POPRECON 2 User's Manual (POPulation <u>RECON</u>struction)

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Chapter 1: Introduction

POPRECON 2 (POPulation RECONstruction), http://www.cbr.washington.edu/analysis/apps/PopRecon

Program POPRECON 2 was developed to estimate population abundance based on full age-at-harvest data, supplemented with harvest effort and auxiliary estimates of survival, abundance, or harvest vulnerability. Maximum likelihood methods are used to estimate annual harvest probabilities which are, in turn, used to estimate age class and annual abundance, based on Horvitz-Thompson type estimators as described in Gast et al. (2013). Random effects can be added to the survival and/or harvest processes to account for annual stochasticity in the parameters. Data processing is based on AD Model Builder (Fournier et al. 2011), which uses both symbolic differentiation and numerical integration. Program POPRECON has combined ADMB with a user-friendly interface for ease and convenience of use. Similarly, the traditional output from ADMB has been restructured for the specific purposes of statistical population reconstruction. Program POPRECON can be installed without the installation of ADMB or a C++ compiler.

Future versions of the program will include the expanded ability to incorporate auxiliary study data, and advanced model selection capabilities. Further information on statistical population reconstruction can be found in the References section (Chapter 6). A numerical example using the current software can be found in Chapter 5.

Chapter 2: User Interface Description

When Program POPRECON is started, a splash screen is displayed as shown in Figure 1. The splash screen will disappear after five seconds, or the user can dismiss it by clicking on it.



Figure 1. The splash screen for Program PopRecon

Figure 2 shows the POPRECON program dialog at startup. The areas of the POPRECON dialog are as follows:

• The **Navigation Panel** along the left side displays a hierarchical arrangement of actions. Actions currently available are highlighted in blue; unavailable commands are in gray. The user executes an available action by double-clicking on it. At startup the only available action is "Load Harvest Data."



Figure 2. Program POPRECON Main Dialog at startup

- The **Model Summary** along the right side summarizes the current state of the model development.
- The **Output** at the bottom of the dialog displays all informational messages, along with output from AD Model Builder.
- The main part of the POPRECON Program dialog is the center section where all program data and output are displayed.

Managing Tabbed Documents

When data is displayed, a tabbed document is created and displayed in the main part of the POPRECON main dialog. Figure 3 shows an example with several active documents, with the one labeled "Harvest Data" currently being displayed. The user can display any active document by simply clicking on the appropriate tab across the top.

		lot 🦯	Annual A	bundance	e Plot	Harves	t Data ×	Auxiliary Harv	est Data 🥂 Ab	oundance Estimates	
	Age 0	Age	Age 2	Age 3	Age 4	Age 5	Effort	Total Catch	Catch/Effort		
Year 1	1299	905	594	417	241	170	1.0437	3626	3474.2417		
Year 2	2210	1642	1027	713	469	292	1.8839	6353	3372.2865		
Year 🛔	1636	1133	766	486	333	231	1.5876	4585	2888.0598		
Year 🖡	1464	918	618	460	295	195	1.5115	3950	2613.2998		
Year 🕯	1740	1215	821	511	360	239	2.1661	4886	2255.6346		
Display an active document by clicking on its tab Click on drop-down menu for all active documents											
					Click	on dr	op-dov	wn menu f	or all active	e documents	

Figure 3. Main part of PopRecon dialog with several active documents

In some cases, there may be a large enough number of active documents so that there is not enough room to display all the tabs with the document names across the top. In that case, as shown in Figure 3 above, the user can select the drop-down menu, displaying all active documents as shown in Figure 4, and select the desired document.



Figure 4. Drop-down menu showing all active documents

View Menu

The View Menu at the top of the POPRECON dialog maintains a list of all documents that have been created during the current session. An example is shown in Figure 5.

An active document can be hidden in two ways:

- 1. Click on the "X" on the currently displayed document tab to close it, as shown in Figure 3, or
- 2. From the View menu, select the name of the document and uncheck it by clicking on its name, as shown in Figure 5.

