



E-FILE APPLICATION USER MANUAL

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Welcome to the E-File Application User Manual! This manual is designed to help you navigate through the application and maximize its full potential. This guide will provide information about features, functions and step-by-step instructions for Company Officers, General Contacts, Preparers and Authorized Users that are responsible for data entering and certifying Federal Communications Commission (FCC) form data.

About the E-File Application

The Universal Service Administrative Company (USAC) online forms submission application (E-File) allows the Universal Service Fund (USF) contributors and service providers the ability to electronically data enter, submit, verify and certify the FCC Forms 472, 497, 498, 499, and 525. The system also allows Company Officers and General Contacts to perform administrative tasks such as resetting passwords and managing entitlements.

1. Getting Started

This section is designed to get you up and going in E-File. Let's start by learning where everything is. There are different types of accounts so not all options are available to all accounts.

1.1. Accessing the E-File Application

Follow these steps to access the E-File Application login page.

- Step 1.** Open your web browser and go to USAC's homepage, www.usac.org. Click on the Fund Administration link.
- Step 2.** From the Fund Administrative Tools menu on the left side of the page: Select USAC Forms which will display the contributor and related USAC forms page.
- Step 3.** Click on the USAC forms link in the text of the page to display the USAC forms page.
- Step 4.** Clicking on any of the blue links to the Form 499-Q, Form 499-A or Form 498 will display the login page for the E-File Application.

You may also view the PDF forms and their instructions from this page. If you do not have an online account, or prefer to send your form via mail, you may do so by mailing a completed hardcopy form to USAC at the address listed on the form.



The E-File login page should not be bookmarked in your web browser's Favorites directory. The E-File login process will not properly function when the page is select from the Favorite's Menu.

1.2. Navigation

1.2.1. Information Center

The Information Center is the first page displayed each time you log in to the application. The headers on that page are Contributors, Service Providers, Schools and Libraries and High Cost & Low Income. The page shows any Authorized Users you either created or have access to.

1.2.2. Contributors

Clicking on the Contributors menu will the Filer IDs available to you. Additionally, clicking on the Contributors menu expands it to list the following options: Create New Form, Search Forms and 499Q Bulk Submission.

a. Create New Form

This option is the main data entry point to access, data enter, certify and submit an FCC Form 499A or 499Q.

b. Search Forms

This option allows you to search for a Filer ID, to which you have access, to see what forms have been filed for that Filer ID.

c. 499Q Bulk Submission

The bulk submission option allows using a single CSV file to upload information for multiple Filer IDs for FCC Forms 499Q.

1. 2. 3. Service Providers

This menu option shows SPINs available to you. The expanded menu on the left has the following option: New Spin.

a. New Spin

This option allows General Contacts to data enter and Company Officers to data enter and certify an FCC Form 498 for a new SPIN. If a General Contact data enters information on the form, the listed Company Officer must certify the form before it is transmitted to USAC.

1. 2. 4. Schools and Libraries

Clicking on the Schools and Libraries menu lists the FCC Form 472 SPINs to which you have access. The expanded menu on the left has the following option: 472 Online Bear.

a. 472 Online Bear

Clicking on the 472 Online Bear menu display will provide the user access to the Online BEAR Application.

b. Online Item 21

Clicking on the Online Item 21 menu display will provide the user access to the Online Item 21 Application.

Information Center
- Contributors
- Create New Form
- Search Forms
- 499Q Bulk Submission
- Service Providers
- New Spin
- 498 Bulk Submission
- Schools and Libraries
- 472 Online Bear
- Online Item 21
- High Cost & Low Income
- 497 Online Form
- 525 Online Form
- Pending Certifications
- Form 499Q
- Form 499A
- Form 498
- Download Forms
- Authorized Users
- New User
- Pending Users
- My Account
- Change Password
- Log Out
- FAQs
- General E-File FAQs
- E-Certification FAQs
- E-File Form 498 FAQs
- E-File Form 499 FAQs
- E-File User Guide
- Terms and Conditions

1. 2. 5. High Cost and Low Income

Clicking on the High Cost and Low Income menu shows the SPINs to which you have access for the purposes of the FCC Form 497 or 525. The expanded menu on the left has the following option: 497 Online Form and 525 Online Form.

a. 497 Online Form

Clicking on the 497 Online Form menu item will provide the user access to the FCC Form 497 web application.

b. 525 Online Form

Clicking on the 525 Online Form menu item will provide the user access to the FCC Form 525 web application.

1. 2. 6. Pending Certifications

Clicking on the Pending Certifications menu item will list one or more of the following types of forms that await a Company Officer's review, approval and certification.

a. Form 499Q

Clicking on this menu item will display all of the FCC Forms 499Q that a Company Officer needs to review, approve and certify.

b. Form 499A

Clicking on this menu item will display all of the FCC Forms 499A that a Company Officer needs to review, approve and certify.

c. Form 498

Clicking on this menu item will display all of the FCC Forms 498 that a Company Officer needs to review, approve and certify.

1. 2. 7. Download Forms

Clicking on this menu option opens a window where you may download and print the current FCC Forms 498, 499A and 499Q and instructions.

Information Center
- Contributors
- Create New Form
- Search Forms
- 499Q Bulk Submission
- Service Providers
- New Spin
- 498 Bulk Submission
- Schools and Libraries
- 472 Online Bear
- Online Item 21
- High Cost & Low Income
- 497 Online Form
- 525 Online Form
- Pending Certifications
- Form 499Q
- Form 499A
- Form 498
- Download Forms
- Authorized Users
- New User
- Pending Users
- My Account
- Change Password
- Log Out
- FAQs
- General E-File FAQs
- E-Certification FAQs
- E-File Form 498 FAQs
- E-File Form 499 FAQs
- E-File User Guide
- Terms and Conditions

1. 2. 8. Authorized Users

Company Officers and General Contacts are able to see the Authorized Users that they either have created or have access to and may modify their entitlements. Company Officers are ultimately responsible for Authorized Users. The expanded menu on the left has the following options: New User and Pending User.

a. New User

Selecting the New User option will allow Company Officers and General Contacts to create and assign entitlements to an Authorized User.

b. Pending User

This option is available to Company Officers who have recently received an E-File account (as described later, once a new Company Officer receives an account, the prior Company Officer's account is deactivated and all Authorized Users over which that Company Officer had controlled are converted to pending). By selecting the Pending User option, new Company Officers may see the Authorized Users that need to have their entitlements Approved or Rejected.

1. 2. 9. My Account

Choosing My Account will display your current contact information and list the forms and permission levels, including SPINs and Filer IDs, of the forms to which you have access.

1. 2. 10. FAQs

This section lists common questions and answers about the E-File Application.

1. 2. 11. Terms and Conditions

The Terms and Conditions option shows the most recent Terms and Conditions to which you are bound by when using the E-File Application. The Terms and Conditions may be updated from time to time without notice to you.



2. User Management

This section provides information and procedures to enable users to perform administrative activities in the E-File Application. There are a variety of administrative functions outlined here that will simplify and expedite the filing of available forms.

After completing this section:

- All account holders will know how to change their password
- All account holders will be able to modify their personal profile
- Company Officers and General Contacts will be able to create an Authorized User
- Company Officers and General Contacts will be able to reset the password of an Authorized User
- Company Officers and General Contacts will be able to activate, deactivate and manage the entitlements of an Authorized User
- General Contacts will be able to review and submit a form to a Company Officer for certification.
- Company Officers will be able to review and certify a form prepared by another user

2.1. Terms and Conditions

All users of the E-File Application must accept the Terms and Conditions to use the system when they log into the E-File Application for the first time. The Terms and Conditions may be updated from time to time without prior notice. The Terms and Conditions can be viewed at any time by clicking on Terms and Conditions link on the left menu.

Additionally Terms and Conditions must be accepted on a per use basis when a user opens a form that can be electronically certified. Rejecting these Terms and Conditions will result in the user being required to mail a hardcopy form to USAC.

2.2. General

The User Management system allows for the definition of many different user groups and is able to assign different privileges to each group. Company Officers and General Contacts are able to create and manage Authorized Users.

2.2.1. User Roles

In the E-File Application there are four distinct users, each with clearly defined proficiencies and responsibilities.

Company Officer – A Company Officer is able to view, data enter and certify forms for his or her associated SPIN and/or Filer ID. A Company Officer account is automatically created for the certifying company officer on a paper FCC Form 498 or 499 once the form is processed. The account information is sent via e-mail to the Company Officer who certified the form.

A Company Officer who has an account pursuant to an FCC Form 498 he or she certified is automatically able to data enter and certify FCC Forms 472, 497, 498, 525 and Item 21 attachment electronically.

A Company Officer who has an account pursuant to an FCC Form 499 he or she certified is able to data enter and certify FCC Forms 499.

PLEASE NOTE: Although an account may have the functionality to certify a form, the account holder must be the person authorized to certify the form in order for it to be valid.

The FCC Form 498 and 499 accounts allow a Company Officer to perform administrative tasks related to Authorized User accounts over which he or she has control such as electronically activating, deactivating and managing entitlements and resetting passwords. Company Officers with FCC Form 499 rights have control over Authorized User accounts they created. Company Officers with FCC Form 498 rights, like General Contacts, have authority over accounts they created as well as Authorized User accounts created by General Contacts.

PLEASE NOTE: Company Officers are ultimately responsible for Authorized User accounts. A General Contact will have access to Authorized User accounts created by the Company Officer and will also be able to create and modify his/her own Authorized User accounts. However, anytime a General Contact creates or modifies an Authorized User account, the Company Officer will receive an email. If the Company Officer does not agree with the action taken by the General Contact, the Company Officer must make the necessary modifications in the Authorized User section of the E-File Application. The Company Officer may also need to file a revised FCC Form 498 listing a different General Contact.

Company Officers with FCC Form 499 rights may create Authorized Users related to the FCC Form 499. Company Officers with FCC

Form 498 rights may create Authorized Users for FCC Forms 472, 497 and 525. When Company Officers for FCC Form 498 create Authorized Users and grant entitlements to them for FCC Forms 472, 497 or 525, those Authorized Users will have the ability to view, modify and certify those forms. Authorized Users that have been granted entitlements to the FCC Form 472 will also have access to the Item 21 attachment. Company Officers also have the ability to create Agent Authorized Users for the FCC Forms 497 and 525. More information regarding Agent Authorized Users may be found in the section entitled “Authorized User” below.

A certifying Company Officer is responsible for the accuracy of the information contained in each form as well as the certifications.

General Contact (for Service Providers only) – A General Contact is able to view, data enter and certify FCC Forms 472, 497, 525 and Item 21 attachments (this capability is limited to searching for and viewing information) for his or her associated SPIN. A General Contact is able to view and submit FCC Form 498 data to a Company Officer for review, approval and certification. A General Contact account is automatically created when a hardcopy FCC Form 498 is processed. The account information is sent to the General Contact via e-mail who is listed on the form. To activate the account, the General Contact must log on and change his or her password.

PLEASE NOTE: Although an account may have the functionality to certify a form, the account holder must be the person authorized to certify the form in order for it to be valid.

General Contacts are able to perform administrative tasks related to Authorized User accounts to which he or she has access such as electronically activating, deactivating, managing entitlements and resetting passwords. General Contacts with FCC Form 498 rights may create Authorized Users for FCC 472, 497 and 525. Authorized Users that have been granted entitlements to the FCC Form 472 will also have access to the Item 21 attachment.

When General Contacts create Authorized Users and grant entitlements to them for FCC 472, 497 or 525, those Authorized Users will have the ability to view, modify and certify those forms. General Contacts also have the ability to create Agent Authorized Users for the FCC Forms 497 and 525. Anytime a General Contact creates or modifies an account, the Company Officer will receive an email informing him or

her about the change. More information regarding Agent Authorized Users may be found in the section entitled “Authorized User” below.

A certifying General Contact is responsible for the accuracy of the information contained in each form, as well as the certifications.

Authorized User – An Authorized User has the ability to view and data enter information contained on forms for Filer IDs to which a Company Officer has granted access. In addition to that described above, if an FCC Form 498 Company Officer or General Contact assigns the Authorized User FCC Form 472, 497 or 525 rights, that Authorized User may certify in addition to view and data enter the form. Authorized Users that have been granted entitlements to the FCC Form 472 will also have access to the Item 21 attachment. The account information is sent to the Authorized User at the e-mail given by the creator of the account. To activate the account, the Authorized User must log and change his or her password. Authorized Users are no longer able to view and submit revisions to an FCC 498 Form.

In the case of FCC Form 499, when an Authorized User creates a form, he or she will need to either (1) print the form so it can be signed by the Company Officer specified on the form and mailed or (2) submit it electronically to be certified by an authenticated Company Officer.

Authorized User account are maintained by the Company Officer and the General Contact. Company Officers with FCC Form 499 rights have control over Authorized User accounts they created. Company Officers with FCC Form 498 rights and General Contacts have authority over and responsibility for accounts they created as well as Authorized User accounts over which they have control.

An Agent is a type of Authorized User. An Agent Authorized User has the ability to access and data enter the FCC Forms 497 or 525 only for the SPIN(s) to which he or she has been granted access by an FCC Form 498 Company Officer or General Contact. An Agent Authorized User is not able to access any other forms within the E-File Application. An Agent Authorized User also is able to certify FCC Forms 525, however, the carrier will need its authorized employee to certify as well. An Agent Authorized User cannot certify FCC Forms 497.

PLEASE NOTE: Although an account may have the functionality to certify a form, the account holder must be the person authorized to certify the form in order for it to be valid.

A certifying Authorized User is responsible for the accuracy of the information contained in each form, as well as the certifications.

Preparer (for Contributors only) – A Preparer has the ability to view and data enter information on FCC Forms 499 for his or her associated Filer ID. A Preparer account is automatically generated for the person listed as the preparer on a hardcopy FCC Form 499. A Preparer account has the ability to view and data enter FCC Form 499 information for the Filer ID under which it was created. The account information is sent via e-mail to the Preparer listed on the form. To activate the account, the Preparer must log on and change his or her password. This account may only be deactivated upon the submission and processing of a FCC Form 499 with a different preparer.

2. 2. 2. User Creation/Identification

As stated above, a Company Officer, General Contact and Preparer accounts are established by providing the requested information on the FCC Form 498 or 499 and submitting a signed copy to the address listed on the form. The accounts are established using the e-mail addresses provided on the form. It is the responsibility of the Company Officers to ensure their e-mail service, as well as e-mails associated with any accounts they create or have control over, accepts e-mails from USAC and that they, as well as any Authorized Users they create or have control over, may receive confidential business communications at the contact information provided for the account. Password information will be provided by USAC via e-mail once the signed form is received and processed.



The sharing of User IDs and passwords is prohibited. Access to an online application account is restricted to the individual to whom that account is assigned. Do not share passwords with coworkers. See also the Terms and Conditions associated with the E-File Application, which can be found in the left menu of the application.

2. 2. 3. Account Functions

User Management is all about functionality and usability. The features described below will allow your organization to work faster and more efficiently.

a. Password Info

Sharing User IDs and passwords is prohibited. Access to the online application account is restricted to the individual to whom that account is assigned. Do not share passwords with anyone, including, but not limited to, coworkers.

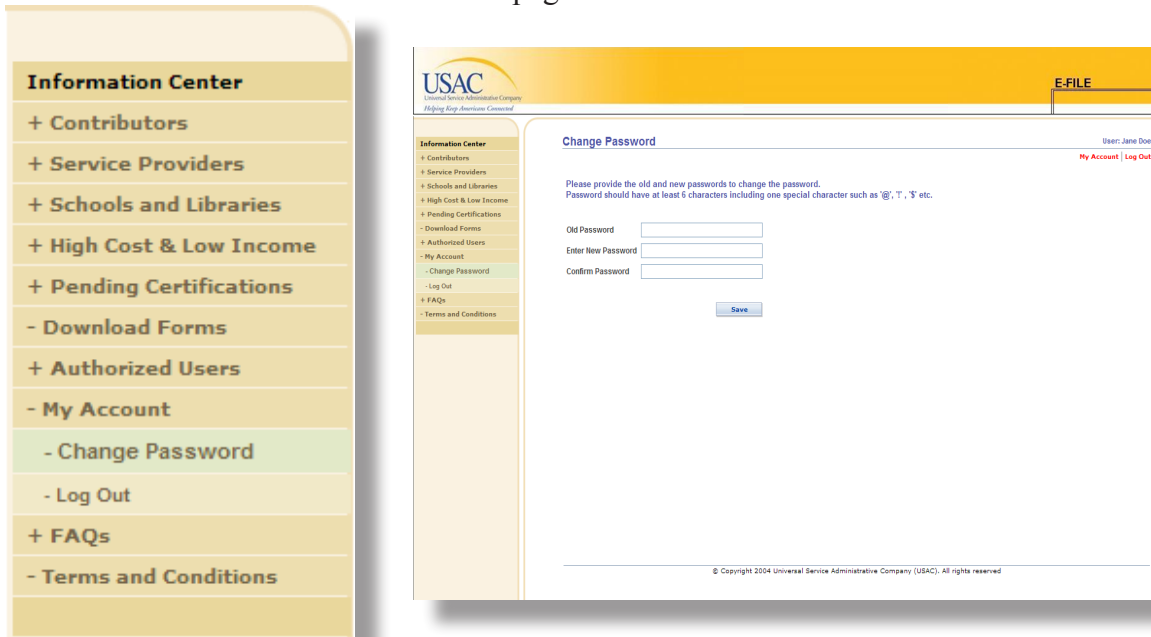
Use caution while entering your User ID and password. Failure to enter a valid User ID and password combination within three attempts will result in the account being locked.

As a user of the E-File Application, you can change your password at anytime. Newly established accounts will require the password to be changed the first time they are used to log in to E-File. It is recommended that you change your password frequently (at least once every three months) in order to protect your account information. A valid E-File Application password must be at least six characters in length and contain at least one special character [@, #, \$, %, &, *]. The password should not be the same as or a subset of your User ID. Other methods of protecting your password identity is to make sure that is not easily discernible, such as a common name or location and should not be posted or stored in a location easily accessible by others.

If you forgot or otherwise need to reset your password, you will either be able to reset it yourself or request that it be reset. The procedure for requesting a password reset is dependent on the type of account. Company Officer, General Contact and Preparer accounts should be able to reset their own password by going to the E-File page and clicking on “Forgot Password.” When inputting the requested information, an account holder has three tries before the account locks and USAC’s Customer Service Department will need to be contacted at 1-888-641-8722. Upon successful reset, the account holder will receive an email with a temporary password. Only the person assigned to the account may reset his or her password. Authorized Users with FCC Form 499 entitlements only must contact their Company Officer to obtain a password reset. Authorized Users with entitlements to forms other than the FCC Form 499 must contact their General Contact or Company Officer to obtain a password reset. All users that have had their password reset will be required to enter a new password when they first log in before proceeding to the E-File Application.

For all accounts, to change your password please follow these steps:

- Step 1.** After logging in, click on the Change Password option from the menu on the left side of the page. This will display the Change Password page.



- Step 2.** After you enter your current password and your new password twice, click on the Save button. This will display the Change Password – Confirmation page.

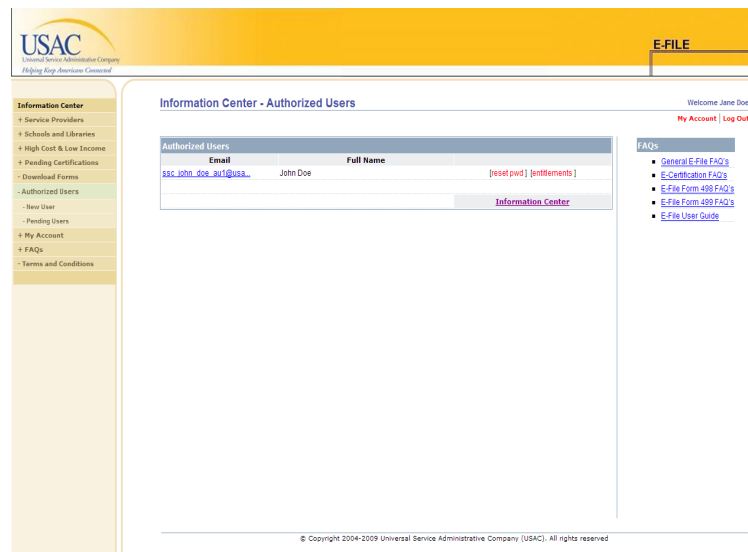
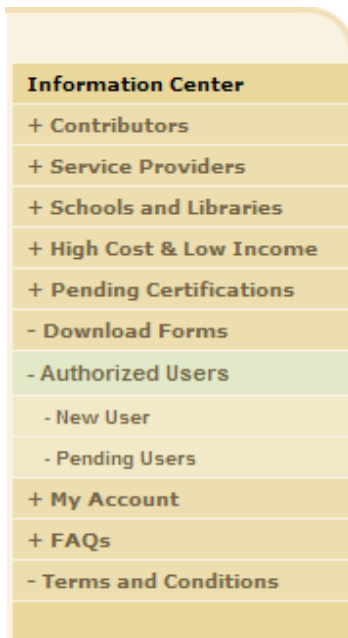


Entering your new password twice helps prevent changes to a password that has been accidentally mistyped.

