

Karvy Stock Broking Limited

Mobile App User Manual



# **1.Introduction**

**KARVY Mobile Application** gives the Investor Clients of the Brokerage House the convenience of secure and real time access to quotes and trading. The services are specifically designed to meet the ever-changing needs of the Stock market and investors.

**KARVY Mobile Application** has two levels of password for the investor. One password called the Login Password to allow the investor to login into the website and another password called the Transaction Password to place orders. Both the passwords are stored securely and totally encrypted.

The Investor would be able to

- Place Orders
- Modify, Cancel Orders
- View Order Book
- View Trade Book
- View Position Book
- View Holdings
- View Limits
- View Quotes
- View Market Picture (MBP)



## 2 Features of KARVY Mobile Application

### 3.1 Logging in to KARVY Mobile Application

#### Login Screen

The login screen is visible when the user starts the mobile application. The Valid User name must be entered, and the user should click on the Sign In button.



On clicking 'Sign In' Button, The following login window will appear where user has to enter Login ID & Password and confirm the Image Authentication.

#### Image Authentication

During registrations client would need to select from the list of images for verification of sites/server for future logins and same will confirmed during every login by user as shown in below window.

Login Id :	PRASHI-OTPUAT	
🗾 Please confir	m your Secure Access Image	
Password :	Please Enter Password	
	Login 🖌 Cancel 🗙	



### **2FA Authentication**

SEBI has mandated 2FA authentication for login. SEBI circular no CIR/MRD/DP/ 8 /2011.Question based authentication/OTP (one time password) would be implemented in APP as a second factor of authentication (2FA) by the system. Broker can opt for any one of the option. As an Authentication of the server by the user, images would be displayed as above.

2FA Authentication
Login Id
PRASHT-OTPUAT
Which company was/is your first life insurance service provider? (e.g. LIC, Bajaj Allianz, etc.)
*
What is the your vehicle registration number? (e.g. 478, 786, etc)
Continue

### **Change Password**

When the user logs in for the first time or if the password has expired, the following message prompt will be displayed.

User Name	PRASH1-OTPUAT	
Password:	Alert	٦
	Password Expired Or User Logging in First Time. Please Change The Password Continue	
	ок	



When the user clicks on the OK button, the window for resetting the login password is displayed as follows.

CHANGE PASSWORD						
User Name	PRASH1-OTPUAT					
Old Password						
New Password						
Confirm Password						
Old Transaction Password						
New Transaction Password						
Confirm Transaction Password						

The user needs to type in the login and transaction old password, the new password, and confirm the new password in the respective fields provided. On clicking on the 'Change' Button, the password gets reset and the following confirmation message will be displayed.

User Name		PRASH1-OTPUAT	
Old Password			
New Password			-
Confirm Password	Alert		
Old Transaction Password	Password changed successfully daysTask Successfully Complet	y.Password will expire in 14 ed	
New Transaction Password	0	K 	
	Change	Close	



### Password Expiry Warning

The following Password Expiry Warning Message will be displayed, three days before the password is about to expire.

 elcome to NE 16:16:08 and	ST Your password expires in 3 days
ок	

### **Password Policy**

Following is the password policy followed in the KARVY Mobile Application:

- For encryption of passwords we use 128bit encryption key with a proprietary logic, also have an option of storing it with 128bit encryption key and AES Encryption method.
- The system requests an ID & password for logging in.
- The password is encrypted before transmission.
- The password is stored in an encrypted format in the database.
- Change of password is mandatory for the first time user.
- Change password cannot be the same as of the last 3 passwords.
- User can not login after THREE consecutive wrong passwords & the user can login only after the password is reset by the administrator.
- The password should be of minimum 6 characters & maximum 12 characters in length.
- It must be Alpha numeric.
- The password will be checked against the log-in ID. The login ID & password cannot be the same.



- Space is not a valid character in the password.
- Password expires automatically after 14 trading days forcing the user to choose a new password.
- Password can be changed by the users at any time as per will.