Note that when a window is hidden, the associated information is not removed from the program. It is simply no longer displayed.



Figure 5. Closing an active window "Auxiliary Harvest Data" by unchecking it in the "View" menu

Redisplaying a Hidden Window

In order to display a window that was previously hidden, select its name in the View menu and check it by selecting its name.

Rearranging the User Interface

All parts of the user interface—the Navigation Panel, the Output Window, the Model Summary, and all active documents—can be moved to a different part of the dialog, or outside the POPRECON dialog itself, simply by dragging the title bar to the desired location.

Chapter 3: Model Setup

Load Harvest Data

As mentioned in the previous chapter, the only action available at startup is "Load Harvest Data" at the top of the Navigation Panel. When the user double-clicks on "Load Harvest Data," he or she will be presented with a dialog, as shown in Figure 6, and must indicate whether or not the final age class is pooled. (A description of pooled vs. non-pooled data is given in the Appendix A). After pressing "Continue," the user will be asked to select the harvest data file.

R Load Harvest Data	
- Last Age Class Pooled?	
Not Pooled	
Pooled	
	Continue Cancel

Figure 6. Dialog asking whether the last age class of the harvest data is pooled or not

The harvest data file is a comma-separated value (CSV) file. An example is shown in Figure 7.

	А	В	С	D	E	F	G	Н
1	1299	905	594	417	241	170	1.043681	
2	2210	1642	1027	713	469	292	1.883885	
3	1636	1133	766	486	333	231	1.587571	
4	1464	918	618	460	295	195	1.511499	
5	1740	1215	821	511	360	239	2.166131	
6								

Figure 7. An example of a harvest data file opened with Microsoft Excel (2013)

Each row represents one year of harvest data; the harvest data in Figure 7 has 5 years of data. Each column represents one age class, with column A representing age class 0, column B age class 1, and so on. The final column is the measure of the harvest effort for each year. One note on the harvest effort: The estimation seems to work best if the harvest effort is somewhere in the neighborhood of 1.0. This can be achieved by multiplying the harvest effort values by a constant.

Once the user has loaded the harvest data, a tabbed document appears showing the harvest data as shown in Figure 8. The Harvest Data tab shows the harvest data as well as the Total Catch (total number harvested in a year), and the Catch/Effort (Total Catch divided by Effort).

avigation Panel 🔹 🔻 🖡	Harves	t Data 🛛								;	Model Summary
Load Harvest Data Configure Probabilities		Age 0	Age 1	Age 2	Age 3	Age 4	Age 5	Effort	Total Catch	Catch/Effort	Harvest Data
Harvest	Year 1	1299	905	594	417	241	170	1.0437	3626	3474.2417	File: harv1.csv
Survival	Year 2	2210	1642	1027	713	469	292	1.8839	6353	3372.2865	Years: 5
Aging/Reporting	Year 3	1636	1133	766	486	333	231	1.5876	4585	2888.0598	Age classes: 6
Enter Auxiliary Data Abundance		1464	918	618	460	295		1.5115	3950	2613,2998	Pooled: No
Abundance Compute		1740	1215	821	511	360		2.1661	4886	2255.6346	Harvest Probability
Abundance Harvest Probabilities Survival Probabilities Recruitment Harvest Random Effects Survival Random Effects Survival Probabilities Recruitment Harvest Random Effects Survival Random Effects	Copy to 0	lipboard									Range: Random effects? Survival Probability Last distinct age: Rangom effects? Aging/Reporting Assume 100% Auxiliary Data None- Model
pReconCompute version 2.0.5											



Model Summary

Figure 9 shows the Model Summary panel from Figure 8 after loading the harvest data. Note that three headers are in red (circled in Figure 9): Harvest Probability, Survival Probability, and Auxiliary Data. The red highlights what components yet need to be configured in order to compute the parameter estimates. The Compute action on the Navigation Panel will not be enabled until all components are configured and there is no red on the Model Summary panel.

