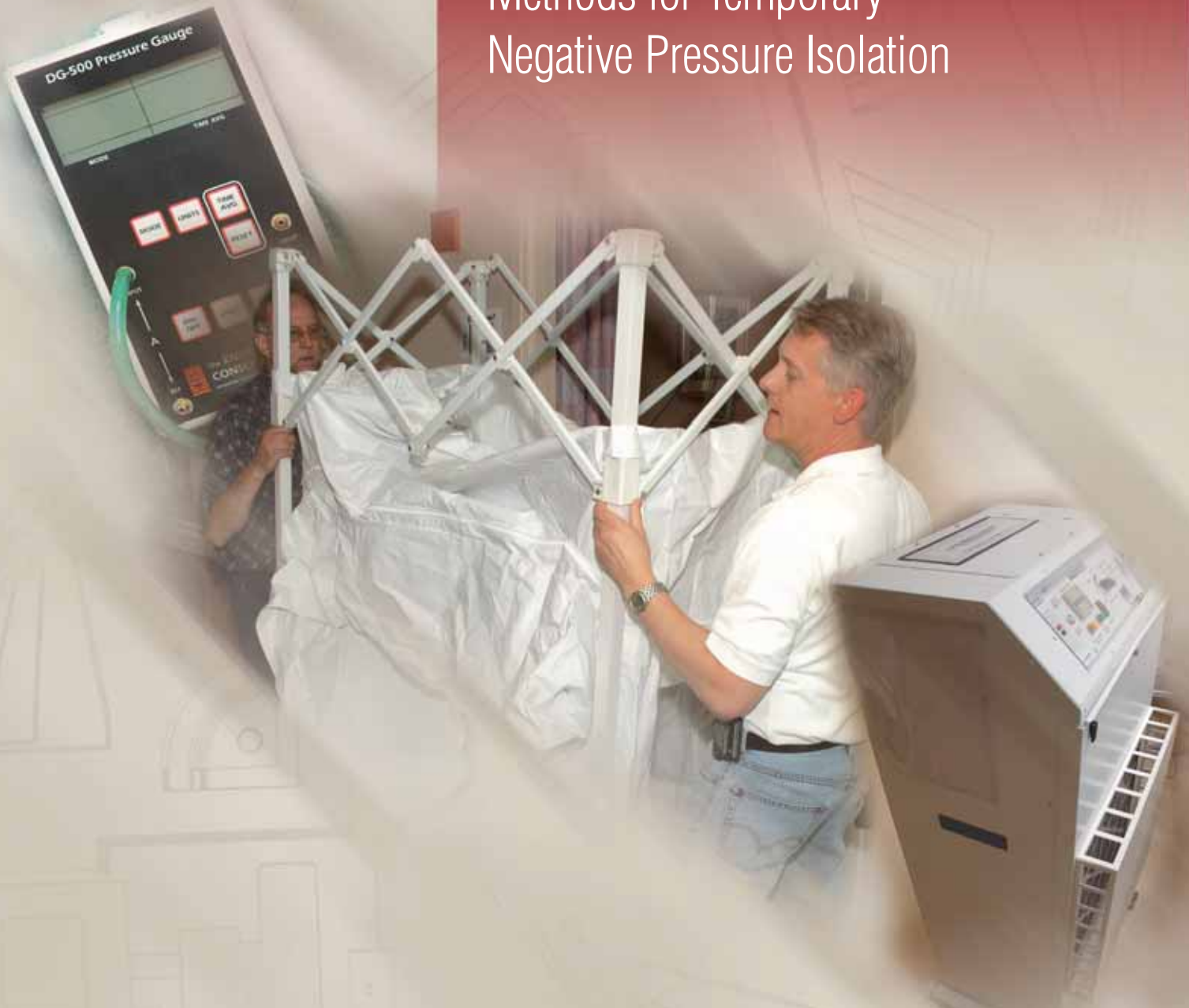


# Airborne Infectious Disease Management

Methods for Temporary  
Negative Pressure Isolation



Minnesota Department of Health

Office of Emergency Preparedness  
Healthcare Systems Preparedness Program

# Airborne Infectious Disease Management

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*This guide has been produced by:*



Office of Emergency Preparedness  
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This user guide is available on the  
Minnesota Department of Health Web site:  
[http://www.health.state.mn.us/oep/training/  
bhpp/isolation.html](http://www.health.state.mn.us/oep/training/bhpp/isolation.html)

## Acknowledgements

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References	Appendix	Surge capacity	Portable anteroom	TNPI Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	<b>Introduction</b>	<b>1</b>
37	20	16	13	5	3	2		

# Introduction

**Hospital preparedness** for bioterrorism and other public health emergencies such as emerging airborne infectious diseases requires strategic planning to ensure that all components of respiratory protection programs, including environmental controls, are in place for **airborne infection isolation rooms (AIIRs)**. Hospitals have insufficient facilities to provide airborne infection isolation for large numbers of patients with airborne infectious diseases presenting in a short time period.<sup>1,2</sup> However, AIIRs have been increased recently, due to requirements of National Bioterrorism Hospital Preparedness Program.<sup>3</sup>

Without adequate environmental controls, patients with airborne infectious diseases will pose a risk

to other patients and health care workers.<sup>4</sup> **Heating, ventilation, and air conditioning (HVAC)** expertise is essential for proper environmental management when planning control of airborne infectious disease outbreaks (natural or intentional). Design manuals and guidelines provide direction for infectious disease management.<sup>5-11</sup> Refer to Appendix A, “**2006 AIA Criteria**” on page 21.

This guide will assist health care facility plant maintenance and engineering staff, in coordination with infection control professionals, to prepare for a natural or terroristic event, involving an infectious agent transmitted by airborne droplet nuclei. Examples of such agents include measles, varicella, and tuberculosis.<sup>5</sup>

## Audience for this Guide

The intended audience for this guideline includes health care:

- facility engineering and maintenance
- infection control
- environmental health and safety
- management personnel

## Purpose of this Guide

- Provide **guidance** on environmental controls for airborne infectious disease management
- Provide a general guide for temporary **setup, installation, and operation** of portable HEPA machines when used to create negative pressure in a hospital room/area
- Provide **instruction on the use** of:
  - Pressure gauges
  - Particle counters
- Outline of **preventative maintenance** schedule for HVAC equipment related to AIIR

## Goal of this Guide

A timely response is crucial for identification and containment of potentially infectious patients. The goal is for facilities to **develop a 12-hour response** to implement containment measures. Temporary negative pressure isolation methods are a safe alternative for hospitals that lack engineered AIIRs. These can be utilized in facilities to meet increased surge capacity for patient isolation. TNPI should also be used during hospital construction projects to reduce risks associated with airborne infectious diseases.

These temporary measures should be incorporated into the facility's infection control and emergency response plans. ▲

References	Appendix	Surge capacity	Portable anteroom	TNPI Temporary Negative Pressure Isolation	Environmental controls	<b>Principles of airborne infectious disease management</b>	Introduction	2
37	20	16	13	5	3		1	

# Principles of airborne infectious disease management

## Airborne infection isolation

is based on the following hierarchy of control measures.

Administrative (work practice) controls

Environmental controls

Personal protective equipment (PPE)

These measures are intended to reduce the risk for exposure to airborne infectious disease agents by uninfected persons. AIIRs and hospital systems in general must be monitored to provide continual protective measures. *Refer to Appendixes B and C, AIIR and HVAC System Maintenance Schedules, on pages 22 and 23.*

## Administrative (work practice) controls

- Managerial measures that reduce the risk for exposure to persons who might have an airborne infectious disease.
- Work practice controls include using infection control precautions while performing aerosol-generating procedures, closing doors to AIIRs, hand hygiene, and signage.



### EXAMPLES

written policies and protocols to ensure the rapid identification, isolation, diagnostic evaluation, and treatment of persons likely to have an airborne infectious disease

## Environmental controls

- Physical or mechanical measures (as opposed to administrative control measures) used to reduce the risk for transmission of airborne infectious diseases.



### EXAMPLES

ventilation  
filtration  
ultraviolet germicidal irradiation  
AIIRs  
local exhaust ventilation devices

## Personal protective equipment (PPE)

- Equipment worn by health care workers and others to reduce exposure to communicable diseases.



### EXAMPLES

gowns  
gloves  
masks  
respirators  
eye protection

References	Appendix	Surge capacity	Portable anteroom	TNPI Temporary Negative Pressure Isolation	<b>Environmental controls</b>	Principles of airborne infectious disease management	Introduction	<b>3</b>
37	20	16	13	5		2	1	

# Environmental controls

This user guide will focus on the **environmental controls** necessary for airborne infection isolation.

The ventilation parameters essential for airborne infection isolation rooms/areas include:

- **Pressure management** for appropriate airflow direction;
- Room air changes for **dilution ventilation**; and
- **Filtration** to remove infectious particles.

- ● ● A difference in pressure causes movement of air from areas at higher pressure to those at lower pressure. **The greater the pressure difference, the greater the resulting air velocity.** The movement of air is used to help provide containment of infectious particles by providing clean to dirty airflow. Refer to Appendix D, "Using a Pressure Gauge to Measure Relative Pressurization Between Two Spaces" on page 24 for instructions on using a pressure gauge to determine differential pressure.

- ● ● The differential pressure or pressure offset is established by mechanically adjusting the supply and exhaust air. **For a negative pressure room, the sum of the mechanically exhausted air must exceed the sum of the mechanically supplied air.** This offset forces air to enter the room under the door and through other leakages and prevents infectious particles from escaping.<sup>9</sup>

- ● ● In order to maintain consistent offset airflow, **the difference between exhaust and supply should create a pressure differential of about 0.01 inch water gauge (in. w.g.) or 2.5 Pascals (Pa).**<sup>9</sup> Pressure in this application is used to induce airflow from adjacent spaces into the isolation room. ▲



**FIGURE 1**

Illustrations used to identify **Negatively** (top) and **Positively** (bottom) pressurized air space.