### 2.2 Home Page

After the user has successfully logged in, the following home page window gets displayed, where the user has the option to get quotes, place orders, create market watch groups, create an index watch, view order book,Tradebook,Possition book, holdings, Limits, Set Alerts, Alert history,TopN, Index details, Modify 2FA questions, user details and so on.



#### Quotes

The 'Quotes' feature enables the user to retrieve real-time quotes on securities. It displays information such as Last Traded Price, Best Buy Rate and Quantity, Best Sell Rate and Quantity, Price Range and so on for the selected security. User has to



tap on particular scrip to retrieve below highlighted options (Get quote, MBP, Contract Info, Delete Scrip) in market watch window.

≡ Menu				Mark	et Watch	ţ.				
Market We			er Bo	iok.		3	rade Book			Position Book
+ Add Scripts	Get Quote MBP Contract-Info Delete Scrip	> > >		reliand NSE 994.9			tcs-eq nse 2639.	35		
	2.41%	Duy	Sell	▲ 0.64%	Duy	Sell	▼ -0.53%	Buy	Sell	

### Get quote

≡ Menu		Quotes	
Market Watch	Order Book	Trade Book	Position Book
ACC-EQ THASEON (COCHIN			
Symbol		ACC-EQ	
Series		EQ	
Expiry Date		NA	
Buy 10 @ (Rs)		1402.00	
Sell 1 @ (Rs)		1455.00	
LTPrice(Rs)		1455.00	
Total buy Qty		1231	
Total sell Qty		7962	
LastTradedQty		2	
LastTradedTime		19/11/2014 11:38:19	
AND ALL ALL MADE			



### MBP

The 'MBP' feature enables the user to retrieve 'Best 5 Buy' & Best 5 Sell' information on a securities.

≡ Menu	1	fbp	
Market Watch	Order Book	Trade Book	Position Book
ACC-EQ	) 🔻		
Qty	BES1 Price(Rs)	7 5 BUY Orders	
10	1,402	1	
100	1,401.5	1	
1121	1,401	1	
0	0	0	
0	0	O	
	BEST	5 SELL	
Qty	Price(Rs)	Orders	
1	1,455	1	
7959	1,500	1	
0	0	0	

### Contract-info

The 'Contract-Info' feature enables the user to retrieve detailed information such as symbol, series, exchange, issue start date, Issue capital, listng date and so on for a securities.

E Menu	Con	tract Info	
Market Watch	Order Book	Trade Book	Position Book
	Symbol	ACC-EQ	
	Series	EQ	
	Board Lot Qty	1	
	Instrument Type		
	Exchange	NSE	
	Issue Start Date	24 Oct, 1994	
	Issue Maturity Date	NA	
	Issue Rate	0	
	Issued Capital	18.8191576	
	Listing Date	1 Jan, 1980	
	Permited To Trade	0	



#### **Delete Scrip**

This option can be used to delete scrip from market watch.

#### Market Watch

#### Create Market Watch

The user can create a Market Watch of scrips for which he wants to view latest market feeds by clicking on the 'Add New' button from the 'Market Watch' window.

Market Watch     Order Book     Trade Book     Position Book       +     Add New     NSE       +     CS-EQ       NSE     26/39.35	🗮 Menu				Market Wate	h		
+ 2639.35 Add Scripts	Market Watch		Q	Irder Book		Trade Book		Position Book
+ 2639.35 Add Scripts	+	Add New					NSE	
▼ -0.53% Buy Sell	a theory	NSE	35 <sup>Buy</sup>	Sell				

The user must enter the Name for the Market Watch that he wants to create.

I Menu			reate Market Wa		
	Market Watch	Order Book		Trade Book	Position Book
		MarketWatch Na			
			Create Close		



≡ Menu	Add s	Scripts	
Market Watch	Order Book	Trade Book	Position Book
Equity	Derivatives	Ситносу	Commodities
Exchange	NSE BSE MCXSXCM		
Series	EO	* <sup>~</sup>	
Find Stock	Scrip added to M	larket Watch "rise"	
	Sea	rch	
	ACC LIMITED	+	
	ACCEL FRONTLINE LTD	+	

User must select the desired instruments, Exchange, Series, Enter the Symbol (eg: ACC), and click on 'Add' button. A pop-up message will appear to confirm that scrip have been added in the market watch group (NSE).

