trays IS_A integer_constant;
n_trays := 50;
tray[1..n_trays] IS_A vl_equilibrium_tray;

```

`symbol_constant` Object with a symbol value. May be used in determining model structure.

`boolean_constant` Logical value. May be used in determining model structure.

Setting constants

`:=` Constant and set assignment operator.

It is suggested, but not required, that names of all types that refine the built-in constant types have names that end in `_constant`.

```

LHS_list := RHS;

```

Here it is required that the one or more items in the LHS be of the same constant type and that RHS is a single-valued expression made up of values, operators, and other constants. The `:=` is used to make clear to both the user and the system what scalar objects are constants.

## 17.4 Variables

There are four built-in types which may be used to construct variables: symbol, boolean, integer, and real. At this time symbol types have special restrictions. Refinements of these variable base types are defined with the `ATOM` statement. Atom types may declare attribute fields with types real, integer, boolean, symbol, and set. These attributes are *not* independent objects and therefore cannot be refined, merged, or put in a refinement clique (`ARE_ALIKED`).

ATOM

The syntax for declaring a new atom type is

```
ATOM atom_type_name REFINES variable_type
  <<DIMENSION dimension_expression>>
  <<DEFAULT value>>; (* note the ; *)
  <<initial attribute assignment>>
END atom_type_name;
```

DEFAULT, DIMENSION, and DIMENSIONLESS

The `DIMENSION` attribute is for variables whose base type is real. It is an optional field. If not defined for any atom with base type real, the dimensions will be left as undefined. Any variable which is later declared to be one of these types will be given wild card dimensionality (represented in the interactive display by an asterisk (\*)). The system will deduce the dimensionality from its use in the relationships in which it appears or in the declaring of default values for it, if possible.

`solver_var`

is a special case of `ATOM` and we will say much more about it in Section ??.

```
ATOM solver_var REFINES real DEFAULT 0.5 {?};
  lower_bound IS_A real;
  upper_bound IS_A real;
  nominal IS_A real;
  fixed IS_A boolean;
  fixed := FALSE;
  lower_bound := -1e20 {?};
  upper_bound := 1e20 {?};
  nominal := 0.5 {?};
END solver_var;
```

The default field is also optional. If the atom has a declared dimensionality, then this value must be expressed with units which are compatible with this dimensionality. In the `solver_var` example, we see a `DEFAULT` value of 0.5 with the unspecified unit `{?}` which leaves the dimensionality wild.

real

Real valued variable quantity. At present, all variables that you want to be attended to by solver tools must be refinements of the type `solver_var`. This is so that modifiable parametric values can be included in equations without treating them as variables. Strictly speaking, this is a characteristic of the solver interface and not the ASCEND language. Each tool in the total ASCEND system may have its own semantics that go beyond the ASCEND object definition language.



integer	Integer valued variable quantity. We find these mighty convenient for use in certain procedural computations and as attributes of solver_var atoms.
boolean	Truth valued variable quantity. These are principally used as flags on solver_vars and relations. They can also be used procedurally and as variables in logical programming models, subject to the logical solver tools semantics. (Compare solver_boolean and boolean_var in Section ??.)
symbol	Symbol valued variable quantity. We do not yet have operators for building symbols out of other symbols.

### Setting variables

`:=` Procedural equals differs from the ordinary equals (`=`) in that it means the left-hand-side (LHS) variables are to be assigned the value of the right-hand-side (RHS) expression when this statement is processed. Processing happens in the last phase of compiling (instantiation) or when executing a method interactively through the ASCEND user interface. The order the system encounters these statements matters, therefore, with a later result overwriting an earlier one if both statements have the same the same LHS variable.

Note that variable assignments (also known as defaulting statements) written in the declarative section are executed only after an instance has been fully created. This is a frequent source of confusion and errors, therefore we recommend that you DO NOT ASSIGN VARIABLES IN THE DECLARATIVE SECTION.

Note that `:=` IS NOT `=`.

We use an ordinary equals (`=`) when defining a real valued equation to state that the LHS expression is to equal the RHS expression at the solution for the model. We use `==` for logical equations.

## 17.5 Relations

### Mathematical expression:

The syntax for a mathematical expression is any legal combination of variable names and arithmetic operators in the normal notation. An expression may contain any number of matched rounded parentheses, `()`, to clarify meaning. The following is a legal arithmetic expression:

$$y^2+(\sin(x)-\tan(z))*q$$

Each additive term in a mathematical expression (terms are separated by `+` or `-` operators) must have the same dimensionality.

An expression may contain an index variable as a part of the calculation if that index variable is over a set whose elements are of type integer. (See the FOR/CREATE and FOR/DO statements below.) An example is:

$$\text{term}[i] = a[i]*x^{(i-1)};$$

### Numerical relations

The syntax for a numeric relation is either

$$\text{optional\_label} : \text{LHS relational\_operator RHS};$$

or

*optional\_label* : *objective\_type* *LHS* ;

Objective\_type is either MAXIMIZE or MINIMIZE. RHS and LHS must be one or more variables, constants, and operators in a normal algebraic expression. The operators allowed are defined below and in Section ???. Variable integers, booleans, and symbols are not allowed as operands in numerical relations, nor are boolean constants. Integer indices declared in FOR/CREATE loops are allowed in relations, and they are treated as integer constants.

#### Relational operators:

=, >=, <=, <, >, <> These are the numerical relational operators for declarative use.

