

# GCTA: a tool for Genome-wide Complex Trait Analysis

Version 1.24, 28 July 2014

## Overview

GCTA (Genome-wide Complex Trait Analysis) was originally designed to estimate the proportion of phenotypic variance explained by genome- or chromosome-wide SNPs for complex traits (the GREML method), and has subsequently extended for many other analyses to better understand the genetic architecture of complex traits. GCTA was developed by [Jian Yang](#), [Hong Lee](#), [Mike Goddard](#) and [Peter Visscher](#) and is maintained in [Peter Visscher's lab](#) at the [University of Queensland](#). GCTA currently supports the following functionalities:

- Estimate the genetic relationship from genome-wide SNPs;
- Estimate the inbreeding coefficient from genome-wide SNPs;
- Estimate the variance explained by all the autosomal SNPs;
- Partition the genetic variance onto individual chromosomes;
- Estimate the genetic variance associated with the X-chromosome;
- Test the effect of dosage compensation on genetic variance on the X-chromosome;
- Predict the genome-wide additive genetic effects for individual subjects and for individual SNPs;
- Estimate the LD structure encompassing a list of target SNPs;
- Simulate GWAS data based upon the observed genotype data;
- Convert Illumina raw genotype data into PLINK format;
- Conditional & joint analysis of GWAS summary statistics without individual level genotype data

- Estimating the genetic correlation between two traits (diseases) using SNP data
- Mixed linear model association analysis

## Questions and Help Requests

If you have any bug reports or questions please send an email to [Jian Yang](mailto:Jian Yang) at

[jian.yang@uq.edu.au](mailto:jian.yang@uq.edu.au)

## Citations

### Software tool:

Yang J, Lee SH, Goddard ME and Visscher PM. GCTA: a tool for Genome-wide Complex Trait Analysis. Am J Hum Genet. 2011 Jan 88(1): 76-82. [[PubMed ID: 21167468](#)]

### Method for estimating the variance explained by all SNPs (GREML method) with its application in human height:

Yang J, Benyamin B, McEvoy BP, Gordon S, Henders AK, Nyholt DR, Madden PA, Heath AC, Martin NG, Montgomery GW, Goddard ME, Visscher PM. Common SNPs explain a large proportion of the heritability for human height. Nat Genet. 2010 Jul 42(7): 565-9. [[PubMed ID: 20562875](#)]

### GREML method being extended for case-control design with its application to the WTCCC data:

Lee SH, Wray NR, Goddard ME and Visscher PM. Estimating Missing Heritability for Disease from Genome-wide Association Studies. Am J Hum Genet. 2011 Mar 88(3): 294-305. [[PubMed ID: 21376301](#)]

### GREML method being extended for partitioning the genetic variance into the components of chromosomes and genomic segments with its applications in height, BMI, vWF and QT interval:

Yang J, Manolio TA, Pasquale LR, Boerwinkle E, Caporaso N, Cunningham JM, de Andrade M, Feenstra B, Feingold E, Hayes MG, Hill WG, Landi MT, Alonso A, Lettre G, Lin P, Ling H, Lowe W, Mathias RA, Melbye M, Pugh E, Cornelis MC, Weir BS, Goddard ME, Visscher

PM: Genome partitioning of genetic variation for complex traits using common SNPs.  
Nat Genet. 2011 Jun 43(6): 519-525. [[PubMed ID: 21552263](#)]

**Method for conditional and joint analysis using summary statistics from GWAS with its application to the GIANT meta-analysis data for height and BMI:**

Yang J, Ferreira T, Morris AP, Medland SE; Genetic Investigation of ANthropometric Traits (GIANT) Consortium; DIAbetes Genetics Replication And Meta-analysis (DIAGRAM) Consortium, Madden PA, Heath AC, Martin NG, Montgomery GW, Weedon MN, Loos RJ, Frayling TM, McCarthy MI, Hirschhorn JN, Goddard ME, Visscher PM (2012) Conditional and joint multiple-SNP analysis of GWAS summary statistics identifies additional variants influencing complex traits. Nat Genet. Mar 18 44(4): 369-375.  
[[PubMed ID: 22426310](#)]

**Bivariate GREML method:**

Lee SH, Yang J, Goddard ME, Visscher PM Wray NR (2012) Estimation of pleiotropy between complex diseases using SNP-derived genomic relationships and restricted maximum likelihood. Bioinformatics. 2012 Oct 28(19): 2540-2542. [PubMed ID: 22843982]

**Mixed linear model based associaiton analysis:**

Yang J, Zaitlen NA, Goddard ME, Visscher PM and Price AL (2013) Mixed model association methods: advantages and pitfalls. Nat Genet. 2014 Feb;46(2):100-6. [[Pubmed ID: 24473328](#)]

## **OPTIONS (case sensitive)**

### **1. Input and output**

`--bfile test`

Input **PLINK** binary PED files, e.g. **test.fam**, **test.bim** and **test.bed** (see **PLINK** user manual for details).

`--dosage-mach test.mldose test.mlinfo`

Input files in **MACH** output format (uncompressed), e.g. **test.mldose** and **test.mlinfo** (see **MACH** user manual for details).

`--dosage-mach-gz test.mldose.gz test.mlinfo.gz`

Input files in **MACH** output format (compressed), e.g. **test.mldose.gz** and **test.mlinfo.gz**.

`--out test`

Specify output root filename.

## 2. Data management

`--keep test.indi.list`

Specify a list of individuals to be included in the analysis.

`--remove test.indi.list`

Specify a list of individuals to be excluded from the analysis.

`--chr 1`

Include SNPs on a specific chromosome in the analysis, e.g. chromosome 1.

`--autosome-num 22`

Specify the number of autosomes for a species other than human. For example, if you specify the number of autosomes to be 19, then chromosomes 1 to 19 will be recognized as autosomes and chromosome 20 will be recognized as the X chromosome. The default number is 22 if this option not specified.

`--autosome`

Include SNPs on all of the autosomes in the analysis.

`--extract test.snplist`

Specify a list of SNPs to be included in the analysis.

### Input file format

***test.snplist***

rs103645

rs175292

.....

`--exclude test.snplist`

Specify a list of SNPs to be excluded from the analysis.

`--extract-snp rs123678`

Specify a SNP to be included in the analysis.

`--exclude-snp rs123678`

Specify a single SNP to be excluded from the analysis.

`--maf 0.01`

Exclude SNPs with minor allele frequency (MAF) less than a specified value, e.g. 0.01.

`--max-maf 0.1`

Include SNPs with MAF less than a specified value, e.g. 0.1.

`--update-sex test.indi.sex.list`

Update sex information of the individuals from a file.

#### Input file format

**test.indi.sex.list** (no header line; columns are family ID, individual ID and sex). Sex coding: "1" or "M" for male and "2" or "F" for female.

```
011      0101  1
```

```
012      0102  2
```

```
013      0103  1
```

```
.....
```

`--update-ref-allele test_reference_allele.txt`

Assign a list of alleles to be the reference alleles for the SNPs included in the analysis. By default, the first allele listed in the **\*.bim** file (the 5<sup>th</sup> column) or **\*.mlinfo.gz** file (the 2<sup>nd</sup> column) is assigned to be the reference allele. **NOTE:** This option is invalid for the imputed dosage data only.