#### ≻ <u>SetasDefault</u>

Select any market watch from 'Market Watch' option and selected market watch will be set as default.





#### Delete Market Watch

The user can delete an existing Market Watch Group by pressing and holding the required market watch to be deleted.

≡ Menu		Mark	et Watch	
(Market)		Onter Book		Position Book
+	Add New			
+ NFO Add Scripts		I4DECFUT Confirm Are you sure you Want to	o delete this Market Watch ?	
	_	OK	Cancel	

### Trades

When the user clicks on the 'Market Watch' button in the Home Page, the following window appears.

E Menu	Market Watch				
Market Watch	Order Book	Trade Book	Position Book		
+	Add New	NSE			
+ Add Scripts	TCS-EQ NSE 2639.35				
	▼ -0.53% Buy Sell				



In the above 'Market Watch' window, the user must click on the 'Buy/ Sell' option under specific scrip, from where he will be able to place orders; he must click on 'Order Book' option, 'Trade Book' option, 'Positions' option to view his order book, trade book and existing positions, respectively.

### · Buy/ Sell in NSE

The user has to click on the Buy/Sell option to place orders, and the following window appears.

🗮 Menu	Place Or	rder	
Market Watch	Order Book	Trade Book	Position Book
Exchange	NSE		
Symbol	RELIANCE-EQ		
Retention	DAY	*	
Product	CNC	*	
Buy/Sell	BUY		
OrderType			
	MARKET		
	SL-M		
Qty	5	×	
Price	950	*	
DiscQty			
	Cancel 🗶	Submit 🖌	

The user will be able to see exchange and symbol loaded by default and user need to select Retention(DAY or IOC), Product type(CNC,MIS,NRML) and the action (Buy or Sell) from the drop down provided, enter the quantity to be bought/ sold, select the desired price type (Limit/ Market and so on), enter the price (if it's a limit order), enter the trigger price (in case of stop loss orders),Disclosed Qty(if any) and click on



E Menu		Order Cor	firmation		
Market Watch	Order B	look	Tr	ade Book	Position Book
Exchange	NSE				
Symbol	RELIANCE-EQ				
Buy/Sell	BUY				
Order Type	LIMIT				
Product Code	CNC				
Quantity	5				
Disc Quantity					
Price (Rs)	950				
Trigger Price (Rs)					
Market Protection %	NA				
Valid Till	DAY				
		Cancel 🗙	Submit 🖌		

'Submit'. On clicking submit, The following 'Order Confirmation' window will appear showing the details entered in the Buy/ Sell window.

After reviewing the order details, the user needs to scroll down in the 'Order confirmation' page and click on 'Submit'. On clicking on 'Submit', A new window will appear showing the order details along with the APP Order Number. Once user click 'OK', order status can be viewed in Order book.

Execute Order					
Market Watch	Order Book	Trade Book	Position Book		
	Order	Status			
Buy	Order received by the system with	Nest Order number 14111900000	0132.		
Order is sent for risk validation/Exchange					
ок					



#### · Buy/ Sell in NSEFO

To place order in NSEFO, the user must select the exchange NSEFO from the drop down and select desired scrip and select Buy or Sell.

=	Menu		Market Watch		
	Market Watch	Order Bool	c	Trade Book	Position Book
+	Add Ne	*	NSEFO		NSE
	+ Add Scripts	CNXIT14DECFUT NFO 11939.95			

Once user select 'Buy or Sell' option, following window will appear and exchange and symbol will get loaded by default and user need to select Retention(DAY, IOC or COL), Product type(MIS,NRML) and the action (Buy or Sell) from the drop down provided, enter the quantity(\*in lot size) to be bought/ sold, select the desired price type (Limit/ Market and so on), enter the price (if it's a limit order), enter the trigger price (in case of stop loss orders),Disclosed Qty(if any) and click on 'Submit'.