```
Ftot*y['methane'] = m['methane'];
y['ethanol'] >= 0;
```

Equations must be dimensionally correct.

#### MAXIMIZE, MINIMIZE

Objective function indicators.

Binary Operators: +, -, \*, /, ^. We follow the usual algebraic order of operations for binary operators.

+ Plus. Numerical addition or set union.

- Minus. Numerical subtraction or set difference.

\* Times. Numerical multiplication or set intersection.

/ Divide. Numeric division. In most cases it implies real division and not integer division.

^ Power. Numeric exponentiation. If the value of y in  $x^y$  is not integer, then x must be greater than 0.0 and dimensionless.

Unary Operators: -, ordered\_function()

- Unary minus. Numeric negation. There is no unary + operator.

ordered\_function() unary real valued functions. The unary real functions we support are given in section Section ???.

Real functions of sets of real terms:

SUM[term set] Add all expressions in the functions list.

For the SUM, the base type real items can be arbitrary arithmetic expressions. The resulting items must all be dimensionally compatible.

An examples of the use is:

```
SUM[y[components]] = 1;
```

or, equivalently, one could write:

```
SUM[y[i] | i IN components] = 1;
```

Empty SUM yields wildcard 0.

When a SUM is compiled over a list which is empty it generates a wildcard-dimensioned 0. This will sometimes cause our dimension checking routines to fail. The best way to prevent this is to make sure the SUM never actually encounters an empty list. For example:

```
SUM[Q[possibly_empty_set], 0{watt}];
```

In the above, the variables  $Q[i]$  (if they exist) have the dimensionality associated with an energy rate. When the set is empty, the 0 is the only term in the SUM and establishes the dimensionality of the result. When the set is *not* empty the compiler will simplify away the trailing 0 in the sum.

PROD[term set] Multiply all the expressions in the products list. The product of an empty list is a dimensionless value, 1.0.

Possible future functions:

(Not implemented - only under confused consideration at this time.) The following functions only work in methods as they are not smooth function and would destroy a Newton-based solution algorithm if used in defining a model equation:

MAX[term set] (\* 4+ \*) maximum value on list of arguments

MIN[term set] (\* 4+ \*) minimum value on list of arguments

## 17.6 External relations

We cannot document these at the present time. Some details are available in Kirk Abbott's thesis [?], or check the wiki at <http://ascendwiki.cheme.cmu.edu/>

## 17.7 Conditional modelling

### 17.7.1 Conditional relations

The syntax is

```
CONDITIONAL
  list_of_relation_statements
END CONDITIONAL;
```

A CONDITIONAL statement can appear anywhere in the declarative portion of the model and it contains only relations to be used as boundaries. That is, these real arithmetic equations are used in expressing logical condition equations via the SATISFIED operator.

### 17.7.2 Logical relations

Logical expression

An expression whose value is TRUE or FALSE is a logical expression. Such expressions may contain boolean variables. If A,B, and laminar are boolean, then the following is a logical expression:

```
A + (B * laminar)
```

as is (and probably more clearly)

```
A OR (B AND laminar)
```

The plus operator acts like an OR among the terms while the times operator acts like an AND. Think of TRUE being equal to 1 and FALSE being equal to 0 with the  $1+1=0+1=1+0=1$ ,  $0+0=0$ ,  $1*1=1$  and  $0*1=1*0=0*0=0$ . If A = FALSE, B=TRUE and laminar is TRUE, this expression has the value

```
FALSE OR (TRUE AND TRUE) -->TRUE
```

or in terms of ones and zeros

```
0 + (1 * 1) --> 1.
```

Logical relations are then made by putting together logical expressions with the boolean relational operators `==` and `!=`. Since we have no logical solving engine we have not pushed the implementation of logical relations very hard yet.

## 17.8 NOTES

Within a MODEL (or METHOD) definition annotations (hereafter called notes) may be made on a part declared in the MODEL, or on the MODEL (or METHOD) itself. Short notes may be made when defining or refining an object by enclosing the note in double quotes (`"`). Longer notes may be made in a block statement.

Each note is entered in a database with the name of the file, name of MODEL, name of METHOD if applicable, and the language (a kind of keyword) in which the note is written. Users, user interfaces, and other programs may query this database for information on models and simulations. The block notes may include code fragments in other languages that you wish to embed in your MODEL or any other kind of text.

Short notes should be included as you write any model to clarify the roles of parts and variables. All short notes have the language `'inline.'` Here are some examples of short notes:

```
L[1..10] "L[i] is the length of the ith rod"
        IS_A distance;
thetaM "angle between horizon and moon",
thetaJ "angle between horizon and jupiter"
        IS_A angle;
car.tires "using car in Minnesota, you betcha"
        IS_REFINED_TO snow_tire;
```

In the second `IS_A` statement concerning two angles, we see that a short note in double quotes goes with the name immediately to its left. We also see that the note comes before the comma if the name is part of a list of names. In the third statement, we see that not only simple names but also qualified names may be annotated.

Longer notes are made in block statements of the form below. These blocks can appear in a METHOD or MODEL. These blocks can also be written separately before or after a model as we shall see.

```
NOTES
'language or keyword' list.of, names {
    free-form block of text to store in the
    database exactly as written.
}
some.other.name {
    this note has the same language or keyword as
    the first since we didn't define a new keyword
    in single quotes before the name list.
}
'another language' some.other.name {
    en espanol
```

```

    }
    'fortran' SELF {
        This model should be solved with subroutine
        LSODE.
        This note demonstrates that "SELF" can be used
        to annotate the entire model instead of a
        named part.
    }
END NOTES;

```

Notes made outside the scope of a model definition look like one of the following:

```

ADD NOTES IN name_of_model;
'language or keyword' list.of, names {
    more text
} (* more than one note may be made in this
   block if desired. *)
END NOTES;
ADD NOTES IN name_of_model METHOD name_of_method;
'language or keyword' SELF {
    This method proves Fermat's last theorem and
    makes toast.
}
'humor' SELF {
    ASCEND is not expected to make either proving
    FLT or toasting possible.
}
END NOTES;

```

We can add notes to the database before or after defining the annotated model. This is handy for several reasons including:

- Lengthy notes mixed with model and method code can make that code very hard to read.
- Separate notes describing a family of models can be loaded and browsed before loading that library family.
- Users other than the author of a model can annotate that model without fear of introducing typographical errors into the model.

These advantages come with a disadvantage that all documentation has. If you change the model, you ought to change the documentation at the same time. To make finding these documentation locations in need of change easier, the name of the file containing each note is included in the loaded database.

Experience has shown that even documentation embedded directly in models or in other computer programs gets out-dated if the person changing the program is in a hurry and is not required to document properly as part of the task at hand. Neither ASCEND nor any other software system can eliminate the garbage code and documentation that results from undisciplined modeling.

# Chapter 18

## Declarative statements

We have already seen several examples that included declarative statements. Here we will be more systematic in defining things. The statements we describe are legal within the declarative portion of an ATOM or MODEL definition. The declarative portion stops at the keyword METHODS if it is present in the definition or at the end of the definition.

Statements Statements in ASCEND terminate with a semicolon (;). Statements may extend over any number of lines. They may have blank lines in the middle of them. There may be several statements on a single line.

Compound statements Some statements in ASCEND can contain other statements as a part of them. The declarative compound statements are the ALIASES/IS\_A, CONDITIONAL, FOR/CREATE, SELECT/CASE, and WHEN/CASE statements. The procedural compound statements allowed only in methods are the FOR/DO, FOR/CHECK, SWITCH and the IF statements. Compound statements end with "END word;", where word matches the beginning of the syntax block, e.g. END FOR.and they can be nested, with some exceptions which are noted later.

CASE statements WHEN/CASE, CONDITIONAL, and SELECT/CASE handle modeling alternatives within a single definition. The easy way to remember the difference is that the first picks which equations to solve WHEN discrete variables have certain values, while the second SELECTs which statements to compile based on discrete constants. SWITCH statements handle flow of control in methods, in a slightly more generalized form than the C language switch statement.

Type declarations are not compound statements.

MODEL and ATOM type definitions and METHOD definitions are not really compound statements because they require a name following their END word that matches the name given at the beginning of the definition. These definitions cannot be nested.

ASCEND operator synopses:

We'll start with an extremely brief synopsis of what each does and then give detailed descriptions. It is helpful to remember that an instance may have many names, even in the same scope, but each name may only be defined once.

IS\_A Constructor. Calls for one or more named instances to be compiled using the type specified. If the type is one that requires parameters, the parameters must be supplied in () following the type name.

IS_REFINED_TO	Reconstructor. Causes the already compiled instance(s) named to have their type changed to a more refined type. This causes an incremental recompilation of the instance(s). IS_REFINED_TO is not a redefinition of the named instances because refinement can only add compatible information. The instances retain all the structure that originally defined them. If the type being refined to requires arguments, these must be supplied, even if the same arguments were required in the IS_A of the originally less refined declaration of the instance.
ALIASES	Part alternate naming statement. Establishes another name for an instance at the same scope or in a child instance. <sup>1</sup>
ALIASES/IS_A	Creates an array of alternate names for a list of existing instances with some common base type and creates the set over which the elements of the array are indexed. Useful for making collections of related objects in ways the original author of the model didnt anticipate. Also useful for assembling array arguments to parameterized type definitions.
WILL_BE	Forward declaration statement. Promises that a part with the given type will be constructed by an as yet unknown IS_A statement above the current scope. At present WILL_BE is legal only in defining parameters. Were it legal in the body of a model, compiling models would be very expensive.
ARE_THE_SAME	Merge. Calls for two or more instances already compiled to be merged recursively. This essentially means combining all the values in the instances into the most refined of the instances and then destroying all the extra, possibly less refined, instances. The remaining instance has its original name and also all the names of the instances destroyed during the merge.
WILL_BE_THE_SAME	Structural condition statement restricting objects in a forward declaration. The objects passed to a parameterized type definition can be constrained to have arbitrary parts in common before the parameterized object is constructed.
WILL_NOT_BE_THE_SAME	Structural condition statement restricting objects in a forward declaration. We apologize for the length of this key word, but we bet it is easy to remember. The objects passed to a parameterized type definition can be constrained to have arbitrary parts be distinct instances before the parameterized object is constructed. At present the constraint is only enforced when the objects are being passed.
ARE_ALIKE	Refinement clique constructor. Causes a group of instances to always be of the same formal type. Refining one of them causes a refinement of all the others. Does not propagate implicit type information, such as assignments to constants or part refinements made from a scope other than the scope of the formal definition.
FOR/CREATE	Indexed execution of other declarative statements. Required for creating arrays of relations and sparse arrays of other types.
FOR/CHECK	Indexed checking of the conditions (WHERE statements) of a parameterized model.

<sup>1</sup>The equivalent of an ALIASES in ASCEND III was to create another part with the desired name and merge it immediately via ARE\_THE\_SAME with the part being renamed, a rather expensive and unintuitive process.

## SELECT/CASE

Select a subset of statements to compile. Given the values of the specified constants, `SELECT` compiles all cases that match those values. A name cannot be defined two different ways inside the `SELECT` statement, but it may be defined outside the case statement and then refined in different ways in separate cases.

## CONDITIONAL

Describe bounding relations. The relations written inside a `CONDITIONAL` statement must all be labelled. These relations can be used to define regions in which alternate sets of equations apply using the `WHEN` statement.

## WHEN/CASE

When logical variables have certain values, use certain relations or model parts in defining a mathematical problem. The relations are not defined inside the `WHEN` statement because all the relations must be compiled regardless of which values the logical variables have at any given moment.

## Reminder:

In the following detailed statement descriptions, we show keywords in capital letters. These words must appear in capital letters as shown in `ASCEND` statements. We show optional parts to a statement enclosed in double angle brackets (`« »`) and user supplied names in lower-case italic letters. (Remember that `ASCEND` treats the underscore (`_`) as a letter). The user may substitute any name desired for these names. We use names that describe the kind of name the user should use.

Operators in detail:

## IS\_A

This statement has the syntax

```
list_of_instance_names IS_A model_name
«(arguments_if_needed)»;
```

The `IS_A` statement allows us to declare instances of a given type to exist within a model definition. If type has not been defined (loaded in the `ASCEND` environment) then this statement is an error and the `MODEL` it appears in is irreparably damaged (at least until you delete the type definitions and reload a corrected file). Similarly, if the arguments needed are not supplied or if provably incorrect arguments are supplied, the statement is in error. The construction of the instances does not occur until all the arguments satisfy the definition of type.

If a name is used twice in `WILL_BE/IS_A/ALIASES` statements of the same model, `ASCEND` will complain bitterly when the definition is parsed. Duplicate naming is a serious error. Labels on relations share the same name space as other objects.

## IS\_REFINED\_TO

This statement has the syntax

```
list_of_instances IS_REFINED_TO type_name
«(arguments_if_needed)»;
```

We use this statement to change the type of each of the instances listed to the type `type_name`. The modeler has to have defined each member on the list of instances. The `type_name` has to be a type which refines the types of all the instances on the list.

An example of its use is as follows. First we define the parts called `f11`, `f12` and `f13` which are of type `flash`.