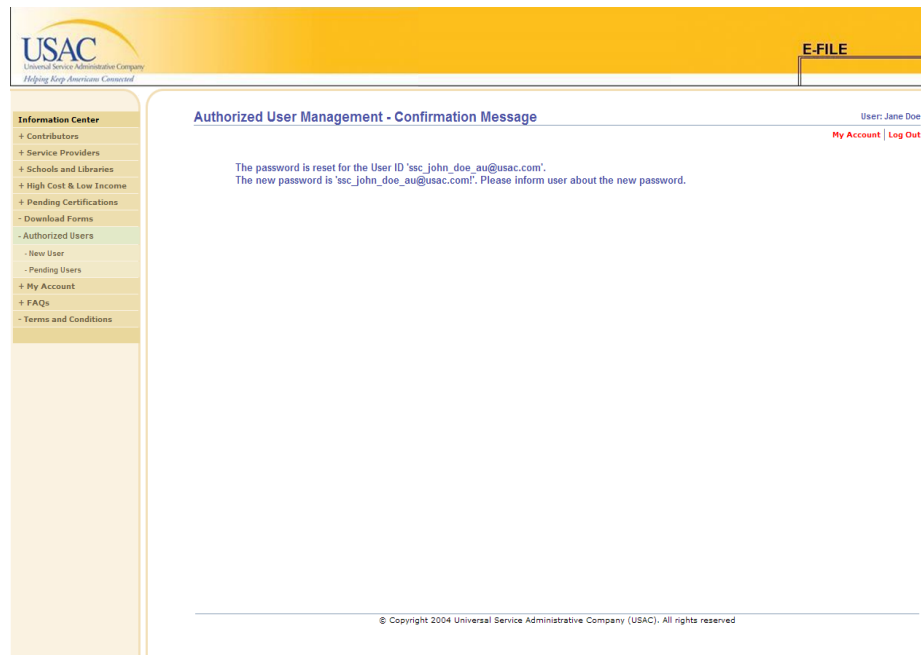
These are the steps to reset the password of an Authorized User. General Contacts and Company Officers are able to reset the passwords of Authorized Users over which they have control. Passwords for Preparer accounts can only be reset by USAC.

Step 1. From the left menu click on the menu header Authorized Users, this will display the Authorized Users over which the Company Officer and General Contact have control.

Step 2. Click on the label [reset pwd] to the right of the Authorized User to reset the password of an Authorized User.



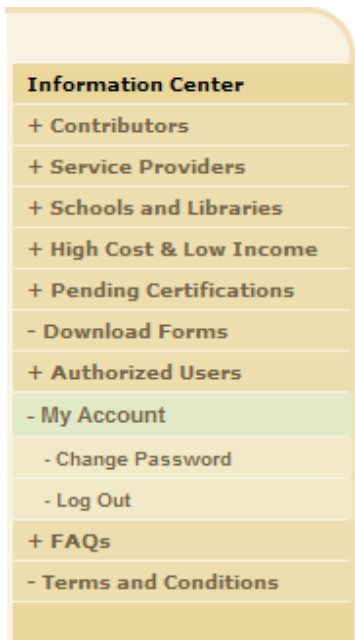
Step 3. After you click OK on the confirmation message, the new password will be displayed and e-mailed to the selected Authorized User.



b. Profile Management

The E-File Application allows all users to store contact data specific to their account. It also allows Certifying Officers and General Contacts to maintain address and contact data of Authorized Users.

- Step 1.** To change contact data, click on My Account from the left menu. This will display your name and e-mail address. It will also allow you to enter and store your address and contact number.



- Step 2.** Once you have entered your information, click on the Save button to finalize the update.



This information is independent of the information stored on your form. Changing an address on a form will not change you E-File profile and changing your profile address will not update a form.

Company Officers and General Contacts may change the information of Authorized Users as follows:

- Step 1.** With the Information Center page displayed, click on the Authorized Users menu. This will display Agents and Authorized Users over which you have control.

Information Center

- + Contributors
- + Service Providers
- + Schools and Libraries
- + High Cost & Low Income
- + Pending Certifications
- Download Forms
- Authorized Users
- New User
- Pending Users
- + My Account
- + FAQs
- Terms and Conditions

Information Center - Authorized Users

Email	Full Name	[reset pass] [entitlements]
jss_john_doe_aui@usac	John Doe	

Information Center

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- Step 2.** Click on the e-mail address from the list to display that account's information. Once the desired changes are made click on the Save button to finalize the update.

Authorized User Management - Modify User

Name: John Doe
 Email ID: jss_john_doe_aui@usac.com
 Street Address1:
 Street Address2:
 Street Address3:
 City:
 State: Select One
 Zip:
 Contact Phone:
 Contact Fax:

Entitlements
Form 497 - SPU - 143033645
Form 525 - SPU - 143033645
Form 472 - SPU - 143033645

Save DeActivate Reset

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c. Form Certification

A powerful advantage of using the E-File Application is that a Company Officer with an account can certify the FCC Form 498 and 499 electronically. The Company Officer is able to data enter the form, review the form, make necessary corrections and certify the form. Once electronically certified, the data is passed to USAC.

To certify a FCC Form 498, a Company Officer may follow these steps:

Step 1. After login and the Information Center page is displayed, click on the left menu Form 498, under the header Pending Certifications. This will display the list of pending FCC Form 498 that need your certification.

Step 2. Click on a form to open the Terms and Conditions page.

The screenshot displays the USAC E-File application interface. On the left is the 'Information Center' menu with options: Contributors, Service Providers, Schools and Libraries, High Cost & Low Income, Pending Certifications (selected), Form 499Q, Form 499A, Form 498, Download Forms, Authorized Users, My Account, FAQs, and Terms and Conditions. The main content area shows 'Pending Certifications: 498' with a table of pending forms.

Form 498 SPIN	Company Name	Last Updated By	Status
143032027	Screen Shot Company	ssc_john_006_sus@usac... (11/18/2008)	Pending Officer Approval

At the bottom of the page, it says: © Copyright 2004 Universal Service Administrative Company (USAC). All rights reserved.

- Step 3.** The Terms and Conditions must be agreed to before you may certify a form online. If you choose to reject the Terms and Conditions, the form must be printed out, signed and mailed to the USAC address on the form before it can be processed.

USAC
Universal Service Administrative Company
Helping Keep Americans Connected

E-FILE

Terms and Conditions User: Jane Doe

If you are signing a form using an electronic signature, you are reminded that an electronic signature is equivalent to your handwritten signature on the form. Your use of the electronic signature to affirm these certifications means that should they prove untrue, you will be held to the same enforcement standards as those who affirm the certifications in writing. Also, by using the electronic signature, you are affirming that you have the authority to make these certifications and represent the entity featured in this form. By logging into your account using your User ID and password and clicking the "certify" button at the end of the form, you have submitted your electronic signature to the form.

To see a copy of the Terms and Conditions that govern your use of the system, please click here: [Terms and Conditions](#)

Click "Accept" to affirm your compliance with the above and continue to the form.

Click "Reject" to continue to the form for review and/or data entry but not electronic certification, or to print, sign, and mail a hardcopy certification.

- Step 4.** Once the form is open, review the form in its entirety and make any necessary corrections. Upon completion, proceed to the end of the form and click the Certify button.

This Notice is required by the Paperwork Reduction Act of 1995, Pub. L. No. 104-13, 44 U.S.C. 3501 et seq. We have estimated that each response to this collection of information will take, on average, 1.5 hours. Our estimate includes the time to read the instructions, look through existing records, gather and maintain the required data, and actually complete and review the form for response. If you have any comments on this estimate, or how we can improve the collections and reduce the burden it causes you, please write to the Federal Communications Commission, AMD-FERM, Washington D.C. 20554, Paperwork Reduction Project (3060-0824). We will also accept your comments via Internet if you send them to PRAG/fcc.gov. Please DO NOT SEND COMPLETED DATA COLLECTION FORMS TO THIS ADDRESS.

Mail this signed form to:

USAC Customer Operations, Billing and Disbursements
2000 L Street, N.W., Suite 200
Attn: FCC Form 498
Washington, DC 20036

Questions?

See the Form 498 Instructions found at www.usac.org/forms

Use this form for:

- New application for a Service Provider Identification Number
- Revision to existing Service Provider data currently on file with USAC
- Merger or Consolidation of Existing Service Provider Identification Number (Additional documentation is required, please see page 2 of the instructions)
- Deactivation of a Service Provider Identification Number (Please see page 2 of the instructions)

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To certify a FCC Form 499 a Company Officer may follow these steps:

- Step 1.** Click on the Contributors header in the left E-File Menu. This will display the Information Center – Contributors page.

Information Center - Contributors

Form 499 Filer ID	Company Name	Tax ID
825893	Screen Shot Company	99-9999999

[\[entitlements\]](#)

[Information Center](#)

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- Step 2.** Click on the Filer ID you need to certify.

Form 499 - Search Forms

Enter Filer 499 ID:

Or Company Name:

Or EIN (XX-XXXXXXX):

Or FRIN (XXXX-XXXXXX):

Or Email:

Filer 499 ID: 825893 Company Name: Screen Shot Company
 End Date: Filer Status: Active
 Contact Name: Jane Doe

Form	Status	Filing Period	Revise	Certify
4990 - November 2008 Filing	Pending Officer Approval	Open	<input type="button" value="Revise"/>	<input type="button" value="Certify"/>
4990 - August 2008 Filing	DCA Approved	Closed	<input type="button" value="Revise"/>	<input type="button" value="Certify"/>
4990 - May 2008 Filing	DCA Approved	Closed	<input type="button" value="Revise"/>	<input type="button" value="Certify"/>
4990 - April 2008 Filing	DCA Approved	Open	<input type="button" value="Revise"/>	<input type="button" value="Certify"/>
4990 - February 2008 Filing	DCA Approved	Closed	<input type="button" value="Revise"/>	<input type="button" value="Certify"/>
4990 - November 2007 Filing	DCA Approved	Closed	<input type="button" value="Revise"/>	<input type="button" value="Certify"/>
4990 - August 2007 Filing	DCA Approved	Closed	<input type="button" value="Revise"/>	<input type="button" value="Certify"/>
4990 - May 2007 Filing	DCA Approved	Closed	<input type="button" value="Revise"/>	<input type="button" value="Certify"/>
4990 - April 2007 Filing	DCA Approved	Closed	<input type="button" value="Revise"/>	<input type="button" value="Certify"/>
4990 - February 2007 Filing	DCA Approved	Closed	<input type="button" value="Revise"/>	<input type="button" value="Certify"/>
4990 - November 2006 Filing	DCA Approved	Closed	<input type="button" value="Revise"/>	<input type="button" value="Certify"/>
4990 - April 2006 Filing	Certified	Closed	<input type="button" value="Revise"/>	<input type="button" value="Certify"/>

- Step 3.** When the history of this Filer ID is displayed, you can click on the Certify button to display the form in PDF format. You will be asked to accept or reject the Terms and Conditions. If you choose to reject the Terms and Conditions, you will be required to mail that form to USAC. After reviewing the data for completeness and accuracy, make updates as required. At the end of the form click on the Certify button to approve the form or click on the Save button to save the form data and defer certification. If you certify the form, the Form Submittal Confirmation page will be displayed.

606. Signature *****For Data Entry Only. Do Not Print this Form*****

607. Printed name of officer
 First Name Middle Initial Last Name

608. Position with reporting entity

609. Business telephone number of officer () Ext

610. Email of this person || Required if available -- not for public release

611. Date

612. Check those that apply
☐ Original April 1 filing for year ☐ New filer, registration only ☐ Revised filing with updated registration ☒ Revised filing with updated revenue data

Do not mail checks with this form. Send this form to: Form 499 Data Collection Agent c/o USAC 2000 L Street, N.W. Suite 200 Washington DC, 20036
 For additional information regarding this worksheet contact: Telecommunications Reporting Worksheet information: (888) 641-8722 or via email: Form499@usac.org

PERSONS MAKING WILLFUL FALSE STATEMENTS IN THE WORKSHEET CAN BE PUNISHED BY FINE OR IMPRISONMENT UNDER TITLE 18 OF THE UNITED STATES CODE, 18 U.S.C. § 1001

- Step 4.** Click on the button labeled Close to acknowledge the confirmation and close the window.

d. Opting out of E-mails

You have the option to opt out of electronic notifications (i.e. e-mails regarding forms ready to be certified, forms that have been successfully submitted, etc.). If you opt out of electronic notifications, you will not receive information sent electronically and, if you opt out of electronic notifications relating to FCC Form 472, you will not be allowed to certify an FCC Form 472 electronically.

e. Deactivation

To deactivate a Company Officer or General Contact please contact USAC at 1-888-641-8722.

To deactivate a Preparer account refer to [Section 2.2.1](#)

Deactivating Authorized Users will be addressed in the next section.

2.3. Authorized Users

Authorized Users are a powerful tool. An Authorized User is granted authority by a Company Officer or General Contact and may view, enter and modify information contained in certain forms. Authorized Users, depending on the entitlements granted to them, may have access to the following forms: FCC Form 472, 497, 499 and 525 (and, in the case of FCC Forms 472, 497 and 525, certain Authorized Users may also certify the form). When an Authorized User electronically data enters and then submits an FCC Form 499, a notification email is sent to the Company Officer shown on the form if that Company Officer has an E-File account. If the Company Officer is an existing E-File User, he or she will be able to electronically review, modify and certify the form.

2.3.1. Creation

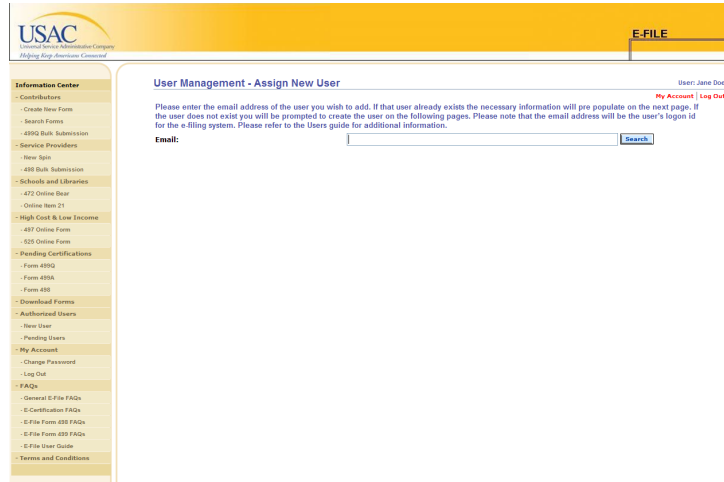
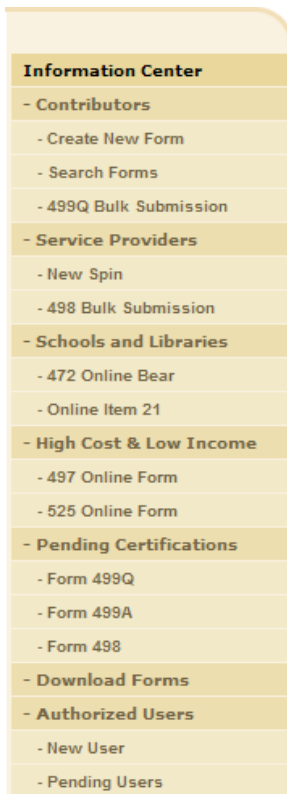
A Company Officer or General Contact can create Authorized Users. When an FCC Form 498 Company Officer or the General Contact creates an Authorized User, both the Company Officer and General Contact can manage the entitlements of that Authorized User, regardless of who originally created the account.



Since Authorized Users are managed by a Company Officer and a General Contact they are the only ones with the ability to reset the password and manage the account.

To create an Authorized User, Company Officers and General Contacts must follow these steps:

Step 1. Once the Information Center page has loaded after a successful login, click on New User under the Authorized Users menu header. If New User is not displayed, click on the Authorized Users header first then click on the New User. This will display the Assign New User page.



Step 2. Enter the email address of the account you wish to create and click on Search.

- Step 3.** If the Authorized User has already been created, the current profile information will be displayed. If this is a new user, who does not already have an Authorized User account, you will be required to enter at least the First and Last Name. You may also create an Agent Authorized User. For more information regarding creating an Agent Authorized User, please see below. After you have completed the User's Profile and if an Agent account is not to be assigned, the next option will be available. Click on the Next button to proceed.

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E-FILE

User Management - Assign New User

User: Jane Doe
My Account | Log Out

Please enter the email address of the user you wish to add. If that user already exists the necessary information will pre populate on the next page. If the user does not exist you will be prompted to create the user on the following pages. Please note that the email address will be the user's login id for the e-filing system. Please refer to the Users guide for additional information.

Email: Search

'ssc_john_doe_ausac.com' is a new user. Please fill out their information below.

☐ HCLI 497 Agent
☐ HCLI 525 Agent

First Name:
Middle Initial:
Last Name:
Street Address Line 1:
Street Address Line 2:
Street Address Line 3:
City:
State:
Zip:
Phone:
Fax:
Please hit Next to assign entitlements...

[Next](#)

Field names in bold are required.

- Step 4.** On the User Management – Assign New User page, you are able to assign entitlements to your new Authorized User. Clicking on the Cancel button or closing the browser will require you to start the creation process over again for this User ID. Once the entitlements are assigned click on the Save button.

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E-FILE

User: Jane Doe
My Account | Log Out

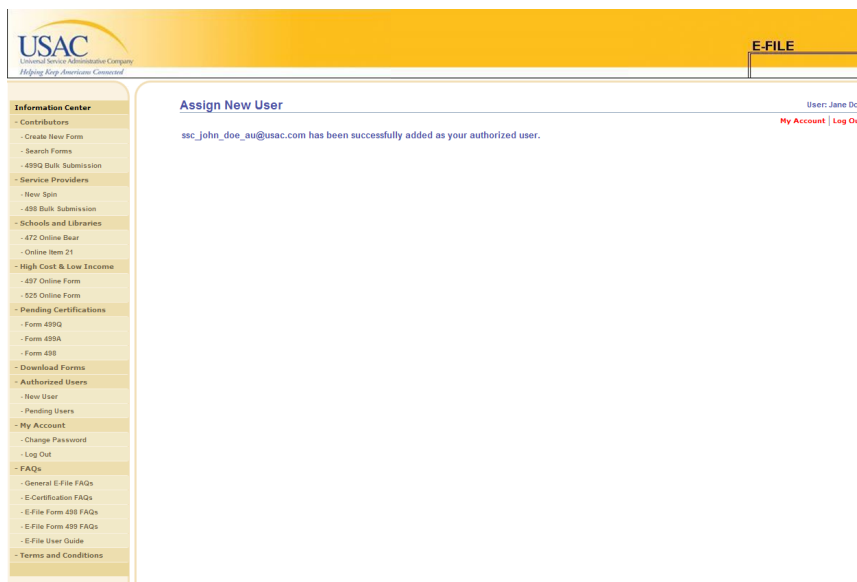
Please ensure you add at least one entitlement above to this user. In order to successfully create a user they must have at least one entitlement. Once you have added an entitlement the "save button" will be available.

User Email:
Full Name:

Entitlement	User ID	Form ID	Form ID	Form ID	Form ID
<input checked="" type="checkbox"/>	827847	HCLI Form 497	HCLI Form 525	HCLI Form 525	HCLI Form 525
<input checked="" type="checkbox"/>	827847	HCLI Form 497	HCLI Form 525	HCLI Form 525	HCLI Form 525
<input checked="" type="checkbox"/>	827847	HCLI Form 497	HCLI Form 525	HCLI Form 525	HCLI Form 525
<input checked="" type="checkbox"/>	827847	HCLI Form 497	HCLI Form 525	HCLI Form 525	HCLI Form 525

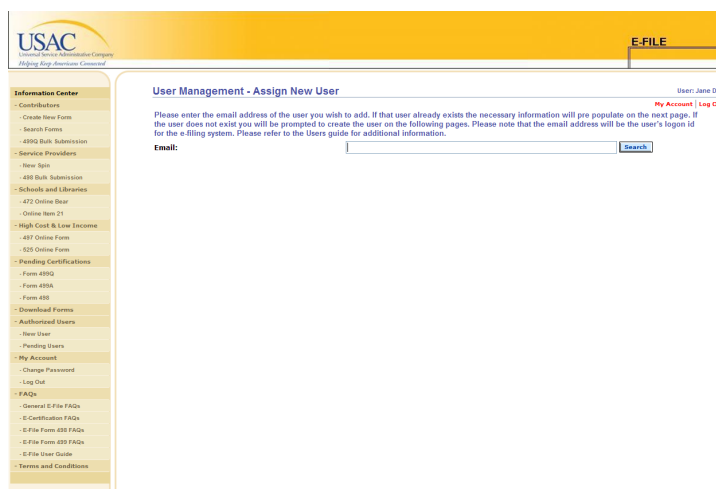
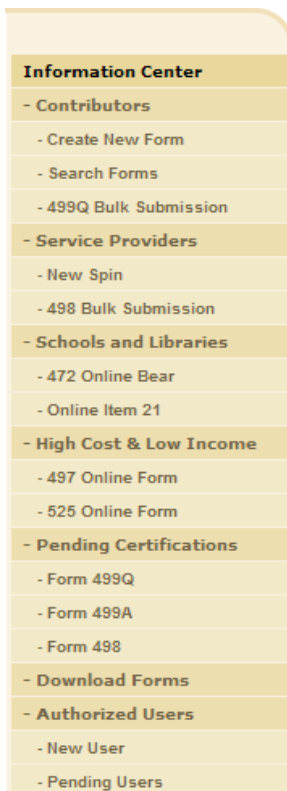
[Save](#) [Cancel](#)

Step 5. The Authorized User process is completed once the confirmation page is displayed.