Note that the user must enter at least one source of auxiliary data in order for the parameters to be estimable.

Model Summa	ary 👻 🕯
Harvest Da	ita
File:	harv1.csv
Years:	5
Age classes:	6
Pooled:	No
Harvest Pr	obability
Last distinct	age:
Range:	
Random eff	ects?
Survival Pr	obability
Last distinct	age:
Range:	
Random eff	ects?
Aging/Rep	orting
Assume 100	%
Auxiliary D	ata
-None-	
Model	



Configure Harvest and Survival Probabilities

To configure the harvest or survival probabilities, double-click on "Harvest" or "Survival" under "Configure Probabilities" in the Navigation Panel. This will bring up the appropriate configuration dialog. Figure 10 shows the "Configure Harvest Probability" dialog. The Configure Survival Probability dialog has the same layout. The user is then required to enter the following before parameter estimation is allowed:

P _R Confi	R Configure Harvest Probability									
Last Dis	Last Distinct Age: 0 🗘									
Range										
Min:	Max:									
Enable Random Effects										
Auxilia	ry Data	Done	Cancel							

Figure 10. Configure Harvest Probability dialog

• The last distinct age class. All age classes up to the last distinct age class will be modeled with unique harvest/survival coefficients; all age classes greater than the last distinct age class will be modeled with the same coefficient. For example, if the user leaves it at its default value of 0 (zero), then all age classes will be modeled with the same harvest/survival coefficient; if set to 1, age class 0 will be modeled with one coefficient, and all older age classes will be modeled with another coefficient. Note that for harvest probabilities, the resulting harvest probability estimates are a function of both the estimated harvest coefficient and the harvest effort; thus, harvest probabilities for a given age class may differ across years due to different harvest efforts.

• A minimum and maximum value. The numerical optimizer used to estimate the parameters needs starting values as input. Program POPRECON will use the mean of the range specified by the user as the starting value. If random effects are enabled, the range will be used as a best guess as to a 95% confidence interval.

In addition to these required entries, the user may also (1) enable random effects by checking the checkbox labeled "Enable Random Effects," or (2) Enter auxiliary harvest or survival data by clicking on the "Auxiliary Data..." button. The entry of auxiliary data is discussed later in this chapter.

Configure Aging/Reporting Data

In some cases, not all harvested animals are aged or reported. Animals that are not aged or reported are not included in the age-at-harvest matrix. However, accounting for their harvest is important. Therefore, an aging/reporting parameter can be included, assuming the animals that are aged/reported are a random sample from the total harvest.

To enter aging/reporting data, double-click on "Aging/Reporting" under "Configuration" on the Navigation Panel. This will bring up the Configure Aging/Reporting Probability Dialog as shown in Figure 11.



Figure 11. Aging/Reporting Dialog

The user selects one of three options:

- 1. **Assume 100%**. This is the default. No other action is needed if this is selected.
- 2. **Same for all years**. This is selected if the aging/reporting data is for all years of the study.
- 3. **Year-Specific**. This is selected if there is a unique aging/reporting data for each year of the study.

If the user selects either "Same for all years" or "Year-Specific," the "Aging/Reporting Data..." button on the dialog is enabled. The user then presses the "Aging/Reporting Data..." button to bring up the form for entering the aging/reporting data.

Figure 12 shows the entry from for a five-year study with year-specific data. There is a data entry line for each year (unless the user selected "same for all years" above, in which case there would only be one row in the table). In the first column, the user enters the total harvest counts for the year. In the second column, the user enters the number actually reported and aged—those that can actually be used for the study.