≡ Menu	Place	Drder	-
Market Watch	Order Book	Trade Book	Position Book
Exchange	NFO		
Symbol	NIFTY14NOVFUT		
Retention	DAY	۲	
Product	MIS	*	
Buy/Sell	BUY		
OrderType	LIMIT		
	MARKET		
	SL-L		
	SL-M		
Qty	100	×	
Price	0	×	
Flice	U	•	
DiscQty	0	×	
Mkt Protection %	3	×	
	Cancel 🗙	Submit 🖌	

On clicking submit, the following 'Order Confirmation' window will appear showing the details entered in the Buy/ Sell window.



E Mena	Order Cor	Order Confirmation				
Market Watch	Order Book	Trade Book	Position Book			
Exchange	NSE					
Symbol	WIPRO-EQ					
Buy/Sell	BUY					
Order Type	MARKET					
Product Code	DELIVERY					
Quantity	1					
Disc Quantity	0					
Price (Rs)	0					
Trigger Price (Rs)	0					
Market Protection %	0					
Valid Till	DAY					
АМО	NO					
C	ancel X	Subm				

The user must click on 'Submit' after reviewing the details. Once the 'Submit' button is clicked on, the order gets placed. The following window appears, displaying the order details along with the APP Order Number.



From this window, the user can view the order details and order status by clicking on 'Order Book'.



### **Order Types:**

### 1. <u>Limit Order</u>

A limit order is an order to buy or sell a security at a specific price. A buy limit order can only be executed at the limit price or lower, and a sell limit order can only be executed at the limit price or higher.

E.g. If the current market price of Idea Cellular is Rs.75 & you wish to Buy at Rs. 70 or Sell at Rs. 80, you have to place a Limit Order.

### 2. <u>Market Order</u>

A market order is an order to buy or sell a stock at the current market price. The advantage of a market order is you are almost always guaranteed your order will be executed.

#### 3. <u>Stop Loss Limit Order</u>

A stop loss limit order is an order to buy a security at no more (or sell at no less) than a specified limit price. This gives the trader some control over the price at which the trade is executed, but may prevent the order from being executed. A stop loss buy limit order can only be executed by the exchange at the limit price or lower. A stop loss sell limit order can only be executed at the limit price or higher.

E.g. If you have short sold NTPC @ Rs.145 in expectation that the price will fall, in case of price increase, you can limit the loss by placing a Stop Loss Buy order. In the Buy Screen we can put the trigger price @ Rs.150 and Limit Price @ Rs.155. As soon as NTPC's last traded price rises above Rs. 150, a limit order for NTPC @ Rs. 155 will get activated.

#### 4. <u>Stop Loss Market Order</u>

A stop loss market order is an order to buy or sell a security at the current market price prevailing at the time the stop order is triggered. This type of stop loss order gives the trader no control over the price at which the trade will be executed. A **sell stop market order** is an order to sell at the best available price after the price goes below the stop price. A sell stop price is always below the current market price. A **buy stop market order** is typically used to limit a loss (or to protect an existing profit) on a short sale. A buy stop price is always above the current market price.



### **Order Book**

The user can view details of all the orders placed by him by clicking on 'Order Book' in the 'Home Page' window. From the Order Book, The user can view order details, modify order, cancel open orders and view order history as shown in below window.



### Order Details

The user can select a particular order for which he requires the order details.

E Menu	Order	Details	
Market Watch	Order Book	Trade Book	Position Book
Exchange	NSE		
Trading Symbol	RELIA	NCE-EQ	
NEST ORDER NO	14111	900000132	
Exchange Order no	20141	2014111910000004	
ACTION	BUY		
OrderType	LIMIT		
DiscQty	0		
Cancelled Qty	0		
Price	950.0	D	
TrigPrice	00.00	00.00	
Validity	DAY		
ScripName	RELIA	NCE INDUSTRIES LTD	



SymbolName	RELIANCE
Status	complete
FilledShares	5
ProductCode	CNC
AvgPrice	950.00
Total Qty	5
Pending Qty	0
Exchange Time Stamp	19-Nov-2014 12:07:57
Rejection Reason	
Order Validity Date	NA
Market Protection %	NA

### **Order Modification**

To modify an order, the user must select the 'Modify Order' option from the order book. The following window appears to enter the new parameters for order modification.