To create an Agent Authorized User, Company Officers and General Contacts must follow these steps:

Step 1. Once the Information Center page has loaded after a successful login, click on New User under the Authorized Users menu header. If New User is not displayed, click on the Authorized Users header first then click on the New User. This will display the Assign New User page.



- Step 2.** Enter the email address of the account you wish to create and click on Search.
- Step 3.** If the Authorized User has already been created, the current profile information will be displayed. If this is a new user, who does not already have an Authorized User account, you will be required to enter at least the First and Last Name. Before clicking on the Next button, you must click the appropriate agent box that relates to the form the agent will need access to (Agent Authorized Users entitlements are limited to the FCC Form 497 and 525). Once all information is completed, click the Next button.

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E-FILE

Information Center

- Contributors
 - Create New Form
 - Search Forms
 - 499Q Bulk Submission
- Service Providers
 - New Spin
 - 458 Bulk Submission
- Schools and Libraries
 - 472 Online Offer
 - Online Item 21
- High Cost & Low Income
 - 497 Online Form
 - 525 Online Form
- Pending Certifications
 - Form 497Q
 - Form 498A
 - Form 498
- Download Forms
 - Authorized Users
 - New User
 - Pending Users
- My Account
 - Change Password
 - Log Out
- FAQs
 - General E-File FAQs
 - E-Certification FAQs
 - E-File Form 498 FAQs
 - E-File Form 499 FAQs
 - E-File User Guide
 - Terms and Conditions

User Management - Assign New User

User: Jane Doe [My Account](#) [Log Out](#)

Please enter the email address of the user you wish to add. If that user already exists the necessary information will pre populate on the next page. If the user does not exist you will be prompted to create the user on the following pages. Please note that the email address will be the user's login id for the e-filing system. Please refer to the Users guide for additional information.

Email:

'ssc_john_doe_agent@usac.com' is a new user. Please fill out their information below.

☐ HCL 497 Agent
☐ HCL 525 Agent

First Name:

Middle Initial:

Last Name:

Street Address Line 1:

Street Address Line 2:

Street Address Line 3:

City:

State:

Zip:

Phone:

Fax:

Please hit Next to assign entitlements... [Next](#)

Field names in bold are required.

Step 4. On the User Management – Assign New User page, you are able to assign entitlements to your new Agent Authorized User. Clicking on the Cancel button or closing the browser will require you to start the creation process over again for this User ID. Once the entitlements are assigned click on the Save button.

Step 5. The Agent Authorized User process is completed once the confirmation page is displayed.

2.3.2. Entitlements

The User Management system in the E-File Application allows Company Officers and General Contacts to access and modify the privileges of related Authorized Users by assigning or removing entitlements.

a. Adding an Entitlement

To add an entitlement to an Authorized User after the account was initially created, Company Officers and General Contacts must follow these steps:

- Step 1.** From the Information Center, page click on the [entitlements] link next to the Authorized User. This will display the Authorized User Management – Entitlement page.

The screenshot shows the USAC E-File Information Center. The left sidebar contains a navigation menu with categories like Contributions, Service Providers, Schools & Libraries, High Cost & Low Income, and Authorized Users. The main content area is titled 'Information Center' and displays a table of 'Authorized Users'. The table has columns for Email, Full Name, and a list of entitlements with links to 'entitlements' and 'Deactivate'.

Email	Full Name	Entitlements
psc.john.doe_agent1@...	John Doe	[reset priv] [entitlements]
psc.john.doe_agent2@...	John Doe	[reset priv] [entitlements]

Step 2. The Authorized User Management – Entitlements page shows which entitlements you, or, in the case of FCC Form 498s accounts, the Company Officer or General Contact, previously

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E-FILE

Authorized User Management - Entitlements

User: Jane Doe
My Account | Log Out

User Email: ssc_john_doe_au1@usac.com
Full Name: John Doe

Assigned				
<input type="checkbox"/> SPIN (Select All)	<input type="checkbox"/> Filer ID (Select All)	<input type="checkbox"/> SLD 472 (Select All)	<input type="checkbox"/> HCL1 497 (Select All)	<input type="checkbox"/> HCL1 525 (Select All)
<input type="checkbox"/> 827847				

Available				
<input type="checkbox"/> SPIN (Select All)	<input type="checkbox"/> Filer ID (Select All)	<input type="checkbox"/> SLD 472 (Select All)	<input type="checkbox"/> HCL1 497 (Select All)	<input type="checkbox"/> HCL1 525 (Select All)
<input type="checkbox"/> 143033645				
<input type="checkbox"/> 143033645				
<input type="checkbox"/> 143033645				

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granted to a specific Authorized User. This page also shows entitlements you are able to grant to the Authorized User. To assign an entitlement, click in the box next to the SPIN or Filer ID and then click Assign.

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Authorized User Management - Entitlements

User: Jane Doe
My Account | Log Out

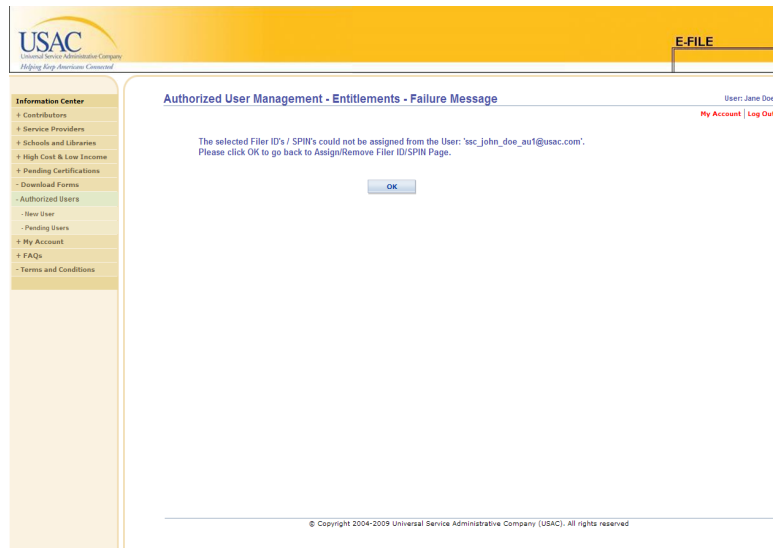
User Email: ssc_john_doe_au1@usac.com
Full Name: John Doe

Assigned				
<input type="checkbox"/> SPIN (Select All)	<input type="checkbox"/> Filer ID (Select All)	<input type="checkbox"/> SLD 472 (Select All)	<input type="checkbox"/> HCL1 497 (Select All)	<input type="checkbox"/> HCL1 525 (Select All)
<input type="checkbox"/> 827847				

Available				
<input type="checkbox"/> SPIN (Select All)	<input type="checkbox"/> Filer ID (Select All)	<input type="checkbox"/> SLD 472 (Select All)	<input type="checkbox"/> HCL1 497 (Select All)	<input type="checkbox"/> HCL1 525 (Select All)
<input checked="" type="checkbox"/> 143033645				
<input checked="" type="checkbox"/> 143033645				
<input checked="" type="checkbox"/> 143033645				

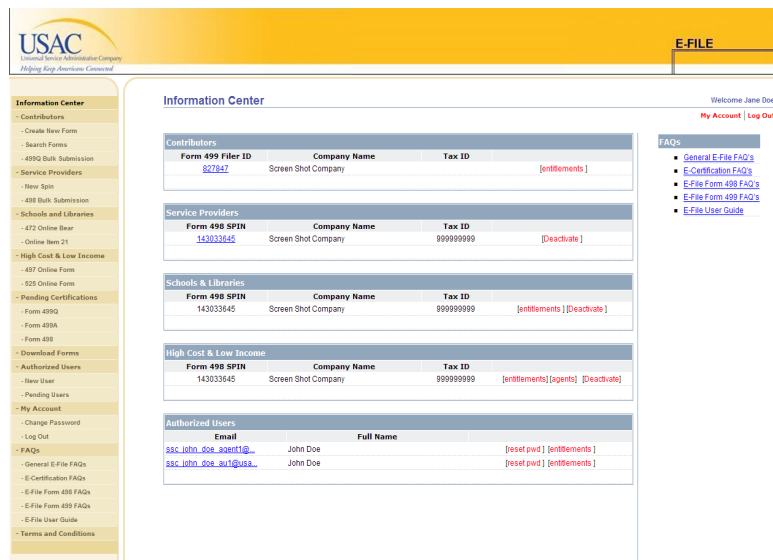
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- Step 3.** A confirmation message will appear when the process has been completed. Click on OK to return to the entitlement management page.



Another way for Company Officers and General Contacts to add entitlements is by group. The groups are Contributors, Schools & Libraries or High Cost & Low Income.

- Step 1.** To add entitlements using this method, click on the entitlement link next to the Filer ID or Support Mechanism.



Step 2. This will display the Authorized User Management – Entitlement page, grouped either by Filer ID or by Support Mechanism. To

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E-FILE

Authorized User Management - Filer ID Entitlements

User: Jane Doe
My Account | Log Out

Filer ID: 827847
Entitlement: Form 439 Preparer

Assigned			Remove
<input type="checkbox"/> User ID (Select All)	Full Name	Status	
<input type="checkbox"/> ssc_john_doe_agent1@usac.com	John Doe	ACTIVE	

Available			Assign
<input type="checkbox"/> User ID (Select All)	Full Name	Status	
<input type="checkbox"/> ssc_john_doe_agent1@usac.com	John Doe	ACTIVE	
<input type="checkbox"/> ssc_john_doe_agent2@usac.com	John Doe	ACTIVE	

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assign an entitlement, click on the box next to the Authorized User and then click the Assign button. To assign an entitlement, click on the box next to the Authorized User and then click the Assign button.

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E-FILE

Authorized User Management - Filer ID Entitlements

User: Jane Doe
My Account | Log Out

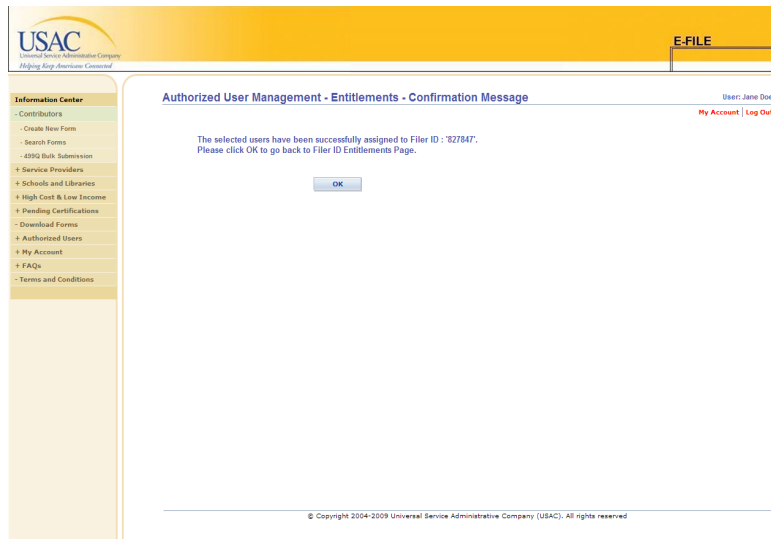
Filer ID: 827847
Entitlement: Form 439 Preparer

Assigned			Remove
<input type="checkbox"/> User ID (Select All)	Full Name	Status	
<input type="checkbox"/> ssc_john_doe_agent1@usac.com	John Doe	ACTIVE	

Available			Assign
<input type="checkbox"/> User ID (Select All)	Full Name	Status	
<input checked="" type="checkbox"/> ssc_john_doe_agent1@usac.com	John Doe	ACTIVE	
<input type="checkbox"/> ssc_john_doe_agent2@usac.com	John Doe	ACTIVE	

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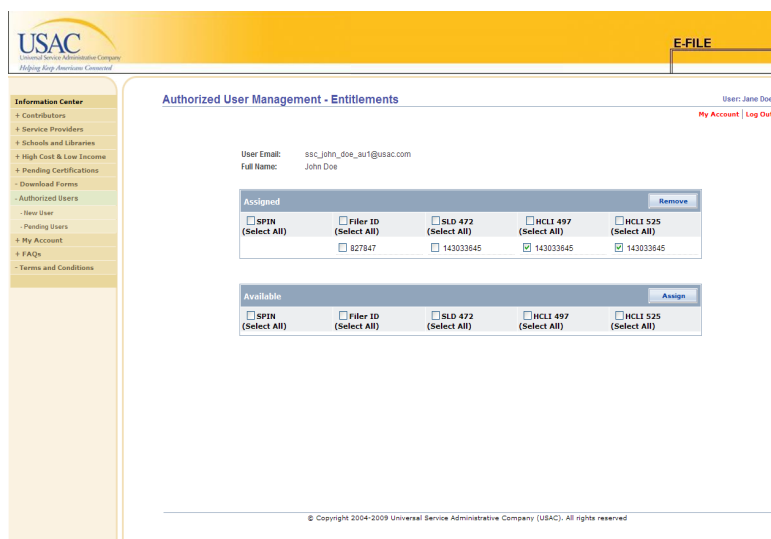
- Step 3.** A confirmation message will appear when the process has been completed. Click on OK to return to the entitlement management page.



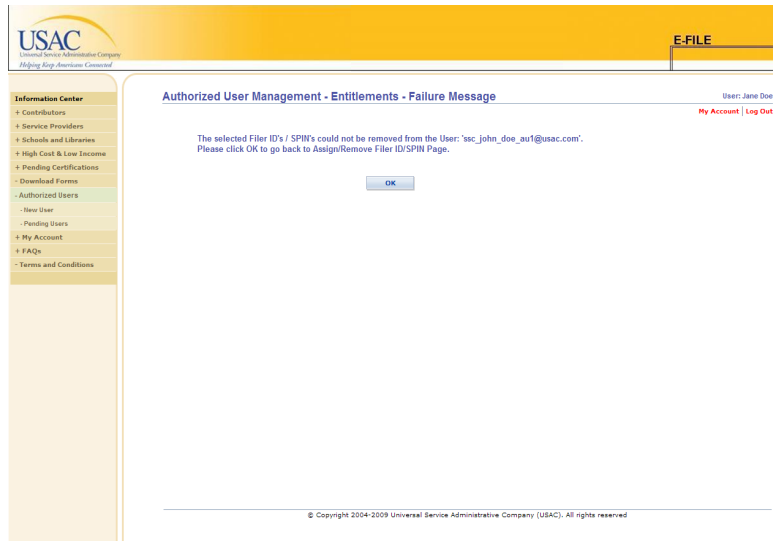
b. Removing an Entitlement

To remove the entitlements of an Authorized User follow the steps outlined in the addition section to gain access to the appropriate screens.

- Step 1.** When the Authorized User Management – Entitlement page is displayed click in the box next to the Authorized User, Filer ID or Support Mechanism and click on the Remove button.



- Step 2.** A confirmation message will appear when the removal process has been completed. Click on OK to return to the entitlement management page.



2.3.3. Management

a. Pending Users

Pending Users is an option available only to Company Officers. Authorized Users become Pending Users when a form with a change in the prior General Contact or Company Officer is processed by USAC. Pending Users retain their entitlements for 14 calendar days from the date that the forms changed and were processed by USAC. If the new Company Officer does not confirm these Pending Users, the Pending Users will have the unapproved entitlement removed. Authorized Users that lose all their entitlements through this manner will be deactivated.

A Company Officer reviews Pending Users as follows:

Step 1. After you log in to the E-File Application, click on the Pending Users menu option listed under Authorized Users. If the Pending Users menu option is not shown, click on the Authorized Users link first.

Step 2. Clicking on the Pending Users link will show all the pending Authorized Users, for a selected entitlement that you will need to review to determine their continued access to the E-File Application.

Information Center

- Contributors
 - Create New Form
 - Search Forms
 - 499Q Bulk Submission
- Service Providers
 - New Spin
 - 498 Bulk Submission
- Schools and Libraries
 - 472 Online Bear
 - Online Item 21
- High Cost & Low Income
 - 497 Online Form
 - 525 Online Form
- Pending Certifications
 - Form 499Q
 - Form 499A
 - Form 498
- Download Forms
- Authorized Users
 - New User
 - Pending Users
- My Account
 - Change Password
 - Log Out
- FAQs
 - General E-File FAQs
 - E-Certification FAQs
 - E-File Form 498 FAQs
 - E-File Form 499 FAQs
 - E-File User Guide
- Terms and Conditions

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User Management - Pending Assignment

serviceproviders: 14302627

The delegated user(s) listed below currently has temporary authority to view, update and submit FCC forms for this account. To officially "Accept" or "Reject" these delegated users please select the appropriate checkbox next to each name and then click "Save". For additional information about this page please refer to the user guide.

Please note: That on 12/9/2008 USAC will delete all temporary delegated users from this account.

Pending Users for Jane Doe

Accept All	Reject All	Email	Full Name
<input type="checkbox"/>	<input type="checkbox"/>	xxx_john_doe_xxx@usac.com	John Doe
<input type="checkbox"/>	<input type="checkbox"/>	xxx_john_doe_xxx@usac.com	John Doe

[Save](#)

My Account | Log Out



The E-File Application will remove the entitlements for any Pending Users that you do not approve within 14 calendar days from the date when the Company Officer or General Contact was last changed.

- Step 3.** With the User Management – Pending Assignment page displayed, you will need to select the entitlement you wish to view, which will display the Pending Users for that Entitlement.

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E-FILE

User Management - Pending Assignment

serviceproviders: 143032627

The delegated user(s) listed below currently has temporary authority to view, update and submit FCC forms for this account. To officially "Accept" or "Reject" these delegated users please select the appropriate checkbox next to each name and then click "Save". For additional information about this page please refer to the user guide.

Please note: That on 12/9/2008 USAC will delete all temporary delegated users from this account.

Pending Users for Jane Doe

Accept All	Reject All	Email	Full Name
<input type="checkbox"/>	<input type="checkbox"/>	jane_doe_001@usac.com	Jane Doe
<input type="checkbox"/>	<input type="checkbox"/>	jane_doe_002@usac.com	Jane Doe

Save



Once all Pending Users for a specific entitlement have been approved or rejected the entitlement will no longer be available in the dropdown menu but entitlements may still be changed as outlined in [Section 2.3.2](#).

- Step 4.** After you have selected the entitlement click on the box next to the user(s) you wish to approve or reject and click on Save. Multiple users can be selected by user Accept All or Reject All box.

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User Management - Pending Assignment

serviceproviders: 143032627

The delegated user(s) listed below currently has temporary authority to view, update and submit FCC forms for this account. To officially "Accept" or "Reject" these delegated users please select the appropriate checkbox next to each name and then click "Save". For additional information about this page please refer to the user guide.

Please note: That on 12/9/2008 USAC will delete all temporary delegated users from this account.

Pending Users for Jane Doe

Accept All	Reject All	Email	Full Name
<input checked="" type="checkbox"/>	<input type="checkbox"/>	jane_doe_001@usac.com	Jane Doe
<input checked="" type="checkbox"/>	<input type="checkbox"/>	jane_doe_002@usac.com	Jane Doe

Save

2. 3. 4. Deactivation of an Authorized User

There are two ways for a Company Officer or General Contact to deactivate an Authorized User account:

Step 1. The Company Officer or General Contact may remove all the entitlements of that Authorized User as defined in [Section 2.3.2](#)

The screenshot shows the USAC E-FILE Information Center. On the left is a navigation menu with categories like Information Center, Service Providers, Schools & Libraries, High Cost & Low Income, and Authorized Users. The main content area is titled 'Information Center' and contains several tables. The 'Authorized Users' table is highlighted, showing a list of users with columns for Email, Full Name, and actions like [reset pwd] and [entitlements].

Form	SPIN	Company Name	Tax ID	Actions
Form 499	827847	Screen Shot Company		[entitlements]
Form 498	SPIN 143033645	Screen Shot Company	99999999	[Deactivate]
Form 499	SPIN 143033645	Screen Shot Company	99999999	[entitlements] [Deactivate]
Form 498	SPIN 143033645	Screen Shot Company	99999999	[entitlements] [Agents] [Deactivate]

Step 2. From the Information Page, click on an Authorized User e-mail address. This will display the Profile of that user.