#### Input file format

**test\_reference\_allele.txt** (no header line; columns are SNP ID and reference allele)

```
rs103645 A
rs175292 G
.....
```

`--imput-rsq 0.3`

Include SNPs with imputation  $R^2$  (squared correlation between imputed and true genotypes) larger than a specified value, e.g. 0.3.

`--update-imput-rsq test.imput.rsq`

Update imputation  $R^2$  from a file. For the imputed dosage data, you do not have to use this option because **GCTA** can read the imputation  $R^2$  from the **\*.mlinfo.gz** file unless you want to write them. For the best guess data (usually in **PLINK** format), if you want to use a  $R^2$  cut-off to filter SNPs, you need to use this option to read the imputation  $R^2$  values from the specified file.

**Input file format**

**test.imput.rsq** (no header line; columns are SNP ID and imputation  $R^2$ )

```
rs103645 0.976
rs175292 1.000
.....
```

`--freq`

Output allele frequencies of the SNPs included in the analysis (in plain text format), e.g.

**Output file format**

**test.freq** (no header line; columns are SNP ID, reference allele and its frequency)

```
rs103645 A 0.312
rs175292 G 0.602
.....
```

`--update-freq test.freq`

Update allele frequencies of the SNPs from a file rather than calculating from the data. The format of the input file is the same as the output format for the option `--freq`.

`--recode`

Output the SNP genotypes in additive coding (in compressed text format), e.g. **test.xmat.gz**.

`--recode-nomiss`

Output the SNP genotypes in additive coding, and fill the missing genotype by its expected value i.e.  $2p$  where  $p$  is the frequency of the reference allele.

#### Output file format

**test.xmat.gz** (The first two lines are header lines. The first line contains headers of family ID, individual ID and names of SNPs. The second line contains two nonsense words "Reference Allele" and the reference alleles of the SNPs. Any missing genotype is represented by "NA" unless the option `--recode-nomiss` is specified, for which the missing genotype will be assigned by  $2p$ ).

| FID       | IID    | rs103645 | rs175292 |
|-----------|--------|----------|----------|
| Reference | Allele | A        | G        |
| 011       | 0101   | 1        | 0        |
| 012       | 0102   | 2        | NA       |
| 013       | 0103   | 0        | 1        |
| .....     |        |          |          |

`--make-bed`

Save the genotype data in PLINK binary PED files (\*.fam, \*.bim and \*.bed).

#### Example

# Convert MACH (or Minimac) dosage data to PLINK binary PED format

```
gcta64 --dosage-mach test.mldose.gz test.mlinfo.gz --make-bed --out test
```

### 3. GCTA-GRM: estimating the genetic relationships between individuals using SNP data

`--make-grm`

or

`--make-grm-bin`

Estimate the genetic relationship matrix (GRM) between pairs of individuals from a set of SNPs and save the lower triangle elements of the GRM to binary files, e.g. **test.grm.bin**, **test.grm.N.bin**, **test.grm.id**.

#### Output files

**test.grm.bin** (it is a binary file which contains the lower triangle elements of the GRM).

**test.grm.N.bin** (it is a binary file which contains the number of SNPs used to calculate the GRM).

**test.grm.id** (no header line; columns are family ID and individual ID, see above).

You can not open test.grm.bin or test.grm.N.bin by a text editor but you can use the following R script to read them in R)

#### # R script to read the GRM binary file

```
ReadGRMBin=function(prefix, AllN=F, size=4){
  sum_i=function(i){
    return(sum(1:i))
  }
  BinFileName=paste(prefix,".grm.bin",sep="")
  NFileName=paste(prefix,".grm.N.bin",sep="")
  IDFileName=paste(prefix,".grm.id",sep="")
  id = read.table(IDFileName)
  n=dim(id)[1]
  BinFile=file(BinFileName, "rb");
  grm=readBin(BinFile, n=n*(n+1)/2, what=numeric(0), size=size)
  NFile=file(NFileName, "rb");
  if(AllN==T){
    N=readBin(NFile, n=n*(n+1)/2, what=numeric(0), size=size)
  }
  else N=readBin(NFile, n=1, what=numeric(0), size=size)
  i=apply(1:n, sum_i)
  return(list(diag=grm[i], off=grm[-i], id=id, N=N))
}
```

#### --make-grm-gz

Estimate the GRM, save the lower triangle elements to a compressed text file (e.g.

**test.grm.gz**) and save the IDs in a plain text file (e.g. **test.grm.id**).

#### Output file format

**test.grm.gz** (no header line; columns are indices of pairs of individuals (row numbers of the **test.grm.id**), number of non-missing SNPs and the estimate of genetic relatedness)

```
1 1 1000 1.0021
2 1 998 0.0231
2 2 999 0.9998
3 1 1000 -0.0031
```

.....

**test.grm.id** (no header line; columns are family ID and individual ID)

```
011 0101
012 0102
013 0103
```

.....

#### --make-grm-xchr

Estimate the GRM from SNPs on the X-chromosome. The GRM will be saved in the same binary format as above (\*.grm.bin, \*.grm.N.bin and \*.grm.id). Due to the speciality of the



GRM for the X-chromosome, it is not recommended to manipulate the matrix by `--grm-cutoff` or `--grm-adj`, or merge it with the GRMs for autosomes (see below for the options of manipulating the GRM).

### `--make-grm-xchr-gz`

Same as `--make-grm-xchr` but the GRM will be in compressed text files (see `--make-grm-gz` for the format of the output files).

### `--ibc`

Estimate the inbreeding coefficient from the SNPs by 3 different methods (see the software paper for details).

#### Output file format

**test.ibc** (one header line; columns are family ID, individual ID, number of nonmissing SNPs, estimator 1, estimator 2 and estimator 3)

| FID   | IID  | NOMISS | Fhat1   | Fhat2   | Fhat3   |
|-------|------|--------|---------|---------|---------|
| 011   | 0101 | 999    | 0.00210 | 0.00198 | 0.00229 |
| 012   | 0102 | 1000   | -0.0033 | -0.0029 | -0.0031 |
| 013   | 0103 | 988    | 0.00120 | 0.00118 | 0.00134 |
| ..... |      |        |         |         |         |

#### Examples

**# Estimate the GRM from all the autosomal SNPs**

```
gcta64 --bfile test --autosome --make-grm --out test
```

**# Estimate the GRM from the SNPs on the X-chromosome**

```
gcta64 --bfile test --make-grm-xchr --out test_xchr
```

**# Estimate the GRM from the SNPs on chromosome 1 with MAF from 0.1 to 0.4**

```
gcta64 --bfile test --chr 1 --maf 0.1 --max-maf 0.4 --make-grm --out test
```

**# Estimate the GRM using a subset of individuals and a subset of autosomal SNPs with MAF < 0.01**

```
gcta64 --bfile test --keep test.indi.list --extract test.snp.list --autosome --maf 0.01 --make-grm --out test
```

**# Estimate the GRM from the imputed dosage scores for the SNPs with MAF > 0.01 and imputation  $R^2 > 0.3$**

```
gcta64 --dosage-mach test.mldose.gz test.mlinfo.gz --imput-rsq 0.3 --maf 0.01 --make-grm --out test
```