```
f11, f12, f13 IS_A flash;
```



Assume that there exists in the previously defined model definitions the type `adiabatic_flash` that is a refinement of `flash`. Then we can make `f1` and `f3` into more refined types by stating:

```
f11, f13 IS_REFINED_TO adiabatic_flash;
```

This reconstruction does not occur until the arguments to the type satisfy the definition `type_name`.

ALIASES (\* 4 \*)

This statement has the syntax

```
list_of_instances ALIASES instance_name;
```

We use this statement to point at an already existing instance of any type other than `relation`, `logical_relation`, or `when`. For example, say we want a flash tank model to have a variable `T`, the temperature of the vapor-liquid equilibrium mixture in the tank.

```
MODEL tank;
  feed, liquid, vapor IS_A stream;
  state IS_A VLE_mixture;
  T ALIASES state.T;
  liquor_temperature ALIASES T;
END tank;
```

We might also want a more descriptive name than `T`, so `ALIASES` can also be used to establish a second name at the same scope, e.g. `liquor_temperature`.

An `ALIASES` statement will not be executed until the RHS instance has been created with an `IS_A`. The compiler schedules `ALIASES` instructions appropriately and issues warnings if recursion is detected. An array of aliases, e.g.

```
b[1..n], c ALIASES a;
```

is permitted (though we can't think why anyone would want such an array), and the sets over which the array is defined must be completed before the statement is executed. So, in the example of `b` and `c`, the array `b` will not be created until `a` exists and `n` is assigned a value. `b` and `c` will be created at the same time since they are defined in the same statement. This suggests the following rule: if you must use an array of aliases, do not declare it in the same statement with a scalar alias.

The `ALIASES` RHS can be an element or portion of a larger array with the following exception. The existing RHS instance cannot be a relation or array of relations (including logical relations and whens) because of the rule in the language that a relation instance is associated with exactly one model.

ALIASES/IS\_A (\* 4 \*)

The `ALIASES/IS_A` statement syntax is subject to change, though some equivalent will always exist. We take a set of `symbol_constant` or `integer_constant` and pair it with a list of instances to create an array. For the moment, the syntax and semantics is as follows.

```
alias_array_instance[aset]
ALIASES (list_of_instances)
WHERE aset IS_A set OF settype;
```

or

```

alias_array_instance[aset]
ALIASES (list_of_instances)
WHERE aset IS_A set OF settype
WITH_VALUE (value_list_matching_settype);

```

*aset* is the name of the set that will be created by the IS\_A to index the array of aliases. If *value\_list\_matching\_set\_type* is not given, the compiler will make one up out of the integers (1..number of names in *list\_of\_instances*) or symbols derived from the individual names given. If the value list is given, it must have the same number of elements as the list of instances does. The value list elements must be unique because they form a set. The list of instances can contain duplicates. If any of these conditions are not met properly, the statement is in error.

ALIASES/IS\_A can be used inside a FOR statement. When this occurs, the definition of *aset* must be indexed and it must be the last subscript of *alias\_array\_instance*. The statement must look like:

```

array_instance[FOR_index][aset[FORindex]]
ALIASES (list_of_instances)
WHERE aset[FORindex] IS_A set OF settype
WITH_VALUE (value_list_matching_settype);

```

Here, as with the unindexed version, the WITH\_VALUE portion is optional.

If this explanation is unclear, just try it out. The compiler error messages for ALIASES/IS\_A are particularly good because we know it is a bit tricky to explain.

WILL\_BE (\* 4 \*) instance WILL\_BE type\_name;

The most common use of this forward declaration is as a statement within the parameter list of a model definition. In parameter lists, *list\_of\_instances* must contain exactly one instance. When a model definition includes a parameter defined by WILL\_BE, that model cannot be compiled until a compiled instance at least as refined as the type specified by *type\_name* is passed to it.

(\* 4+ \*) The second potential use of WILL\_BE is to establish that an array of a common base type exists and its elements will be filled in individually by IS\_A or ARE\_THE\_SAME or ALIASES statements. WILL\_BE allows us to avoid costly reconstruction or merge operations by establishing a placeholder instance which contains just enough type information to let us check the validity of other statements that require type compatibility while delaying construction until it is called for by the filling in statements. Instances declared with WILL\_BE are never compiled if they are not ultimately resolved to another instance created with IS\_A. Unresolved WILL\_BE instances will appear in the user interface as objects of type PENDING\_INSTANCE\_model\_name. Because of the many implementation and explanation difficulties this usage of WILL\_BE creates, it is not allowed. The ALIASES/IS\_A construct does the same job in a much simpler way.

ARE\_THE\_SAME The format for this instruction is

```

list_of_instances ARE_THE_SAME;

```

All items on the list must have compatible types. For the example in Figure ??, consider a model where we define the following parts:

```

a1 IS_A A;
b1 IS_A B;
c1 IS_A C;
d1 IS_A D;
e1 IS_A E;

```

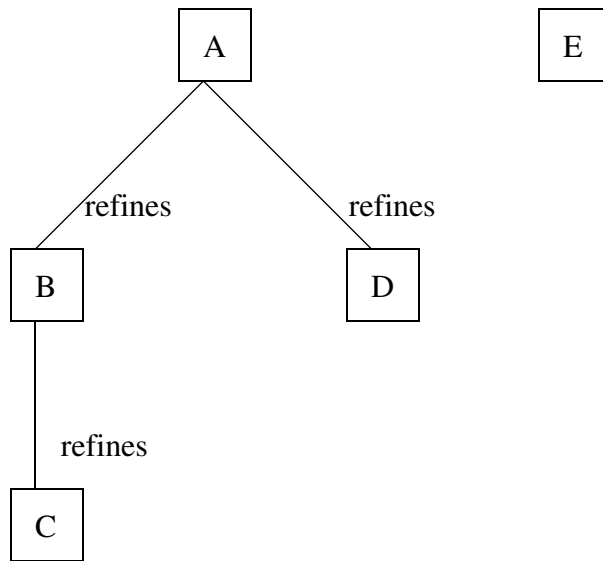


Figure 18.1: Diagram of the Model Type Hierarchy for A, B, C, D, and E Example

Then the following `ARE_THE_SAME` statement is legal