## Pressure management

For the purposes of this guide, pressure refers to the **differential pressure between two spaces**

(FIGURE 1).

In health care settings, the two spaces are typically the isolation room and the corridor. For AIIR, the room **should be negatively pressurized** in relation to the corridor. This helps to prevent infectious particles from escaping the room envelope.

If an anteroom is present between the AIIR and the corridor, the AIIR may be negatively or positively pressurized to the anteroom. However, if the AIIR is positively pressurized to the anteroom, the anteroom must be negatively pressurized to the corridor.

References	Appendix	Surge capacity	Portable anteroom	TNPI Temporary Negative Pressure Isolation	<b>Environmental controls</b>  <b>Dilation ventilation Filtration</b>	Principles of airborne infectious disease management	Introduction	<b>4</b>
37	20	16	13	5		2	1	

## Dilution ventilation

Mechanical ventilation is used to exchange the air in a space. The time required for removing a given percentage of airborne particles from a room or space depends on the number of **air changes per hour (ACH)**, location of the ventilation inlet and outlet, and the physical configuration of the room or space (FIGURE 2).

Refer to Appendix E, “Using a HEPA Filter for Dilution Ventilation” on page 26. ▲

## Filtration

For the purposes of this guide, **filtration** refers to the *process of passing air through a filter*. Hospital buildings have some of the highest filtration requirements. Without filtration, particle concentrations accumulate in indoor environments. This can cause toxic effects even in healthy people.

Filtration reduces the risk for transmitting airborne infectious agents. Depending upon their size, particles may be deposited in the upper respiratory tract or the lower respiratory tract of humans. Particles can also be deposited in open wounds during dressing changes or invasive procedures. See Appendix F, “Microorganisms Associated with Airborne Transmission” on page 28.

When used correctly, portable HEPA filters prove to be an effective method for achieving an airborne isolation environment.<sup>12</sup> When properly installed and maintained, filters for clinical spaces should be able to **remove at least 90% of particles (0.5 microns in size and larger)**<sup>9</sup> from outside and inside air.

For evaluation of hospital HVAC systems and HEPA filters refer to Appendix G, “Using a Particle Counter to Assess Indoor Air Quality and Filter Efficiency” on page 29.

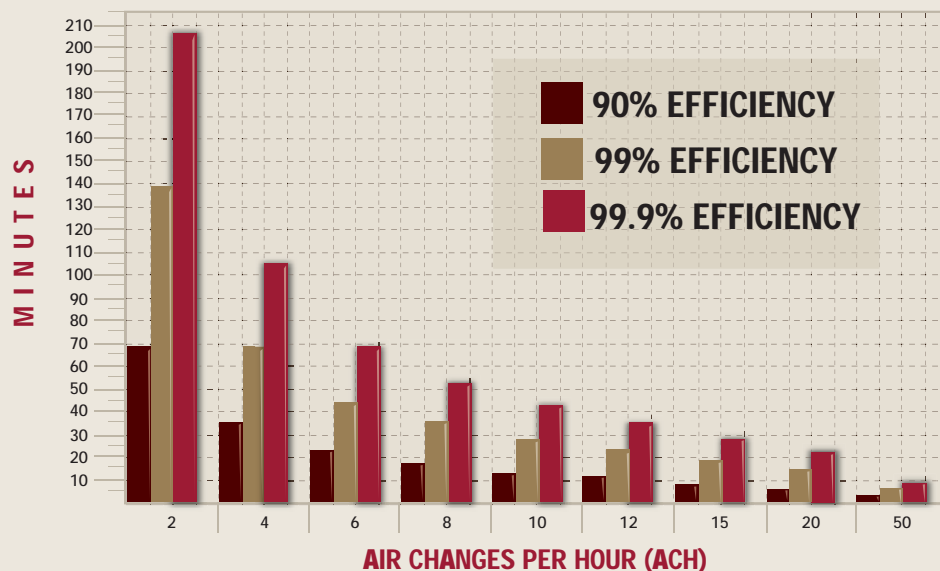
For information on filter selection and performance, see Appendix H, “Data Interpretation” on page 34. See Appendix I, “Sample Log for Measuring Particle Counts” on page 36.▲

**FIGURE 2: ACH AND TIME REQUIRED FOR REMOVAL EFFICIENCIES**

Time (minutes) required for removal of 90%, 99%, and 99.9% of airborne-contaminants.

ACH	90% EFFICIENCY	99% EFFICIENCY	99.9% EFFICIENCY
2	69	138	207
4	35	69	104
6	23	46	69
8	17	35	52
10	14	28	41
12	12	23	35
15	9	18	28
20	7	14	21
50	3	6	8

Modified from Table B.1, CDC Guidelines for Environmental Infection Control in Health-Care Facilities, 2003.<sup>5</sup>



Perfect mixing of air is assumed. For rooms with stagnant air spaces, the time required may be much longer than shown. This is intended only as an approximation and is for ideal ventilation configurations.

References	Appendix	Surge capacity	Portable anteroom	<b>TNPI Temporary Negative Pressure Isolation</b>	Environmental controls	Principles of airborne infectious disease management	Introduction	<b>5</b>
37	20	16	13		3	2	1	

# Temporary Negative Pressure Isolation TNPI

## TNPI Installations Must Observe Building & Fire Codes

All of the TNPI installations must observe state building and fire codes, and **National Fire Protection Association (NFPA) 101 (Life Safety Code)**. Being able to set up a TNPI requires planning and early contact with the authority that has jurisdiction (*your State Health Department*) to establish and verify compliance with applicable codes. In Minnesota, you can contact Fernando Nacionales, P.E., Engineering Services Section at (651) 201-3712 or Fernando.Nacionales@state.mn.us.

**TNPI is considered** when airborne infection isolation is needed and there are no available or insufficient AIIRs, such as can happen when there is an outbreak of an airborne infectious disease with large numbers of communicable patients. Temporary isolation is designed to protect patients and staff from contracting or transmitting highly infectious diseases.

Portable HEPA filters have been used in the past to isolate patients. These filters can also be used as an air scrubber. When used in this fashion, the filter simply cleans the air. **It does not provide pressure management for appropriate airflow direction.** The filter is placed in the room and turned on, without attached ductwork. **This is known as room recirculation and is not a preferred method for isolation.**

There are three types of temporary isolation. The **two most effective methods** of achieving temporary isolation are:



Discharging air to the outside



Discharging air to return air system

**One less effective method** of achieving temporary isolation is:



Curtain TNPI

## HEPA Filter Maintenance

If the HEPA filter is in place for an extended period of time, the pre-filter should be changed when lint buildup becomes visible (*FIGURE 3*). It is important to completely follow the manufacturer's directions for operation and maintenance of portable HEPA filter machines.



**FIGURE 3**  
**Pre-filter** at inlet side of HEPA machine (is shown).



References	Appendix	Surge capacity	Portable anteroom	<b>TNPI</b> Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	Introduction	6
37	20	16	13	<b>Discharging air to the outside</b>	3	2	1	

## Discharging air to the outside



*Creates negative pressure room (airflow into patient room from the corridor or anteroom)*

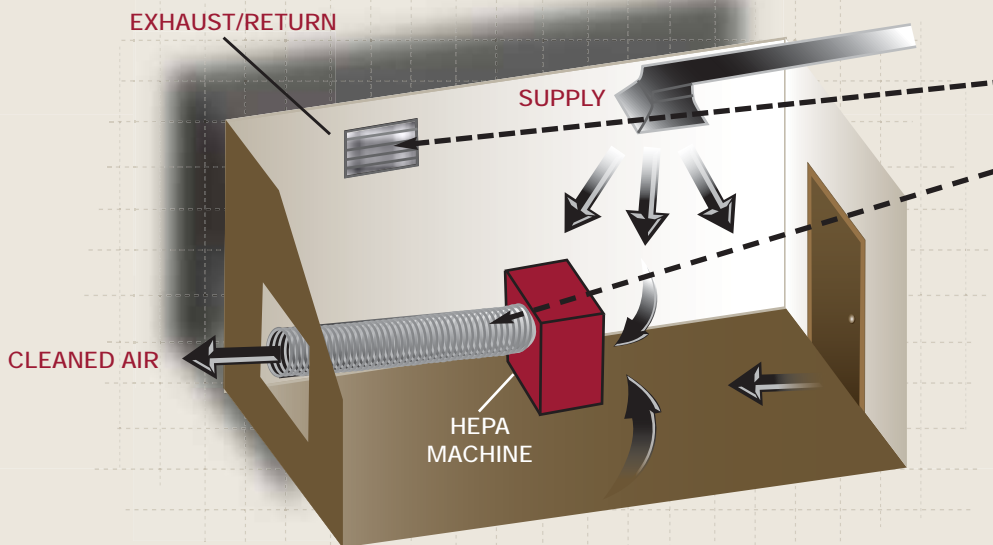
### TNPI Steps for discharging air to the outside

1. Select a room
2. Set up pre-constructed window adapter
3. Set up HEPA machine and flex duct
4. Seal return air grille
5. Turn on HEPA machine and adjust flow

This option is one of the two preferred methods for achieving TNPI.

In this method, a HEPA filter is used to exhaust room air outside through the window. (Clearly, a window is required for this method.) (FIGURE 4)

- The two main purposes of the HEPA machine in this application are to **clean contaminated air** and **induce negative pressure** in the room.
- Because the discharged air is HEPA filtered, no extra consideration for air discharge location is required.



*To prevent pulling air from return air system, the exhaust/return grilles should be sealed with tape.*

*Set up HEPA machine and flex duct.*

tip

Becoming familiar with operating the negative pressure HEPA filter machine on a regular basis (e.g., during construction projects), will better prepare the user for an emergency response. ▲

**FIGURE 4**  
*HEPA fan exhausting clean air outside through the window.*

References	Appendix	Surge capacity	Portable anteroom	<b>TNPI Temporary Negative Pressure Isolation</b>	Environmental controls	Principles of airborne infectious disease management	Introduction	<b>7</b>
37	20	16	13	<b>Discharging air to the outside</b>	3	2	1	

**STEP 1** Select a room

You should choose a room to set up TNPI. The HEPA machine should be **set up in advance to placing the patient** with a suspected airborne infectious disease in the room.