Step 3. Click on the Deactivate button. This will bring up a pop-up message that confirms you wish to remove all of that user's entitlements. If you wish to proceed, click on OK, if not, click on cancel to leave the Authorized User unchanged.

The screenshot shows the 'Authorized User Management - Modify User' page. It contains a form for user details including Name, Email ID, Street Address1, Street Address2, City, State (a dropdown menu), Zip, Contact Phone, and Contact Fax. Below the form is a section for 'Entitlements' with a table showing Form 499 User - 827847 and Form 472 - SPIN - 143033645. At the bottom are buttons for Save, Deactivate, and Reset.

Form	SPIN	Company Name	Tax ID	Actions
Form 499	User - 827847			
Form 472	SPIN - 143033645			

Step 4. If you click on OK, a confirmation message will appear once the process has been completed.



Once an Authorized or Agent User has been deactivated, you will need to go through the creation process to recreate the user as defined in [Section 2.3.1](#) and assign the entitlements as desired.

3. Form Submission

Submitting an FCC Form can occur in two manners with the E-File Application. First is submitting a hardcopy form that is accessed from the main login page. First time submitters of the FCC Form 498 and 499 may use this method. The second method is through the E-File Application.

To access the E-File Application login page, [see Section 1.1](#)

For information on how each form should be completed refer to the instructions provided with each form.

3.1. FCC Form 498

This section contains information and procedures for completing the FCC Form 498, Service Provider Identification Number and Contact Information. After reviewing this section:

- Company Officers and General Contacts will be able to enter FCC Form 498 data.
- Company Officers and General Contacts will be able to run a system check to verify that the entered data can be submitted.
- Company Officers will be able to initiate a request for a Service Provider Identification Number (SPIN).
- Company Officers will be able to certify the FCC Form 498 online.
- Company Officers will be able to mail the completed and signed form to USAC (if necessary).

A General Contact or Company Officer with entitlements for the SPIN may data enter the FCC Form 498. An officer of the company, authorized by the company to submit FCC Forms 498 must certify the form. A General Contact, authorized by the company to make changes to existing information, may submit changes to the Company Officer for viewing, approval and certification. The form may be submitted either (1) hardcopy (printed, signed and mailed to USAC) or (2) via the E-File Application. Once received, form data is processed by USAC.

Hardcopy submission will follow these steps:

- Step 1.** From the login page of the E-File Application click on the New Service Provider link. The New Service Provider Instructions page will be displayed.



The E-File Login page should not be bookmarked in your Favorites directory. The E-File login process will not properly function when the page is selected from a Favorites menu.



A New Service Provider is a company or entity, which has not previously requested a Service Provider Identification Number (SPIN) as required for participation as a vendor in support of USF programs.

- Step 2.** Please read the New Service Provider Instructions carefully and click on Continue to display the FCC Form 498 in PDF format or Back to return to the E-File login page.

The screenshot shows the USAC E-File interface. At the top, the USAC logo is on the left and 'E-FILE' is on the right. Below the logo is the title 'New Service Provider Instructions'. The main content area contains several paragraphs of instructions regarding the filing process, including a warning about SPINs and a note about signed copies. At the bottom of the instructions are two buttons: 'Back' and 'Continue'. A copyright notice at the very bottom reads: '© Copyright 2004-2009 Universal Service Administrative Company (USAC). All rights reserved.'

- Step 3.** After clicking on Continue, complete the FCC Form 498. If you have specific questions regarding the completion of the form, contact our Customer Service department at 1-888-641-8722.

The screenshot shows the 'Block 15: Authorized Contact Signature [All Fields REQUIRED]' section of the FCC Form 498. Above this section is a 'Principal Communication Business' section with five dropdown menus for 'order of importance' 1 through 5. The 'Block 15' section includes a certification statement, a warning about penalties for false statements, and a red instruction: '*****Data Entry Purpose Only. Please Do Not Print this Form*****'. Below this is a 'Signature of the Company Officer' section with a checkbox to verify information consistency. Fields for 'First', 'Middle Initial', 'Last', 'Title', 'Date', and 'Email' are provided. The 'Date' field is populated with '5/31/2010' and the 'Email' field with 'test@test.com'. At the bottom are two buttons: 'Previous Page' and 'Click here to Preview and Submit the form'.

When all required data has been entered on each page, click on “Click here to Preview and Submit the Form”.

If there are errors, they will be displayed in a pop-up window. Correct the errors or enter the missing data. Data fields with errors or missing data will be highlighted.

Step 4. When the data is validated without errors, review the form a final time for completeness and accuracy. Click on the Submit button to transmit the data to USAC in the E-File application. If the form is submitted the New Service Provider – Confirmation Message will be displayed.

PLEASE NOTE: You must mail a printed copy signed by the Company Officer to USAC to certify and complete the form.

Federal universal service billing, collections, and disbursement purposes. If we believe there may be a violation or a potential violation of a state or Federal statute, or of a Commission regulation, rule, or order, your form may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing, or implementing the statute, rule, regulation, or order. In certain cases, the information in your application may be disclosed to the Department of Justice, a court, or adjudicative body when (a) the Commission or (b) any employee of the Commission or (c) the United States Government is a party of a proceeding before the body or has an interest in the proceeding. In addition, consistent with the Communications Act of 1934, FCC regulations and orders, the Freedom of Information Act, 5 U.S.C. § 552, or other applicable law, information provided in or submitted with this form or in response to subsequent inquiries may be disclosed to the public.

If you owe a past due debt to the Federal government, the information you provide may also be disclosed to the Department of the Treasury Financial Management Service, other Federal agencies, and/or your employer to offset your salary, IRS tax refund, or other payments to collect that debt. The Commission may also provide the information to these agencies through the matching of computer records where authorized.

If you do not provide the information we request on the form, the Commission may delay processing of your application, or may return your application without action.

This Notice is required by the Paperwork Reduction Act of 1995, Pub. L. No. 104-13, 44 U.S.C. 3501 et seq. We have estimated that each response to this collection of information will take, on average, 1.5 hours. Our estimate includes the time to read the instructions, look through existing records, gather and maintain the required data, and actually complete and review the form for response. If you have any comments on this estimate, or how we can improve the collections and reduce the burden it causes you, please write to the Federal Communications Commission, AMD-PERM, Washington D.C. 20554, Paperwork Reduction Project (3060-0624). We will also accept your comments via internet if you send them to PRA@fcc.gov. Please DO NOT SEND COMPLETED DATA COLLECTION FORMS TO THIS ADDRESS.

Mail this signed form to:

USAC Customer Operations, Billing and Disbursements
2000 L Street, N.W., Suite 200
Attn: FCC Form 498
Washington, DC 20036

Questions?

See the Form 498 Instructions found at www.usac.org/forms

Use this form for:

New application for a Service Provider Identification Number
Revision to existing Service Provider data currently on file with USAC
Merger or Consolidation of Existing Service Provider Identification Number (Additional documentation is required; please see page 2 of the instructions)
Deactivation of a Service Provider Identification Number (Please see page 2 of the instructions)

Edit Form

Submit

- Step 5.** Note the confirmation number on the New Service Provider – Confirmation Message page. This information will be helpful in identifying the form when communicating with USAC representatives. Click on Click Here to view the form in PDF format for printing.

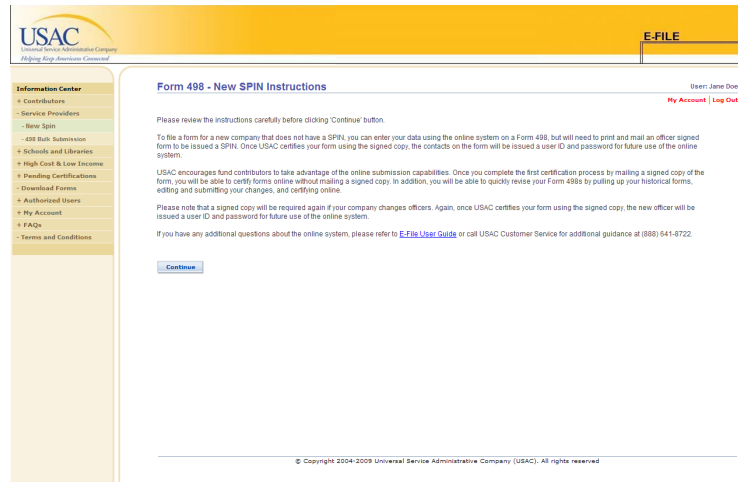


An e-mail will be sent to the General Contact and the Company Officer when their SPIN request is approved and the E-File accounts have been created.

Electronic submission will follow these steps:

Step 1. After you have logged into the E-File Application, click on New SPIN under the Service Providers menu on the left. If New SPIN is not available you will need to click on the Service Providers header to display it.

Step 2. Clicking on the New SPIN link opens the Form 498 – New SPIN Instructions page. After reading the instructions click on Continue.



You are able to leave this screen at any time, by clicking on a different menu option.

- Step 3.** Once you click on Continue a pop up window will be displayed showing the Terms and Conditions. Accepting the Terms and Conditions will allow the Company Officer to review, certify and submit the form to USAC. If you reject the Terms and Conditions you will be allowed to data enter information on the form, but USAC will require a hardcopy for processing.

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Universal Service Administrative Company
Helping Keep Americans Connected

E-FILE

Terms and Conditions User: Jane Doe

If you are signing a form using an electronic signature, you are reminded that an electronic signature is equivalent to your handwritten signature on the form. Your use of the electronic signature to affirm these certifications means that should they prove untrue, you will be held to the same enforcement standards as those who affirm the certifications in writing. Also, by using the electronic signature, you are affirming that you have the authority to make these certifications and represent the entity featured in this form. By logging into your account using your User ID and password and clicking the "certify" button at the end of the form, you have submitted your electronic signature to the form.

To see a copy of the Terms and Conditions that govern your use of the system, please click here: [Terms and Conditions](#)

Click "Accept" to affirm your compliance with the above and continue to the form.

Click "Reject" to continue to the form for review and/or data entry but not electronic certification, or to print, sign, and mail a hardcopy certification.

- Step 4.** After clicking on Continue, complete the FCC Form 498. If you have specific questions regarding the completion of the Form, contact our Customer Service department at 1-888-641-8722.

Federal universal service billing, collections, and disbursement purposes. If we believe there may be a violation of a state or Federal statute, or of a Commission regulation, rule, or order, your form may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing, or implementing the statute, rule, regulation, or order. In certain cases, the information in your application may be disclosed to the Department of Justice, a court, or adjudicative body when (a) the Commission, or (b) any employee of the Commission, or (c) the United States Government is a party of a proceeding before the body or has an interest in the proceeding. In addition, consistent with the Communications Act of 1934, FCC regulations and orders, the Freedom of Information Act, 5 U.S.C. § 552, or other applicable law, information provided in or submitted with this form or in response to subsequent inquiries may be disclosed to the public.

If you owe a past due debt to the Federal government, the information you provide may also be disclosed to the Department of the Treasury Financial Management Service, other Federal agencies, and/or your employer to offset your salary, IRS tax refund, or other payments to collect that debt. The Commission may also provide the information to these agencies through the matching of computer records where authorized.

If you do not provide the information we request on the form, the Commission may delay processing of your application, or may return your application without action.

This Notice is required by the Paperwork Reduction Act of 1995, Pub. L. No. 104-13, 44 U.S.C. 3501 et seq. We have estimated that each response to this collection of information will take, on average, 1.5 hours. Our estimate includes the time to read the instructions, look through existing records, gather and maintain the required data, and actually complete and review the form for response. If you have any comments on this estimate, or how we can improve the collections and reduce the burden it causes you, please write to the Federal Communications Commission, AND-PERM, Washington D.C. 20554, Paperwork Reduction Project (3000-3824). We will also accept your comments via internet if you send them to PRAtgfcg.gov. Please DO NOT SEND COMPLETED DATA COLLECTION FORMS TO THIS ADDRESS.

Mail this signed form to:

USAC Customer Operations, Billing and Disbursements
2000 L Street, N.W., Suite 200
Attn: FCC Form 498
Washington, DC 20036

Questions?

See the Form 498 Instructions found at www.usac.org/forms

Use this form for:

New application for a Service Provider Identification Number
Revision to existing Service Provider data currently on file with USAC
Merger or Consolidation of Existing Service Provider Identification Number (Additional documentation is required; please see page 2 of the instructions)
Deactivation of a Service Provider Identification Number (Please see page 2 of the instructions)

When all required data has been entered on each page, click on “Click here to Preview and Submit the Form”.

If there are errors they will be displayed in a pop-up window. Correct the errors or enter the missing data. Data fields with errors or missing data will be highlighted.

- Step 5.** When the data is validated without errors, review the Form a final time for completeness and accuracy. Click on the Submit button to certify the form and transmit the data to USAC in the E-File application. If the form is submitted the New Service Provider – Confirmation Message will be displayed.

Federal universal service billing, collections, and disbursement purposes. If we believe there may be a violation or a potential violation of a state or Federal statute, or of a Commission regulation, rule, or order, your form may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing, or implementing the statute, rule, regulation, or order. In certain cases, the information in your application may be disclosed to the Department of Justice, a court, or adjudicative body when (a) the Commission; or (b) any employee of the Commission; or (c) the United States Government is a party of a proceeding before the body or has an interest in the proceeding. In addition, consistent with the Communications Act of 1934, FCC regulations and orders, the Freedom of Information Act, 5 U.S.C. § 552, or other applicable law, information provided in or submitted with this form or in response to subsequent inquiries may be disclosed to the public.

If you owe a past due debt to the Federal government, the information you provide may also be disclosed to the Department of the Treasury Financial Management Service, other Federal agencies, and/or your employer to offset your salary, IRS tax refund, or other payments to collect that debt. The Commission may also provide the information to these agencies through the matching of computer records where authorized.

If you do not provide the information we request on the form, the Commission may delay processing of your application, or may return your application without action.

This Notice is required by the Paperwork Reduction Act of 1995, Pub. L. No. 104-13, 44 U.S.C. 3501 et seq. We have estimated that each response to this collection of information will take, on average, 1.5 hours. Our estimate includes the time to read the instructions, look through existing records, gather and maintain the required data, and actually complete and review the form for response. If you have any comments on this estimate, or how we can improve the collections and reduce the burden it causes you, please write to the Federal Communications Commission, AMD/PERM, Washington D.C. 20554, Paperwork Reduction Project (3005-0824). We will also accept your comments via internet if you send them to PRA@fcc.gov. Please DO NOT SEND COMPLETED DATA COLLECTION FORMS TO THIS ADDRESS.

Mail this signed form to:

USAC Customer Operations, Billing and Disbursements
2000 L Street, N.W., Suite 200
Attn: FCC Form 498
Washington, DC 20036

Questions?

See the Form 498 Instructions found at www.usac.org/forms

Use this form for:

New application for a Service Provider Identification Number

Revision to existing Service Provider data currently on file with USAC

Merger or Consolidation of Existing Service Provider Identification Number (Additional documentation is required, please see page 2 of the instructions)

Deactivation of a Service Provider Identification Number (Please see page 2 of the instructions)



If the General Contact and the Company Officer information provided can be authenticated by the E-File Application, an e-mail will be sent to the Company Officer requiring him or her to review and certify the form in order for the data to be transmitted to USAC.

Step 6. Note the confirmation number on the New Service Provider – Confirmation Message page. This information will be helpful in identifying the form when communicating with USAC representatives. Click on Click Here to view the form in PDF format for printing.



An e-mail will be sent to the General Contact and the Company Officer when their SPIN request is approved and the E-File accounts have been created.



If the Company Officer listed on an electronically data entered Form does not have an E-File account, all Forms will be required to be mailed to USAC at the address listed on the Form.

3. 1. 1. FCC Forms 498 Pending Certification

After a General Contact completes the data entry portion of the FCC Form 498, the data is placed into “Pending Officer Approval” status until the form is reviewed and certified by the Company Officer listed on the form. To certify the FCC Form 498, the Company Officer will need to follow these steps after he or she has logged in to E-File.

Step 1. From the Information Center, click on Form 498, under the Pending Certification header, which is located in the left menu.

The screenshot displays the USAC E-File Information Center. The sidebar on the left contains a list of navigation links including 'Create New Form', 'Search Forms', '499Q Bulk Submission', 'Service Providers', 'New Spin', '498 Bulk Submission', 'Schools and Libraries', '472 Online Bear', 'Online Item 21', 'High Cost & Low Income', '497 Online Form', '498 Online Form', 'Pending Certifications', 'Form 499Q', 'Form 498A', 'Form 498', 'Download Forms', 'Authorized Users', 'New User', 'Pending Users', 'My Account', 'Change Password', 'Log Out', 'FAQs', 'General E-File FAQs', 'E-Certification FAQs', 'E-File Form 498 FAQs', 'E-File Form 499 FAQs', 'E-File User Guide', and 'Terms and Conditions'. The main content area is titled 'Information Center' and contains several tables:

- Contributors:** A table with columns 'Form 499 Filer ID', 'Company Name', and 'Tax ID'. It lists 'Screen Shot Company' with ID '927847' and a link '[entitlements]'.
- Service Providers:** A table with columns 'Form 498 SPIN', 'Company Name', and 'Tax ID'. It lists 'Screen Shot Company' with ID '143033845' and a link '[Deactivate]'.
- Schools & Libraries:** A table with columns 'Form 498 SPIN', 'Company Name', and 'Tax ID'. It lists 'Screen Shot Company' with ID '143033845' and links '[entitlements]' and '[Deactivate]'.
- High Cost & Low Income:** A table with columns 'Form 498 SPIN', 'Company Name', and 'Tax ID'. It lists 'Screen Shot Company' with ID '143033845' and links '[entitlements]', '[agents]', and '[Deactivate]'.
- Authorized Users:** A table with columns 'Email', 'Full Name', and 'Full Name'. It lists 'John Doe' with email 'asc.john_doe_agent1@...' and 'John Doe' with email 'asc.john_doe_agent1@usa...'. Both have links '[reset pwd]' and '[entitlements]'.

The top right corner of the page says 'E-FILE' and 'Welcome Jane Doe'. There are also links for 'My Account' and 'Log Out'.

- Step 2.** Click on the SPIN to display the Terms and Conditions. Accepting the Terms and Conditions will allow you to review, certify and submit the form to USAC. If you reject the Terms and Conditions you will be allowed to data enter information on the form, but USAC will require a hardcopy for processing.

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Universal Service Administrative Company
Helping K-12 Schools Succeed

E-FILE

Pending Certifications: 498

User: Jane Doe
My Account | Log Out

To certify a form, click on the link under the column entitled Form 498 SPIN and review the form. The last page of the form displays a button for certification

Form 498 SPIN	Company Name	Last Updated By	Status
143032027	Screen Shot Company	ssc_john_doe_jarl@usa... (11/26/2008)	Pending Officer Approval

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- Step 3.** Review the information, make any necessary changes, and click on validate or reject button on the last page of the PDF.

This Notice is required by the Paperwork Reduction Act of 1995, Pub. L. No. 104-13, 44 U.S.C. 3501 et seq. We have estimated that each response to this collection of information will take, on average, 1.5 hours. Our estimate includes the time to read the instructions, look through existing records, gather and maintain the required data, and actually complete and review the form for response. If you have any comments on this estimate, or how we can improve the collections and reduce the burden it causes you, please write to the Federal Communications Commission, AMD-PERM, Washington D.C. 20554, Paperwork Reduction Project (3060-0624). We will also accept your comments via Internet if you send them to FR0602@fcc.gov. Please DO NOT SEND COMPLETED DATA COLLECTION FORMS TO THIS ADDRESS.

Mail this signed form to:

USAC Customer Operations, Billing and Disbursements
2000 L Street, N.W., Suite 200
Attn: FCC Form 498
Washington, DC 20036

Questions?

See the Form 498 Instructions found at www.usac.org/forms

Use this form for:

- New application for a Service Provider Identification Number
- Revision to existing Service Provider data currently on file with USAC
- Merger or Consolidation of Existing Service Provider Identification Number (Additional documentation is required, please see page 2 of the instructions)
- Deactivation of a Service Provider Identification Number (Please see page 2 of the instructions)

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FCC Form 498 - November 2009

Edit Form Reject Certify

Step 4. After the form has been validated, click on the Certify button on the last page.

This Notice is required by the Paperwork Reduction Act of 1995, Pub. L. No. 104-13, 44 U.S.C. 3501 et seq. We have estimated that each response to this collection of information will take, on average, 1.5 hours. Our estimate includes the time to read the instructions, look through existing records, gather and maintain the required data, and actually complete and review the form for response. If you have any comments on this estimate, or how we can improve the collections and reduce the burden it causes you, please write to the Federal Communications Commission, AHD-PSRM, Washington D.C. 20554, Paperwork Reduction Project (3005-0024). We will also accept your comments via Internet if you send them to PRAs@fcc.gov. Please DO NOT SEND COMPLETED DATA COLLECTION FORMS TO THIS ADDRESS.

Mail this signed form to:

USAC Customer Operations, Billing and Disbursements
2000 L Street, N.W., Suite 200
Attn: FCC Form 498
Washington, DC 20036

Questions?