**# Estimate the GRM from the imputed dosage scores for a subset of individuals and a subset of SNPs**

```
gcta64 --dosage-mach test.mldose.gz test.mlinfo.gz --keep test.indi.list --extract test.snp.list --make-grm --out test
```

**# Estimate the inbreeding coefficient from all the autosomal SNPs**

```
gcta64 --bfile test --autosome --ibc --out test
```

#### 4. Manipulation of the genetic relationship matrix

```
--grm test
```

or

```
--grm-bin test
```

Input the GRM generated by `--make-grm` option. This option actually tells **GCTA** to read three files, e.g. **test.grm.bin**, **test.grm.N.bin** and **test.grm.id** (See the option `--make-grm`). **GCTA** automatically adds suffix `“.grm.bin”`, `“.grm.N.bin”` or `“.grm.id”` to the specified root filename. If the **test.grm.N.bin** file (which contains the number of SNPs used to calculate GRM) is missing, the program will still be running because all the analysis except `--grm` do not actually need the the number of SNPs used to calculate the GRM.

```
--grm-gz test
```

To be compatible with the previous version of GCTA. Same as `--grm` but read the GRM files in compressed text format generated by `--make-grm-gz` option. This option actually tells **GCTA** to read two files, e.g. **test.grm.gz** and **test.grm.id** (See the option `--make-grm-gz`). **GCTA** automatically adds suffix `“.grm.gz”` and `“.grm.id”` to the specified root filename.

#### Examples: converting the two formats from each other

```
# From *.grm.gz to *.grm.bin
```

```
gcta64 --grm-gz test --make-grm --out test
```

```
# From *.grm.bin to *.grm.gz
```

```
gcta64 --grm test --make-grm-gz --out test
```

```
--mgrm multi_grm.txt
```

or

```
--mgrm-bin multi_grm.txt
```

Input multiple GRMs in binary format (See the option `--make-grm`). The root filenames of multiple GRMs are given in a file, e.g. **multi\_grm.txt**

#### Input file format

**multi\_grm.txt** (full paths can be specified if the GRM files are in different directories)

```
test_chr1
test_chr2
test_chr3
.....
test_chr22
```

`--mgrm-gz multi_grm.txt`

To be compatible with the previous version of GCTA. Same as `--mgrm` but read the GRM files in compressed text format generated by `--make-grm-gz`.

### Example

**# This option is very useful to deal with large dataset. You can firstly run the jobs (split one job into 22 pieces)**

```
gcta64 --bfile test --chr 1 --make-grm --out test_chr1
```

```
gcta64 --bfile test --chr 2 --make-grm --out test_chr2
```

```
...
```

```
gcta64 --bfile test --chr 22 --make-grm --out test_chr22
```

**# To estimate the GRMs from the SNPs on each chromosome, then merge them by the command**

```
gcta64 --mgrm multi_grm.txt --make-grm --out test
```

`--grm-cutoff 0.025`

Remove one of a pair of individuals with estimated relatedness larger than the specified cut-off value (e.g. 0.025). **GCTA** selectively removes individuals to maximize the remaining sample size rather than doing it at random. **NOTE:** When merging multiple GRMs, this option does not apply to each single GRM but to the final merged GRM.

`--grm-adj 0`

When using the SNPs to predict the genetic relationship at causal loci, we have to adjust the prediction errors due to imperfect LD because of two reasons: 1) the use of only a finite number of SNPs; 2) causal loci tend to have lower MAF than the genotyped SNPs (input 0 if you assume that the causal loci have similar distribution of allele frequencies as the genotyped SNPs) (see Yang et al. 2010 Nat Genet for details).

`--dc 1`

By default, the GRM, especially for the X-chromosome, is parameterized under the assumption of equal variance for males and females, unless the option `--dc` is specified (1 and 0 for full and no dosage compensation, respectively). **You need to use the option `--update-sex` to read sex information of the individuals from a file (see the `--update-sex` option above).**

**NOTE:** you can add the option `--make-grm` or `--make-grm-gz` afterwards to save the modified GRM. You can also use the option `--keep` and/or `--remove` in combination with these five commands. It is also possible to use these five commands in the REML analysis (see the section below).

### Examples

**# Prune the GRM by a cutoff of 0.025 and adjust for prediction errors assuming the causal variants have similar distribution of allele frequencies as the genotyped SNPs)**

```
gcta64 --grm test --grm-adj 0 --grm-cutoff 0.025 --make-grm --out test_adj
```

**# Use `--keep` or `--remove` option**

```
gcta64 --grm test --keep test.indi.list --grm-cutoff 0.025 --make-grm --out test_adj
```

```
gcta64 --grm test --remove test.indi.list --grm-adj 0 --make-grm --out test_adj
```

**# Assume full and no dosage compensation for the X chromosome**

```
gcta64 --grm test_xchr --dosage-compen 1 --update-sex test.indi.sex.list --make-grm --out test_xchr_fdc
```

```
gcta64 --grm test_xchr --dosage-compen 0 --update-sex test.indi.sex.list --make-grm --out test_xchr_ndc
```

## 5. Principal component analysis

`--pca 20`

Input the GRM and output the first  $n$  ( $n = 20$ , by default) eigenvalues (saved as `*.eigenval`, plain text file) and eigenvectors (saved as `*.eigenvec`, plain text file), which are equivalent to those calculated by the program **EIGENSTRAT**. The only purpose of this option is to calculate the first  $m$  eigenvectors, and subsequently include them as covariates in the model when estimating the variance explained by all the SNPs (see below for the option of estimating the variance explained by genome-wide SNPs). Please find the **EIGENSTRAT** software if you need more sophisticated principal component analysis of the population structure.

### Output file format

`test.eigenval` (no header line; the first  $m$  eigenvalues)

```

20.436
7.1293
6.7267
.....
test.eigenvec (no header line; the first m eigenvectors; columns are family ID, individual ID and the first m
eigenvectors)
011  0101  0.00466824  -0.000947  0.00467529  -0.00923534
012  0102  0.00139304  -0.00686406  -0.0129945  0.00681755
013  0103  0.00457615  -0.00287646  0.00420995  -0.0169046
.....

```

### Examples

**# Input the GRM file and output the first 20 eigenvectors for a subset of individuals**

```
gcta64 --grm test --keep test.indi.list --pca 20 --out test
```

## 6. GCTA-GERML: estimating of the phenotypic variance explained by the SNPs

`--reml`

Perform REML (restricted maximum likelihood) analysis. This option is usually followed by the option `--grm` (one GRM) or `--mgrm` (multiple GRMs) to estimate the variance explained by the SNPs that were used to estimate the genetic relationship matrix.

`--reml-priors 0.45 0.55`

Specify the starting values for REML iterations. The number of starting values specified should NOT be less than the number of variance components in the model. By default, GCTA will use equal variances of all the components as the starting values if this option is not specified.

`--reml-alg 0`

Specify the algorithm to do REML iterations, 0 for average information (AI), 1 for Fisher-scoring and 2 for EM. The default option is 0, i.e. AI-REML, if this option is not specified.

`--reml-no-constrain`

By default, if an estimate of variance component escapes from the parameter space (i.e. negative value), it will be set to be a small positive value that is  $V_p \times 10^{-6}$  with  $V_p$  being the phenotypic variance. If the estimate keeps on escaping from the parameter space, the estimate will be constrained to be  $V_p \times 10^{-6}$ . If the option `--reml-no-constrain` is specified, the program will allow an estimate of variance component to be negative, which may result in the estimate of proportion variance explained by all the SNPs  $> 1$ .