≡ Menu	Modify	Order	
Market Watch	Order Book	Trade Book	Position Book
Exchange	NSE		
Company Name	ACC LIMITED		
Symbol	ACC		
Trading Symbol	ACC-EQ		
Buy/Sell	SELL		
Nest Order No	14111900000062		
Exch Order No	20141119100852620		
OrderType	LIMIT		



	MARKET		
	SL-L		
	SL-M		
Retention		DAY	*
Pending Qty	15		×
Disc Quantity	0		×
Price (Rs)	1500.00		×
		Submit 🖌 Cancel 🗙	

The quantity and/ or price of the order can be modified by the user by entering the new details. Once the user modify order and input transaction password and clicks on 'Submit', the following 'Order Status' window appears and Once the user clicks on 'OK', the modified order can be seen in 'Order Book'

Execute Order									
Market Watch	Order Book Trade Book Position Book								
Order Status									
Buy	Buy Order received by the system with Nest Order number 141119000000156.								
Order is sent for risk validation/Exchange									
	ок								

#### Order Cancellation

To cancel an order, the user must select the required open order that needs to be cancelled, from the order book and select 'cancel order'. The following 'Confirmation Message' will appear from where the user is required to confirm the order cancellation.



≡ Mena				Order	Book		
M	arket Watch				Trade Book		Position Book
C Refrest							
	Exchange		Confirm			te.	Status
Order De	rtails	180	ACC			0.00	modify pending
Modify C	Order		Are you	sure you want to	o cancel selected ord	er ?	
Cancel C	Icder			ок	Cancel		
Order Hi	story		REL			1.00	
0			RELIANCE-ED			900.00	
0			ACC-EQ				open

When the user clicks on 'OK', the order will get cancelled, and this status can be checked in the Order Book.

### **Order History**

The user must select 'Order History' to view the history of that order, that is, the user will be able to view the current status as well as details of each and every step of the status, such as Order number, symbol, order type, buy or sell, quantity etc.

E Menu		Orde	r History			
Market Watch	Order	Book		Trade Book		Position Book
Order History for Order Number :	141119000000	132				
Trading symbol	Quantity	Price	Action	Туре	Status	
RELIANCE-EQ S	5	950.00	BUY	LIMIT	complete	
RELIANCE-EQ 5	5	950.00	BUY	LIMIT	open	
RELIANCE-EQ	5	950.00	BUY	LIMIT	open pending	
RELIANCE-EQ	5	950.00	BUY	LIMIT	put order req re	ceived



### Trade Book

The user can view the entire list of all traded orders and their details by clicking on 'Trade Book' in the 'Home' window. The user can see exchange wise trade details in Trade Book Window.

2					📚 📶 🛢 16
Menu Market Watch	Order	Trade	e Book	Position Book	
	Citeria de la compañía				
C Refresh					
Q					
~					
Exchange	Trade Id	Symbol	Filled qty	Trade Price	Product type
Details	>	PFC-EQ	1	259.60	DELIVERY

#### <u>Order Details</u>

The user can view the details of a particular trade by selecting that trade and clicking on 'Details'. The details of that traded order will be displayed along with Exchange Order Number, Traded Quantity, Price and so on.



Mana	Trade E		
Market Watch	Order Book	Trade Book	Position Book
Account Id		HBH5088	
Nest Order No		150612000128036	5
Exchange Order no		201506125508292	26
Trade ID		52127093	
Trading Symbol		PFC-EQ	
Symbol		14299	
Transaction Type		SELL	
Exchange		NSE	
Product Code		DELIVERY	
Total Qty		1	
Traded Qty		1	
Average Price		259.60	
Cust/Firm		C	
Order Type		MARKET	
Request Id		2	
Fill Leg		1	
Trade Date Time		12/06/2015 15:25	:48
Exchange Time Stamp	ň.	23	

### Trade History

The user can view the history of a particular trade by selecting that trade and clicking on 'Trade History'. The following window displaying the history of the order along with price, quantity and so on, will appear.