See the Form 498 Instructions found at www.usac.org/forms

Use this form for:

- New application for a Service Provider Identification Number
- Revision to existing Service Provider data currently on file with USAC
- Merger or Consolidation of Existing Service Provider Identification Number (Additional documentation is required, please see page 2 of the instructions)
- Deactivation of a Service Provider Identification Number (Please see page 2 of the instructions)

Page 5 of 8

FCC Form 498 - November 2009

[Edit Form](#) [Certify](#)

Step 5. A confirmation message will be displayed once the information has been updated.

USAC
Universal Service Administrative Company
Affiliated with the Federal Communications Commission

E-FILE

Form 498 - Confirmation Message

User: Jane Doe

SPIN:	143032627	Operation Status:	Success
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Thank you for submitting your 498 form. Your form with SPIN "143032627" has been approved.

[Click here to view the form](#)

[Close](#)

3. 2. FCC Form 499A

In this section, you will find information and procedure for completing the FCC Form 499A, Telecommunications Reporting Worksheet. After reviewing this section:

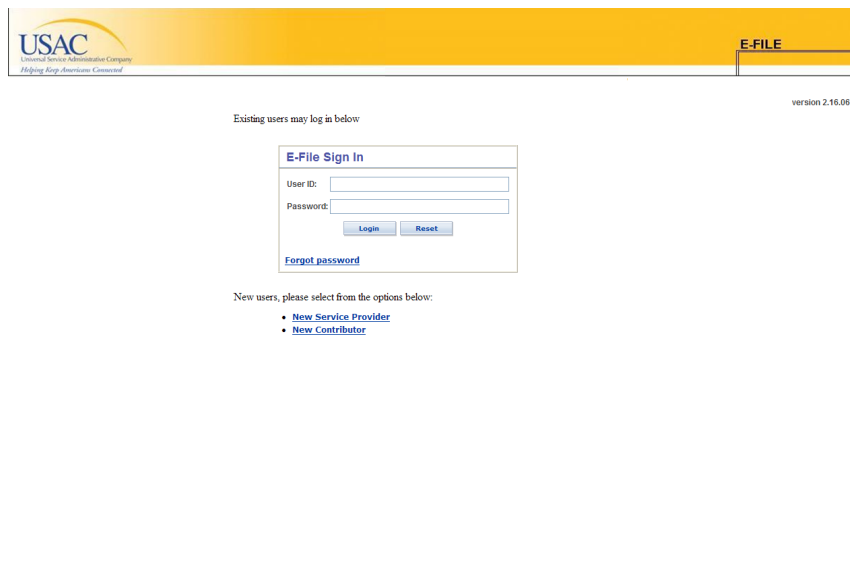
- Account holders will be able to enter FCC Form 499 data
- Account holders will be able to run a system check to verify that the entered data is able to be submitted
- Company Officers will be able to initiate a request for a new 499 ID
- Company Officers will be able to certify the FCC Form 499 online
- Company Officers will be able to mail the completed and signed form to USAC (if necessary)

The FCC requires USF contributors to file two different versions of the FCC Form 499. The FCC Form 499A is filed annually in April. The FCC Form 499Q is filed quarterly in February, May, August and November.

An Authorized User and/or the Company Officer may data enter an FCC Form 499A. An officer of the company must certify the form. The form may be submitted either (1) via hardcopy (printed, signed and mailed to USAC) or (2) via the E-File Application. Once received, form data is processed by USAC.

New Contributors and hardcopy filers will need to follow these steps:

- Step 1.** Once you open the login page of the E-File Application, click on the New Contributor link. The New Contributor Instructions pages will then be displayed.



The E-File login page should not be bookmarked in your Favorites directory. The E-File login process will not properly function when the page is selected from a Favorites menu.



A New Contributor is a company or entity, which has not previously requested a FCC Filer ID for participation in the USF.

- Step 2.** Please read the New Contributor Instructions carefully and click on Continue to display the FCC Form 499 or click on Back to return to the E-File login page.

The screenshot shows the 'New Contributor Instructions - Form 499A for April 2010' page. It includes the USAC logo and an 'E-FILE' label. The instructions advise users to review the information carefully before clicking OK. It explains that users without a Filer ID must print and mail a signed form to receive one, while those with a Filer ID can file online. It also mentions that users can certify forms online without mailing a signed copy. A 'Back' button and a 'Continue' button are at the bottom.

- Step 3.** After clicking on Continue, complete the FCC Form 499A. If you have specific questions regarding the completion of the Form, contact our Customer Service department at 1-888-641-8722.

The screenshot displays the '2011 FCC Form 499-A Telecommunications Reporting Worksheet (Reporting Calendar 2010 Revenues)'. It is a multi-page form with tabs for Pages 1 through 8. The current page is Page 1, which contains 'Block 1: Contributor Identification Information'. This block includes fields for:

- 101. 499 Filer ID (NEW - to be assigned by USAC)
- 102. Legal name of the filing entity
- 103. IRS Employer Identification Number (EIN)
- 104. Name that the filing entity is doing business as
- 105. Telecommunications Business Activities (Select from the drop down lists the telecommunications activity or activities of the filer. If more than one is appropriate, select the telecommunications activities in order of importance to filer's business. Includes dropdowns for Order of Importance 1 through 5).
- 106. Holding Company Information (For Lines 106.1 and 106.2, all reporting affiliates or commonly controlled entities must enter the identical name and IRS employee identification number (EIN) of the filer's holding company or controlling entity. Includes fields for 106.1 Holding Company Name and 106.2 Holding Company EIN).
- 107. FCC Registration Number (FRN) - Enter at least one but no more than five FRN(s). To obtain or verify your company's FRN(s), visit the FCC Registration Home Page.
- 108. Management company (if managed by another entity)
- 109. Complete mailing address of filing entity corporate headquarters. Note: FCC ITSP (Intrastate Telephone Service Provider) regulatory fee invoices and information will be sent to this address unless otherwise indicated in Block 2. Includes fields for Street 1, Street 2, Street 3, City, State, Zip, and Country if not USA.
- 110. Complete business address for customer inquiries and complaints. Includes a checkbox for 'Check if same as corporate headquarters' address (Line 109) and corresponding address fields.
- 111. Telephone number for customer complaints and inquiries (Toll-free number if available). Includes fields for area code, number, and extension.
- 112. List all trade names used in providing telecommunications during the past three years. Includes a table with columns for trade name and dates.

 The form also includes a disclaimer at the bottom: 'PERSONS MAKING WILLFUL FALSE STATEMENTS IN THE WORKSHEET CAN BE PUNISHED BY FINE OR IMPRISONMENT UNDER TITLE 18 OF THE UNITED STATES CODE, 18 U.S.C. § 1001'. A 'Next Page' button is located at the bottom right.

- Step 4.** When all required data has been entered, click on the Submit button to electronically validate the data you entered. The Form 499A – Confirmation Message page will be displayed.

If there are errors displayed in a pop-up window when the Submit button is clicked, correct the errors and/or enter any missing data. Data fields with errors or missing data will be highlighted. Once complete click on the Submit button.



Information must be entered in the DC Agent fields (lines 209-213) and/or the Alternate CD Agent fields (lines 214-218) or a Form 499 Filer ID will no be issued.

- Step 5.** Click on Click Here to open the form for printing or click on Back to Home Page to return to E-File's login page.

The screenshot shows the USAC E-File application interface. At the top, the USAC logo is on the left and 'E-FILE' is on the right. Below the logo, the text 'Form 499A - FILING INCOMPLETE--SIGNED COPY NEEDED' is displayed. The 'Filing Year' is 2010, and the 'Operation Status' is 'Successful Submission, Signed Copy Needed'. A red stop sign icon is followed by a message: 'Thank you for submitting your 499 worksheet to the Form 499 Data Collection Agent (DCA). Your filing is not complete. You must print your submission, have an officer of your company sign it, and mail it to: Form 499 Data Collection Agent, 2000 L St NW, Suite 200, Washington DC 20036'. A note states: 'Please note: USAC cannot accept your filing until you have mailed in the signed form. Failure to do so could result in fines or fees.' A link 'Click Here' is provided to return to the submission. At the bottom, there is a 'Back to Home Page' link and a copyright notice: '© Copyright 2004-2010 Universal Service Administrative Company (USAC). All rights reserved.'

- Step 6.** The Company Officer must sign the paper form. Once the hardcopy has been submitted and approved, USAC will e-mail the Company Officer password for future use in the E-File Application, at which time he or she may file forms online.

Existing Contributors with accounts may follow these steps:

- Step 1.** Once you log in to the E-File Application, click on Create New Form under the Contributors header. If this menu option is not available, you will need to click on Contributors first.



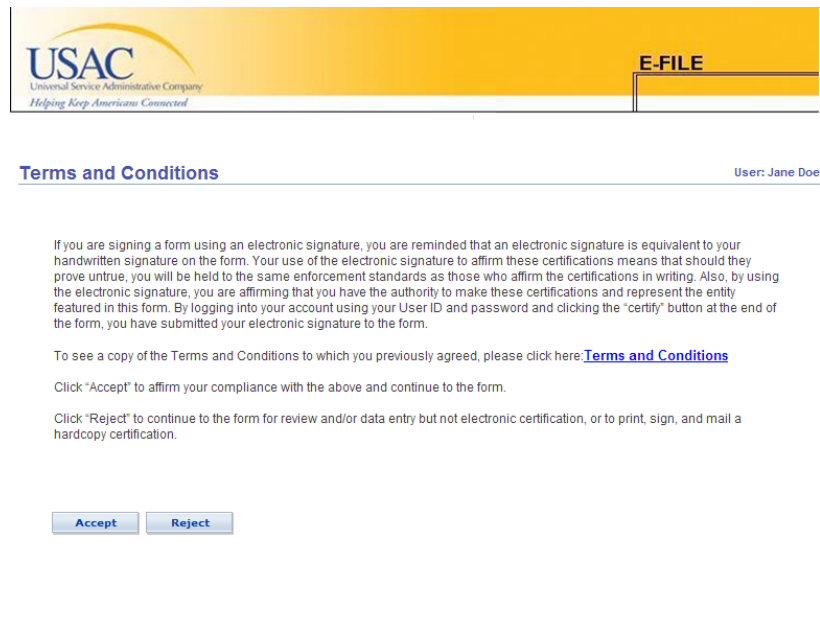
The E-File Login page should not be bookmarked in your Favorites directory. The E-File login process will not properly function when the page is selected from a Favorites menu.

- Step 2.** With the Form 499 – Create New Form page displayed, select the form you need to complete from the dropdown menu and enter the Filer ID this form will be applied to.



Once the revision period for an FCC Form 499Q or 499A has ended that Form will no longer be available in the dropdown menu.

- Step 3.** Once you click on Submit, a pop up window will be displayed showing the Terms and Conditions. Accepting the Terms and Conditions will allow an Authorized User to complete the data entry and submit an electronic version to an authenticated Company Officer to review, certify and submit the form to USAC. Accepting the Terms and Conditions as a Company Officer will



The screenshot shows the USAC E-FILE interface. At the top, the USAC logo is on the left and 'E-FILE' is on the right. Below the header, the title 'Terms and Conditions' is centered, and 'User: Jane Doe' is on the right. The main content area contains a paragraph explaining the electronic signature process, a link to 'Terms and Conditions', and instructions for 'Accept' and 'Reject' buttons. At the bottom, there are two buttons: 'Accept' and 'Reject'.

allow for data entry and certification directly to USAC. If you reject the Terms and Conditions you will be allowed to data enter information on the form, but USAC will require a hardcopy for processing.

- Step 4.** When all required data has been entered click on the Submit or Certify button (the Certify button is only available to Company Officers with an E-File account) to electronically validate the data that was entered. The Form 499A – Confirmation Message page will be displayed.

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Universal Service Administrative Company
Helping Keep Americans Connected

Page1 Page2 Page3 Page4 Page5 Page6 Page7 **Page8** [Preview Form]

2011 FCC Form 499-A Telecommunications Reporting Worksheet (Reporting Calendar 2010 Revenues)
 >>> Please read instructions before completing <<<
 Annual filing - due April 1, 2011
 ** For Data Entry Only. Do Not Print this Form **

Block 6: CERTIFICATION: to be signed by an officer of the filer Page 8

601. 499 Filer ID **NEW – to be assigned by USAC**

602. Legal name of filing entity

Section IV of the instructions provides information on which types of reporting entities are required to file for which purposes. Any entity claiming to be exempt from one or more contribution requirements should so certify below and attach an explanation. (The Universal Service Administrator will determine which entities meet the de minimis threshold based on information provided in Block 4, even if you fail to so certify, below.)

603. I certify that the reporting entity is exempt from contributing to:

☐ Universal Service ☐ TRS ☐ NANPA ☐ LNP Administration

Provide explanation below:

604. Please indicate whether the reporting entity is

☐ State or Local Government Entity ☐ I.R.C. § 501 or State Tax Exempt (see instructions)

605. ☐ I certify that the revenue data contained herein are privileged and confidential and that public disclosure of such information would likely cause substantial harm to the competitive position of the company. I request nondisclosure of the revenue information contained herein pursuant to Sections 0.459, 52.17, 54.711 and 64.604 of the Commission's Rules.

I certify that I am an officer of the above-named reporting entity as defined in the instructions, that I have examined the foregoing report and, to the best of my knowledge, information and belief, all statements of fact contained in this Worksheet are true and that said Worksheet is an accurate statement of the affairs of the above-named company for the previous calendar year. In addition, I swear, under penalty of perjury, that all requested identification registration information has been provided and is accurate. If the above-named reporting entity is filing on a consolidated basis, I certify that this filing incorporates all of the revenue for the consolidated entities for the entire year and that the filer adhered to and continues to meet the conditions set forth in Section II-C of the instructions.

606. Signature *******For Data Entry Only. Do Not Print this Form*******

607. Printed name of officer

First Name Middle Initial Last Name

608. Position with reporting entity

609. Business telephone number of officer () Ext

610. Email of this person || Required if available – not for public release ||

611. Date 2/28/2011

612. Check those that apply

☐ Original April 1 filing for year ☒ New filer, registration only ☐ Revised filing with updated registration ☐ Revised filing with updated revenue data

Do not mail checks with this form. Send this form to: Form 499 Data Collection Agent c/o USAC 2000 L Street, N.W. Suite 200 Washington DC, 20036
 For additional information regarding this worksheet contact: Telecommunications Reporting Worksheet information: (888) 641-8722 or via email: Form499@usac.org

PERSONS MAKING WILLFUL FALSE STATEMENTS IN THE WORKSHEET CAN BE PUNISHED BY FINE OR IMPRISONMENT UNDER TITLE 18 OF THE UNITED STATES CODE, 18 U.S.C. § 1001

[Previous Page] [Click here to Preview and Submit the form]

If there are errors displayed in a pop-up window when the Submit or Certify button was clicked, correct the errors and enter any missing data. Data fields with errors or missing data will be highlighted. Once complete click on the Submit or Certify button.



Only a Company Officer with access to the E-File Application will have the Certify button available to him or her. Authorized Users will only be able to submit the Form 499 to a Company Officer for review.

- Step 5.** With the Form 499A – Confirmation Message window open, click on Click Here to open the form for printing or Close to close the window.

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Helping Army-Americans Succeed

E-FILE

Form 499A - FILING INCOMPLETE--SIGNED COPY NEEDED

Filing Year: 2010
Operation Status: Successful Submission, Signed Copy Needed

Thank you for submitting your 499 worksheet to the Form 499 Data Collection Agent (DCA).
Your filing is not complete. You must print your submission, have an officer of your company sign it, and mail it to:

Form 499 Data Collection Agent
2000 L St NW, Suite 200
Washington DC 20036

Please note: USAC cannot accept your filing until you have mailed in the signed form. Failure to do so could result in fines or fees.

[Click Here](#) to return to your 499 submission and print your completed form.

[Back to Home Page](#)

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- Step 6.** If the officer of the company listed on the form does not have an account, the officer must sign the form and mail it to the USAC address listed on the form. Once the hardcopy has been submitted



If the Company Officer listed on an electronically data entered Form does not have an E-File account, all Forms will be required to be mailed to USAC at the address listed on the Form.

and processed, USAC will e-mail the Company Officer his or her password for future use in the E-File Application, at which time the E-File Application may be used.

3.2.1. FCC Forms 499A Pending Certification

After the data entry portion of the FCC Form 499A is completed, the data is placed into “Pending Officer Approval” status until the form is reviewed and certified by the Company Officer listed on the form. To certify one or more FCC Forms 499A, the Company Officer will need to follow these steps after he or she has logged into E-File.

Step 1. From the Information Center, click on Form 499A, under Pending Certifications header which is located in the left menu.

The screenshot shows the USAC E-File Information Center. The left sidebar contains a list of links under the 'Information Center' header, including: Contributors, Create New Form, Search Forms, 499Q Bulk Submission, Service Providers, New Spin, 499 Bulk Submission, Schools and Libraries, 492 Online Form, Online Item 21, High Cost & Low Income, 497 Online Form, 520 Online Form, Pending Certifications, Form 499A, Form 499, Download Forms, Authorized Users, New User, Pending Users, My Account, Change Password, Log Out, FAQs, General E-File FAQs, E-File Form 499 FAQs, E-File Form 499A FAQs, E-File User Guide, Terms and Conditions. The main content area is titled 'Information Center' and displays several tables of forms categorized by type: Contributors (Form 499 Filer ID), Service Providers (Form 499 SPIN), Schools & Libraries (Form 499 SPIN), High Cost & Low Income (Form 499 SPIN), and Authorized Users. Each table lists the form number, company name, tax ID, and a link to the form. There are also links for 'Pending Certifications' and 'Download Forms'.

Step 2. From this page, the Company Officer may click on the link under the Form 499 column to review and change any information and then continue certifying the individual form as outlined above.

The screenshot shows the USAC E-File Pending Certifications: 499A page. The left sidebar contains a list of links under the 'Information Center' header, including: Contributors, Service Providers, Schools and Libraries, High Cost & Low Income, Pending Certifications, Form 499A, Form 499, Download Forms, Authorized Users, My Account, FAQs, General E-File FAQs, E-File Form 499 FAQs, E-File Form 499A FAQs, E-File User Guide, Terms and Conditions. The main content area is titled 'Pending Certifications: 499A' and contains a table of pending forms. The table has columns for Form 499, Company Name, Filing, Last Updated By, and Status. The first row shows a form with Filer ID 42893, Company Name Screen Shot Company, Filing 2008, Last Updated By kdc_john_doe_cdc@usac.com (11/19/2008), and Status Pending Officer Approval. Below the table, there is a section for 'CERTIFICATION LANGUAGE' and a 'TERMS AND CONDITIONS' section.

Step 3. If the Company Officer is ready to certify, he or she may click in the box next to each Filer ID or in the box below the check mark to select all of the forms in that section. To review and make any necessary changes to the forms, the Company Officer may click on the link under Form 499 column to see the individual form.

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Helping Every American Connect

E-FILE

Information Center
• Contributors
• Service Providers
• Schools and Libraries
• High Cost & Low Income
• Pending Certifications
• Form 499
• Form 499A
• Form 499
• Download Forms
• Authorized Users
• My Account
• FAQs
• Terms and Conditions

Pending Certifications: 499A

To certify one or more forms, you may either select the forms individually by clicking on the Filer ID link under the column entitled Form 499 (allowing you to view the entire form) or you may certify through this page. Forms may be shown in separate sections based on the certification language associated with a particular form. You may have to scroll down the page to view all forms available for certification.

To certify one or more forms through this page, select the form(s) you choose to certify by checking the box to the left of the Form 499 column. You may select all forms in this section by clicking the box below the check mark in the heading of the table. Upon selecting one or more forms to certify in one section, the other sections will be removed from the screen. As described below, you will be able to return to the Pending Certifications page at a later time to certify the remaining pending forms. Once the form(s) you choose to certify are selected, you must review the certification language and the terms and conditions below and click the box to the left of the terms and conditions. You then may click the certify button at the bottom of the page to certify the selected forms. After certifying a section, a screen will appear listing the forms that were successfully certified. This screen will allow you to return to the Information Center page or the Pending Certifications page where you may access the remaining pending form(s).

Form 499	Company Name	Filing	Last Updated By	Status	
<input checked="" type="checkbox"/>	222893	Screen Shot Company	2008	sec_john_flores@usac.com (11/10/2008)	Pending Officer Approval
<input type="checkbox"/>	Line 412a \$0.00	Line 419a \$2,875,000.00	Line 420a \$0.00	Line 423a \$0.00	Line 423d \$0.00
<input type="checkbox"/>	Line 423a \$0.00	Line 811a \$0.00			

CERTIFICATION LANGUAGE

The following is the certification language from the FCC Form(s) 499-A selected above. By certifying your form(s) electronically, you are making this certification on each form that is selected to be certified.