P _R Agin	ng/Reporting Data Entry	
Year	Total	# Aged/Reported
1	0	0
2	0	0
3	0	0
4	0	0
5	0	0
Paste	from Clipboard	Done Cancel

Figure 12. Aging/Reporting Data Entry Form for year-specific data for a five-year study

Notice the "Paste from Clipboard" button at the bottom left. If the user wishes, he or she can copy the data from a spreadsheet program and paste it directly into the table without having to manually enter it. The data must conform to the table—in this case, it would have to consist of five rows and two columns of integer data.

After the aging/reporting data has been entered and the user presses "Done," a tabbed document appears showing the data, as shown in Figure 13.

ear T	otal	# Aged	
1 3	3700	3626	
2 6	5400	6353	
3 4	4600	4585	
4 4	4200	3950	
5 5	5000	4886	

Figure 13. Aging/Reporting data displayed in a tabbed document

Entering Auxiliary Data

In order to obtain parameter estimates, at least one source of auxiliary data must be included. The three main types of auxiliary data that Program POPRECON accepts are:

- 1. Harvest data
- 2. Survival data
- 3. Abundance data

All data entry forms for the auxiliary data have a "Paste from Clipboard" button in the lower-left corner, which functions as described above for aging/reporting data.

At least one set of auxiliary data must be entered in order to compute parameter estimates. The user can enter multiple forms of auxiliary data, if available.

The Model Summary panel shows what auxiliary data has been entered. In Figure 14, harvest auxiliary data has been entered. In order to remove the auxiliary data, simply right-click on it in the Model Summary and select "Remove" as shown in Figure 15.

Model Summary	₹ џ					
Harvest Data						
File: ha	arv1.csv					
Years:	5					
Age classes:	6					
Pooled:	No					
Harvest Probability						
Last distinct ag	je: 0					
Range:	0.10 - 0.30					
Random effect	s? No					
Survival Probability						
Last distinct ag	je:					
Range:						
Random effect	s?					
Aging/Repor	ting					
Assume 100%						
Auxiliary Dat	a					
Harvest: loade	d					
Model						

Figure 14. Model Summary with harvest auxiliary data loaded

Model Summary		. т	I				
Harvest Data			I				
Years:	5		Ш				
Age classes:	6		Ш				
Pooled:	No						
Harvest Probab	ility						
Last distinct age:		0					
	0.10 -	0.30					
Random effects?		No					
Survival Probab	ility						
Last distinct age:							
Range:							
Random effects?							
Aging/Reportin	g						
Assume 100%							
Auxiliary Data							
Han							
Mour of							

Figure 15. Removing auxiliary harvest data

Auxiliary Harvest and Survival Data

Auxiliary data for both harvest estimation and survival estimation take the same form—the examples below demonstrate adding harvest auxiliary data, but adding survival auxiliary data works the same way. The two possible types of harvest and survival auxiliary data are: (1) Release-recapture data and (2) Estimates with standard errors. To enter harvest or survival auxiliary data, the user presses the "Auxiliary Data" button on the configuration dialog (see Figure 10). This brings up a dialog asking the user to select the type of auxiliary data as shown in Figure 16.



Figure 16. Dialog for selecting the type of auxiliary data for harvest or survival parameters

Auxiliary Release-Recapture Data

The dialog for entering harvest release-recapture data is shown in Figure 17. The data is entered at the bottom of the form (circled in Figure 17).

P _R Ente	Enter Harvest Auxiliary Data								
Year	Age	# Marked			# Harvested				
	-								
Year:		Age:	# Marked:	# Ha	arvested:		Add	ノ	
Paste	from C	lipboard				De	one	Cancel	



For each release-recapture record, the user must enter:

- 1. The year, between 1 and the number of years.
- 2. The age, between 0 and the last distinct age class (specified in the configuration dialog).
- 3. The total number marked.
- 4. The total number of marked individuals that were harvested (for survival data, the total number that survived).

When the user has entered valid values in each field, the "Add" button is enabled. The record is added to the table when the "Add" button is pressed.