`--reml-maxit 100`

Specify the maximum number of iterations. The default number is 100 if this option is not specified.

`--pheno test.phen`

Input phenotype data from a plain text file, e.g. **test.phen**. If the phenotypic value is coded as 0 or 1, then it will be recognized as a case-control study (0 for controls and 1 for cases). Missing value should be represented by "-9" or "NA".

#### Input file format

**test.phen** (no header line; columns are family ID, individual ID and phenotypes)

```
011 0101 0.98
```

```
012 0102 -0.76
```

```
013 0103 -0.06
```

```
.....
```

`--mpheno 2`

If the phenotype file contains more than one trait, by default, **GCTA** takes the first trait for analysis (the third column of the file) unless this option is specified. For example, `--mpheno 2` tells **GCTA** to take the second trait for analysis (the fourth column of the file).

`--gxe test.gxe`

Input an environmental factor from a plain text file, e.g. **test.gxe**. Apart from estimating the genetic variance, this command tells **GCTA** to estimate the variance of genotype-environment (GE) interaction. You can fit multiple environmental factors simultaneously. The main effects of an environmental factor will be included in the model as fixed effects

and the GE interaction effects will be treated as random effects. **NOTE:** the design matrix of the overall mean in the model (which is a vector of all ones) is always a linear combination of the design matrix of a discrete environmental factor so that not all the main effects (fixed effects) are estimable. GCTA will always constrain the main effect of the first level to be zero and the main effect of any other level represents its difference in effect compared to the first level. For example, if you fit sex as an environmental factor, GCTA will fit only one main effect in the model, i.e. the mean difference between males and females.

#### Input file format

**test.gxe** (no header line; columns are family ID, individual ID and environmental factors)

```
01  0101  F   smoker
02  0203  M   nonsmoker
03  0305  F   smoker
.....
```

#### `--covar test.covar`

Input discrete covariates from a plain text file, e.g. **test.covar**. Each discrete covariate is recognized as a categorical factor with several levels. The levels of each factor can be represented by a single character, word or numerical number. **NOTE:** the design matrix of the mean in the model (which is a vector of all ones) is always a linear combination of the design matrix of a discrete covariate so that not all the effects of the levels (or classes, e.g. male and female) of a discrete covariate are estimable. GCTA will always constrain the effect of the first level to be zero and the effect of any other level represents its difference in effect compared to the first level.

#### Input file format

**test.covar** (no header line; columns are family ID, individual ID and discrete covariates)

```
01  0101  F   Adult      0
02  0203  M   Adult      0
03  0305  F   Adolescent  1
.....
```

#### `--qcovar test.qcovar`

Input quantitative covariates from a plain text file, e.g. **test.qcovar**. Each quantitative covariate is recognized as a continuous variable.

### Input file format

**test.qcovar** (no header line; columns are family ID, individual ID and quantitative covariates)

```
01  0101  -0.024  0.012
02  0203  0.032   0.106
03  0305  0.143  -0.056
.....
```

### `--reml-lrt 1`

Calculate the log likelihood of a reduce model with one or multiple genetic variance components dropped from the full model and calculate the LRT and p-value. By default, GCTA will always calculate and report the LRT for the first genetic variance component, i.e. `--reml-lrt 1`, unless you re-specify this option, e.g. `--reml-lrt 2` assuming there are a least two genetic variance components included in the analysis. You can also test multiple components simultaneously, e.g. `--reml-lrt 1 2 4`. See **FAQ #1** for more details.

### `--reml-no-lrt`

Turn off the LRT.

### `--prevalence 0.01`

Specify the disease prevalence for a case-control study. Once this option is specified, GCTA will transform the estimate of variance explained,  $V(1)/V_p$ , on the observed scale to that on the underlying scale,  $V(1)/V_{p\_L}$ . The prevalence should be estimated from a general population in literatures rather than that estimated from the sample.

### **NOTE:**

1. You do not have to have exactly the same individuals in these files. **GCTA** will find the individuals in common in the files and sort the order of the individuals.
2. Please be aware that if the GRM is estimated from the imputed SNPs (either “best guess” or “dosage score”), the estimate of variance explained by the SNPs will depend on the imputation- $R^2$  cutoff used to select SNPs because the imputation- $R^2$  is correlated with MAF, so that selection on imputation- $R^2$  will affect the MAF spectrum and thus affect the estimate of variance explained by the SNPs.



3. **For a case-control study, the phenotypic values of cases and controls should be specified as 1 and 0 (or 2 and 1, compatible with PLINK), respectively.**
4. Any missing value (either phenotype or covariate) should be represented by “-9” or “NA”.
5. The summary result of REML analysis will be saved in a plain text file (\*.hsq).

#### Output file format

*test.hsq* (rows are

header line;

name of genetic variance, estimate and standard error (SE);

residual variance, estimate and SE;

phenotypic variance, estimate and SE;

ratio of genetic variance to phenotypic variance, estimate and SE;

log-likelihood;

sample size). If there are multiple GRMs included in the REML analysis, there will be multiple rows for the genetic variance (as well as their ratios to phenotypic variance) with the names of V(1), V(2), ... .

| Source  | Variance | SE       |
|---------|----------|----------|
| V(1)    | 0.389350 | 0.161719 |
| V(e)    | 0.582633 | 0.160044 |
| Vp      | 0.971984 | 0.031341 |
| V(1)/Vp | 0.400573 | 0.164937 |

The estimate of variance explained on the observed scale is transformed to that on the underlying scale:

(Proportion of cases in the sample = 0.5; User-specified disease prevalence = 0.1)

|           |          |          |
|-----------|----------|----------|
| V(1)/Vp_L | 0.657621 | 0.189123 |
|-----------|----------|----------|

logL -945.65

logL0 -940.12

LRT 11.06

Pval 4.41e-4

n 2000

#### --reml-est-fix

Output the estimates of fixed effects on the screen.

#### --reml-pred-rand

Predict the random effects by the BLUP (best linear unbiased prediction) method. This option is actually to predict the total genetic effect (called “breeding value” in animal

genetics) of each individual attributed by the aggregative effect of the SNPs used to estimate the GRM. The total genetic effects of all the individuals will be saved in a plain ext file **\*.indi.blp**.

#### Output file format

**test.indi.blp** (no header line; columns are family ID, individual ID, **an intermediate variable, the total genetic effect, another intermediate variable and the residual effect**).

If there are multiple GRMs fitted in the model, each GRM will insert additional two columns, , i.e. an intermediate variable and a total genetic effect, in front of the last two columns)

```
01  0101  -0.012  -0.014  -0.010  -0.035
02  0203  0.021   0.031  -0.027  -0.031
03  0305  0.097   0.102  -0.026  -0.041
.....
```

`--blup-snp test.indi.blp`

Calculate the BLUP solutions for the SNP effects (you have to specify the option `--bfile` to read the genotype data). This option takes the output of the option `--reml-pred-rand` as input (**\*.indi.blp** file) and transforms the BLUP solutions for individuals to the BLUP solutions for the SNPs, which can subsequently be used to predict the total genetic effect of individuals in an independent sample by **PLINK** `--score` option.