I certify that I am an officer of the above-named reporting entity as defined on page 28 of the instructions, that I have examined the foregoing report and, to the best of my knowledge, information and belief, all statements of fact contained in this Worksheet are true and that said Worksheet is an accurate statement of the affairs of the above-named company for the previous calendar year. In addition, I swear, under penalty of perjury, that all requested identification registration information has been provided and is accurate. If the above-named reporting entity is filing on a consolidated basis, I certify that the filing incorporates all of the revenues for the consolidated entities for the entire year and that the filer adhered to and continues to meet the conditions set forth in Section 1.16 of the instructions.

TERMS AND CONDITIONS

☐ By logging into your account and clicking the "certify" button at the bottom of this page, you have electronically signed the selected form(s). You are reminded that an electronic signature is the same as a handwritten signature on the form(s). Also, by using an electronic signature, you are affirming that you have the authority to make these certifications and represent the entity featured in the selected form(s).

To see a copy of the Terms and Conditions to which you previously agreed, please click here: [Terms and Conditions](#)

If you click the box above, you are affirming your compliance and may file the form(s) electronically.

If you do not click the box above, you must file the form(s) in hardcopy.



At least one form must be selected for this certification process to be activated.

- Step 4.** Review the certification and terms and conditions language below the section. Click in the box to the left of the terms and conditions to affirm compliance.

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E-FILE

Information Center

- Contributors
- Service Providers
- Schools and Libraries
- High Cost & Low Income
- Pending Certifications
 - Form 499
 - Form 499A
- Download Forms
- Authorized Users
- My Account
- FAQs
- Terms and Conditions

Pending Certifications: 499A

User: Jane Doe
[My Account](#) | [Log Out](#)

To certify one or more forms, you may either select the forms individually by clicking on the filer ID link under the column entitled Form 499 (allowing you to view the entire form) or you may certify through this page. Forms may be shown in separate sections based on the certification language associated with a particular form. You may have to scroll down the page to view all forms available for certification.

To certify one or more forms through this page, select the form(s) you choose to certify by checking the box to the left of the Form 499 column. You may select all forms in the section by clicking the box below the check mark in the heading of the table. Upon selecting one or more forms to certify in one section, the other sections will be removed from the screen. As described below, you will be able to return to the Pending Certifications page at a later time to certify the remaining pending forms. Once the form(s) you choose to certify are selected, you must review the certification language and the terms and conditions below and click the box to the left of the terms and conditions. You then may click the certify button at the bottom of the page to certify the selected forms. After certifying a section, a screen will appear listing the forms that were successfully certified. This screen will allow you to return to the Information Center page or the Pending Certifications page where you may access the remaining pending form(s).

Form 499	Company Name	Filing	Last Updated By	Status
<input checked="" type="checkbox"/> \$23521	Screen Shot Company	2008	sec_john_doe_cp@usac.com (11/19/2008)	Pending Officer Approval
Line 412a \$0.00	Line 419a \$2,878,900.00	Line 420a \$0.00	Line 423a \$0.00	Line 423d \$0.00
Line 423e \$0.00	Line 511a \$0.00			

CERTIFICATION LANGUAGE

The following is the certification language from the FCC Form(s) 499-A selected above. By certifying your form(s) electronically, you are making this certification on each form that is selected to be certified.

I certify that I am an officer of the above-named reporting entity as defined on page 28 of the instructions, that I have examined the foregoing report and, to the best of my knowledge, information and belief, all statements of fact contained in this worksheet are true and that said worksheet is an accurate statement of the affairs of the above-named company for the previous calendar year. In addition, I swear, under penalty of perjury, that all requested identification registration information has been provided and is accurate. If the above-named reporting entity is filing on a consolidated basis, I certify that this filing incorporates all of the revenues for the consolidated entities for the entire year and that the filer adhered to and continues to meet the conditions set forth in Section 11-B of the instructions.

TERMS AND CONDITIONS

☒ By logging into your account and clicking the "certify" button at the bottom of this page, you have electronically signed the selected form(s). You are reminded that an electronic signature is the same as a handwritten signature on the form(s). Also, by using an electronic signature, you are affirming that you have the authority to make these certifications and represent the entity featured in the selected form(s).

To see a copy of the Terms and Conditions to which you previously agreed, please click here: [Terms and Conditions](#)

If you click the box above, you are affirming your compliance and may file the form(s) electronically.

If you do not click the box above, you must file the form(s) in hardcopy.



Forms are separated into different sections by certification language. When you select a form in one section that is pending certification, the other sections will be removed. You may return to the pending certification page later to review and certify any forms, including forms that were in the sections that were removed, that were not certified.

- Step 5.** Once the box next to the terms and conditions is checked, the certify button will be activated. If you are ready to certify the selected form(s), click on the certify button. A confirmation page will be displayed showing the form(s) certified and will also contain a link to the Information Center page and the pending certification pages if more forms are awaiting certification.

Confirmed Certifications: 499A

FORM 499A Confirmation Message

Thank you for submitting your 499 worksheet to the Form 499 Data Collection Agent (DCA).
You have successfully completed the certification process on 8/22/2008, and have certified the following 1 forms:

Form	Company Name	Filing	412a	419a	420a	423a	423d	423e	511a	Confirmation Number	Operation Status
499	ABC Telecom	2008	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	42352	SUCCESS

To print this page for your records, set the print options in your browser to landscape format.
Click [here](#) to return to the Information Center page.

3.3. FCC Form 499Q

In this section, you will find information and procedure for completing the FCC Form 499Q, Telecommunications Reporting Worksheet. After reviewing section:

- Account holders will be able to enter FCC Form 499 data
- Account holders will be able to run a system check to verify that the entered data is able to be submitted
- Company Officers will be able to certify the FCC Form 499 online
- Company Officers will be able to mail the completed and signed form to USAC (if necessary)

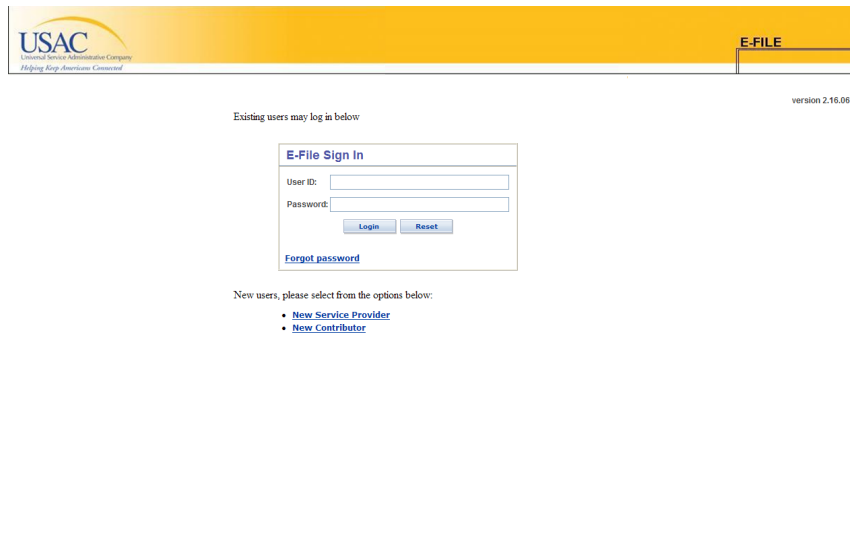
The FCC requires USF contributors to file two different versions of the FCC Form 499. The FCC Form 499A is to be filed annually in April. The FCC Form 499Q is filed quarterly in February, May, August and November.

An Authorized User and/or Company Officer may data enter an FCC Form 499Q. An officer of the company must certify the Form. The form may be submitted either (1) via hardcopy (printed, signed and mailed to

USAC) or (2) via the E-File Application. Once received, form data is process by USAC.

A Company Officer or Authorized User can complete the FCC Form 499Q electronically as follows:

- Step 1.** Once you log in to the E-File Application, click on Create New Form under the Contributors header. If this menu option is not available, you will need to click on Contributors first.



The E-File login page should not be bookmarked in your Favorites directory. The E-File login process will not properly function when the page is selected from a Favorites menu.

- Step 2.** Once logged in click on Create New Form, under the Contributor menu on the left side of the page.
- Step 3.** With the Form 499 – Create New Form page displayed, select the FCC Form 499Q for the filing period that is to be completed from the dropdown menu. Then enter the Filer ID this form will be applied to.

The screenshot displays the USAC (Universal Service Administrative Company) E-File application interface. On the left is a vertical 'Information Center' menu with the following items: Contributors, - Create New Form (highlighted), - Search Forms, - 499Q Bulk Submission, + Pending Certifications, - Download Forms, + Authorized Users, + My Account, + FAQs, and - Terms and Conditions. The main content area is titled 'Form 499 - Create New Form'. It features a 'Filing:' dropdown menu currently set to '499A for April 2009'. Below it is a 'Filer ID:' field. In the top right corner, there is a user profile section showing 'User: Jane Doe' and links for 'My Account' and 'Log Out'. At the bottom of the page, a small copyright notice reads: '© Copyright 2004 Universal Service Administrative Company (USAC). All rights reserved.'



Once the revision period for an FCC Form 499Q or 499A has ended that Form will no longer be available in the dropdown menu

Step 4. Once you click on Submit, a pop up window will be displayed showing the Terms and Conditions. Accepting the Terms and Conditions will allow an Authorized User to complete the data entry and submit an electronic version to an authenticated Company Officer to review, certify and submit the Form to USAC. Accepting the Terms and Conditions as a Company Officer will allow for data entry and certification directly to USAC. If you reject the Terms and Conditions you will be allowed to data enter information on the form, but USAC will require a hardcopy for processing.

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Helping Keep Americans Connected

E-FILE

Terms and Conditions User: Jane Doe

If you are signing a form using an electronic signature, you are reminded that an electronic signature is equivalent to your handwritten signature on the form. Your use of the electronic signature to affirm these certifications means that should they prove untrue, you will be held to the same enforcement standards as those who affirm the certifications in writing. Also, by using the electronic signature, you are affirming that you have the authority to make these certifications and represent the entity featured in this form. By logging into your account using your User ID and password and clicking the "certify" button at the end of the form, you have submitted your electronic signature to the form.

To see a copy of the Terms and Conditions to which you previously agreed, please click here: [Terms and Conditions](#)

Click "Accept" to affirm your compliance with the above and continue to the form.

Click "Reject" to continue to the form for review and/or data entry but not electronic certification, or to print, sign, and mail a hardcopy certification.

If there are errors displayed in a pop-up window when the "Click here to Preview and Submit the Form" or "Click here to Preview and Certify the Form" button is clicked, correct the errors and/or enter the missing data, then click again on the button when complete. Data fields with errors or missing data will be highlighted.

120. Projected collected end-user interstate and international telecommunications revenues including any pass-through charges for universal service contributions, but excluding international-to-international revenues \$0.00 \$0.00

Block 4: CERTIFICATION: to be signed by an officer of the reporting entity

121. I certify that the revenue data contained herein are privileged and confidential and that public disclosure of such information would likely cause substantial harm to the reporting entity. I request nondisclosure of the revenue information contained herein pursuant to sections 9409, 9417, 9421 and 9424 of the Commission's Rules. ☐

I certify that I am an officer of the above-named reporting entity, that I have examined the foregoing report and to the best of my knowledge, information and belief, all statements of fact contained in this Worksheet are true, that said Worksheet is an accurate statement of the affairs of the above-named company for the quarter and that the projections of gross billed and collected revenues represent a good faith estimate based on company procedures and policies.

122. Signature *******Data Entry Purpose Only. Please Do Not Print This Form*******

123. Printed name of officer First: JEFF Last: [REDACTED]

124. Position with reporting entity CEO

125. Email of officer (required if available) jeff@best.com

126. Date 10/30/2009

127. This filing is: ☐ Original Filing ☐ Revised Filing (revisions due within 45 days of original filing deadline)

Do not mail checks with this form. Send this form to: Form 499 Data Collection Agent c/o USAC 2000 L Street, N.W. Suite 200 Washington DC, 20036
For additional information regarding this worksheet contact: Telecommunications Reporting Worksheet info: (800)941-4752 or via e-mail: Form499@usacservice.org
PERSONS WILLFULLY MAKING FALSE STATEMENTS IN THE WORKSHEET CAN BE PUNISHED BY FINE OR IMPRISONMENT UNDER TITLE 18 OF THE UNITED STATES CODE, 18 U.S.C. § 1001

Step 5. Once complete click on the “Click here to Preview and Submit the Form” or “Click here to Preview and Certify the Form” button. If no errors are found, system displays the OMB approved FCC Form 499Q in PDF. Click Certify.

The screenshot shows the FCC Form 499Q worksheet. It includes a table with revenue data for various categories like Telecommunications, End-user telecommunications revenues, and Gross-billed revenues. Below the table is the certification section, which includes a signature line for Jane Doe, dated 1/5/2010, and a checkbox for 'Original filing'.

Step 6. With the Form 499Q – Confirmation Message window open click on Click Here to open the form for printing or Close to close the window.

The screenshot shows the USAC Form 499Q - FILING INCOMPLETE--SIGNED COPY NEEDED confirmation message. It includes the USAC logo, the text 'E-FILE', and a message stating that the filing is incomplete and a signed copy is needed. It provides contact information for the Form 499 Data Collection Agent and a link to return to the submission.

If the officer of the company listed on the form does not have an account, the officer must sign the form and mail it to the USAC address listed on the Form. Once the hardcopy has been submitted and processed, USAC will e-mail the Company Officer his or her password for future use in the E-File Application, at which time the E-File Application may be used.

3.3.1. FCC Forms 499Q Pending Certification

After the data entry portion of the FCC Form 499Q is completed, the data is placed into “Pending Officer Approval” status until the form is reviewed and certified by the Company Officer listed on the form. To certify one or more FCC Forms 499Q, the Company Officer will need to follow these steps after he or she has logged into E-File.

Step 1. From the Information Center, click on Form 499Q, under the Pending Certifications header which is located in the left menu.

Information Center

Contributors

Form 499 Filer ID	Company Name	Tax ID	
827847	Screen Shot Company		[entitlements]

Service Providers

Form 499 SPIN	Company Name	Tax ID	
143033645	Screen Shot Company	99999999	[Deactivate]

Schools & Libraries

Form 499 SPIN	Company Name	Tax ID	
143033645	Screen Shot Company	99999999	[entitlements] [Deactivate]

High Cost & Low Income

Form 499 SPIN	Company Name	Tax ID	
143033645	Screen Shot Company	99999999	[entitlements] [agents] [Deactivate]

Authorized Users

Email	Full Name		
sac.john.doe.agent@usac.com	John Doe	[reset pwd]	[entitlements]
sac.john.doe.admin@usac.com	John Doe	[reset pwd]	[entitlements]

Step 2. From this page, the Company Officer may click on the link under the Form 499 column to review and change any information and then continue certifying the individual form as outlined above.

Pending Certifications: 499Q

To certify one or more forms, you may either select the forms individually by clicking on the Filer ID link under the column entitled Form 499 (allowing you to view the entire form) or you may certify through this page. Forms may be shown in separate sections based on the certification language associated with a particular form. You may have to scroll down the page to view all forms available for certification.

To certify one or more forms through this page, select the form(s) you choose to certify by checking the box to the left of the Form 499 column. You may select all forms in the section by clicking the box below the check mark in the heading of the table. Upon selecting one or more forms to certify in one section, the other sections will be removed from the screen. As described below, you will be able to return to the Pending Certifications page at a later time to certify the remaining pending forms. Once the form(s) you choose to certify are selected, you must review the certification language and the terms and conditions below and click the box to the left of the terms and conditions. You then may click the certify button at the bottom of the page to certify the selected forms. After certifying a section, a screen will appear listing the forms that were successfully certified. This screen will allow you to return to the Information Center page or the Pending Certifications page where you may access the remaining pending form(s).

Contributors - Form 499Q

Form 499	Company Name	Filing	Last Updated By	Status
<input checked="" type="checkbox"/> 827847	Screen Shot Company	February 2009	sac.john.doe_cp@usac.com (11/28/2008)	Pending Officer Approval
<input type="checkbox"/> Line 1206 \$900.00				
<input type="checkbox"/> Line 1206 \$900.00				

CERTIFICATION LANGUAGE

The following is the certification language from the FCC Form(s) 499-Q selected above. By certifying your form(s) electronically, you are making this certification on each form that is selected to be certified.

Test 2009 May Q language

TERMS AND CONDITIONS

☐ By logging into your account and clicking the "certify" button at the bottom of this page, you have electronically signed the selected form(s). You are reminded that an electronic signature is the same as a handwritten signature on the form(s). Also, by using an electronic signature, you are affirming that you have the authority to make these certifications and represent the entity featured in the selected form(s).

To see a copy of the Terms and Conditions to which you previously agreed, please click here: [Terms and Conditions](#)

If you click the box above, you are affirming your compliance and may file the form(s) electronically.

If you do not click the box above, you must file the form(s) in hardcopy.

Step 3. If the Company Officer is ready to certify, he or she may click in the box next to each Filer ID or in the box below the check mark to select all of the forms in that section. To review and make any necessary changes to the forms, the Company Officer may click on the link under the Form 499 column to see the individual form.

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E-FILE

Information Center
 + Contributors
 + Service Providers
 + Schools and Libraries
 + High Cost & Low Income
 - Pending Certifications
 - Form 499Q
 - Form 499A
 - Form 498
 + Download Forms
 + Authorized Users
 + My Account
 + FAQs
 - Terms and Conditions

Pending Certifications: 499Q

Users: Jane Doe
[My Account](#) | [Log Out](#)

To certify one or more forms, you may either select the forms individually by clicking on the Filer ID link under the column entitled Form 499 (allowing you to view the entire form) or you may certify through this page. Forms may be shown in separate sections based on the certification language associated with a particular form. You may have to scroll down the page to view all forms available for certification.

To certify one or more forms through this page, select the form(s) you choose to certify by checking the box to the left of the Form 499 column. You may select all forms in the section by clicking the box below the check mark in the heading of the table. Upon selecting one or more forms to certify in one section, the other sections will be removed from the screen. As described below, you will be able to return to the Pending Certifications page at a later time to certify the remaining pending forms. Once the form(s) you choose to certify are selected, you must review the certification language and the terms and conditions below and click the box to the left of the terms and conditions. You then may click the certify button at the bottom of the page to certify the selected forms. After certifying a section, a screen will appear listing the forms that were successfully certified. This screen will allow you to return to the Information Center page or the Pending Certifications page where you may access the remaining pending form(s).

Form 499	Company Name	Filing	Last Updated By	Status
<input checked="" type="checkbox"/> 821892	Screen Shot Company	February 2009	ssc_john_doe_cp@usac.com (11/20/2008)	Pending Officer Approval
Line 120c \$900.00	Line 120c \$900.00			

CERTIFICATION LANGUAGE

The following is the certification language from the FCC Form(s) 499-Q selected above. By certifying your form(s) electronically, you are making this certification on each form that is selected to be certified.

Test 2009 May Q language

TERMS AND CONDITIONS

☐ By logging into your account and clicking the "certify" button at the bottom of this page, you have electronically signed the selected form(s). You are reminded that an electronic signature is the same as a handwritten signature on the form(s). Also, by using an electronic signature, you are affirming that you have the authority to make these certifications and represent the entity featured in the selected form(s).

To see a copy of the Terms and Conditions to which you previously agreed, please click here: [Terms and Conditions](#)

If you click the box above, you are affirming your compliance and may file the form(s) electronically.

If you do not click the box above, you must file the form(s) in hardcopy.



At least one form must be selected for this certification process to be activated.

Step 4. Review the certification and terms and conditions language below this section. Click in the box to the left of the terms and conditions to affirm compliance.

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Helping Non-Profit Organizations Grow

E-FILE

User: Jane Doe
[My Account](#) | [Log Out](#)

Pending Certifications: 499Q

To certify one or more forms, you may either select the forms individually by clicking on the Filer ID link under the column entitled Form 499 (allowing you to view the entire form) or you may certify through this page. Forms may be shown in separate sections based on the certification language associated with a particular form. You may have to scroll down the page to view all forms available for certification.

To certify one or more forms through this page, select the form(s) you choose to certify by checking the box to the left of the Form 499 column. You may select all forms in the section by clicking the box below the check mark in the heading of the table. Upon selecting one or more forms to certify in one section, the other sections will be removed from the screen. As described below, you will be able to return to the Pending Certifications page at a later time to certify the remaining pending forms. Once the form(s) you choose to certify are selected, you must review the certification language and the terms and conditions below and click the box to the left of the terms and conditions. You then may click the certify button at the bottom of the page to certify the selected forms. After certifying a section, a screen will appear listing the forms that were successfully certified. This screen will allow you to return to the Information Center page or the Pending Certifications page where you may access the remaining pending form(s).