```
a1, b1, c1 ARE_THE_SAME;
```

while the following are not

```
b1, d1 ARE_THE_SAME;
a1, c1, d1 ARE_THE_SAME;
b1, e1 ARE_THE_SAME;
```

When compiling a model, ASCEND will put all of the instances mentioned as being the same into an `ARE_THE_SAME` clique. ASCEND lists members of this clique when one asks via the interface for the aliases of any object in a compiled model.

Merging any other item with a member of the clique makes it the same as all the other items in the clique, i.e., it adds the newly mentioned items to the existing clique.

ASCEND merges all members of a clique by first checking that all members of the clique are type compatible. It then changes the type designation of all clique members to that of the most refined member.

It next looks inside each of the instances, all of which are now of the same type, and puts all of the parts with the same name into their respective `ARE_THE_SAME` cliques. The process repeats by processing these cliques until all parts of all parts of all parts, etc., are their respective most refined type or discovered to be type incompatible.

There are now lots of cliques associated with the instances being merged. The type associated with each such clique is now either a model, an array, or an atom (i.e., a variable, constant, or set). If a model, only one member of the

clique generates its equations. If a variable, it assigns all members to the same storage location.

Note that the values of constants and sets are essentially type information, so merging two already assigned constants is only possible if merging them does not force one of them to be assigned a new value. Merging arrays with mismatching ranges of elements is an error.

WILL\_BE\_THE\_SAME (\* 4 \*)

There is no further explanation of this operator.

WILL\_NOT\_BE\_THE\_SAME (\* 4 \*)

There is no further explanation of this operator.

ARE\_NOT\_THE\_SAME (\* 4+ \*)

ARE\_NOT\_THE\_SAME will be documented further when it is implemented.

ARE\_ALIKE

The format for this statement is

```
list_of_instance_names ARE_ALIKE;
```

The compiler places all instances in the list into an ARE\_ALIKE clique. It checks that the members are formally type compatible and then it converts each into the most refined type of any instance in the clique. At that point the compiler stops. It does not continue by placing the parts into cliques nor does it merge anything.

There are important consequences of modeling with such a partial merge. The consequences we are about to describe can be much more reliably achieved by use of parameterized types, when the types are well understood. When we are exploring new ways of modeling, ARE\_ALIKE still has its uses. When a model and its initial uses are understood well enough to be put into a reusable library, then parameterization and the explicit statement of structural constraints by operators such as WILL\_NOT\_BE\_THE\_SAME should be the preferred method of ensuring correct use.

One consequence of ARE\_ALIKE is to prevent extreme model misuse when configuring models. For example, suppose a modeler creates a new pressure changing model. The modeler is not yet concerned about the type of the streams into and out of the device but does care that these streams are of the same final type. For example, the modeler wants both to be liquid streams if either is or both to be vapor streams if either is. By declaring both to be streams only but declaring the two streams to be alike, the modeler accomplishes this intent. Suppose the modeler merges the inlet stream with a liquid outlet stream from a reactor. The merge operation makes the inlet stream into a liquid stream. The outlet stream, being in an ARE\_ALIKE clique with the inlet stream, also becomes a liquid stream. Any subsequent merge of the outlet stream with a vapor stream will lead to an error due to type incompatibility when ASCEND attempts to compile that merge. Without the ARE\_ALIKE statement, the compiler can detect no such incompatibility unless parameterized models are used.

Another purpose is the propagation of types through a model. Altering the type of the inlet stream through merging it with a liquid stream automatically made the outlet stream into a liquid stream.

If all the liquid streams within a distillation column are alike, then the modeler can make them all into streams with a particular set of components in them and with the same method used for physical property evaluation by merging only one of them with a liquid stream of this type. This is the primary example which has been used to justify the existence of ARE\_ALIKE. We have observed

that its use makes a column library very difficult to compile efficiently. But since we now have parameterized types to help us keep the column library semantically consistent, `ARE_ALIKE` can be left to its proper role: the rapid prototyping of partially understood models. We have yet to see anyone use `ARE_ALIKE` in a prototyping context, however.

Finally, because `ARE_ALIKE` does not recursively put the parts of `ARE_ALIKEd` instances into `ARE_ALIKE` cliques, it is possible to `ARE_ALIKE` model instances which have compatible formal types but incompatible implicit types. This can lead to unexpected problems later and makes the `ARE_ALIKE` instruction a source of non-reusability.

#### FOR/CREATE

The FOR/CREATE statement is a compound statement that looks like a loop. It isn't, however, necessarily compiled as a loop. What FOR really does is specify an index set value. Its format is:

```
FOR index_variable IN set CREATE
    list_of_statements;
END FOR;
```

This statement can be in the declarative part of the model definition only. Every statement in the list should have at least one occurrence of the index variable, or the statement should be moved outside the FOR to avoid redundant execution. A correct example is