If possible, **select a room without transfer grilles**. If no such room exists, **completely seal the grilles** to promote negative pressure.

**STEP 2** Set up pre-constructed window adapter

When using this option to establish TNPI, **a window adapter must be constructed**. This is used to provide a connection to the flex duct. (FIGURE 5)

The template should be constructed out of wood to fit into a standard window in your hospital's patient care rooms and should **provide an airtight fit**.

A piece of **sheet metal (a flange)** of the **same diameter as the flex duct** should be fixed to the circular hole in the wood to serve as an adapter between the wood template and the flex duct. The use of a window template, flex duct, and fan is common in hospital construction.



**STEP 3** Set up HEPA machine and flex duct

**Connect the flex duct** to window adapter and HEPA machine. (FIGURE 6)

**STEP 4** Seal return air grille

To prevent pulling air from return air system, the **return grilles should be sealed** with tape. A sheet of plastic or cardboard can be used provided that the edges are completely sealed with tape.



FIGURE 6  
Flex duct connected to window template. The other end of the flex duct should be connected to the HEPA machine.



FIGURE 7  
The flow rate on this machine is adjusted with the dial on the right-hand side.

**STEP 5** Turn on HEPA machine and adjust flow

Once the flex duct is connected to the window template and the HEPA machine, the **machine should be turned on**. (FIGURE 7)

Adjust the flow on the output until the desired **pressure differential of negative 2.5 Pa** (pressure in corridor is greater than pressure in patient room) is reached. Increasing the flow will increase the pressure differential, and decreasing the flow will decrease the pressure differential.

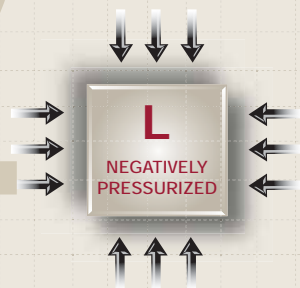
The pressure differential should be measured with a hand-held digital pressure gauge. The **pressure should be monitored daily**.

**Additional Notes for Discharging Air to the Outside**

- In locations with seasonal cold (below-freezing) weather, it may be necessary to **install a damper or louver** on the outside of the window template. This will help to prevent airflow restriction due to condensation or ice/snow buildup.
- When no longer needed, **the HEPA filter and flex duct should be wiped down** with a hospital-approved disinfectant.
- HEPA filters can also be used to enhance dilution ventilation. Refer to Appendix E, "Using a HEPA Filter for Dilution Ventilation" on page 26 for a discussion and example of dilution ventilation. ▲

References	Appendix	Surge capacity	Portable anteroom	<b>TNPI</b> Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	Introduction	<b>8</b>
37	20	16	13	<b>Discharging air to return air system</b>	3	2	1	

## 2 Discharging air to return air system



*Creates negative pressure room (airflow into patient room from the corridor or anteroom)*

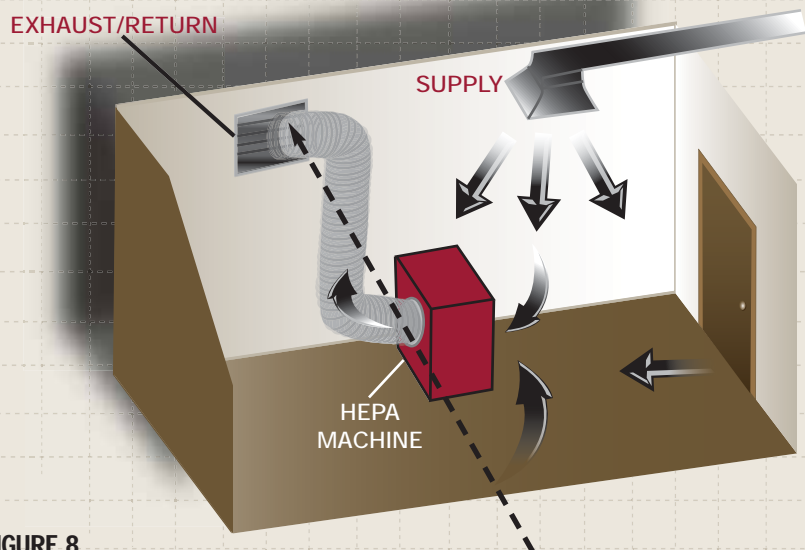
### TNPI 2 Steps for discharging air to return air system

1. Select a room
2. Attach flex duct adapter to desired return grille
3. Set up HEPA filter machine and flex duct
4. Seal remaining return air grilles
5. Turn on HEPA filter machine and adjust flow

This option is one of the two preferred methods for achieving TNPI.

In this method, a HEPA filter machine is used to discharge room air into the return air system. (FIGURE 8)

- You must be careful when exhausting additional large volumes of air through the return air system.
- Because the air is HEPA filtered, it is okay to exhaust it through the return air system. If air was being exhausted by a **regular (non-HEPA filtered) large fan, it should not be discharged** through the return air system.



**FIGURE 8**  
*Discharging air to return air system*

*Attach flex duct adapter to desired return grille.*

**tip** Care must be taken when exhausting a large volume of air into the return air system, as it can over-pressurize the duct. Such changes can disturb the air-balancing of rooms on the same return/exhaust air system. ▲

References	Appendix	Surge capacity	Portable anteroom	<b>TNPI Temporary Negative Pressure Isolation</b>  <b>Discharging air to return air system</b>	Environmental controls	Principles of airborne infectious disease management	Introduction
37	20	16	13		3	2	1

**STEP 1** Select a room

You should choose a room to set up TNPI. The HEPA machine should be **set up in advance to placing the patient** with a suspected airborne infectious disease in the room.

If possible, **select a room without transfer grilles**. If no such room exists, **completely seal the grilles** to promote negative pressure.

**STEP 2** Attach flex duct adapter to desired return grille

Next, attach flex duct adapter to desired return grille. Ideally, if the return is low, connect the flex duct here. However, most returns are high on the wall or ceiling and these can be connected in the same way. It is important to provide a **tight seal between the flex duct and the adapter as well as between the adapter and the return grille**. (FIGURE 9)

Generally, it is easy to differentiate between a supply grille and return grille. If you are not sure, you can use a smoke stick to check airflow direction.

**STEP 3** Set up HEPA machine and flex duct

Connect the flex duct to return grille adapter and HEPA machine. (FIGURE 10)

**STEP 4** Seal remaining air grilles

To prevent pulling air from return air system, additional exhaust/return grilles should be sealed with tape. (FIGURE 11)

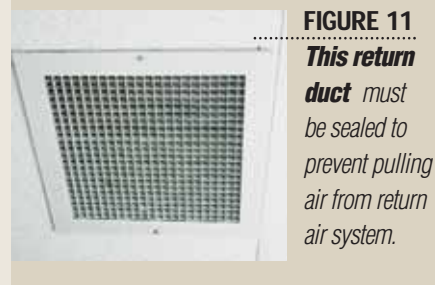
Most rooms have only one supply, a return grille, and one bathroom exhaust. **100% of bathroom discharge is exhausted outside**, so no extra consideration is required. If there is more than one return grille, which is uncommon, the additional grille(s) should be sealed. A sheet of plastic or cardboard can be used provided that the edges are completely sealed with tape.



**FIGURE 9**  
A return grille adapter.



**FIGURE 10**  
A return grille adapter with flex duct attached.



**FIGURE 11**  
This return duct must be sealed to prevent pulling air from return air system.

**STEP 5** Turn HEPA machine on and adjust flow

Once the flex duct is connected to the window template and the HEPA machine, the **machine should be turned on**.

Adjust the flow on the output until the desired **pressure differential of negative 2.5 Pa** (pressure in corridor is greater than pressure in patient room) is reached. Increasing the flow will increase the pressure differential, and decreasing the flow will decrease the pressure differential.

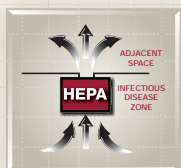
The pressure differential should be measured with a hand-held digital pressure gauge. The **pressure should be monitored daily**.

**Additional Notes for Discharging Air to Return Air System**

- You must be careful when exhausting additional large volumes of air through the return air system.
- When no longer needed, **the HEPA filter and flex duct should be wiped down** with a hospital-approved disinfectant.
- HEPA filters can also be used to enhance dilution ventilation. Refer to Appendix E, "Using a HEPA Filter for Dilution Ventilation" on page 26 for a discussion and example of dilution ventilation. ▲

References	Appendix	Surge capacity	Portable anteroom	<b>TNPI Temporary Negative Pressure Isolation</b>	Environmental controls	Principles of airborne infectious disease management	Introduction	10
37	20	16	13	<b>Curtain TNPI</b>	3	2	1	

# 3 Curtain TNPI



*Curtain TNPI does not provide negative pressure for the entire room, but induces airflow into the enclosed space from surrounding areas and discharges HEPA filtered air from the enclosure to the surrounding space.*

The TNPI methods *1. Discharging Air to the Outside*, and *2. Discharging Air to Return Air System* are preferred over *3. Curtain TNPI*.

In this method, a HEPA filter is used to provide a type of relative negative pressure around a patient. (FIGURE 12)

- This method should be used for **non-ambulatory** patients.
- The area around the bed can be “sealed off” by **attaching fire-rated plastic sheeting** to the curtain track along with duct tape to attach the plastic to the floor.

## TNPI Installations Must Observe Building & Fire Codes

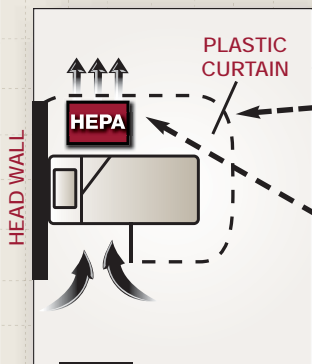


As stated earlier, Temporary Negative Pressure Isolation (TNPI) is considered when airborne infection isolation is needed and there is no available or insufficient AIIRs. This could happen when the number of infectious patients exceeds the number of available rooms.