Contributors - Form 499Q					
<input checked="" type="checkbox"/>	Form 499	Company Name	Filing	Last Updated By	Status
<input checked="" type="checkbox"/>	821833	Screen Shot Company	February 2009	sec_john_doe_cp@usac.com (11/28/2008)	Pending Officer Approval
	Line 120b \$900.00	Line 120c \$900.00			

CERTIFICATION LANGUAGE
The following is the certification language from the FCC Form(s) 499-Q selected above. By certifying your form(s) electronically, you are making this certification on each form that is selected to be certified.
Test 2009 May Q language

TERMS AND CONDITIONS
☒ By logging into your account and clicking the "certify" button at the bottom of this page, you have electronically signed the selected form(s). You are reminded that an electronic signature is the same as a handwritten signature on the form(s). Also, by using an electronic signature, you are affirming that you have the authority to make these certifications and represent the entity featured in the selected form(s).
To see a copy of the Terms and Conditions to which you previously agreed, please click here: [Terms and Conditions](#).
If you click the box above, you are affirming your compliance and may file the form(s) electronically.
If you do not click the box above, you must file the form(s) in hardcopy.



Forms are separated into different sections by certification language. When you select a form in one section that is pending certification, the other sections will be removed. You may return to the pending certification page later to review and certify any forms, including forms that were in the sections that were removed, that were not certified.

Step 5. Once the box next to the terms and conditions is checked, the certify button will be activated. If you are ready to certify the selected form(s), click on the certify button. A confirmation page will be displayed showing the form(s) certified and will also contain a link to the Information Center page and the pending certification pages if more forms are awaiting certification.

The screenshot shows the USAC E-FILE application interface. The top navigation bar is yellow with the USAC logo and the text 'E-FILE'. A left sidebar contains a menu with items like 'Information Center', 'Contributors', 'Service Providers', 'Schools and Libraries', 'High Cost & Low Income', 'Pending Certifications', 'Form 499Q', 'Form 499', 'Download Forms', 'Authorized Users', 'My Account', 'FAQs', and 'Terms and Conditions'. The main content area is titled 'Confirmed Certifications: 499Q' and displays a 'FORM 499Q Confirmation Message'. The message text reads: 'Thank you for submitting your 499 worksheet to the Form 499 Data Collection Agent (DCA). You have successfully completed the certification process on 7/9/2009, and have certified the following 1 form:'. Below this is a table with the following data:

Form 499	Company Name	Filing	120b	120c	Confirmation Number	Operation Status
825893	Screen Shot Company	February 2009	\$900.00	\$900.00	2111794	SUCCESS

Below the table, the text states: 'To print this page for your records, set the print options in your browser to landscape format. Click [here](#) to return to the Information Center page.'

3. 4. FCC Form 472

FCC Form 498 Company Officers, General Contacts and entitled Authorized Users may access the School and Libraries Division (SLD) Online Forms function through the E-File Application.

Step 1. To access the SLD Online Forms function you must be logged into the E-File Application. The information on the Information Center page will vary because it is customized based on the permissions and entitlements associated with your account.



Use caution while entering your User ID and password. Failure to enter a valid User ID and password combination within three attempts will result in the User ID being locked. The USAC Customer Service group must then be contacted at 1-888-641-8722 to reactivate the User ID.

- Step 2.** After the Information Center appears click on the 472 Online Bear option from the left side of the menu. The Service Provider Home Page will be displayed.
- Step 3.** The menu options for the 472 Online Bear are listed across the top. There is a help feature in the upper right corner of the form.



User instructions related to the Service Provider functions can be found by placing the cursor over the "Need Help?" message in the upper right corner of the Service Provider Home Page and selecting "Using Online BEAR".

3.5. Online Item 21

Step 1. To access the Online Item 21 function you must be logged into the E-File Application. The information on the Information Center page will vary because it is customized based on the permissions and entitlements associated with your account.



Use caution while entering your User ID and password. Failure to enter a valid User ID and password combination within three attempts will result in the User ID being locked. The USAC Customer Service group must then be contacted at 1-888-641-8722 to reactivate the User ID.

Step 2. After the Information Center page appears, click on the Online Item 21 option from the left side of the menu. The Search Online Item 21 page will be displayed and will allow Authorized Users to search and view information related to Funding Request Numbers (FRNs) where applicants have named the Authorized User's company as the service provider.

Step 3. The web links across the top of the Online Item 21 page will take you to USAC's website and the Information Center page of E-File.



3. 6. FCC Form 497

FCC Form 498 Company Officers, General Contacts and entitled Authorized Users may access the High Cost & Low Income Division Online forms function through the E-File Application.

- Step 1.** To Access the High Cost & Low Income Division function you must be logged into the E-File Application. The information on the Information Center page will vary because it is customized based on the permissions and entitlements associated with your account.



Use caution while entering your User ID and password. Failure to enter a valid User ID and password combination within three attempts will result in the User ID being locked. The USAC Customer Service group must then be contacted at 1-888-641-8722 to reactivate the User ID.

- Step 2.** After the Information Center page appears click on the 497 Online Form option from the left side of the menu. The Form 497 Search page will be displayed. For assistance with the form, instructions from the form appear when the cursor hovers over a field.

3.7. FCC Form 525

FCC Form 498 Company Officers, General Contacts and entitled Authorized Users may access the High Cost & Low Income Division Online forms function through the E-File Application.

- Step 1.** To Access the High Cost & Low Income Division function you must be logged into the E-File Application. The information on the Information Center page will vary because it is customized based on the permissions and entitlements associated with your account.



Use caution while entering your User ID and password. Failure to enter a valid User ID and password combination within three attempts will result in the User ID being locked. The USAC Customer Service group must then be contacted at 1-888-641-8722 to reactivate the User ID.

- Step 2.** After the Information Center page appears click on the 525 Online Form option from the left side of the menu. The High Cost 525 page will be displayed. For assistance with the form, instructions from the form appear when the cursor hovers over a field.

3. 8. Bulk Submission

This section contains information and procedures for creating the pipe delimited text files that are used in the Bulk Submission process. The Bulk Submission process allows for multiple form data in a single file and transmit that data to USAC so your forms can be populated in a faster and more efficient manner.

3. 8. 1. FCC Form 498

In creating the FCC Form 498 Bulk Submission text file, the file needs to adhere to the following specifications:

- One row of text in the data file must equate to one form record.
- Each row (record) must contain data, which is consistent with the field format descriptions listed in the table below.
- The pipe separator for that field must still be provided, even if no data is provided for a field.
- Do not include column headings in the upload file.



Each record in an E-File Form 498 Bulk Submission input file must contain 181 pipes (vertical bars) to serve as separators for each record's 182 fields, regardless if there is data for all fields in the file. Fields without data must be represented by two adjacent pipes.

- The Company Officer signature date must be entered in a valid date format.



Date fields must contain data in the mm/dd/yyyy format.

- All bit fields must contain a 1 (true) or 0 (false).



Some data export tools convert BIT Data to "True" or "False". BIT fields in the E-File Bulk Submission input files must contain a "1" or a "0".

- Phone and fax number fields must include a dash as the fourth character.



Phone and Fax numbers must be formatted as xxx-xxxx.

- The Federal Employee Identification Number (Block 3, Field 18) must be Formatted as 11 digits with dashes (xxx-xx-xxxx).
- Use caution when using spreadsheet applications such as Microsoft® Excel to create the E-File Bulk Submission upload file. Fields containing numbers, such as ZIP Codes, with leading zeros may drop the leading zero resulting in invalid data.



Ensure fields containing numeric data with leading zeros, such as ZIP Codes, retain the leading zero.

- If the Schools and Libraries Support Mechanism disbursement payments offset (Block 13, Field 117) value is 1 (true), then you must also include your 499 Filer ID.
- Leaving the ACH and bank account information blank will be viewed as a change in how your disbursements will be sent to you.



ACH and Bank Account information is required to ensure future payments are made using Electronic Funds Transfer (EFT).

The following table lists all the fields that should be included in each single row (record) of the 498 Bulk Submission file.

Form Field Element Name	Form Field Identifier	Block Number	Data Definition	Required
SPIN	N/A		varchar(9)	Yes
Form 499 Filer ID			varchar(6)	No
Company Name	1	1	varchar(60)	Yes
Name Company DBA or FKA	2	1	varchar(60)	Yes
Street Address	3	1	varchar(60)	Yes
Address Line 2	4	1	varchar(60)	No
City	5	1	varchar(30)	Yes
State	6	1	char(2)	Yes
Zip Code	7	1	varchar(10) (##### or #####-####)	Yes
GC First Name	8	2	varchar(30)	Yes
GC MI	8	2	char(3)	No
GC Last Name	8	2	varchar(30)	Yes
GC Title	9	2	varchar(10)	Yes
GC Phone Area Code	10	2	char(3) (###)	Yes
GC Phone Number	10	2	char(8) (###-####)	Yes
GC Phone Ext	10	2	varchar(6)	No
GC Fax Area Code	11	2	char(3) (###)	Yes
GC Fax Number	11	2	char(8) (###-####)	Yes
GC Street Address	12	2	varchar(60)	Yes
GC Address Line 2	13	2	varchar(60)	No
GC City	14	2	varchar(30)	Yes
GC State	15	2	char(2)	Yes
GC Zip Code	16	2	varchar(10) (##### or #####-####)	Yes
GC E-mail Address	17	2	varchar(60)	Yes
Federal Tax ID	18	3	varchar(11) (###-##-####)	Yes
Corporation*	19	3	char(1)	No
Partnership*	19	3	char(1)	No
Other*	19	3	char(1)	No
DUNS	20	3	varchar(9)	Yes
HC Remittance Company Name	21	4	varchar(60)	No
HC Rem First Name	22	4	varchar(30)	No
HC Rem MI	22	4	char(3)	No
HC Rem Last Name	22	4	varchar(30)	No

Form Field Element Name	Form Field Identifier	Block Number	Data Definition	Required
HC Rem Title	23	4	varchar(10)	No
HC Rem Street Address	24	4	varchar(60)	No
HC Rem Address Line 2	25	4	varchar(60)	No
HC Rem City	26	4	varchar(30)	No
HC Rem State	27	4	char(2)	No
HC Rem Zip Code	28	4	varchar(10) (##### or #####-####)	No
HC Rem Phone Area Code	29	4	char(3) (###)	No
HC Rem Phone Number	29	4	char(8) (###-####)	No
HC Rem Phone Ext	29	4	varchar(6)	No
HC Rem Fax Area Code	30	4	char(3) (###)	No
HC Rem Fax Number	30	4	char(8) (###-####)	No
HC Paper Statement		4	bit**	No
HC Remittance Bank	31	4	varchar(60)	No
HC Account Number	32	4	varchar(14)	No
HC Bank ACH Number	33	4	varchar(9)	No
HC Rem Contact E-mail	34	4	varchar(60)	No
HC Co First Name	35	5	varchar(30)	No
HC Co MI	35	5	char(3)	No
HC Co Last Name	35	5	varchar(30)	No
HC Co Title	36	5	varchar(10)	No
HC Co Street Address	37	5	varchar(60)	No
HC Co Address Line 2	38	5	varchar(60)	No
HC Co City	39	5	varchar(30)	No
HC Co State	40	5	char(2)	No
HC Co Zip Code	41	5	varchar(10) (##### or #####-####)	No
HC Co Phone Area Code	42	5	char(3) (###)	No
HC Co Phone Number	42	5	char(8) (###-####)	No
HC Co Phone Ext	42	5	varchar(6)	No
HC Co Fax Area Code	43	5	char(3) (###)	No
HC Co Fax Number	43	5	char(8) (###-####)	No
HC Co E-mail	44	5	varchar(60)	No
LI Remittance Company Name	45	6	varchar(60)	No
LI Rem First Name	46	6	varchar(30)	No
LI Rem MI	46	6	char(3)	No
LI Rem Last Name	46	6	varchar(30)	No

Form Field Element Name	Form Field Identifier	Block Number	Data Definition	Required
LI Rem Title	47	6	varchar(10)	No
LI Rem Street Address	48	6	varchar(60)	No
LI Rem Address Line 2	49	6	varchar(60)	No
LI Rem City	50	6	varchar(30)	No
LI Rem State	51	6	char(2)	No
LI Rem Zip Code	52	6	varchar(10) (##### or #####-####)	No
LI Rem Phone Area Code	53	6	char(3) (###)	No
LI Rem Phone Number	53	6	char(8) (###-####)	No
LI Rem Phone Ext	53	6	varchar(6)	No
LI Rem Fax Area Code	54	6	char(3) (###)	No
LI Rem Fax Number	54	6	char(8) (###-####)	No
LI Paper Statement		6	bit**	No
LI Remittance Bank	55	6	varchar(60)	No
LI Account Number	56	6	varchar(14)	No
LI Bank ACH Number	57	6	varchar(9)	No
LI Rem Contact E-mail	58	6	varchar(60)	No
LI Co First Name	59	7	varchar(30)	No
LI Co MI	59	7	char(3)	No
LI Co Last Name	59	7	varchar(30)	No
LI Co Title	60	7	varchar(10)	No
LI Co Street Address	61	7	varchar(60)	No
LI Co Address Line 2	62	7	varchar(60)	No
LI Co City	63	7	varchar(30)	No
LI Co State	64	7	char(2)	No
LI Co Zip Code	65	7	varchar(10) (##### or #####-####)	No
LI Co Phone Area Code	66	7	char(3) (###)	No
LI Co Phone Number	66	7	char(8) (###-####)	No
LI Co Phone Ext	66	7	varchar(6)	No
LI Co Fax Area Code	67	7	char(3) (###)	No
LI Co Fax Number	67	7	char(8) (###-####)	No
LI Co E-mail	68	7	varchar(60)	No
RHC Remittance Company Name	69	9	varchar(60)	No
RHC Rem First Name	70	9	varchar(30)	No
RHC Rem MI	70	9	char(3)	No
RHC Rem Last Name	70	9	varchar(30)	No

Form Field Element Name	Form Field Identifier	Block Number	Data Definition	Required
RHC Rem Title	71	9	varchar(10)	No
RHC Rem Street Address	72	9	varchar(60)	No
RHC Rem Address Line 2	73	9	varchar(60)	No
RHC Rem City	74	9	varchar(30)	No
RHC Rem State	75	9	char(2)	No
RHC Rem Zip Code	76	9	varchar(10) (##### or #####-####)	No
RHC Rem Phone Area Code	77	9	char(3) (###)	No
RHC Rem Phone Number	77	9	char(8) (###-####)	No
RHC Rem Phone Ext	77	9	varchar(6)	No
RHC Rem Fax Area Code	78	9	char(3) (###)	No
RHC Rem Fax Number	78	9	char(8) (###-####)	No
RHC Paper Statement		9	bit**	No
RHC Remittance Bank	79	9	varchar(60)	No
RHC Account Number	80	9	varchar(14)	No
RHC Bank ACH Number	81	9	varchar(9)	No
RHC Rem Contact E-mail	82	9	varchar(60)	No
RHC Co First Name	83	10	varchar(30)	No
RHC Co MI	83	10	char(3)	No
RHC Co Last Name	83	10	varchar(30)	No
RHC Co Title	84	10	varchar(10)	No
RHC Co Street Address	85	10	varchar(60)	No
RHC Co Address Line 2	86	10	varchar(60)	No
RHC Co City	87	10	varchar(30)	No
RHC Co State	88	10	char(2)	No
RHC Co Zip Code	89	10	varchar(10) (##### or #####-####)	No
RHC Co Phone Area Code	90	10	char(3) (###)	No
RHC Co Phone Number	90	10	char(8) (###-####)	No
RHC Co Phone Ext	90	10	varchar(6)	No
RHC Co Fax Area Code	91	10	char(3) (###)	No
RHC Co Fax Number	91	10	char(8) (###-####)	No
RHC Co E-mail	93	11	varchar(60)	No
SL Remittance Company Name	93	11	varchar(60)	No
SL Rem First Name	94	11	varchar(30)	No
SL Rem MI	94	11	char(3)	No
SL Rem Last Name	94	11	varchar(30)	No

Form Field Element Name	Form Field Identifier	Block Number	Data Definition	Required
SL Rem Title	95	11	varchar(10)	No
SL Rem Street Address	96	11	varchar(60)	No
SL Rem Address Line 2	97	11	varchar(60)	No
SL Rem City	98	11	varchar(30)	No
SL Rem State	99	11	char(2)	No
SL Rem Zip Code	100	11	varchar(10) (##### or #####-####)	No
SL Rem Phone Area Code	101	11	char(3) (###)	No
SL Rem Phone Number	101	11	char(8) (###-####)	No
SL Rem Phone Ext	101	11	varchar(6)	No
SL Rem Fax Area Code	102	11	char(3) (###)	No
SL Rem Fax Number	102	11	char(8) (###-####)	No
SL Paper Statement		11	bit**	No
SL Remittance Bank	103	11	varchar(60)	No
SL Account Number	104	11	varchar(14)	No
SL Bank ACH Number	105	11	varchar(9)	No
SL Rem Contact E-mail	106	11	varchar(60)	No
SL Co First Name	107	12	varchar(30)	No
SL Co MI	107	12	char(3)	No
SL Co Last Name	107	12	varchar(30)	No
SL Co Title	108	12	varchar(10)	No
SL Co Street Address	109	12	varchar(60)	No
SL Co Address Line 2	110	12	varchar(60)	No
SL Co City	111	12	varchar(30)	No
SL Co State	112	12	char(2)	No
SL Co Zip Code	113	12	varchar(10)	No
SL Co Phone Area Code	114	12	char(3) (###)	No
SL Co Phone Number	114	12	char(8) (###-####)	No
SL Co Phone Ext	114	12	char(6)	No
SL Co Fax Area Code	115	12	char(3) (###)	No
SL Co Fax Number	115	12	char(8) (###-####)	No
SL Co E-mail	116	12	varchar(60)	No
Payment Offset	117	13	bit**	No
Business Code 1***		14	char(4)	Yes
Business Code 2***		14	char(4)	No
Business Code 3***		14	char(4)	No
Business Code 4***		14	char(4)	No

Form Field Element Name	Form Field Identifier	Block Number	Data Definition	Required
Business Code 5***		14	char(4)	No
Auth Con Officer First Name		15	varchar(30)	Yes
Auth Con Officer MI		15	char(3)	No
Auth Con Officer Last Name		15	varchar(30)	Yes
Auth Con Officer Title		15	varchar(10)	Yes
Auth Con Officer E-mail		15	varchar(60)	Yes
SAC		8	int(6)	No
Is Competitive?		8	Y/N	No



If a SPIN is associated to multiple SACs, each SAC can be listed at the end of the bulk submission file, as indicated above.

- *** Corporation, Partnership or Other must be 1 (yes), the other two fields must be 0 (no). This data corresponds to the Corporate Structure data in Field 19 of Block 3 on the FCC Form 498 form.
- *** Although BIT fields accept any non-zero value for “True,” for consistency and simplicity this Requirements Specification and the Technical Guide specify 1 as the “True” value. If the field is blank the value is defaulted to 0 which is “False”.
- *** Business Code information must be entered as a 3 or 4 character code as listed in the following table:

Code	Description
CAP	Competitive Access Provider/Competitive Local Exchange Carrier
CEL	Cellular/PCS/SMR
COX	Coaxial Cable
DAT	Wireless Data
ISP	Internet Service Provider
IXC	Interexchange Carrier
LEC	Incumbent Local Exchange Provider
LRES	Local Reseller
NTP	Non-Traditional Provider
OSP	Operator Service
PAG	Paging/Messaging
PAY	Payphone Service Provider
PRE	Pre-Paid Card
PRIV	Private Sector Provider
SAT	Satellite
SMR	SMR Patch
TEN	Shared Tenant Service Provider
TRES	Toll Reseller
VOIP	VoIP Provider

a. Loading the Input File

In this section, you will find information and procedures on how to load the E-File Bulk Submission pipe delimited text file. After reviewing this section, an Authorized User, General Contact or Company Officer will be able to successfully upload the Form 498 Bulk Submission text file.

- Step 1.** To upload a Form 498 Bulk Submission text file, proceed to the E-File Sign In page and enter your User ID and Password. The Information Center page will then be displayed. The information on this page will vary because it is customized based on the permissions and entitlements associated to your account.
- Step 2.** Click on the 498 Bulk Submission option from the menu on the left side of the page. This will display the Form 498 – File Upload page.

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E-FILE

Welcome Jane Doe
My Account | Log Out

Information Center

Contributors

Form 499 Filer ID	Company Name	Tax ID	
827847	Screen Shot Company		[entitlements]

Service Providers

Form 498 SPIN	Company Name	Tax ID	
143033045	Screen Shot Company	999999999	[Deactivate]

Schools & Libraries

Form 498 SPIN	Company Name	Tax ID	
143033045	Screen Shot Company	999999999	[entitlements] [Deactivate]

High Cost & Low Income

Form 498 SPIN	Company Name	Tax ID	
143033045	Screen Shot Company	999999999	[entitlements] [agents] [Deactivate]

Authorized Users

Email	Full Name	
asc.john_doe_agent1@...	John Doe	[reset pwd] [entitlements]
asc.john_doe_aul@usa...	John Doe	[reset pwd] [entitlements]

FAQs

- General E-File FAQs
- E-Certification FAQs
- E-File Form 498 FAQs
- E-File Form 499 FAQs
- E-File User Guide



Use caution while entering your User ID and password. Failure to enter a valid User ID and password combination within three attempts will result in the User ID being locked. The USAC Customer Service group must then be contacted at 1-888-641-8722 to reactivate the User ID.