Figure 18 shows the entry dialog with two records already added and a third ready to be added.

P _R Ente	r Harve	est Auxiliary	/ Data						
Year	Age		# Marked		# Harvested				
1	0	432		225					
2	0	500		350					
Year:	3	Age: 0	# Marked: 400	# Harvested: 350	Add				
Paste f	rom Cli	pboard			Done Cancel				

Figure 18. Data entry dialog for release-recapture auxiliary harvest data with data entered

Auxiliary Estimates with Standard Errors

Figure 19 shows the entry from for auxiliary data that consists of estimates of the harvest probability and the corresponding standard errors.

P _R Ent	er Harv	est Au	kiliary	Data							
Year	Age		н	arvest Prob. Est.		Standard Error					
Year:		Age:		Harvest Prob. Est.:		Standard Error:		Add			
Paste	from C	lipboar	d			(Done	Cancel			



Data entry works the same way as with release-recapture data described above, except each record consists of:

- 1. The year.
- 2. The age.
- 3. A probability estimate between 0 and 1.
- 4. The corresponding standard error.

Auxiliary Abundance Data

In addition to harvest and survival data, the user can enter auxiliary abundance estimates and standard errors by double-clicking "Abundance" under "Enter Auxiliary Data" on the Navigation Panel. Figure 20 shows the entry form for abundance auxiliary data. Data is entered in the same way as other auxiliary data.

P _R Ente	r Auxiliary Abundance Data		
Year	Abundance Estimate	Standard Er	ror
Year:	1 Abundance:	Standard Error:	Add
Paste f	rom Clipboard	Done	Cancel

Figure 20. Auxiliary data entry form for abundance data

Each record consists of:

- 1. The year.
- 2. An abundance estimate for the year.
- 3. An estimate of the corresponding standard error.

Chapter 4: Estimation Results

Once the harvest and survival probabilities have been configured, and at least one form of auxiliary data has been entered, the "Compute" action in the Navigation Panel is enabled. The user double-clicks the Compute action to estimate the model parameters. If successful, the actions under the "View Results" heading in the Navigation Panel become available with a few exceptions: Harvest and survival random effects are enabled only if the appropriate random effects are enabled, and "Survival Probabilities" under "Plots" is enabled only if survival random effects are enabled (the survival plot would be a flat horizontal line without random effects).

Viewing Results

To view the Abundance Estimates, double-click on "Abundance" under the "View Results" header, bringing up the abundance estimates document as shown in Figure 21. For the abundance estimates, standard errors are only shown for the total annual abundance estimates in the final column by default. If the user toggles the "Show all standard errors" check box in the lower-right corner (circled), all standard errors will be displayed.

Abu	nda	ance Esti	mates ×	Annua	l Harvest	Plot 🧷	Harvest	t Data 🍼	Harvest Esti	mates
		Age 0	Age 1	Age 2	Age 3	Age 4	Age	Total	Annual	
Year	1	6,430.8	4,480.3	2,940.6	2,064.4	1,193.1	841.6	17,950.8	(1067.128)	
Year	2	6,543.1	4,861.4	3,040.6	2,110.9	1,388.5	864.5	18,809.1	(922.866)	
Year	3	5,594.2	3,874.2	2,619.3	1,661.8	1,138.7	789.9	15,678.0	(815.106)	
Year	4	5,237.4	3,284.1	2,210.9	1,645.6	1,055.3	697.6	14,130.9	(767.254)	
Year	5	4,632.9	3,235.1	2,186.0	1,360.6	958.5	636.4	13,009.4	(646.116)	
										~
Copy to	o C	lipboard								Sh

Figure 21. Abundance Estimates results

All other estimates—Harvest, Survival, Recruitment, Harvest Random Effects, Survival Random Effects—can be viewed in the same way by double-clicking on the appropriate action on the Navigation Panel. All of the tabbed documents for the results will have "Copy to Clipboard" button in the lower-left corner as shown in Figure 21, allowing the user to copy and paste the results into a spreadsheet or word processing document.