#### Output file format

**test.snp.blp** (columns are SNP ID, reference allele and BLUP of SNP effect; if there are multiple GRMs fitted in the model, each GRM will add an additional column to the file)

```
rs103645  A  0.00312
rs175292  G -0.00021
.....
```

#### Examples

**# Without GRM (fitting the model under the null hypothesis that the additive genetic variance is zero)**

```
gcta64 --reml --pheno test.phen --out test_null
```

```
gcta64 --reml --pheno test.phen --keep test.indi.list --out test_null
```

**# One GRM (quantitative traits)**

```
gcta64 --reml --grm test --pheno test.phen --reml-pred-rand --qcovar test_10PCs.txt --out test
```

```
gcta64 --reml --grm test --pheno test.phen --grm-adj 0 --grm-cutoff 0.05 --out test
```

```
gcta64 --reml --grm test --pheno test.phen --keep test.indi.list --grm-adj 0 --out test
```

**# One GRM (case-control studies)**

```

gcta64 --reml --grm test --pheno test_cc.phen --prevalence 0.01 --out test_cc
gcta64 --reml --grm test --pheno test_cc.phen --prevalence 0.01 --qcovar test_10PCs.txt --out test_cc
# GxE interaction (LRT test for the significance of GxE)
gcta64 --reml --grm test --pheno test.phen --gxe test.gxe --reml-lrt 2 --out test
# Multiple GRMs
gcta64 --reml --mgrm multi_grm.txt --pheno test.phen --reml-no-lrt --out test_mgrm
gcta64 --reml --mgrm multi_grm.txt --pheno test.phen --keep test.indi.list --reml-no-lrt --out test_mgrm
# BLUP solutions for the SNP effects
gcta64 --bfile test --blup-snp test.indi.blp --out test

```

## 7. Estimation of the LD structure in the genomic regions specified by a list of SNPs

For each target SNP, GCTA uses simple regression to search for SNPs that are in significant LD with the target SNP.

`--ld ld.snplist`

Specify a list of SNPs.

`--ld-wind 5000`

Search for SNPs in LD with a target SNP within *d* Kb (e.g. 5000 Kb) region in either direction by simple regression test.

`--ld-sig 0.05`

Threshold p-value for regression test, e.g. 0.05.

### Example

```
gcta64 --bfile test --ld ld.snplist --ld-wind 5000 --ld-sig 0.05 --out test
```

### Output files

- 1) **test.rsq.ld**, summary of LD structure with each row corresponding to each target SNP. The columns are
  - target SNP
  - length of LD block
  - two flanking SNPs of the LD block
  - total number of SNPs within the LD block

mean  $r^2$

median  $r^2$

maximum  $r^2$

SNP in highest LD with the target SNP

2) **test.r.ld**, the correlations ( $r$ ) between the target SNP and all the SNPs in the LD block.

3) **test.snp.ld**, the names of all the SNPs in the LD with the target SNP.

**Note:** LD block is defined as a region where SNPs outside this region are not in significant LD with the target SNP. According to this definition, the length of LD block depends on user-specified window size and significance level.

## 8. GCTA-Simu: simulating a GWAS based on real genotype data

The phenotypes are simulated based on a set of real genotype data and a simple additive genetic model  $y_j = \sum_i w_{ij} * u_i + \varepsilon_j$ , where  $w_{ij} = (x_{ij} - 2p_i) / \sqrt{2p_i(1 - p_i)}$  with  $x_{ij}$  being the number of reference alleles for the  $i$ -th causal variant of the  $j$ -th individual and  $p_i$  being the allele frequency of the  $i$ -th causal variant,  $u_i$  is the allelic effect of the  $i$ -th causal variant and  $\varepsilon_j$  is the residual effect generated from a normal distribution with mean of 0 and variance of  $va(\sum_i w_{ij} * u_i)(1 - 1 / h^2)$ . For a case-control study, under the assumption of threshold model, cases are sampled from the individuals with disease liabilities ( $y$ ) exceeding the threshold of normal distribution truncating the proportion of  $K$  (disease prevalence) and controls are sampled from the remaining individuals.

`--simu-qt`

Simulate a quantitative trait.

`--simu-cc 100 200`

Simulate a case-control study. Specify the number of cases and the number of controls, e.g. 100 cases and 200 controls. Since the simulation is based on the actual genotype data, the maximum numbers of cases and controls are restricted to be  $n * K$  and  $n * (1-K)$ , respectively, where  $n$  is the sample size of the genotype data.

`--simu-causal-loci causal.snplist`

Assign a list of SNPs as causal variants. If the effect sizes are not specified in the file, they will be generated from a standard normal distribution.

### Input file format

**causal.snplist** (columns are SNP ID and effect size)

```
rs113645 0.025
rs185292 -0.021
.....
```

**--simu-hsq 0.8**

Specify the heritability (or heritability of liability), e.g. 0.8. The default value is 0.1 if this option is not specified.

**--simu-k 0.01**

Specify the disease prevalence, e.g. 0.01. The default value is 0.1 if this option is not specified.

**--simu-rep 100**

Number of simulation replicates. The default value is 1 if this option is not specified.

### Examples

**# Simulate a quantitative trait with the heritability of 0.5 for a subset of individuals for 3 times**

```
gcta64 --bfile test --simu-qt --simu-causal-loci causal.snplist --simu-hsq 0.5 --simu-rep 3 --keep test.indi.list --out test
```

**# Simulate 500 cases and 500 controls with the heritability of liability of 0.5 and disease prevalence of 0.1 for 3 times**

```
gcta64 --bfile test --simu-cc 500 500 --simu-causal-loci causal.snplist --simu-hsq 0.5 --simu-k 0.1 --simu-rep 3 --out test
```

### Output file format

**test.par** (one header line; columns are the name of the causal variant, reference allele, frequency of the reference allele, effect size).

```
QTL      RefAllele  Frequency  Effect
rs13626255  C          0.136     -0.0837
rs779725   G          0.204     -0.0677
.....
```

**test.phen** (no header line; columns are family ID, individual ID and the simulated phenotypes). For the simulation of a case-control study, all the individuals involved in the simulation will be outputted in the file and the phenotypes for the individuals neither sampled as cases nor as controls are treated as missing, i.e. -9.

```
011  0101  1  -9  1
012  0102  2   2 -9
013  0103  1   1  1
.....
```

## 9. Converting illumina raw genotype data into PLINK PED format

We provide a function to convert the raw genotype data (text files generated by GenomeStudio software) into PLINK PED format. **NOTE: this option is under developing. Please contact to us if you have any suggestion.**

`--raw-files raw_genotype_filenames.txt`

Input a file which lists the filenames of the raw genotype data files (one data file per individual).