All of the TNPI installations must observe state building and fire codes, and **National Fire Protection Association (NFPA) 101 (Life Safety Code)**. Being able to set up a TNPI requires planning and early contact with the authority that has jurisdiction (*your State Health Department*) to establish and verify compliance with applicable codes. In Minnesota, you can contact:

Fernando Nacionales, P.E.  
Engineering Services Section  
at (651) 201-3712 or  
Fernando.Nacionales@state.mn.us.

**FIGURE 12**  
**Curtain TNPI**



*The area around the bed can be “sealed off” by attaching fire-rated plastic sheeting.*

*The intake must be within the plastic enclosure and the output must be outside the plastic enclosure.*

References	Appendix	Surge capacity	Portable anteroom	<b>TNPI</b> <b>Temporary Negative Pressure Isolation</b> <b>Curtain TNPI</b>	Environmental controls	Principles of airborne infectious disease management	Introduction	<b>11</b>
37	20	16	13		3	2	1	

**TNPI**  
**3** Steps for setting up **Curtain TNPI**

1. Select a room
2. Attach fire-rated plastic to ceiling
3. Set up portable HEPA filter machine
4. Attach remaining surfaces with strong tape
5. Turn on portable HEPA filter

**STEP 1** Select a room

If the patient is already in a hospital room, the room can be adapted for the Curtain TNPI method.

Due to the proximity of the machine to the patient, noise considerations and patient comfort must be addressed. (FIGURE 13)

As with the health care workers caring for the patient, the health care workers setting up Curtain TNPI **must also wear PPE.**



**FIGURE 13**  
*Standard patient room.*



**FIGURE 14**  
*Health care workers setting up Curtain TNPI must wear PPE.*

**STEP 2** Attach fire-rated plastic to ceiling

It is important to note that **the plastic must be able to fit nearly all the way around the bed.** (FIGURE 14)

Also, *the height should be at least six inches taller than the ceiling*, which allows for the plastic to be taped to the floor. For accessibility to the patient, the plastic should be *at least three feet from the bed on all sides.*

Most patient care rooms have curtain tracks installed around the bed on the ceiling. These can be adapted for use with the plastic sheeting.

In addition, clips can be purchased that will allow the plastic sheeting to be attached to the ceiling grid (assuming the room has a suspended ceiling). (FIGURE 15)

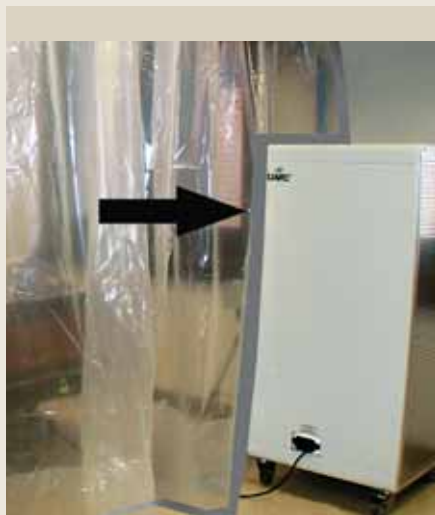


**FIGURE 15**  
*Plastic can be attached to ceiling tile grid or curtain track with clips.*

References	Appendix	Surge capacity	Portable anteroom	<b>TNPI Temporary Negative Pressure Isolation</b>	Environmental controls	Principles of airborne infectious disease management	Introduction	12
37	20	16	13	<b>Curtain TNPI</b>	3	2	1	

**STEP 3** Set up portable HEPA filter machine

The HEPA filter should be inserted into the plastic sheeting. (FIGURE 16)  
 The **plastic needs to be cut so that the HEPA filter can be fit into the space.** The intake must be within the plastic enclosure and the output must be outside the plastic enclosure. This will draw the air from the enclosure, filter it, and exhaust it to the rest of the room. This will provide an airflow that will help to isolate the patient.



**FIGURE 16**  
 Portable HEPA filter machine installed in plastic sheeting. The plastic should be taped around the HEPA machine to provide a seal.

**STEP 4** Attach remaining surfaces with strong tape

Once the HEPA filter is installed and sealed with tape, the remaining surfaces need to be sealed with tape. (FIGURE 17)

**The hanging plastic curtain should be taped to the floor.** This will help to minimize the “leakage area” of the enclosure and provide stronger airflow and containment.

**The curtain near the HEPA filter must be taped to the head wall.** This will also help to minimize the leakage area of the enclosure.



**FIGURE 17**  
 Plastic curtain taped to wall.

**STEP 5** Turn on portable HEPA filter

The last step is to turn on the HEPA filter. If set up correctly, **the sides of the plastic will pull in** (as if under a vacuum). This will indicate that the enclosure is under negative pressure.

**Additional Notes for Curtain TNPI**

- This method **is not the preferred method** for isolating a patient. Health care workers will not be able to move the patient to another area in the building without completely disassembling the Curtain TNPI setup.
- In addition, the set up process can be time consuming and the majority of the set up takes place while the patient is within the enclosure which could increase transmission risk.
- When no longer needed, **the plastic curtain and HEPA filter should be wiped down** with a hospital-approved disinfectant.
- HEPA filters can also be used to enhance dilution ventilation. Refer to Appendix E, “Using a HEPA Filter for Dilution Ventilation” on page 26 for a discussion and example of dilution ventilation. ▲

References	Appendix	Surge capacity	<b>Portable anteroom</b>	TNPI Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	Introduction	13
37	20	16		5	3	2	1	

# Portable anteroom

**Portable anterooms** can be purchased with portable filters to create a space at the entrance to a standard room for TNPI.

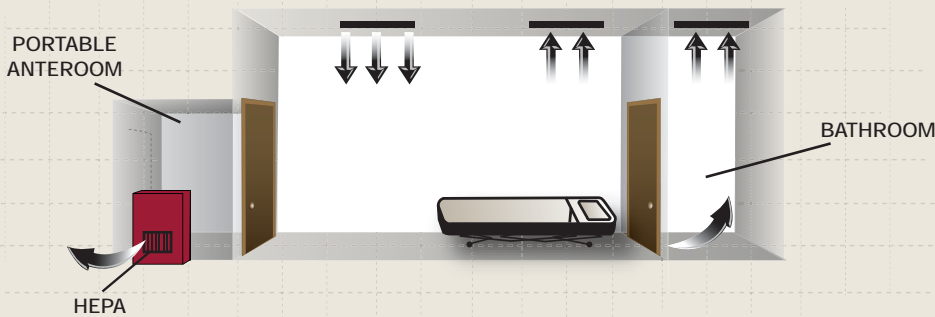
(FIGURE 18)

The advantage of a portable anteroom is the **relatively easy conversion of regular rooms to AIIRs without manipulation of the existing room ventilation.** Portable anterooms are easy to set up and convenient to use because any chosen room can be converted quickly.

A disadvantage of a portable anteroom is the inability to move beds through the door. Another disadvantage is that they do not depressurize the room unless the room door is open.

## Steps for using a Portable Anteroom

1. Set up anteroom to manufacturer's recommendations
2. Attach the HEPA machine
3. Open the doors while HEPA machine is on
4. Close the doors after the patient and/or caregiver enters or leaves the room
5. Both doors should never be open at the same time
6. Clean and disinfect the portable anteroom cover



**FIGURE 18**  
**Portable Anteroom**

*Attach the portable HEPA filter to the appropriate sized portal on the side of the portable anteroom.*

*The anteroom should be placed in front of the patient room door and taped to the wall to secure and cover the seams.*

*When turned on, the HEPA machine should cause the sides of the portable anteroom to pull inward.*

**tip** Before you purchase an anteroom, be sure the anteroom and HEPA filter are compatible and that the anteroom is compatible with the door frame. ▲



References	Appendix	Surge capacity	<b>Portable anteroom</b>	TNPI Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	Introduction	<b>14</b>
37	20	16		5	3	2	1	

**STEP 1** Set up anteroom

The anteroom should be set up according to manufacturer's recommendations. **The anteroom should be placed in front of the patient room door** and taped to the wall to secure and cover the seams.



**STEP 2** Attach the HEPA machine

Attach the portable HEPA filter to the appropriate sized portal on the side of the portable anteroom. When turned on, **the HEPA machine should cause the sides of the portable anteroom to pull inward**, indicating suction.



**STEP 3** Open the doors

The door to the patient room and portable anteroom are **opened for patient transport** while the HEPA machine is on.



**STEP 4** Close the doors

After the patient and/or caregiver enters or leaves the room, the room door and **portable anteroom door should be closed**.



References	Appendix	Surge capacity	<b>Portable anteroom</b>	TNPI Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	Introduction
37	20	16		5	3	2	1

## STEP 5

### Both doors not open at same time

If individual health care workers or visitors enter the room, one door should be closed before the other is opened. **Both doors should never be open at the same time.**

This prevents short circuiting of air through an open doorway.



## STEP 6

### Clean portable anteroom cover

When no longer needed, **the portable anteroom cover should be cleaned and disinfected** with a hospital-approved disinfectant.