Viewing Plots

Annual Abundance Plot

Double-clicking on the "Annual Abundance" action under the "Plots" heading will bring up a plot like the one in Figure 22. The X-axis is the study year, and the Y-axis is the abundance estimate. By default, the black error bars show plus and minus one standard error for each point estimate. The buttons at the bottom of the graph allow the user to select "95% Confidence interval" instead, and the error bars will change to show plus and minus 1.96 standard errors. The "Save to File" button allows the user to save an image of the current plot to a PNG (portable network graphics) image file.



Figure 22. Plot of Annual Abundance

Harvest and Survival Probabilities Plot

Double-clicking on "Harvest Probabilities" or "Survival Probabilities" brings up the plot of respective probabilities across years. If the number of distinct age classes specified in the Harvest/Survival Probability configuration is zero (Figure 10), the plot will be like the one in Figure 22. If the last distinct age class is greater than zero, the plot will appear as shown in Figure 23.



Figure 23. Harvest Probabilities Plot for multiple age classes

Notice the selection box in the lower-right corner (circled in Figure 23). The default is set for "All Ages," showing a line plot for each distinct age class. The user can use the selection box to view the plot for only one class as shown in Figure 24. The plot for a single age class will then appear, similar to Figure 22, with the user able to select which error interval to show for the selected age class.



Figure 24. Selecting an age class in the Harvest Probabilities plot

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Random Effects Plot



Figure 25. Plot of the Harvest Random Effects

Figure 25 shows the plot of the harvest random effects. This plot is available if harvest random effects have been enabled (similarly with survival random effects). The two horizontal red lines are at 1.96 standard errors from zero. If the selected model adequately fits the data, the random effects should have a normal distribution with a mean of 0, and 95% of the standard errors should lie between the red lines.

Write Estimates to File

Once the estimates have been computed, the user can save the estimates to a CSV (comma-separated-value) file by going to the "File" menu and selecting "Write Estimates to File...." The user is then prompted for an output file name.

Resetting the Estimates

Once the parameter estimates have been computed, the user cannot change any of the inputs—add or remove auxiliary data, change the parameter configurations, etc. —without resetting the estimates. To reset the estimates, go to the Edit Menu and select "Reset Estimates." This will remove all displayed results and plots, the Compute action will once again be enabled, and the user can then change the model setup.

Chapter 5: Numerical Example

Examples of data inputs and outputs using Program POPRECON.

Harvest and Effort Data Format

Harvest and catch-effort data are read into POPRECON using a CSV file.

lavigation Panel 🛛 🔻 🖡	Harv	est Data 🔅	<								Ŧ	Model Summary 🔹
Load Harvest Data	[Age 2	Age 3	Age 4	Age 5	Effort	Total Catch	Catch/Effort		Harvest Data
4 Configure Probabilities		-	-	-	-	-	-					File: harv1.csv
Harvest Survival		1 1299		594	417	241		1.0437	3626	3474.2417		Years: 5
Aging/Reporting	Year				713	469		1.8839	6353	3372.2865		Age classes: 6
Enter Auxiliary Data	Year			766	486	333		1.5876	4585	2888.0598		Pooled: No
Abundance	Year			618	460	295	195	1.5115	3950	2613.2998		Harvest Probability
Compute View Results	Year	5 1740	1215	821	511	360	239	2.1661	4886	2255.6346		Last distinct age:
Abundance Harvest Probabilities Survival Probabilities Recruitment Harvest Random Effects Survival Random Effects Annual Abundance Harvest Probabilities Recruitment Harvest Random Effects Survival Random Effects	Copy to	Clipboard										Range: 0.20 - 0 Random effects? 1 Survival Probability Last distinct age: Range: Random effects? Aging/Reporting Assume 100% Auxiliary Data Harvest: loaded Model
Jutput												~ ù
Auxiliary Data Formats

Auxiliary data can be typed in the boxes or pasted from the clipboard.