### Input file format

**raw\_genotype\_filenames.txt** (full paths can be specified if the raw genotype data files are in different directories)

```
raw_genotype_file1
raw_genotype_file2
.....
raw_genotype_file1000
```

The format of the raw genotype data looks like

```
[Header]
GSGT Version  1.6.3
Processing Date 7/7/2010 9:35 AM
Content      HumanOmni1-Quad_v1-0_B.bpm
Num SNPs    1140419
Total SNPs  1140419
Num Samples 1000
Total Samples 1000
File 62 of 1000
[Data]
SNP Name   Sample ID   Sample Group  GC Score   Allele1 - Forward  Allele2 - Forward  Allele1 - Top  Allele2 - Top  Allele1 - Design  Allele2 -
Design    Allele1 - AB  Allele2 - AB  Theta  R    X    Y    X Raw  Y Raw  B Allele Freq  Log R Ratio
200006 000001 000001 0.8203 T    T    A    A    A    A    A    0.018 1.901 1.848 0.053 19622 2436 0.0000 -0.2777
200052 000002 000001 0.8789 T    T    T    T    A    A    B    B    0.958 0.881 0.054 0.827 2667 19381 0.9767 -0.0438
200053 000003 000002 0.6387 T    T    A    A    T    T    A    A    0.105 1.396 1.196 0.200 12889 5067 0.0000 0.0175
200070 000004 000002 0.9221 G    C    C    G    G    C    A    B    0.603 0.545 0.228 0.317 2767 3402 0.5133 -0.0125
200078 000005 000002 0.6779 C    C    G    G    G    G    B    B    0.973 2.048 0.084 1.964 3114 37363 1.0000 0.0710
.....
```

'Allele1-Top' and 'Allele2-Top' are taken as the genotypes for the SNPs.

`--raw-summary SNP_summary_table.txt`

Input a file providing the summary information of the SNPs (one row per SNP). The headers are necessary but they are not keywords and will be ignored by the program. **Note: the program actually only read the first four columns of this file.**

| Index                         | Name                          | Chr                           | Position                      | ChiTest100                    | Het Excess                    | AA Freq                       | AB Freq                       | BB Freq                            | Call Freq                           | Minor Freq                    | Aux                             | P-C Errors                          | P-P-C Errors                      | Rep                                |
|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|------------------------------------|-------------------------------------|-------------------------------|---------------------------------|-------------------------------------|-----------------------------------|------------------------------------|
| Errors                        | 10% GC                        | 50% GC                        | SNP                           | # Calls                       | # no calls                    | Plus/Minus                    | Strand                        | HumanOmni1-Quad_v1-0_B.bpm.Address | HumanOmni1-Quad_v1-0_B.bpm.GenTrain | Score                         | HumanOmni1-Quad_v1-0_B.bpm.Orig | Score                               | HumanOmni1-Quad_v1-0_B.bpm.Edited | HumanOmni1-Quad_v1-0_B.bpm.Cluster |
| Mean                          | HumanOmni1-Quad_v1-0_B.bpm.AA | T Mean                        | HumanOmni1-Quad_v1-0_B.bpm.AA | T Dev                         | HumanOmni1-Quad_v1-0_B.bpm.AB | T Mean                        | HumanOmni1-Quad_v1-0_B.bpm.AB | T Dev                              | HumanOmni1-Quad_v1-0_B.bpm.BB       | T Mean                        | HumanOmni1-Quad_v1-0_B.bpm.BB   | T Dev                               | HumanOmni1-Quad_v1-0_B.bpm.AA     | R Mean                             |
| HumanOmni1-Quad_v1-0_B.bpm.AA | R Dev                         | HumanOmni1-Quad_v1-0_B.bpm.AA | R Dev                         | HumanOmni1-Quad_v1-0_B.bpm.AB | R Mean                        | HumanOmni1-Quad_v1-0_B.bpm.AB | R Dev                         | HumanOmni1-Quad_v1-0_B.bpm.BB      | R Mean                              | HumanOmni1-Quad_v1-0_B.bpm.BB | R Dev                           | HumanOmni1-Quad_v1-0_B.bpm.Address2 | HumanOmni1-Quad_v1-0_B.bpm.Norm   | ID                                 |
| 1                             | 200006                        | 9                             | 139046223                     | 0.6913772                     | 0.03969868                    | 0.124057                      | 0.4819782                     | 0.3939648                          | 1                                   | 0.3650461                     | 0                               | 0                                   | 0                                 | 0.8203169                          |
| 0.8203169                     | [A/G]                         | 1193                          | 0                             | 60702346                      | 0.8030853                     | 0.8030853                     | 0                             | 1                                  | 0.02950359                          | 0.009121547                   | 0.4321907                       | 0.01578533                          | 0.9878551                         | 0.005570452                        |
| 2.313316                      | 0.2726709                     | 2.638608                      | 0.3402262                     | 1.769039                      | 0.1879732                     | 0                             | 3                             | 2                                  | 200052                              | 2                             | 219783037                       | 0.9122009                           | 0.01102628                        | 0.00                               |
| 0.02181208                    | 0.9781879                     | 0.9991618                     | 0.01090604                    | 0                             | 0                             | 0                             | 0                             | 0.8789128                          | 0.8789128                           | [T/A]                         | 1192                            | 1                                   | 37712495                          | 0.8901258                          |
| 0.8901258                     | 0.8901258                     | 0                             | 0.7359893                     | 0.02316774                    | 0.02236068                    | 0.4633549                     | 0.03744823                    | 0.9825876                          | 0.009741872                         | 1.041702                      | 0.1                             | 1.228919                            | 0.1265495                         | 0.8926759                          |
| 0.1                           | 35794467                      | 201                           | .....                         |                               |                               |                               |                               |                                    |                                     |                               |                                 |                                     |                                   |                                    |

`--gencall 0.7`

Specify a cutoff value of GenCall score. The default value is 0.7 if this option is not specified.

### Example

`gcta64 --raw-files raw_geno_filenames.txt --raw-summary SNP_summary_table.txt --out test`

The data will be saved in two files in PLINK PED format, i.e. test.ped and test.map.

## 10. GCTA-COJO: conditional and joint genome-wide association analysis

`--cojo-file test.ma`

Input the summary-level statistics from a meta-analysis GWAS (or a single GWAS).

### Input file format

*test.ma*

| SNP    | A1 | A2 | freq   | b      | se     | p      | N      |
|--------|----|----|--------|--------|--------|--------|--------|
| rs1001 | A  | G  | 0.8493 | 0.0024 | 0.0055 | 0.6653 | 129850 |
| rs1002 | C  | G  | 0.0306 | 0.0034 | 0.0115 | 0.7659 | 129799 |
| rs1003 | A  | C  | 0.5128 | 0.0045 | 0.0038 | 0.2319 | 129830 |
| .....  |    |    |        |        |        |        |        |

Columns are SNP, the effect allele, the other allele, frequency of the effect allele, effect size, standard error, p-value and sample size. The headers are not keywords and will be omitted by the program. **Important: "A1" must be the effect allele with "A2" being the other allele and "freq" should be the frequency of "A1".**

**NOTE: 1) For a case-control study, the effect size should be log(odds ratio) with its corresponding standard error. 2) Please always input the summary statistics of all the SNPs even if your analysis only focuses on a subset of SNPs because the program needs the summary data of all SNPs to calculate the phenotypic variance.**

#### `--cojo-slct`

Perform a stepwise model selection procedure to select independently associated SNPs. Results will be saved in a \*.jma file with additional file \*.jma.ldr showing the LD correlations between the SNPs.

#### `--cojo-joint`

Fit all the included SNPs to estimate their joint effects without model selection. Results will be saved in a \*.jma file with additional file \*.jma.ldr showing the LD correlations between the SNPs.