Step 3. Use the Browse utility to locate and designate which file is to be uploaded. Once you've selected the file you want to upload click on the Upload button. The Form 498 – Bulk Submission Search Page will be displayed.

If a file is rejected because the file is improperly formatted, a message will be displayed which may assist in determining the cause of the rejection. Ensure all required columns are included as indicated in the “Required” column from the table above. If data is not required for a field, the pipe (vertical bar) must still be present as a placeholder for that field.

Step 4. The Form 498 – Bulk Submission – Search/Status Page allows you to display a list of all uploaded records. This list displays the SPIN and status of each record as well as the date the record was uploaded.

By clicking on a record from the list, you can display a record in form format, verify the date on the form and submit the form with the same options the form would have as if completed through the regular electronic submission process. If the record has errors, the errors may be corrected in the same manner the errors would be corrected if the form had been completed individually.

The screenshot displays the USAC E-FILE interface for Form 498 - Bulk Submission. On the left is a navigation menu with options like Information Center, Contributors, Service Providers, New Spins, Bulk Submission, Schools and Libraries, High Cost & Low Income, Pending Certifications, Download Forms, Authorized Users, My Account, FAQs, and Terms and Conditions. The main content area is titled 'Form 498 - Bulk Submission' and includes a search bar for SPIN and a dropdown for Submission Status. Below the search fields is a table with 1 result found. The table has columns for SPIN, Company Name, Upload Date, Submission Status, and Comments. The single record shown is for SPIN 153632627, Company Name Screen Shot Company, Upload Date 12/2/2008, and Submission Status Pending Validation. There are buttons for Search, Validate, Submit Selected, and Delete Selected. A note at the bottom states: 'Note: For submitted records, up-to-date statuses should be viewed from the Search Forms screen.'

SPIN	Company Name	Upload Date	Submission Status	Comments
153632627	Screen Shot Company	12/2/2008	Pending Validation	

Step 5. The Form 498 – Bulk Submission – Search/Status Page also allows you to search and display a list of records based on the SPIN or Process Status. To display a record with a specific SPIN, simply type the SPIN to be displayed, in the SPIN field and click on the Search button. To display records with a specific status, select the desired status from the dropdown list and click on the Search button.

The Validate button performs a data validation on all selected records displayed on the Search/Status Page and refreshes the Submission Status and Comments columns.

Step 6. The Form 498 – Bulk Submission – Search/Status Page can also be used to Submit or Delete individual records or groups of records by clicking in the check boxes next to each record or

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E-FILE

Form 498 - Bulk Submission

Please click [here](#) to upload new file.

SPIN:
Submission Status:

[Search](#) [Validate](#)

1 Results Found - [Page 1 / 1]

<input type="checkbox"/>	SPIN	Company Name	Upload Date	Submission Status	Comments
<input type="checkbox"/>	143032621	Screen Shot Company	12/2/2008	Pending Validation	

[Submit Selected](#) [Delete Selected](#)

[Prev](#) [Next](#)

Note: For submitted records, up-to-date statuses should be viewed from the Search Forms screen.

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you can click on the Select All check box in the header to select all the records. Once a record or group of records is checked, an Authorized User may click the Submit Selected button to commit the record(s).

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Helping Keep Americans Connected

E-FILE

Form 498 - Bulk Submission

Please click [here](#) to upload new file.

SPIN:
Submission Status:

[Search](#) [Validate](#)

1 Results Found - [Page 1 / 1]

<input checked="" type="checkbox"/>	SPIN	Company Name	Upload Date	Submission Status	Comments
<input checked="" type="checkbox"/>	143032621	Screen Shot Company	12/2/2008	Pending Validation	

[Submit Selected](#) [Delete Selected](#)

[Prev](#) [Next](#)

Note: For submitted records, up-to-date statuses should be viewed from the Search Forms screen.

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General Contacts will submit the information to the authenticated Company Officer that is listed in the bulk submission file. Certain changes in data will require a hardcopy FCC Form 498 to be signed by the Company Officer then mailed to USAC at the address listed on the form.

Similarly, after you have made your selections clicking on the Delete Selected button will remove the selected record(s) from the E-File Bulk Submission process.

Data on forms submitted using the Bulk Submission process are validated in the same manner as the data on forms completed online or received by USAC in a paper version.

Forms submitted using the Bulk Submission process must be certified individually in the same way as the form would have been certified if the form had been completed online.



Once a record has been submitted, the check box next to that record will be removed.



All records submitted using the E-File Form 498 Bulk Submission process, which still appear in the Form 498 – Bulk Submission Search Page will be overwritten when you upload another file.

3.8.2. FCC Form 499Q

Like the FCC Form 498 file, the FCC Form 499Q is a pipe delimited text file. It is essential that the format adheres to these specifications:

- One row of text in the data file must equate to one form record.
- Each row (record) must contain data, which is consistent with the field format descriptions listed in the table below.
- The pipe separator for that field must still be provided, even if no data is provided for a field.
- Field data, which are auto-populate in the manual process of complete the FCC Form 499Q will continue to be completed by the E-File Application during the Bulk Submission process.
- Do not include column headings in the upload file.



Each record in an E-File Form 499Q Bulk Submission input file must contain 58 pipes (vertical bars) to serve as separator for the records 59 fields, whether or not there is data for all of the fields. Two adjacent pipes will represent fields without data.

- Dates must be entered in the mm/dd/yyyy format.
- All bit fields must contain a 1 (true) or 0 (false).



Some data export tools convert BIT Data to “True” or “False”. BIT fields in the E-File Bulk Submission input files must contain a “1” or a “0”.

- Numeric fields into which no value is entered must be set to 0.
- Phone and fax number fields must include a dash as the fourth character.



Phone and fax numbers must be formatted as xxx-xxxx.

- FCC Registration Numbers (FRNs) must include dashes as the fifth and tenth character.



FCC Registration Numbers (FRNs) must be formatted as xxxx-xxxx-xx.

- Use caution when using spreadsheet applications such as Microsoft® Excel to create the E-File Bulk Submission upload file. Fields containing numbers, such as ZIP Codes, with leading zeros may drop the leading zero resulting in invalid data.



Ensure fields containing numeric data with leading zeros, such as ZIP Codes, retain the leading zero.

- The company's legal name (field 102), tax identification number (field 103) and the name the company is doing business as (field 104) are populated from previously provided data based on the Filer ID of the record.
- Gross-billed revenue from all sources (field 118) will be calculated and auto-populated by summing the total carrier revenue (field 115(a)), total end-user revenue (field 116(a)) and all other revenue (field 117).

The following table lists all the fields that must be included in each single row (record) of the FCC Form 499Q Bulk Submission file.

Form Field Element Name	Form Field Identifier	Block	Column Data Type	Required
Filer 499 ID	101	1	char(6)	yes
Holding Company Name	105	1	varchar(50)	no
FCC Registration Number1 (FRN1)	106	1	varchar(12) (#####-####-##)	yes
FCC Registration Number2 (FRN2)	106	1	varchar(12) (#####-####-##)	no
FCC Registration Number3 (FRN3)	106	1	varchar(12) (#####-####-##)	no
FCC Registration Number4 (FRN4)	106	1	varchar(12) (#####-####-##)	no
FCC Registration Number5 (FRN5)	106	1	varchar(12) (#####-####-##)	no
Headquarters Address1	107	1	varchar(50)	yes
Headquarters Address2	107	1	varchar(50)	no
Headquarters Address3	107	1	varchar(50)	no
Headquarters City	107	1	varchar(40)	yes
Headquarters State	107	1	varchar(2)	yes
Headquarters ZIP	107	1	varchar(5)	yes
Headquarters ZIP plus 4	107	1	varchar(4) (####)	no
Headquarters Country Name	107	1	varchar(20)	no
Contact First Name	108	2	varchar(40)	yes
Contact Middle Initial	108	2	varchar(1)	no
Contact Last Name	108	2	varchar(40)	yes
Contact Phone Area Code	109	2	varchar(3) (###)	yes
Contact Phone Number	109	2	varchar(8) (###-####)	yes
Contact Phone Ext	109	2	varchar(6)	no
Contact FAX Area Code	110	2	varchar(3) (###)	no
Contact FAX Number	110	2	varchar(8) (###-####)	no
Contact E-mail	111	2	varchar(50)	yes
Billing USF Contact First Name	112	2	varchar(40)	yes
Billing USF Contact Last Name	112	2	varchar(40)	yes
Billing USF Contact Address1	112	2	varchar(50)	yes
Billing USF Contact Address2	112	2	varchar(50)	no
Billing USF Contact Address3	112	2	varchar(50)	no
Billing USF Contact City	112	2	varchar(40)	yes
Billing USF Contact State	112	2	varchar(2)	yes

Form Field Element Name	Form Field Identifier	Block	Column Data Type	Required
Billing USF Contact ZIP	112	2	varchar(5)	yes
Billing USF Contact ZIP plus 4	112	2	varchar(4) (####)	no
Billing USF Contact Phone Area Code	112	2	varchar(3) (###)	yes
Billing USF Contact Phone Number	112	2	varchar(8) (###-####)	yes
Billing USF Contact Phone Ext	112	2	varchar(6)	no
Billing USF Contact FAX Area Code	112	2	varchar(3) (###)	no
Billing USF Contact FAX Number	112	2	varchar(8) (###-####)	no
Billing USF Contact E-mail	112	2	varchar(50)	no
Filing Due Date*	114	3	date (mm/dd/yyyy)	yes
Historical Total Revenue for telecommunications provided to other universal service contributor for resale as telecommunications	115(a)	3	numeric(9)	yes
Historical Interstate Revenue for telecommunications provided to other universal service contributor for resale as telecommunications	115(b)	3	numeric(9)	yes
Historical International Revenue for telecommunications provided to other universal service contributor for resale as telecommunications	115(c)	3	numeric(9)	yes
Historical Total Revenue for end-user telecommunications revenues	116(a)	3	numeric(9)	yes
Historical Interstate Revenue for end-user telecommunications revenues	116(b)	3	numeric(9)	yes
Historical International Revenue for end-user telecommunications revenues	116(c)	3	numeric(9)	yes
Historical All other goods and service Revenue Amt	117	3	numeric(9)	yes
Projected Gross Billed End-User Interstate Revenues	119(b)	3	numeric(9)	no
Projected Gross Billed End-User International Revenues	119(c)	3	numeric(9)	no
Projected Collected End-User Interstate Revenue	120(b)	3	numeric(9)	no
Projected Collected End User International Revenue	120(c)	3	numeric(9)	no
Nondisclosure Indicator (1 = yes to requesting nondisclosure of the revenue information, 0 = no	121	4	bit	no
Officer First Name	123	4	varchar(40)	yes

Form Field Element Name	Form Field Identifier	Block	Column Data Type	Required
Officer Middle Initial	123	4	varchar(1)	no
Officer Last Name	123	4	varchar(40)	yes
Officer Position Description	124	4	varchar(40)	yes
Officer E-mail Address	125	4	varchar(50)	yes
Officer Signature Date	126	4	date (mm/dd/yyyy)	yes
Filing Type Code (1 (Original) or 2 (Revised))	127	4	Int	yes

Notes:

Filing Due Date should be:

- 02/01/yyyy for the February 1 filing,
- 05/01/yyyy for the May1 filing,
- 08/01/yyyy for the August 1 filing, or
- 11/01/yyyy for the November 1 filing

a. Loading the Input File

This section reviews information and procedures for loading the FCC Form 499Q Bulk Submission. After completing this section, Authorized Users, Preparers and Company Officers will be able to upload the FCC Form 499Q Bulk Submission text file.

- Step 1.** To upload a Form 499Q Bulk Submission text file, proceed to the E-File Sign In page and enter your User ID and Password. The Information Center page will then be displayed. The information on this page will vary because it is customized based on the permissions and entitlements associated to your account.

The screenshot displays the USAC E-File Information Center. The top navigation bar features the USAC logo and an "E-FILE" button. The sidebar on the left contains a list of navigation links. The main content area is titled "Information Center" and contains several tables. The right sidebar lists FAQs.

Form 499 Filer ID	Company Name	Tax ID	
827847	Screen Shot Company		[entitlements]

Form 498 SPIN	Company Name	Tax ID	
143033645	Screen Shot Company	999999999	[Deactivate]

Form 498 SPIN	Company Name	Tax ID	
143033645	Screen Shot Company	999999999	[entitlements] [Deactivate]

Form 498 SPIN	Company Name	Tax ID	
143033645	Screen Shot Company	999999999	[entitlements] [agents] [Deactivate]

Email	Full Name	
ssc.john_doe_agent1@...	John Doe	[reset pwd] [entitlements]
ssc.john_doe_aul@usa...	John Doe	[reset pwd] [entitlements]



Use caution while entering your User ID and password. Failure to enter a valid User ID and password combination within three attempts will result in the User ID being locked. The USAC Customer Service group must then be contacted at 1-888-641-8722 to reactivate the User ID.

Step 2. Click on the 499Q Bulk Submission option from the menu on the left side of the page to display the Form 499Q – File Upload page.

Information Center

- Contributors
- Create New Form
- Search Forms
- 499Q Bulk Submission
- + Service Providers
- + Schools and Libraries
- + High Cost & Low Income
- + Pending Certifications
- Download Forms
- + Authorized Users
- + My Account
- + FAQs
- Terms and Conditions

Form 499Q - Bulk Submission

Please click [here](#) to upload new file.

Filer ID:

Submission Status:

[Search](#)

No Results Found

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Step 3. Use the Browse utility to locate and designate which file is to be uploaded. Once you've selected the file you want, click on the Upload button. The Form 498 – Bulk Submission Search Page will be displayed.

Information Center

- Contributors
- Create New Form
- Search Forms
- 499Q Bulk Submission
- + Service Providers
- + Schools and Libraries
- + High Cost & Low Income
- + Pending Certifications
- Download Forms
- + Authorized Users
- + My Account
- + FAQs
- Terms and Conditions

Form 499Q - File Upload

[Browse](#)

[Upload](#)

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If a file is rejected because the file is improperly formatted, a message will be displayed which may assist in determining the cause of the rejection. Ensure all required columns are included as indicated in the “Required” column from the table above. If data is not required for a field, the pipe (vertical bar) must still be present as a placeholder for that field.

- Step 4.** The Form 499Q – Bulk Submission – Search/Status Page allows you to display a list of all uploaded records. This list displays the Filer ID and status of each record as well as the date the record was uploaded.

The screenshot displays the USAC E-FILE interface for Form 499Q - Bulk Submission. On the left is an 'Information Center' sidebar with links like 'Contributors', 'Create New Form', 'Search Forms', and '499Q Bulk Submission'. The main area is titled 'Form 499Q - Bulk Submission' and includes a search section with a 'Filer ID' input field, a 'Submission Status' dropdown menu, and 'Search' and 'Validate' buttons. Below the search section is a table showing search results. The table has columns for 'Form', 'Filer ID', 'Upload Date', 'Submission Status', and 'Comments'. One result is shown: a form titled '499Q - November 2008 Files' with Filer ID '825893', Upload Date '12/2/2008', and Submission Status 'Pending Validation'. The table also includes 'Submit Selected' and 'Delete Selected' buttons. At the bottom of the table are 'Prev' and 'Next' buttons. A note at the bottom states: 'Note: For submitted records, up-to-date statuses should be viewed from the Search Forms screen.'

By clicking on a record from the list, you can display a record in form format, verify the date on the form and submit the form with the same options the form would have as if completed through the regular electronic submission process. If the record has errors, the errors may be corrected in the same manner the errors would be corrected if the form had been completed individually.

- Step 5.** The Form 499Q – Bulk Submission – Search/Status Page also allows you to search and display a list of records based on the Filer ID or Process Status. To display a record with a specific Filer ID, simply type the Filer ID to be displayed, in the Filer ID field and click on the Search button. To display records with a specific status, select the desired status from the dropdown list and click on the Search button.

The Validate button performs a data validation on all selected records displayed on the Search/Status Page and refreshes the Submission Status and Comments columns.

Step 6. The Form 499Q – Bulk Submission – Search/Status Page can further be used to Submit or Delete individual records or groups of records by clicking in the check boxes next to each record next to each record or you can click on the Select All check box in the header to select all the records. Once a record or group of records is checked, the Authorized User, Preparer or Company Officer may click on the Submit Selected button to transmit data to USAC and request the Company Officer to certify the forms. The forms will only be processed by USAC once they are certified by a Company Officer.

USAC
Universal Service Administrative Company
Helping Key Americans Connected

E-FILE

User: Jane Doe
My Account | Log Out

Form 499Q - Bulk Submission

Please click [here](#) to upload new file.

Filter ID:
Submission Status:

1 Results Found - [Page 1 / 1]

<input type="checkbox"/>	Form	Form ID	Upload Date	Submission Status	Comments	<input type="button" value="Submit Selected"/>	<input type="button" value="Delete Selected"/>
<input checked="" type="checkbox"/>	499Q - November 2008 Files	92593	12/2/2008	Pending Validation			<input type="button" value="Prev Next"/>

Note: For submitted records, up-to-date statuses should be viewed from the Search Forms screen.

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Similarly, after you've select made your selections clicking on the Delete Selected button will remove the selected record(s) from the E-File Bulk Submission process.

Data on forms submitted using the Bulk Submission process are validated in the same manner as the data on forms completed online or received by USAC in a paper version.

Forms submitted using the Bulk Submission process must be certified individually in the same way as the form would have been certified if the form(s) had been completed individually online.



Once a record has been submitted, the check box next to that record will be removed.



All records submitted using the E-File Form 499Q Bulk Submission process, which still appear in the For 499Q – Bulk Submission Search Page will be overwritten when you upload another file.

4. Internet Information

This section contains information about how the E-File Application interacts with your web browser and computer operating system.

4.1. Browser Specs

A web browser is a program that allows you to access pages on the World Wide Web. The USAC Web site is optimized for version 7.0 of Microsoft Internet Explorer. If you are using an older browser, download the latest version or contact your Internet Service Provider for more information.

4.2. USAC Web Site

The USAC Web site, www.usac.org, offers a variety of useful information of interest to USF Contributors and Service Providers. The USAC Online section provides access to certain forms and administrative functions associated with the submission of those forms. Retrieval of previously saved or submitted forms is restricted by User ID and Password.

4.3. Cookies

A cookie is a text-only string that is entered into the memory of your web browser. If the lifetime of this value is set to be longer than the time you spend at a site, this string is saved to a file for future reference.

A standard cookie is written to your hard drive and is used to remember you the next time you visit a site. A session cookie resides in the web browser's memory and only retains information until all browsers are closed and the session is thus ended. The USAC Web site uses only session cookies.

4.4. Spawning New Windows

Some pages on the USAC Web site spawn a window (open a new browser window) when they are accessed. For example, when you open a Form, you are spawning a new window. Remember to close the new window whenever you want to exit it and return to where you were in the USAC Web site.

4.5. System Timeout

The E-File Application times out after it has been inactive for one hour or more. If the system has timed out, the login screen will appear when you attempt to resume work. Upon successful completion of the Login process, you will be able to continue from the point the timeout occurred.

5. Glossary

Agent

A user created by either a Company Officer or General Contact. This account is a type of Authorized User. This person is able to perform certain tasks such as data enter FCC Forms 497 or 525, and certify FCC Forms 525, for the SPIN associated with him or her. An online form certified by an Agent also requires a certification by the carrier. The account is controlled by both the Company Officer and General Contact who have the ability to grant and remove entitlements and deactivate the account. This user is referred to as an “Agent” or an “Agent Authorized User.”

Authorized User

A user created by either a Company Officer or General Contact. This person is able to perform certain tasks such as data entry and, in limited circumstances, certify forms, within the E-File Application based on the entitlement(s) provided to him or her. The account, if created by FCC Form 498 account holders, is controlled by both the Company Officer and General Contact who have the ability to grant and remove entitlements and deactivate the account. An Agent is a type of Authorized User.

Company Officer

An officer of the company able to certify certain FCC forms on behalf of the company. The account is assigned to the company officer who certifies the form in hardcopy. With the account, the company officer has the authority to data enter, correct data, and certify certain forms through the E-File Application. As used in the E-File Application User Manual, this term usually refers to a company officer who has an existing account.

E-File Application

A web-based application that allows participants in the Universal Service Fund to electronically enter data, verify, submit and certify forms related to and/or required by the fund and its programs.

Entitlement

The ability of a user to see certain information and perform certain tasks in the E-File Application. Entitlements are defined either by the type of account a user is given or, in the case of Authorized Users, by what entitlements the Company Officer and/or General Contact granted to the Authorized User.

Filer ID

A unique number that is used to identify a contributor to the Universal Service Fund.

General Contact

The person listed on page 1 of the FCC Form 498. A General Contact is able to data enter and, in certain circumstances, certify forms.

Preparer

The contact listed in Block 2-A of the FCC Form 499A or Block 2 of the FCC Form 499Q. A Preparer is authorized to view and data enter information that then needs to be approved and certified by the company officer listed on the form in the E-File Application.

SPIN

Service Provider Identification Number. This number is a specific identifier given to service providers that participate in a program(s).