#### Additional Notes for Portable Anterooms

- The **door on the portable anteroom and patient room should be closed** except for entry and egress. In addition, **only one door should be opened** at one time.
- There are no standards on the magnitude of anteroom pressure. Therefore, there is no suggestion on airflow output or pressure differential for portable anterooms. It is only suggested that the **airflow is strong enough to pull in the sides** of the anteroom while **not so strong that it produces excess noise.** ▲

## tip

Some permanently engineered AIRs are designed with anterooms. ▲

References	Appendix	<b>Surge capacity</b>	Portable anteroom	TNPI Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	Introduction	16
37	20		13	5	3	2	1	

# Surge capacity

**Isolation surge capacity** is the ability to manage high volumes of specialized patients.

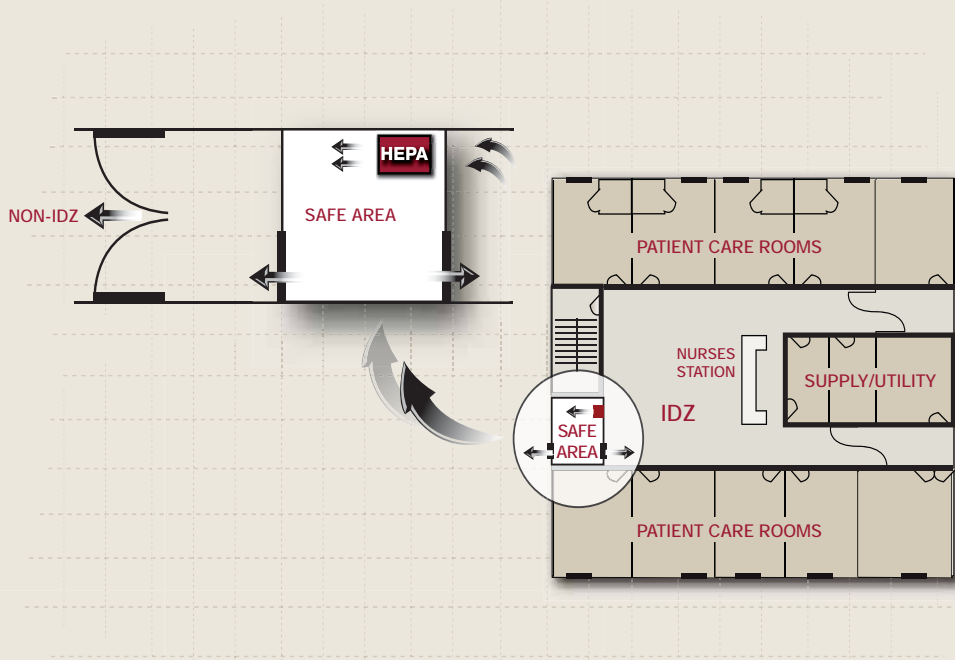
Under extraordinary circumstances where the quantity of engineered airborne infection isolation rooms is insufficient to meet surge demand for patient isolation, hospitals can take various measures to protect patients and staff.<sup>13</sup> This section describes different methods of isolating large numbers of patients, including:

- Smoke Zones
- Engineered System
- Temporary Surge Area

## The Goal of Isolation Surge Capacity

Housing large numbers of patients with airborne infectious diseases is challenging. The goal of surge capacity is to provide areas with safeguards to protect you and other patients from exposure to airborne infectious agents. (FIGURE 19)

An infectious disease zone (IDZ) is a *space used to isolate large numbers of patients.*



**FIGURE 19**  
**An infectious disease zone (IDZ)** is a space used to isolate large numbers of patients.  
 A temporary constructed anteroom (safe area) for surge area is shown.

## Safe Areas

A safe area or anteroom (FIGURE 19) should be created between the IDZ and the rest of the hospital. **A portable HEPA filter is used to pressurize the anteroom.** This also provides clean air in the anteroom. Different models of HEPA filters have different configurations of the air intake. Regardless of the model, **the air intake should be drawing air into the HEPA filter from the IDZ.** The filtered exhaust should be discharged into the anteroom. An airtight seal should be made for all connections.

The anteroom should be large enough to accommodate function (for example, change clothes, hang PPE, dispose of waste, etc.). ▲



## Smoke Zones

Hospitals have smoke zones that are designed to evacuate the smoke if a fire occurs. The zone has smoke-stopped barriers to prevent smoke movement between zones.

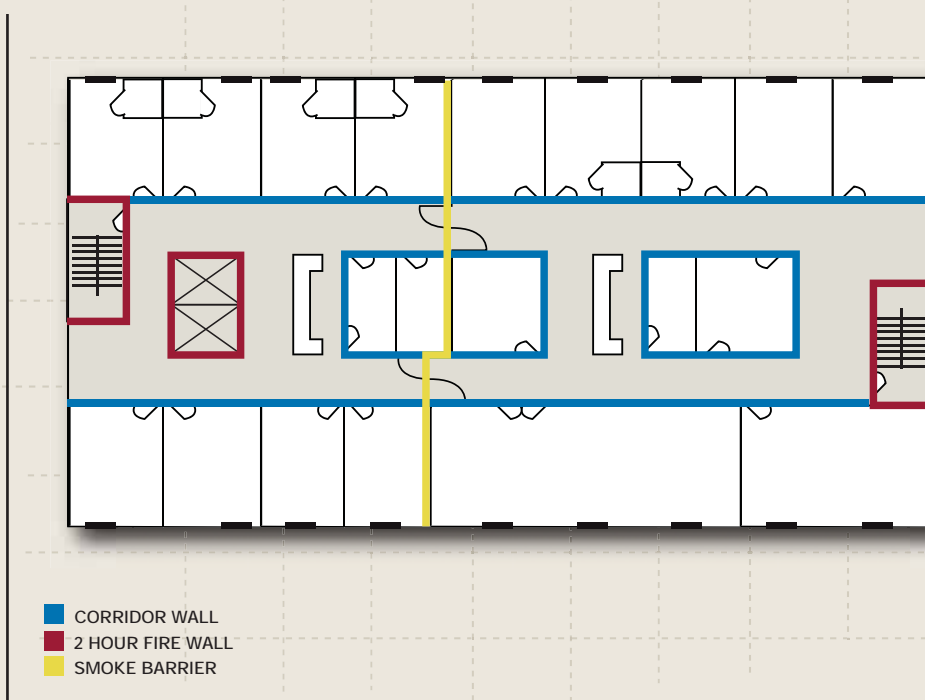
**These airtight barriers would allow for potential isolation of several hospital beds.**

The patient rooms themselves may not be capable of being depressurized by the existing ventilation system. The smoke zone, however, is designed with fire rated doors that close as well as entire zone exhaust capability. Zone exhaust capability effectively isolates the wing, suite or space from other areas of the health care facility. These zones are routinely tested for fire management.

### Smoke Zones as Perimeter of IDZ

The smoke zones can be used as the perimeter of an infectious disease zone (IDZ). (FIGURE 20) **This area can also be negatively pressurized in a method similar to TNPI #1 and #2.** That is, air can be discharged through the window, into the return air system, or discharged to an adjacent space using a portable HEPA filter machine.

As always, when discharging to the return air system, users must be cautious with the volume of air being discharged. ▲



**FIGURE 20**

Example of the **hospital smoke zones** that could be considered for potential isolation areas.

## Engineered System Ducted to the Outside



If the suite or wing is exclusively served by a single air handling unit, the facility engineer may be able to provide 100% exhaust from the area.

(FIGURE 21)

In this method, the infectious disease zone (IDZ) is depressurized using an exhaust diversion system taking air from the IDZ and exhausting it to the outside **25 feet away** from public access and air intakes.

### Damper Manipulation and Changes to the Ventilation System

This method will require damper manipulation and changes to the ventilation system that must be preformed by the facility's management. The exact steps required will vary from hospital to hospital. For example, some hospitals will need to change the settings on their Building Automation System (BAS). Others may be designed with pre-existing controls in the area that allow for this scenario.

**PPE should be worn inside** the IDZ. **The doors to the IDZ must be kept closed** except when entering or exiting.

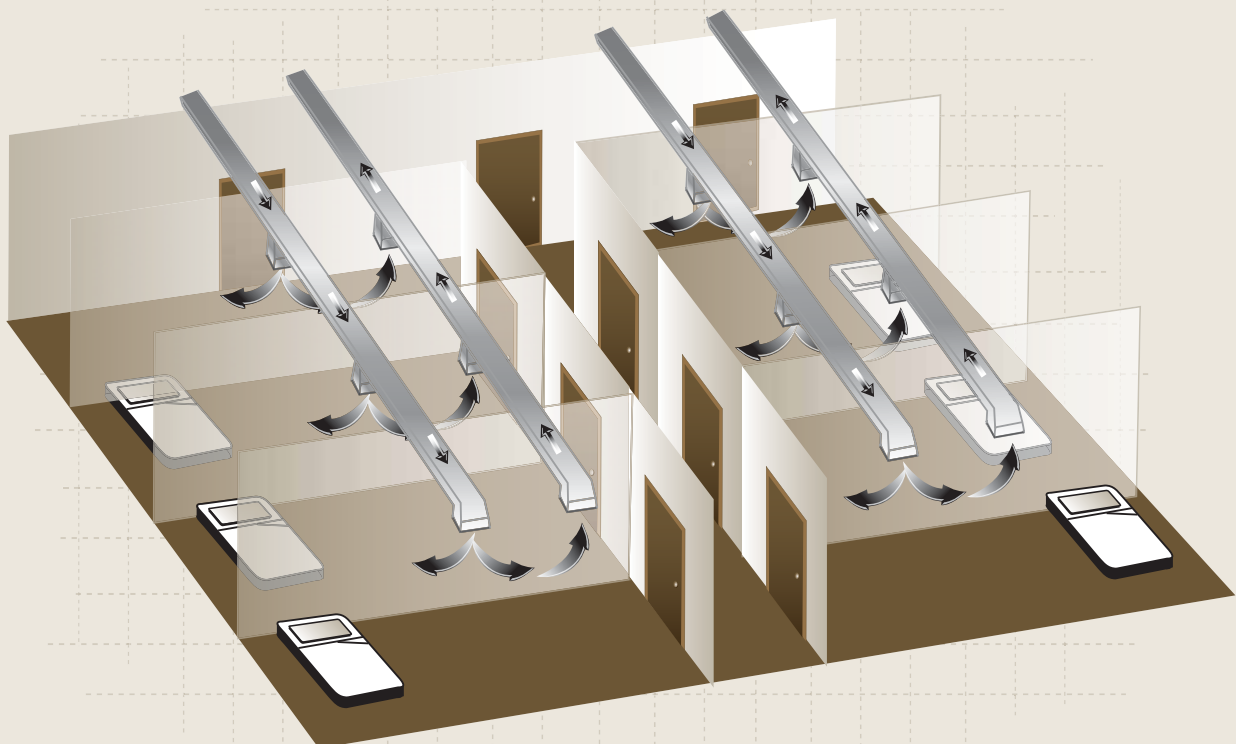


FIGURE 21  
**Engineered System**



## Temporary Surge Area

### Using HEPA Filter

This method can be used to create a temporary surge area using a HEPA filter.