#### `--cojo-cond cond.snplist`

Perform association analysis of the included SNPs conditional on the given list of SNPs. Results will be saved in a \*.cma.

#### Input file format

##### *cond.snplist*

rs1001

rs1002

.....

#### `--cojo-p 5e-8`

Threshold p-value to declare a genome-wide significant hit. The default value is 5e-8 if not specified. This option is only valid in conjunction with the option `--cojo-slct`. **NOTE: it will be extremely time-consuming if you set a very low significance level, e.g. 5e-3.**

#### `--cojo-wind 10000`



Specify a distance  $d$  (in Kb units). It is assumed that SNPs more than  $d$  Kb away from each other are in complete linkage equilibrium. The default value is 10000 Kb (i.e. 10 Mb) if not specified.

#### `--cojo-collinear 0.9`

During the model selection procedure, the program will check the collinearity between the SNPs that have already been selected and a SNP to be tested. The testing SNP will not be selected if its multiple regression  $R^2$  on the selected SNPs is greater than the cutoff value. By default, the cutoff value is 0.9 if not specified.

#### `--cojo-gc`

If this option is specified, p-values will be adjusted by the genomic control method. By default, the genomic inflation factor will be calculated from the summary-level statistics of all the SNPs unless you specify a value, e.g. `--cojo-gc 1.05`.

#### `--cojo-actual-geno`

If the individual-level genotype data of the discovery set are available (e.g. a single-cohort GWAS), you can use the discovery set as the reference sample. In this case, the analysis will be equivalent to a multiple regression analysis with the actual genotype and phenotype data. Once this option is specified, GCTA will take all pairwise LD correlations between all SNPs into account, which overrides the `--massoc-wind` option. This option also allows GCTA to calculate the variance taken out from the residual variance by all the significant SNPs in the model, otherwise the residual variance will be fixed constant at the same level of the phenotypic variance.

### **Examples (Individual-level genotype data of the discovery set is NOT available) – Robust and recommended**

**# Select multiple associated SNPs through a stepwise selection procedure**

```
gcta64 --bfile test --chr 1 --maf 0.01 --cojo-file test.ma --cojo-slct --out test_chr1
```

**# Estimate the joint effects of a subset of SNPs (given in the file test.snplist) without model selection**

```
gcta64 --bfile test --chr 1 --extract test.snplist --cojo-file test.ma --cojo-joint --out test_chr1
```

**# Perform single-SNP association analyses conditional on a set of SNPs (given in the file cond.snplist) without model selection**

```
gcta64 --bfile test --chr 1 --maf 0.01 --cojo-file test.ma --cojo-cond cond.snplist --out test_chr1
```

It should be more efficient to separate the analysis onto individual chromosomes or even some particular genomic regions. Please refer to the **Data management** section for some other options, e.g. including or excluding a list of SNPs and individuals or filtering SNPs based on the imputation quality score.

### Examples (Individual-level genotype data of the discovery set is available)

**# Select multiple associated SNPs through a stepwise selection procedure**

```
gcta64 --bfile test --maf 0.01 --cojo-file test.ma --cojo-slct --cojo-actual-geno --out test
```

In this case, it is recommended to perform the analysis using the data of all the genome-wide SNPs rather than separate the analysis onto individual chromosomes because GCTA needs to calculate the variance taken out from the residual variance by all the significant SNPs in the model, which could give you a bit more power.

**# Estimate the joint effects of a subset of SNPs (given in the file test.snplist) without model selection**

```
gcta64 --bfile test --extract test.snplist --cojo-file test.ma --cojo-actual-geno --cojo-joint --out test
```

**# Perform single-SNP association analyses conditional on a set of SNPs (given in the file cond.snplist) without model selection**

```
gcta64 --bfile test --maf 0.01 --cojo-file test.ma --cojo-actual-geno --cojo-cond cond.snplist --out test
```

### Output file format

***test.jma* (generate by the option `--cojo-slct` or `--cojo-joint`)**

| Chr | SNP    | bp        | freq   | refA | b      | se     | p        | n      | freq_geno | bj     | bj_se  | pj       | LD_r   |
|-----|--------|-----------|--------|------|--------|--------|----------|--------|-----------|--------|--------|----------|--------|
| 1   | rs2001 | 172585028 | 0.6105 | A    | 0.0377 | 0.0042 | 6.38e-19 | 121056 | 0.614     | 0.0379 | 0.0042 | 1.74e-19 | -0.345 |
| 1   | rs2002 | 174763990 | 0.4294 | C    | 0.0287 | 0.0041 | 3.65e-12 | 124061 | 0.418     | 0.0289 | 0.0041 | 1.58e-12 | 0.012  |
| 1   | rs2003 | 196696685 | 0.5863 | T    | 0.0237 | 0.0042 | 1.38e-08 | 116314 | 0.589     | 0.0237 | 0.0042 | 1.67e-08 | 0.0    |
| ... |        |           |        |      |        |        |          |        |           |        |        |          |        |

Columns are chromosome; SNP; physical position; frequency of the effect allele in the original data; the effect allele; effect size, standard error and p-value from the original GWAS or meta-analysis; estimated effective sample size; frequency of the effect allele in the reference sample; effect size, standard error and p-value from a joint analysis of all the selected SNPs; LD correlation between the SNP *i* and SNP *i* + 1 for the SNPs on the list.

***test.jma.ldr* (generate by the option `--cojo-slct` or `--cojo-joint`)**

| SNP    | rs2001  | rs2002 | rs2003  | ... |
|--------|---------|--------|---------|-----|
| rs2001 | 1       | 0.0525 | -0.0672 | ... |
| rs2002 | 0.0525  | 1      | 0.0045  | ... |
| rs2003 | -0.0672 | 0.0045 | 1       | ... |
| ...    |         |        |         |     |

LD correlation matrix between all pairwise SNPs listed in ***test.jma***.

**test.cma** (generate by the option `--cojo-slct` or `--cojo-cond`)

| Chr | SNP    | bp        | freq   | refA | b      | se     | p        | n      | freq_gen0 | bC     | bC_se  | pC       |
|-----|--------|-----------|--------|------|--------|--------|----------|--------|-----------|--------|--------|----------|
| 1   | rs2001 | 172585028 | 0.6105 | A    | 0.0377 | 0.0042 | 6.38e-19 | 121056 | 0.614     | 0.0379 | 0.0042 | 1.74e-19 |
| 1   | rs2002 | 174763990 | 0.4294 | C    | 0.0287 | 0.0041 | 3.65e-12 | 124061 | 0.418     | 0.0289 | 0.0041 | 1.58e-12 |
| 1   | rs2003 | 196696685 | 0.5863 | T    | 0.0237 | 0.0042 | 1.38e-08 | 116314 | 0.589     | 0.0237 | 0.0042 | 1.67e-08 |
| ... |        |           |        |      |        |        |          |        |           |        |        |          |

Columns are chromosome; SNP; physical position; frequency of the effect allele in the original data; the effect allele; effect size, standard error and p-value from the original GWAS or meta-analysis; estimated effective sample size; frequency of the effect allele in the reference sample; effect size, standard error and p-value from conditional analyses.

## 11. GCTA Bivariate GREML analysis

These options are designed to perform a bivariate REML analysis of **two quantitative traits (continuous)** from population based studies, **two disease traits (binary)** from case control studies, or one quantitative trait and one binary disease trait, to estimate the genetic variance of each trait and that genetic covariance between two traits that can be captured by all SNPs.