```
FOR i IN components CREATE
    a.y[i], b[i] ARE_THE_SAME;
    y[i] = K[i]*x[i];
END FOR;
```

FOR loops can be nested to produce sparse arrays as illustrated in Arrays can be jagged( on this page. `IS_A` and `ALIASES` statements are allowed in FOR loops, provided the statements are properly indexed, a new feature in ASCEND IV.

#### SELECT/CASE

Declarative. Order does not matter. All matching cases are executed. The `OTHERWISE` is executed if present and no other `CASE`s match. `SELECT` is not allowed inside FOR. Writing FOR statements inside `SELECT` is allowed.

#### CONDITIONAL

Both real and logical relations are allowed in `CONDITIONAL` statements.<sup>2</sup>

#### WHEN/CASE

Inside each `CASE`, relations or model parts to be used are specified by writing, for example, `USE mass_balance_1;`. The method of dealing with the combined logical/nonlinear model is left to the solver. All matching `CASE`s are included in the problem to be solved.

---

<sup>2</sup>`CONDITIONAL` is really just a shorthand for setting the `$boundary` flag on a whole batch of relations, since `$boundary` is a write-once attribute invisible through the user interface and methods at this time.

# Chapter 19

## Procedural statements

### METHODS

This statement separates the method definitions in ASCEND from the declarative statements. All statements following this statement are to define methods in ASCEND while all before it are for the declarative part of ASCEND. The syntax for this statement is simply

#### METHODS

with no punctuation. The next code must be a METHOD or the END of the type being defined. If there are no method definitions, this statement may be omitted.

METHOD definitions for a type can also be added or replaced after the type has been defined. This is to make creating and debugging of methods as interactive as possible. In ASCEND an instance must be destroyed and recreated each time a new or revised method is added to the type definition. This is a very expensive process when working with models of significant size.

The detailed semantics of method inheritance, addition, and replacement of methods are given at the end of this section.

### ADD METHODS IN `type_name`;

This statement allows new methods to be added to an already loaded type definition. The next code must be a METHOD or the END METHODS; statement. If a method of the same name already exists in `type_name`, the statement is in error. If other types refine `type_name` then the addition follows the method inheritance rules. Any type which inherited methods from `type_name` now inherits the methods added to `type_name`. If a refinement of `type_name` already defines a method ADDED to `type_name`, then the existing method in the more refined type is not disturbed.

### REPLACE METHODS IN `type_name`;

This statement allows existing methods to be replaced in an already loaded type definition. The next code must be a METHOD or the END METHODS statement. If a method of the same name does not exist in `type_name`, the statement is in error. If other types refine `type_name` then the replacement follows the method inheritance rules. Any type which inherited the old method now inherits the replacement method instead.

### ADD METHODS IN DEFINITION MODEL;

This statement allows methods to be added globally. It should be used very sparingly. Library `basemodel.a41` contains the example of this statement. Methods in the global model definition are inherited by all models. There is no actual global model definition, but it has a method list for practical purposes.

Initialization routines:

## METHOD

A method in ASCEND must appear following the METHODS statement within a model. The system executes procedural statements of the method in the order they are written.

At present, there are no local variables or other structures in methods except loop indices. A method may be written recursively, but there is an arbitrary stack depth limit<sup>1</sup> to prevent the system from crashing on infinite recursions.

Specifically disallowed in ASCEND methods are IS\_A, ALIASES, WILL\_BE, IS, IS\_REFINED\_TO, ARE\_THE\_SAME and ARE\_ALIKE statements as these declare the structure of the model and belong only in the declarative section.

The syntax for a method declaration is

```
METHOD method_name ;
<<procedural statement;>> (*one or more*)
END method_name ;
```

Procedural assignment

The syntax is

```
instance_name := mathematical_expression ;
```

or

```
array_name[set_name] := expression ;
```

or

```
list_of_instance_names := expression .
```

Its meaning is that the value for the variable(s) on the LHS is set to the value of the expression on the RHS.

DATA statements can (should, rather) also appear in methods.

## FOR/DO statement

This statement is similar to the FOR/CREATE statement except it can only appear in a method definition. An example would be

```
FOR i IN [1..n_stages] DO
T[i] := T[1] + (i-1)*DT;
...
END FOR;
```

Here we actually execute using the values of *i* in the sequence given. So,

```
FOR i IN [n_stages..1] DO ...
END FOR;
```

is an empty loop, while

```
FOR i IN [n_stages..1] DECREASING DO ...
END FOR;
```

is a backward loop.

## IF

The IF statement can only appear in a method definition. Its syntax is

---

<sup>1</sup>currently set to 20 in compiler/initialize.h

```

    IF logical_expression THEN
      list_of_statements
    ELSE
      list_of_statements
    END IF;

```

or

```

    IF logical_expression THEN
      list_of_statements
    END IF;

```

If the logical expression has a value of TRUE, ASCEND will execute the statements in the THEN part. If the value is FALSE, ASCEND executes the statements in the optional ELSE part. Please use () to make the precedence of AND, OR, NOT, ==, and != clear to both the user and the system.

#### WHILE statement

The WHILE statement is similar to the C while statement, but it can only be used in the procedural part of an ASCEND model.

```

    WHILE x > 1 DO
      x := x * 0.5;
      ...
    END WHILE;

```

#### SWITCH

Essentially roughly equivalent to the C `switch` statement, except that ASCEND allows wildcard matches, allows any number of controlling variables to be given in a list, and assumes BREAK at the end of each CASE.

#### CALL

External calls are not presently well defined, pending debugging of the EXTERNAL connection prototype originally created by Kirk Abbott.

#### RUN

This statement can appear only in a method. Its format is:

```

    RUN name_of_method;

```

or

```

    RUN part_name.name_of_method;

```

or

```

    RUN model_type::name_of_method;

```

The named method can be defined in the current model (the first syntax), or in any of its parts (the second syntax). Methods defined in a part will be run in the scope of that part, not at the scope of the RUN statement.

#### Type access to methods:

When `model_type::` appears, the type named must be a type that the current model is refined from. In this way, methods may be defined incrementally. For example:



```
MODEL foo;
  x IS_A generic_real;
METHODS
METHOD specify;
  x.fixed:= TRUE;
END specify;
END foo;
MODEL bar REFINES foo;
  y IS_A generic_real;
METHODS
METHOD specify;
  RUN foo::specify;
  y.fixed := TRUE;
END specify;
END bar;
```

# Chapter 20

## Parameterized models

Parameterized model definitions have the following general form<sup>1</sup>:

```
MODEL new_type (parameter_list;)
  «WHERE (where_list;)»
  «REFINES existing_type«(assignment_list;)»»;
```

### 20.1 The parameter list

A parameter list is a list of statements about the objects that will be passed into the model being defined when an instance of that model is created by IS\_A or IS\_REFINED\_TO. The parameter list is designed to allow a complete statement of the necessary and sufficient conditions to construct the parameterized model. The mechanism implemented is general, however, so it is possible to put less than the necessary information in the parameter list if one seeks to confuse the models reusers. To make parameters easy to understand for users with experience in other computer languages (and to make the implementation much simpler), we define the parameter list as ordered. All the statements in a parameter list, including the last one, must end with a ';'. A parameter list looks like:

```
MODEL test (
  x WILL_BE real;
  n IS_A integer_constant;
  p[1..n] IS_A integer_constant;
  q[0..2*n-1] WILL_BE widget;
);
```

Each WILL\_BE statement corresponds to a single object that the user must create and pass into the definition of test. We will establish the local name *x* for the first object passed to the definition of test. *n* is handled similarly, and it must precede the definition of *p*[1..*n*], because it defines the set for the array *p*. Constant types can also be defined with WILL\_BE, though we have used IS\_A for the example test.

Each IS\_A statement corresponds to a single constant-valued instance or an array of constant-valued instances that we will create as part of the model we are defining. Thus, the user of test must supply an array of constants as the third argument. We will check that the instance supplied is subscripted on the set [1..*n*] and copy the corresponding values to the array *p* we create local to the instance of test.

---

<sup>1</sup>«» signify optional parts

WILL\_BE statements can be used to pass complex objects (models) or arrays of objects. Both WILL\_BE and IS\_A statements can be passed arguments that are more refined than the type listed. If an object that is less refined than the type listed, the instance of parameterized model test will not be compiled. When a parameterized model type is specified with a WILL\_BE statement, NO arguments should be given. We are only interested in the formal type of the argument, not how it was constructed.

## 20.2 The WHERE list

We can write structural and equation constraints on the arguments in the WHERE list. Each statement is a WILL\_BE\_THE\_SAME, a WILL\_NOT\_BE\_THE\_SAME, an equation written in terms of sets or discrete constants, or a FOR/CHECK statement surrounding a group of such statements. Until all the conditions in the WHERE list are satisfied, an object cannot be constructed using the parameterized definition. If the arguments given to a parameterized type in an IS\_A or IS\_REFINED\_TO statement cannot possibly satisfy the conditions, the IS\_A or IS\_REFINED\_TO statement is abandoned by the compiler.

We have not created a WILL\_BE\_ALIKE statement because formal type compatibility in ASCEND is not really a meaningful guarantee of object compatibility. Object compatibility is much more reliably guaranteed by checking conditions on the structure determining constants of a model instance.

## 20.3 The assignment list

When we declare constant parameters with IS\_A, we can in a later refinement of the parameterized model assign their values in the assignment list, thus removing them from the parameter list. If an array of constants is declared with IS\_A, then we must assign values to ALL the array elements at the same time if we are going to remove them from the parameter list. If an array element is left out, the type which assigns some of the elements and any subsequent refinements of that type will not be compilable.

## 20.4 Refining parameterized types

Because we wish to make the parameterized model lists represent all the parameters and conditions necessary to use a model of any type, we must repeat the parameters declared in the ancestral type when we make a refinement. If we did not repeat the parameters, the user would be forced to hunt up the (possibly long) chain of types that yield an interesting definition in order to know the list of parameters and conditions that must be satisfied in order to use a model. We repeat all the parameters of the type being refined before we add new ones. The only exception to this is that parameters defined with IS\_A and then assigned in the assignment list are not repeated because the user no longer needs to supply these values. A refinement of the model test given in Section ?? follows.

```
MODEL expanded_test (
  x WILL_BE real;
  p[1..n] IS_A integer_constant;
  q[0..2*n-1] WILL_BE better_widget;
  r[0..q[0].k] WILL_BE gizmo;
  ms WILL_BE set OF symbol_constant;
```