The three options for creating a temporary surge area using a HEPA filter are listed below. (FIGURE 22)

They are similar to Types #1 and #2 of TNPI for a patient room. Each of these options should be used in conjunction with a safe area (anteroom).

#### Discharging through a window

#### Discharging to an adjacent space

#### Discharging to a return air system

These three options may be used in combination to achieve desired pressure differential.

### Discharging through a window

Some considerations to keep in mind when discharging air through a window are:

- A **window template can be created to exhaust** a suite of rooms through a flexible duct hookup from the HEPA filter to the window.
- Large **exhaust fans placed in the window** can be used instead of HEPA filters if the **air is exhausted 25 feet away** from public access and air intakes.
- **More than one machine** may be needed to establish appropriate pressure differential.

### Discharging to an adjacent space

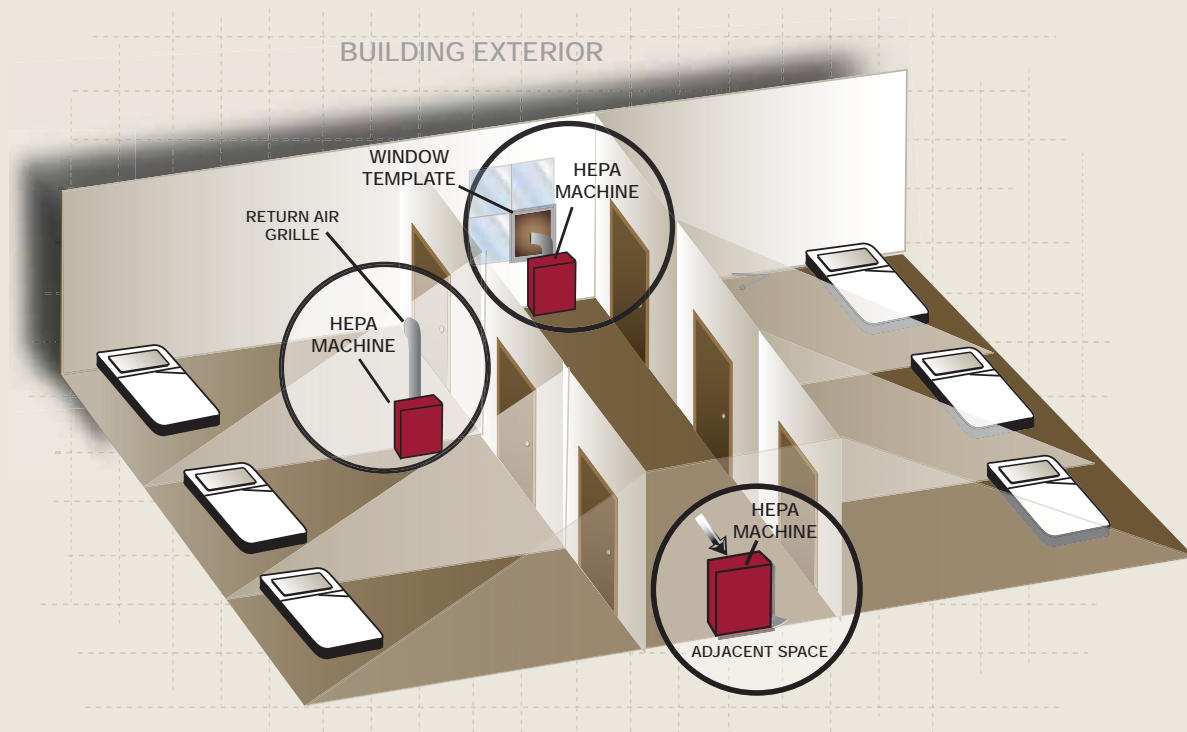
Some considerations to keep in mind when discharging air to an adjacent space are:

- The **air must be HEPA filtered**.
- The **air in the IDZ can be discharged to an adjacent area** outside the IDZ, within the building.

### Discharging to a return air system

Some considerations to keep in mind when discharging air to a return air system are:

- The **air must be HEPA filtered**.
- A **return air grille adapter is used** to connect a flex duct (from the HEPA filter) to the return air grille.
- As always, when discharging to the return air system, users must be cautious with the volume of air being discharged. ▲



**FIGURE 22**  
**Engineered System** (shown with three different options).

References	<b>Appendix</b>	Surge capacity	Portable anteroom	TNPI Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	Introduction	<b>20</b>
37		16	13	5	3	2	1	
								<b>21</b>
A: 2006 AIA Criteria								<b>21</b>
.....								
B: AIIR Maintenance Schedule								<b>22</b>
.....								
C: HVAC System Maintenance Schedule								<b>23</b>
.....								
D: Using a Pressure Gauge to Measure Relative Pressurization Between Two Spaces								<b>24</b>
.....								
Using a Pressure Gauge								<b>25</b>
.....								
E: Using a HEPA Filter for Dilution Ventilation								<b>26</b>
.....								
F: Microorganisms Associated with Airborne Transmission								<b>28</b>
.....								
G: Using a Particle Counter to Assess Indoor Air Quality and Filter Efficiency								<b>29</b>
.....								
Portable HEPA Filters								<b>30</b>
.....								
Testing Efficiency of Building Filtration System								<b>31</b>
.....								
Building Filtration System: <i>Inside / Outside the Building</i>								<b>32</b>
.....								
Building Filtration System: <i>Before / After the Filter</i>								<b>33</b>
.....								
H: Data Interpretation								<b>34</b>
.....								
I: Sample Log for Measuring Particle Counts								<b>36</b>

# Appendix

References	<b>Appendix</b>	Surge capacity	Portable anteroom	TNPI Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	Introduction	<b>21</b>
37	<b>A</b>	16	13	5	3	2	1	

## 2006 AIA Criteria

## APPENDIX A

### 2006 American Institute of Architects (AIA) Guidelines for Design and Construction of Hospital and Health Care Facilities

The following AIIR criteria are specified in the *2006 American Institute of Architects (AIA) Guidelines for Design and Construction of Hospital and Health Care Facilities*?

Hospital AIIRs are required to meet criteria that was in place at the time of construction for new construction and major renovation. However, **upgrading AIIRs to meet the criteria in the 2006 guidelines will better prepare hospitals** to isolate patients with airborne infectious diseases. These criteria are consistent with *CDC Guidelines for Preventing the Transmission of Mycobacterium tuberculosis in Health-Care Settings, 2005*.

- **Differential pressure shall be a minimum of 0.01-in. w.g. (2.5 Pascals).** If alarms are installed, allowances shall be made to prevent nuisance alarms of monitoring devices. (AIA: Table 2.1-2)

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- Provide **ventilation to ensure  $\geq 12$  air changes/hour (ACH)**. (AIA: Table 2.1-2)

---

- Rooms with **reversible airflow provisions** for the purpose of switching between protective environment and AIIR functions **are not acceptable**. (AIA: Table 10.2.2.1 (3))

---

- Airborne infection isolation room perimeter walls, ceilings, and floors, including penetrations, shall be **sealed tightly so that air does not infiltrate the environment** from the outside or from other spaces. (AIA 3.2.2.4 (2a))

---

- Airborne infection isolation room(s) **shall have self-closing devices on all room exit doors**. (AIA: 3.2.2.4 (2b))

---

- **Rooms shall have a permanently installed visual mechanism to constantly monitor the pressure status of the room when occupied** by patients with an airborne infectious disease. The mechanism shall continuously monitor the direction of the airflow. (AIA: 3.2.2.4 (4))

---

- All areas for inpatient care, treatment and diagnosis, and those areas providing direct service or clean supplies such as sterile and clean processing, etc., shall have **filter efficiency of 90% based on average dust spot efficiency** per *American Society of Heating, Refrigeration and Air-conditioning Engineers (ASHRAE) 52.1-1992*. (AIA: Table 2.1-3) ▲



References	<b>Appendix</b>	Surge capacity	Portable anteroom	TNPI Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	Introduction	<b>22</b>
37	<b>B</b>	16	13	5	3	2	1	

# AIIR Maintenance Schedule

## APPENDIX B

Sample Preventive Maintenance Schedule for AIIRs

For each item, place a "X" in the appropriate box.

"Y" indicates "Yes. Room is in compliance." "N" indicates "No. Room does not comply." "NA" indicates "Not Applicable to this room."

DATE	ROOM	WINDOWS CLOSED/SEALED		DOORS SELF-CLOSING OPERATIONAL			ALARMS ELECTRONICS FUNCTIONING			MECHANICAL DEVICE ZEROS WHEN DOOR OPENS			PRESSURE READING*		COMMENTS
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA	HAND-HELD	ELECTRONIC	
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			
		Y	N	Y	N	NA	Y	N	NA	Y	N	NA			

\* Pressure should be checked daily when occupied, or monthly when unoccupied.

References	<b>Appendix</b>	Surge capacity	Portable anteroom	TNPI Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	Introduction	<b>23</b>
37	<b>C</b>	16	13	5	3	2	1	

APPENDIX C

# HVAC System Maintenance Schedule

Sample Preventive Maintenance Schedule for HVAC Systems

For each item, place a "X" in the appropriate box. "Y" indicates "Yes. Fan is in compliance." "N" indicates "No. Fan does not comply."