### `--reml-bivar 1 2`

By default, GCTA will take the first two traits in the phenotype file for analysis. The phenotype file is specified by the option `--pheno` as described in [univariate REML analysis](#). All the options for [univariate REML analysis](#) are still valid here except `--mpheno`, `--gxe`, `--prevalence`, `--reml-lrt`, `--reml-no-lrt` and `--blup-snp`. All the input files are in the same format as in [univariate REML analysis](#).

### `--reml-bivar-nocove`

By default, GCTA will model the residual covariance between two traits. However, if the traits were measured on different individuals (e.g. two diseases), the residual covariance will be automatically dropped from the model. You could also specify this option to exclude the residual covariance at all time.

### `--reml-bivar-lrt-rg 0`

To test for the hypothesis of fixing the genetic correlation at a particular value, e.g. fixing genetic correlation at -1, 0 and 1.

`--reml-bivar-prevalence 0.1 0.05`

For a bivariate analysis of two disease traits, you can specify the prevalence rates of the two diseases in the general population so that GCTA will transform the estimate of variance explained by the SNPs from the observed 0-1 scale to that on the underlying scale for both diseases.

## Examples

### # With residual covariance

```
gcta64 --reml-bivar --grm test --pheno test.phen --out test
```

### # Without residual covariance

```
gcta64 --reml-bivar --reml-bivar-nocove --grm test --pheno test.phen --out test
```

### # To test for genetic correlation = 0 or 1

```
gcta64 --reml-bivar --reml-bivar-nocove --grm test --pheno test.phen --reml-bivar-lrt-rg 0 --out test
```

```
gcta64 --reml-bivar --reml-bivar-nocove --grm test --pheno test.phen --reml-bivar-lrt-rg 1 --out test
```

**# Case-control data for two diseases (the residual covariance will be automatically dropped from the model if there are not too many samples affected by both diseases)**

```
gcta64 --reml-bivar --grm test_CC --pheno test_CC.phen --reml-bivar-prevalence 0.1 0.05 --out test_CC
```

## Output file format

*test.hsq* (rows are

header line;

genetic variance for trait 1, estimate and standard error (SE);

genetic variance for trait 2, estimate and SE;

genetic covariance between traits 1 and 2, estimate and SE;

residual variance for trait 1, estimate and SE;

residual variance for trait 2, estimate and SE;

residual covariance between traits 1 and 2, estimate and SE;

proportion of variance explained by all SNPs for trait 1, estimate and SE;

proportion of variance explained by all SNPs for trait 2, estimate and SE;

genetic correlation;

sample size).

| Source | Variance | SE |
|--------|----------|----|
|--------|----------|----|

|          |          |          |
|----------|----------|----------|
| V(G)_tr1 | 0.479647 | 0.179078 |
|----------|----------|----------|

|          |          |          |
|----------|----------|----------|
| V(G)_tr2 | 0.286330 | 0.181329 |
|----------|----------|----------|

|           |          |          |
|-----------|----------|----------|
| C(G)_tr12 | 0.230828 | 0.147958 |
|-----------|----------|----------|

```
V(e)_tr1 0.524264 0.176650
V(e)_tr2 0.734654 0.181146
C(e)_tr12 0.404298 0.146863
Vp_tr1 1.003911 0.033202
Vp_tr2 1.020984 0.033800
V(G)/Vp_tr1 0.477779 0.176457
V(G)/Vp_tr2 0.280445 0.176928
rG 0.622864 0.217458
n 3669
```

## 12. GCTA-MLMA: mixed linear model based association analysis

The following options are designed to perform a MLM based association analysis. Previous data management options such as `--keep`, `--extract` and `--maf`, REML analysis options such as `--reml-priors`, `--reml-maxit` and `--reml-no-constrain` and multi-threading option `--thread-num` are still valid for this analysis.

### `--mlma`

This option will initiate an MLM based association analysis including the candidate SNP

$$y = a + bx + g + e$$

where  $y$  is the phenotype,  $a$  is the mean term,  $b$  is the additive effect (fixed effect) of the candidate SNP to be tested for association,  $x$  is the SNP genotype indicator variable coded as 0, 1 or 2,  $g$  is the polygenic effect (random effect) i.e. the accumulated effect of all SNPs (as captured by the GRM calculated using all SNPs) and  $e$  is the residual. For the ease of computation, the genetic variance,  $\text{var}(g)$ , is estimated based on the null model i.e.  $y = a + g + e$  and then fixed while testing for the association between each SNP and the trait. This analysis would be similar as that implemented in other software tools such as EMMAX, FaST-LMM and GEMMA.

The results will be saved in the \*.mlma file.

### `--mlma-loc`

This option will implement an MLM based association analysis with the chromosome, on which the candidate SNP is located, excluded from calculating the GRM. We call it MLM leaving-one-chromosome-out (LOCO) analysis. The model is

$$y = a + bx + g + e$$

where  $g$  is the accumulated effect of all SNPs except those on the chromosome where the candidate SNP is located. The  $\text{var}(g)$  will be re-estimated each time when a chromosome is excluded from calculating the GRM. The MLM-LOCO analysis is computationally less efficient but more powerful as compared with the MLM analysis including the candidate (`--mlma`).

The results will be saved in the `*.loco.mlma` file.

#### `--mlma-no-adj-covar`

If there are covariates included in the analysis, the covariates will be fitted in the null model, a model including the mean term (fixed effect), covariates (fixed effects), polygenic effects (random effects) and residuals (random effects). By default, in order to improve computational efficiency, the phenotype will be adjusted by the mean and covariates, and the adjusted phenotype will subsequently be used for testing SNP association. However, if SNPs are correlated with the covariates, pre-adjusting the phenotype by the covariates will probably cause loss of power. If this option is specified, the covariates will be fitted together with the SNP for association test. However, this will significantly reduce computational efficiency.

#### Examples

**# MLM based association analysis - If you have already computed the GRM**

```
gcta64 --mlma --bfile test --grm test --pheno test.phen --out test --thread-num 10
```

**# MLM based association analysis including the candidate SNP (MLMi)**

```
gcta64 --mlma --bfile test --pheno test.phen --out test --thread-num 10
```

**# MLM leaving-one-chromosome-out (LOCO) analysis**

```
gcta64 --mlma-loco --bfile test --pheno test.phen --out test --thread-num 10
```

#### Output file format

***test.mlma*** or ***test.loco.mlma*** (columns are chromosome, SNP, physical position, reference allele (the coded effect allele), the other allele, frequency of the reference allele, SNP effect, standard error and p-value).

| Chr | SNP    | bp   | A1 | A2 | Freq  | b          | se        | p        |
|-----|--------|------|----|----|-------|------------|-----------|----------|
| 1   | qt12_1 | 1001 | L  | H  | 0.366 | 0.0143857  | 0.0411682 | 0.726761 |
| 1   | qt12_2 | 1002 | H  | L  | 0.326 | -0.0240756 | 0.0421248 | 0.56764  |
| 1   | qt12_3 | 1003 | H  | L  | 0.146 | -0.0921772 | 0.0565541 | 0.103124 |
| ... |        |      |    |    |       |            |           |          |