P _R Ente	PR Enter Harvest Auxiliary Data						
Year	Age		# Marked	# Harvested			
1	0	340		76			
2	0	340		101			
3	0	340		104			
Year:		Age:	# Marked:	# Harvested:	Add		
Paste fr	rom Cli	pboard			Done Cancel		

P _R Ente	r Auxiliary Abundance Data				
Year	Abundance Estimate	Standard Error			
1	21392.81	1058.133			
Vann	Abundanca Cta	adard Error			
Year:	1 Abundance: Sta	Add			

POPRECON Output



Harv	est Estimates ×		Sur	viva	I Estimate	s ×
	All Ages				All Ag	es
Year	1 0.183 (0.0074)		Year	1	0.830 (0.0)129)
	2 0.313 (0.0115)		Year	2	0.830 (0.0)129)
	3 0.270 (0.0103)		Year	3	0.830 (0.0)129)
	4 0.257 (0.0099)		Year	4	0.830 (0.0)129)
	5 0.347 (0.0130)		Year	5	0.830 (0.0)129)
Recru	uitment Estimates ×		Harve	est R	andom Fff	ects X
	uitment Estimates × Recruitment	ſ		est R	andom Eff	
Year		ſ	Year		Random	Effect
Year 1	Recruitment 7,086.9 (335.645)		Year			Effect
Year 1 2	Recruitment 7,086.9 (335.645) 7,053.4 (287.318)		Year 1	-0.0	Random	Effect
Year 1 2 3	Recruitment 7,086.9 (335.645) 7,053.4 (287.318) 6,067.0 (264.783)		Year 1 2	-0.0 0.01	Random 14564517	Effect 10502 21220
Year 1 2 3 4	Recruitment 7,086.9 (335.645) 7,053.4 (287.318)		Year 1 2 3	-0.0 0.01 0.00	Random 14564517 35118861	Effect 10502 21220 66744



Chapter 5: Numerical Example



Chapter 6: References

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Appendix A: Pooled vs. Non-Pooled Data

When the user loads harvest data into Program POPRECON, he or she is first asked if the last age class is Pooled on Not Pooled (Figure 6). Figure 26 diagrams the age-class structure of non-pooled data. In this case, there are four age classes, and an individual can only be observed in the final age class once before dying or exiting the study.

Figure 27 shows the age-class structure for pooled data. Unlike with non-pooled data, an individual can be observed in the final age class—4 years old and older—for multiple years of a study.

AgeClass						_	
Year	1	2	3	4	5	6	Annual
1	N ₁₁	N ₁₂	N ₁₃	N ₁₄	N ₁₅	N ₁₆	$N_{1\bullet}$
2	N ₂₁	N ₂₂	N ₂₃	^N 24	N ₂₅	N ₂₆	<i>N</i> ₂ ∙
3	N ₃₁	^N N ₃₂	[►] N ₃₃	[►] N ₃₄	[™] N ₃₅	N ₃₆	N _{3∙}
4	N ₄₁	N ₄₂	⁴ N ₄₃	⁴ N ₄₄	[™] N ₄₅	N ₄₆	$N_{4\bullet}$
5	N ₅₁	N ₅₂	N ₅₃	N ₅₄	N ₅₅	N ₅₆	<i>N</i> _{5∙}

Figure 26. Age-class structure of non-pooled data

Year	1	2	3	4+	Annual
1	N ₁₁	N ₁₂	N ₁₃	N ₁₄	$N_{1\bullet}$
2	N ₂₁	N ₂₂	^N N ₂₃	N ₂₄	<i>N</i> _{2∙}
3	N ₃₁	^N N ₃₂	→ N ₃₃	N34	N _{3∙}
4	N ₄₁	⁻ N ₄₂	^{>} N ₄₃	N44	$N_{4\bullet}$
5	N ₅₁	N ₅₂	[▶] N ₅₃	N54	N _{5∙}

Figure 27. Age-class structure of pooled data

To illustrate the difference, let

 p_i = probability of harvest in year *i*,

 S_i = probability of survival from year *i* to year *i* + 1.