FAN ID/LOCATION: \_\_\_\_\_

INSPECTION DATE: \_\_\_\_\_

TASK	YES	NO	FOLLOW UP	COMMENTS
<b>Inspect and clean exhaust grilles</b> to prevent blockage & airflow retardation	<input type="checkbox"/> Y	<input type="checkbox"/> N	DATE _____ DATE _____	
<b>Visually inspect filter housing</b> for holes and proper filter seal	<input type="checkbox"/> Y	<input type="checkbox"/> N	DATE _____ DATE _____	
<b>Clear outside air intake</b> of debris	<input type="checkbox"/> Y	<input type="checkbox"/> N	DATE _____ DATE _____	
<b>Check return/exhaust dampers</b> move freely	<input type="checkbox"/> Y	<input type="checkbox"/> N	DATE _____ DATE _____	
<b>Check filters</b> for proper installation/spacers	<input type="checkbox"/> Y	<input type="checkbox"/> N	DATE _____ DATE _____	
<b>Check pressure</b> set points	<input type="checkbox"/> Y	<input type="checkbox"/> N	DATE _____ DATE _____	
<b>Check steam/CW lines</b> have no leaks	<input type="checkbox"/> Y	<input type="checkbox"/> N	DATE _____ DATE _____	
<b>Check return/exhaust</b> belts are tight	<input type="checkbox"/> Y	<input type="checkbox"/> N	DATE _____ DATE _____	
<b>Check fan bearings/sheaves</b> are lubricated	<input type="checkbox"/> Y	<input type="checkbox"/> N	DATE _____ DATE _____	
<b>Check humidifier controls</b> are in working order	<input type="checkbox"/> Y	<input type="checkbox"/> N	DATE _____ DATE _____	
<b>Check fan lights</b> are in working order/PSI	<input type="checkbox"/> Y	<input type="checkbox"/> N	DATE _____ DATE _____	
<b>Check fan cleanliness</b>	<input type="checkbox"/> Y	<input type="checkbox"/> N	DATE _____ DATE _____	

References	<b>Appendix</b>	Surge capacity	Portable anteroom	TNPI Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	Introduction	<b>24</b>
37	<b>D</b>	16	13	5	3	2	1	

# Using a Pressure Gauge to Measure Relative Pressurization Between Two Spaces

APPENDIX D

**Airflow management** requires monitoring of the ventilation system. Airborne infectious disease environments require airflow control to avoid potential infection. Although the airflow can be checked with a smoke stick for direction, it is important to know the magnitude of the flow. *A pressure gauge is a quantitative method to measure the relative pressurization of two spaces.*

## Steps for Using a Pressure Gauge to Measure Relative Pressurization Between Two Spaces

1. Turn on the digital pressure gauge (DPG).
2. Close the door of the area to be pressure tested.
3. Connect flexible rubber tubing to the DPG.
4. Place the tubing under the closed door.
5. Note the airflow direction.
6. Record the value and date.

## Using a Pressure Gauge



### Step 1: Turn on the Digital Pressure Gauge (DPG)

The first step is to turn on the Digital Pressure Gauge (DPG). The display should read zero when both hose connections are reading the same air pressure. Note the sensitivity of the machine (tapping one of the connections will cause a reading).

### Step 2: Close the door of the area to be pressure tested

Make sure the door is closed.



### Step 3: Connect flexible rubber tubing to the DPG

Next, connect flexible rubber tubing to the DPG.

There is a set of connections on the DPG. One connection is for input pressure and the other is for the reference pressure. The DPG (as shown in the graphic) has two sets of connections.



### Step 4: Place the flexible rubber tubing under the door

To measure the relative pressure of a room from the corridor, connect the rubber tubing to the input pressure connection on the DPG. The reference connection is left open to corridor air.

With the door closed, **place the tube at least four inches** under the door.



### Step 5: Note the airflow direction

If a negative sign (-) is displayed on the screen, the room is under "negative pressure." There will be no sign displayed on the screen if the room is positively pressurized. Record the sign of the reading on a log.

### Step 6: Record the value and date

The final step in the process is to note the value on the screen and record the value and date in the log.

You should consult the pressure gauge user manual to change measurement units (in. w.g. or Pa) and to set the time interval over which the pressure will be averaged. A common time average interval is 1, 5, or 10 seconds.

Measurements should be recorded on a form similar to the **"AIIR Maintenance Schedule"** shown in Appendix B on page 22.

# Using a HEPA Filter for Dilution Ventilation

## APPENDIX E

**Portable HEPA filter machines** are used to provide temporary pressure management, dilution ventilation, and filtration (the three environmental controls required for airborne infection isolation). The ventilation systems that serve standard rooms are designed to provide dilution ventilation and filtration. For these two parameters, the HEPA filter acts as an enhancement. Because standard rooms are not designed to be negatively pressurized, the HEPA filter serves as the primary control for pressure management. As a result, the primary motivation for using a HEPA filter for TNPI is pressure (and airflow direction) management. Secondary to pressure management are dilution ventilation and filtration.

The *recommended air exchange rate for AIIRs is 12 air changes per hour (ACH)*. As designed, most rooms provide some amount of air changes through mechanical ventilation. This is most likely less than the amount recommended for AIIRs. Although the primary purpose for HEPA filter usage is negative pressure, the HEPA filter output required to achieve 12 ACH can be determined by following the steps presented here.

### Steps to Determine and Achieve Necessary Airflow

*You are not required to follow these steps. They are given to simply provide an example of the required steps for achieving a given air exchange rate.*

1. Calculate room volume.
2. Calculate necessary HEPA output airflow.
3. Measure airflow from HEPA filter with flow hood.
4. Adjust intensity control on HEPA filter.
5. Mark intensity level on machine.

References	<b>Appendix</b>	Surge capacity	Portable anteroom	TNPI Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	Introduction	<b>27</b>
37	<b>E</b>	16	13	5	3	2	1	

### Step 1: Calculate room volume

Determine the room volume by *measuring the length, width, and height of the room.*

The floor area may be calculated by counting ceiling tiles (most are 2ft x 2ft or 2ft x 4ft) or floor tiles (many are 1 ft<sup>2</sup>).

Note: A typical room has an 8 ft ceiling.

Example calculation given a room with the following dimensions:

**Floor Area** = 10 feet by 12 feet = 10' x 12' = 120 square feet

**Ceiling Height** = 8 feet

**Volume** = Floor Area x Ceiling Height = 120 sq. ft. x 8 ft. = 960 cu. ft.

### Step 2: Calculate necessary HEPA output airflow

*The recommended air change rate is 12 ACH.*

Using the volume and the recommended air change rate, *calculate the necessary HEPA output airflow* using the formula to the right:

**ACH** = Air changes per hour

**Airflow** = Mechanically exhausted airflow rate in cubic feet per minute (cfm)

**Volume** = Room air volume (length x width x height) in cubic feet (ft<sup>3</sup>)

$$\text{Airflow} = \frac{\text{ACH} \times \text{Volume}}{60}$$

### Step 3: Measure airflow from HEPA filter with flow hood

As previously mentioned, the primary *purpose for using a HEPA filter is pressure management.* The minimum airflow required to achieve a pressure differential of negative 2.5 Pa was found by varying the HEPA filter intensity until the desired differential pressure was reached. When determining the airflow needed for dilution ventilation, the HEPA filter will already be operating at the minimum intensity required to induce the desired pressure differential.

A flow hood is used to measure airflow. Following the user manual for the flow hood, measure the airflow 'Q' (in cfm) of the HEPA filter. *In order to provide 12 ACH in a room with a volume of 960 cubic feet, the required airflow is 192 cfm.*

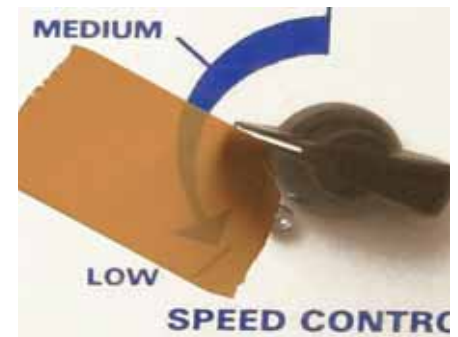
### Step 4: Adjust intensity control on HEPA filter

Once the airflow output has been determined, the intensity control on the HEPA filter can be adjusted and re-measured if the airflow is below the desired airflow. If the airflow is above that required for dilution ventilation, do not change the intensity of the HEPA filter.



### Step 5: Mark intensity level on machine

Mark the intensity level on the HEPA filter required to obtain 12 ACH. It is important to note that *this airflow will only be constant for rooms of the same volume.* ▲



References	<b>Appendix</b>	Surge capacity	Portable anteroom	TNPI Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	Introduction	<b>28</b>
37	<b>F</b>	16	13	5	3	2	1	

# Microorganisms Associated with Airborne Transmission\*

## APPENDIX F

CDC Guidelines for Environmental Infection Control in Health-Care Facilities 2003<sup>5</sup>

	FUNGUS	BACTERIA	VIRUS
<b>Numerous reports</b> in health-care facilities	Aspergillus spp.+ Mucorales (Rhizopus spp.)	Mycobacterium tuberculosis+	Measles (rubeola) virus Varicella-zoster virus
<b>Atypical</b> occasional reports	Acremonium spp. Fusarium spp. Pseudoallescheria boydii Scedosporium spp. Sporothrix cyanescens¶	Acinetobacter spp. Bacillus spp.¶ Brucella spp.** Staphylococcus aureus Group A Streptococcus	Smallpox virus (variola)§ Influenza viruses Respiratory syncytial virus Adenoviruses Norwalk-like virus
<b>Airborne in nature</b> airborne transmission in health care settings not described	Coccidioides immitis Cryptococcus spp. Histoplasma capsulatum	Coxiella burnetii (Q fever)	Hantaviruses Lassa virus Marburg virus Ebola virus Crimean-Congo virus
<b>Under investigation</b>	Pneumocystis carinii		

\* This list excludes microorganisms transmitted from aerosols derived from water.