```
) WHERE (  
  q[0].k >= 2;  
  r[0..q[0].k].giz_part WILL_BE_THE_SAME;  
) REFINES test(  
  n := 4;  
);
```

In `expanded_test`, we see that the type of the array `q` is more refined than it was in `test`. We see that constants and sets from inside passed objects, such as `q[0].k`, can be used to set the sizes of subsequent array arguments. We see a structural constraint that all the gizmos in the array `r` must have been constructed with the same `giz_part`. This condition probably indicates that the gizmo definition takes `giz_part` as a `WILL_BE` defined parameter.

# Chapter 21

## Miscellany

### 21.1 Variables for solvers

`solver_var` is the base-type for all computable variables in the current ASCEND system. Any instances of an atom definition that refines `solver_var` are considered potential variables when constructing a problem for one of the solvers.

`solver_var` has wildcard dimensionality. (Wildcard in this context means that until ASCEND can decide what its dimensionality is, it has none assigned. ASCEND can decide on dimensionality while compiling or executing.) In `system.a41` we define the following parts with associated initial values for each:

Attributes: type, default

`lower_bound` real, 0.0

`upper_bound` real, 0.0

`nominal` real, 0.0

`fixed` boolean, FALSE

`lower_bound` and `upper_bound` are bounds for a variable which are monitored and maintained during solving. The `nominal` value is the value used to scale a variable when solving. The flag `fixed` indicates if the variable is to be held fixed during solving. All atoms which are refinements of `solver_var` will have these parts. The refining definitions may reassign the default values of the attributes.

The latest full definition of `solver_var` is always in the file `system.a41`.

`generic_real` One should not declare a variable to be of type `solver_var`. The nominal value and bound values will get you into trouble when solving. If you are programming and do not wish to declare variable types, then declare them to be of type `generic_real`. This type has nominal value of 0.5 and lower and upper bounds of  $-1.0e50$  and  $1.0e50$  respectively. It is dimensionless. The type `generic_real` is the first refinement of `solver_var` and is also defined in `system.a41`.

Kluges for MILPs Also defined in `system.a41` are the types for integer, binary, and semi-continuous variables.

`solver_semi`, `solver_integer`, `solver_binary`

We define basic refinements of `solver_var` to support solvers which are more than simply algebraic. Various mixed integer-linear program solvers can be fed `solver_semi` based atoms defining semi-continuous variables, `solver_integer`

based atoms defining integer variables, and `solver_binary` based atoms defining binary variables.

Integers are relaxable All these types have associated boolean flags which indicate that either the variable is to be treated according to its restricted meaning or it is to be relaxed and treated as a normal continuous algebraic variable.

Kluges for ODEs We have an alternate version of `system.a41` called `ivpsystem.a41` which adds extra flags to the definition of `solver_var` in order to support initial value problem (IVP) solvers (integrators). Integration in the ASCEND environment is explained in another chapter.

`ivpsystem.a41` Having `ivpsystem.a41` is a temporary, but highly effective, way to keep people who want to use ASCEND only for algebraic purposes from having to pay for the IVP overhead. Algebraic users load `system.a41`. Users who want both algebraic and IVP capability load `ivpsystem.a41` instead of `system.a41`. This method is temporary because part of the extended definition of ASCEND is that differential calculus constructs will be explicitly supported by the compiler. The calculus is not yet implemented, however.

## 21.2 Supported attributes

The `solver_var`, and in fact most objects in ASCEND, should have built-in support for (and thereby efficient storage of) quite a few more attributes than are defined above. These built-in attributes are not instances of any sort, merely values. The syntax for naming one of these supported attributes is: `object_name.$supported_attribute_name`.

Supported attributes may have symbol, real, integer, or boolean values. Note that the `$` syntax is essentially the same as the derivative syntax for relations; derivatives are a supported attribute of relations. The supported attributes must be defined at the time the ASCEND compiler is built. The storage requirement for a supported boolean attribute is 1 bit rather than the 24 bytes required to store a run-time defined boolean flag. Similarly, the requirement for a supported real attribute is 4 or 8 bytes instead of 24 bytes.

## 21.3 Single operand real functions

<code>exp()</code>	exponential (i.e., $\exp(x) = e^x$ )
<code>ln()</code>	log to the base $e$
<code>sin()</code>	sine. argument must be an angle (ASCEND will deal with the unit conversions automatically)
<code>cos()</code>	cosine. argument must be an angle.
<code>tan()</code>	tangent. argument must be an angle.
<code>arcsin()</code>	inverse sine. return value is an angle between $-\pi/2$ and $\pi/2$ radians.
<code>arccos()</code>	inverse cosine. return value is an angle between 0 and $\pi$ radians.
<code>arctan()</code>	inverse tangent. return value is an angle between $-\pi/2$ and $\pi/2$ radians.
<code>erf()</code>	error function (not available under Windows)
<code>sinh()</code>	hyperbolic sine
<code>cosh()</code>	hyperbolic cosine

<code>tanh()</code>	hyperbolic tangent
<code>arcsinh()</code>	inverse hyperbolic sine
<code>arccosh()</code>	inverse hyperbolic cosine
<code>arctanh()</code>	inverse hyperbolic tangent
<code>lnm()</code>	modified natural logarithm function. This <code>lnm</code> function is parameterized by a constant <code>a</code> , which is typically set to about $1 \times 10^{-8}$ . <code>lnm(x)</code> is defined as follows:

$$\text{lnm}(x) = \begin{cases} \ln(x) & \text{for } x > a \\ \frac{x-a}{a} + \ln(a) & \text{for } x \leq a \end{cases}$$

Below the value `a` (default setting is  $1 \times 10^{-8}$ ), `lnm` takes on the value given by the straight line passing through  $\ln(a)$  and having the same slope as  $\ln(a)$  has at `a`. This function and its first derivative are continuous. The second derivative contains a jump at `a`.

The `lnm` function can tolerate a negative argument while the `ln` function cannot. At present the value of `a` is controllable via the user interface of the ASCEND solvers.

Operand dimensionality must be correct.

The operands for an ASCEND function must be dimensionally consistent with the function in question. Most transcendental functions require dimensionless arguments. The trigonometric functions require arguments with dimensionality of plane angles,  $P$ . ASCEND functions return dimensionally correct results.

The operands for ASCEND functions are enclosed within rounded parentheses, `()`. An example of use is:

$$y = A * \exp(-B/T);$$

#### Discontinuous functions:

Discontinuous functions may destroy a Newton-based solution algorithm if used in defining a model equation. We strongly suggest considering alternative formulations of your equations.

`abs()` absolute value of argument. Any dimensionality is allowed in an `abs()` function.

## 21.4 Logical functions

`SATISFIED()` `SATISFIED(relation_name,tolerance)` returns TRUE if the relation named has a residual value less than the real value, tolerance, given. If the relation named is a logical relation, the tolerance should not be specified, since logical relations evaluate directly to TRUE or FALSE.

## 21.5 UNITS definitions

As noted in Section ??, ASCEND will recognize conversion factors when it sees them as `{units}`. These units are built up from the basic units, and new units can be defined by the user. Note that the assignment `x:= 0.5 {100};` yields `x == 50`, and that there are no ‘offset conversions,’ e.g.  $F=9/5C+32$ . Please keep unit names to 20 characters or less, as this makes life pretty for other users.

One or more unit conversion factors can be defined with the `UNITS` keyword. A unit of measure, once defined, stays in the system until the system is shut down. A measuring unit cannot be defined differently without first shutting down the system, but duplicate or equivalent definitions are quietly ignored.

A `UNITS` declaration can occur in a file by itself, inside a model or inside an atom. `UNITS` definitions are parsed immediately, they will be processed even if a surrounding `MODEL` or `ATOM` definition is rejected. Because units and dimensionality are designed into the deepest levels of the system, a unit definition must be parsed before any atoms or relations use that definition. It is good design practice to keep customized unit definitions in separate files and `REQUIRE` those files at the beginning of any file that uses them. Unit definitions are made in the form, for example:

```
UNITS (* several unit definitions could be
here. *)
  ohm =
    {kilogram*meter^2/second^3/ampere^2};
END UNITS;
```

The standard units library, `measures.a41`, is documented in the `ASCEND` manual, Section ?? [?].