The expected value of N_{24} in Figure 26 for non-pooled data is calculated as

$$E(N_{24}) = N_{13}(1 - p_1)S_1p_2.$$

But for the pooled data in Figure 27, both the N_{13} and N_{14} cohorts can contribute to the individuals in N_{24} , thus

$$E(N_{24}) = N_{13}(1-p_1)S_1p_2 + N_{14}(1-p_1)S_1p_2.$$

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Appendix B: Abundance Variance Calculation

AD Model Builder provides accurate estimates of the model parameters and functions of model parameters. However, in the case of abundance estimates, it underestimates the variance. For a given year, the abundance of age class is calculated as

$$N_i = \frac{x_i}{p_i r}$$

where

 x_i = harvest counts of age class i,

 p_i = estimate of harvest probability of age class i,

r = aging/reporting probability.

AD Model Building treats x_i as a constant, whereas it is actually a binomially distributed random variable. Hence, Program PopRecon estimates the variance as follows.

$$\operatorname{Var}(N) = \operatorname{Var}\left(\frac{x}{pr}\right).$$

Using the Delta method,

$$Var(N) = \frac{Var(x)}{p^{2}r^{2}} + \frac{x^{2}}{p^{4}r^{2}}Var(p) + \frac{x^{2}}{p^{2}r^{4}}Var(r) + \frac{x^{2}}{p^{3}r^{3}}Cov(p,r)$$

Assuming Cov(x, p) = Cov(x, r) = 0, let $\theta = pr$

$$E(x^{2}) = \operatorname{Var}(x) + E(x)^{2} = N\theta(1-\theta) + N^{2}\theta^{2}$$

$$\operatorname{Var}(N) = \frac{N\theta(1-\theta)}{\theta^{2}} + [N\theta(1-\theta) + N^{2}\theta^{2}]$$

$$\cdot \left[\frac{\operatorname{Var}(p)}{\theta^{2}p^{2}} + \frac{\operatorname{Var}(r)}{\theta^{2}r^{2}} + \frac{2\operatorname{Cov}(p,r)}{\theta^{3}}\right]$$

$$= \frac{N(1-\theta)}{\theta} + (N(1-\theta) + N^{2}\theta) \left(\frac{\operatorname{Var}(p)}{\theta p^{2}} + \frac{\operatorname{Var}(r)}{\theta r^{2}} + \frac{2\operatorname{Cov}(p,r)}{\theta^{2}}\right)$$

Var(p), Var(r), and Cov(p, r) are calculated by AD Model Builder.

Annual Abundance Variance Calculation

For a given year

- x_i = harvest counts for age class i,
- p_i = estimate of harvest probability for age class i,
- r = aging/reporting probability,

$$\theta_i = p_i r.$$

$$\operatorname{Var}(\widehat{N}) = \operatorname{Var}\left(\sum \frac{x_i}{\theta_i}\right)$$
$$\operatorname{Var}\left(\sum \frac{x_i}{\theta_i}\right) = \sum \operatorname{Var}(\widehat{N}_i) + 2\sum_{i < j} \operatorname{Cov}(\widehat{N}_i, \widehat{N}_j).$$

Using the Delta method,

$$Cov(\widehat{N}_{i},\widehat{N}_{j}) = \frac{N_{i}N_{j}}{\theta_{i}\theta_{j}} [r^{2}Cov(p_{i},p_{j}) + p_{j}rCov(p_{i},r) + p_{i}rCov(p_{j},r)]$$

 $\operatorname{Cov}(p_i,p_j)$ and $\operatorname{Cov}(p_i,r)$ are calculated by AD Model Builder.