+ Refer to the text for references for these disease agents.

§ Airborne transmission of smallpox is infrequent. Potential for airborne transmission increases with patients who are effective disseminators present in facilities with low relative humidity in the air and faulty ventilation.

¶ Documentation of pseudoepidemic during construction.

\*\* Airborne transmission documented in the laboratory but not in patient care areas

## APPENDIX G

# Using a Particle Counter to Assess Indoor Air Quality and Filter Efficiency

Particle counters measure the quantity of small particulate matter in the air.

Particle counters can also be used to determine the **efficacy of HEPA filters**. This can be done by *comparing the particle count at the inlet* to the HEPA filter *with the particle count at the output* of the HEPA filter when the HEPA filter is running.

The **efficacy of building filtration systems** can be monitored using a particle counter. This can be done by *measuring the particle count before and after the final filters of the fans that serve those rooms*. Filtration can also be monitored by *measuring the particle count outside the building and comparing it with the indoor particle count*.

Condensation and optical particle counters are two particle counters. Both types are acceptable to use when evaluating indoor air quality and filter efficiency. Directions for usage and examples of data interpretation are provided here.

**Condensation particle counts** are reported as *particles per cubic centimeter ranging from 0.02 to 1.0  $\mu\text{m}$  in diameter*. **Optical particle counts** are reported as *particles per cubic foot ranging 0.5  $\mu\text{m}$  in diameter*.

Steps to using particle counters to determine efficiency of different types of filters as well as examples of data interpretation are found in Appendix H, “*Data Interpretation*” on page 34.

## Steps for Using a Particle Counter to Test the Efficiency of Portable HEPA Filters

1. Turn the particle counter on.
2. Measure the particle count at the air inlet of the HEPA filter.
3. Measure the particle count at the air output of the HEPA filter.
4. Log both measurements and note conditions at the time of the reading.

**tip**

It is important to verify filters are functioning properly before use and between usages. This can be done with a **particle counter** by comparing HEPA filter discharge air to inlet air. ▲



## Portable HEPA Filters



### Step 1: Turn on the particle counter

The first step is to turn on the particle counter.



### Step 2: Measure the particle count at the air inlet of the HEPA filter

In order to test the efficiency of a portable or permanently installed (wall or ceiling) HEPA filter, measure the particle count at the air inlet of the HEPA filter.



### Step 3: Measure the particle count at the HEPA filter

Measure the particle count at the air output of the HEPA filter. This will be used to compare the air inlet and air outlet.



### Step 4: Log both measurements and note conditions at the time of the reading

These measurements should be recorded on a log similar to Appendix I, "*Sample Log for Measuring Particle Counts*" on page 36.

It is important to note that this isn't a scientific or laboratory test of the HEPA filter, but is useful in determining that the HEPA filter is working properly. Also, the test is only as accurate as the particle counter. Users should look to see a reduction of particles near the expected reduction for the machine.

Examples for data interpretation when using either optical or condensation particle counters can be found in Appendix H, "*Data Interpretation*" on page 34. ▲

References	<b>Appendix</b>	Surge capacity	Portable anteroom	TNPI Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	Introduction
37	<b>G</b>	16	13	5	3	2	1

## Testing Efficiency of Building Filtration System

There are two options for using a particle counter to test the efficiency of a building filtration system.

Checking the efficacy of filters is important for a number of reasons. If the filters on the main air handling system are *working properly, there should be a significant (~90%) reduction in particles.*

**tip**

Accuracy can be increased by taking multiple readings and finding the average particle count at each testing location – inside and outside the building or before and after the filter ▲

### Steps for Using a Particle Counter to Test the Efficiency of a Building Filtration System

#### OPTION A:

##### Inside / Outside the Building

1. Turn the particle counter on.
2. Measure the particle count outside the building.
3. Measure the particle count inside the building.
4. Record both measurements and note conditions at the time of the reading.

#### OPTION B:

##### Before / After the Filter

If you have access to the air before and after the final filter, the filter efficiency can be checked here as well.

Hospitals often have mechanical spaces with fans and filter banks. The particle count can be tested before and after the final filter.

1. Turn the particle counter on.
2. Measure the particle count before the final filter.
3. Measure the particle count after the final filter.
4. Record both measurements and note conditions at the time of the reading.

## Building Filtration System

### OPTION A: INSIDE / OUTSIDE THE BUILDING



**Step 1:**  
**Turn on the particle counter**

The first step is to turn on the particle counter.



**Step 2:**  
**Measure the particle count outside the building**

Measure the particle count outside the building.

Accuracy can be increased by taking multiple readings outdoors and indoors.



**Step 3:**  
**Measure the particle count inside the building**

Then measure the particle count inside the building (away from main doors).

Accuracy can be increased by taking multiple readings outdoors and indoors.



**Step 4:**  
**Record both measurements and note conditions at the time of the reading**

Since conditions can vary greatly from day to day and especially from season to season, it is important to record any abnormal readings and take notes on conditions at the time of the reading.

For example, it would be important to note if it is raining, if construction activities are going on inside or outside, if a medical nebulizer or housekeeping chemical is being used in the area, or if there are any other activities present that could possibly cause an abnormal reading.

Testing the building filtration system in this way can lead to unexpected results. For example, the outdoor particle count may be abnormally high due to vehicles nearby. Also, the indoor particle count may be abnormally high due to treatments or cleaning as described above. While this method is useful because it provides an overall view of the building, a more accurate test can be done to test the filters in particular.

Measurements should be recorded on a form similar to Appendix I, *“Sample Log for Measuring Particle Counts”* on page 36.

## Building Filtration System

### OPTION B: BEFORE / AFTER THE FILTER



#### Step 1: Turn on the particle counter

The first step is to turn on the particle counter.



#### Step 2: Measure the particle count *before* the final filter

Measure the particle count **before** the final filter. If you have access to the air before and after the final filter, the filter efficiency can be checked.

Large hospitals have mechanical spaces with fans and filter banks, and the particle count can be tested before and after the final filter. Hospitals with smaller air-handling units can also test their filters in a similar manner.



#### Step 3: Measure the particle count *after* the final filter

Measure the particle count **after** the final filter. If you have access to the air before and after the final filter, the filter efficiency can be checked.

Accuracy can be increased by taking a series of measurements and finding the average.



#### Step 4: Record both measurements and note conditions at the time of the reading

The final step is to record both measurements and note conditions at the time of the reading. This option provides a method to test the filters installed in the air-handling units. Although this isn't a scientific test, it is a reliable way to assess the performance of the filters.

A "*Sample Log for Measuring Particle Counts*" is shown in Appendix I on page 36. ▲

References	<b>Appendix</b>	Surge capacity	Portable anteroom	TNPI Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	Introduction	<b>34</b>
37	<b>H</b>	16	13	5	3	2	1	

# Data Interpretation

## APPENDIX H

Filters are rated by industry standards on their ability to remove particulate matter from an airstream. Various rating systems exist. Efficiency can be measured by determining the concentration of material upstream and downstream of the filter. Particles should be reduced by the percentage efficiency of respective filtration systems.

You should consult the user manual of the particle counter for instructions on how to use it. Refer to the user manual for your particle counter to determine whether it is a **condensation particle counter** or an **optical particle counter**. If you're using a *condensation particle counter*, *the size range should be 0.02 to 1.0 µm*. The *optical particle counter size range should be ≥ 0.5 µm*. Some particle counters have data logging capabilities and directions for use vary from model to model.

Note: Percent reduction of particles is determined by *subtracting the reading after the filter from the reading before the filter (outside air particles), dividing by the reading before the filter (outside air particles), and multiplying by 100*.

### Examples of data interpretation for particle reduction in various filter efficiencies

**TABLE A: CONDENSATION PC**  
PARTICLES REPORTED PER CC – RANGE 0.02 TO 1.0 µm

HOSPITAL FILTER RATING	OUTSIDE AIR PARTICLES	AFTER FILTER PC*	PERCENT REDUCTION
80	55000	11000	80%
90	55000	5500	90%
99.9	55000	17	99.97%

Condensation particle counts are reported as particles per cubic centimeter ranging from 0.02 to 1.0 µm.  
\*PC = particle counts

ASHRAE: American Society of Heating, Refrigeration and Air-conditioning Engineers

**TABLE B: OPTICAL PARTICLE COUNTER**  
PARTICLES REPORTED PER CU.FT.- RANGE ≥ 0.5 µm

HOSPITAL FILTER RATING	OUTSIDE AIR PARTICLES	AFTER FILTER PC*	PERCENT REDUCTION
80	120000	24000	80%
90	120000	12000	90%
99.9	120000	36	99.97%

Optical particle counts are reported as particles per cubic foot ranging ≥0.5 µm.  
\*PC = particle counts

ASHRAE: American Society of Heating, Refrigeration and Air-conditioning Engineers

References	<b>Appendix</b>	Surge capacity	Portable anteroom	TNPI Temporary Negative Pressure Isolation	Environmental controls	Principles of airborne infectious disease management	Introduction	<b>35</b>
37	<b>H</b>	16	13	5	3	2	1	

The numbers in Table A and Table B are an approximate reduction. These numbers will vary considerably from second to second as the environment is less controlled due to variations in ambient particle generation both indoors and outdoors. Because of this, the important reduction is in the order of magnitude reduction and not necessarily in the integer.

For example, with a 90% efficient filter and an outside particle count of 100000, it is expected that the particle count after the filter would be approximately 10000 (one tenth of the outdoor concentration). Because of the variability in conditions, an indoor particle of higher or lower than 10000 could be expected (e.g. 15000 or 5000, respectively).

If you don't see this type of reduction, you should ***check the filters for leakage, worn out gaskets, worn out or broken clips, and proper installation of the spacers.*** ▲



## References

Appendix

Surge  
capacity

20

16

Portable  
anteroomTNPI  
Temporary Negative  
Pressure IsolationEnvironmental  
controlsPrinciples of airborne  
infectious disease  
management

Introduction

13

5

3

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37

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