= Menu		Trade	History		
Market Watch	Orde	r Book		Trade Book	Position Book
Trade History for Order Number :	141119000000	254			
Trading symbol	Quantity	Price	Action	Туре	Status
ACC-EQ	10	1470.00	BUY	LIMIT	complete
ACC-EQ	10	1470.00	BUY	LIMIT	open
ACC-EQ	10	1470.00	BUY	LIMIT	open pending
ACC-EQ	10	1470.00	BUY	LIMIT	put order req received



#### **Position Convertion**

User can also convert his position by selecting '**Position Convertion**' option in Trade book, when user select this option, the following window will appear, where user can change the product type (like NRML), Transaction type(buy or sell) and then click on 'Submit

= Merne		Posit	tion Conversion	
Minister Walter				
Order Type				
Guantity	Select			
Price (Fig)	NRML			
Valid Till			DAY	
Select Produc				
		Gausset 36	Submit 🖌	

When the user clicks on 'Submit', The following 'Confirmation Message' will appear.

E Menu								
Market Watch	Order Book	Trade Book	Position Book					
Position Conversion Successful								
	c	ж						

### **Position Book**

The user can view his positions by clicking on the 'Position Book' button in the 'Home' window. The following window will appear, from where the user can view day-wise as well as net-wise positions, by selecting the appropriate option.



			💎 📶 🖬 15
E Menu	Position		
Market Watch	Order Book	Trade Book	Position Book
C Refresh			
٩			
DAY			
Details	>		
Square Off	>		
Partial Position Co	nversion 🗲		
Trading Symbol	PFC-EQ		
Net Qty	-1		
Net Amt	259.60		
Product Type	DELIVERY		
MtoM	-00.10		
BEP	259.60		
LTP	259.70		

To view positions details, the user must select the required position for which he wants position details and select 'details'. The 'Position Detail' screen displays Exchange, Symbol, Net Qty, Net Amt, Product type, MtoM, BEP, LTP for every position. It also displays the day & net-wise positions.

≣ Menu					Position Book				
	Market Watch		On	der Book		Trade Book		Position I	took
	Exchange	Tr	C Refresh	Net Qty	Net Amt	Product Type	DAY	BEP	LTP
•	NSE		IINDIA-EQ	-6	39599.40	NRML	2160.30	6599.90	6239.8
Detail	5	*	ICRONS-EQ	-1	30.40	CNC	-06.00	30.40	36.40
10000	e Off	>	Marchine Control of Const						



E Menu	Position Book Details						
Market Watch	Order Book	Trade Book	Position Book				
Exchange		NSE					
Trading Symbol		ACC-EQ					
Product Code		NRML					
MtoM		00.00					
BEP		1500.00					
LTP		1500.00					
Net Qty		10					
Net Amount		-15000.00					
Buy Avg Price		1500.00					
Sell Avg Price		00.00					
Buy Qty		10					
Sell Qty		0					

**Square off** is an option provided to the user to liquidate his existing position. when user select 'Square Off' option in position book, The following 'Confirmation Message' will appear from where the user is required to confirm the square off.

≡ Meno				Position Book				
	Market Watch							
	Exchange	Trading Symbol	Net Qty	Net Amt	Product Type	MtoM		LTP
o		TCS-EQ			NRML		2500.00	
ø		HDFCBANK-EQ	Alert			00.00		867.65
0		ACC-EQ	Squaring O	ff selected p	osition .		00.00	
0		WIPRO-EQ		OK				568.05

When the user clicks on 'OK', position will get squared off and following 'Confirmation Message' will appear in position book window.



= Menu	i .			Position Book				
	Market Waters		Onter Book		Trade Book			
	Exchange	Trading Symbol	Net Qty	Net Amt	Product Type	MtoM	BEP	LTP
0		TCS-EQ		25000.00	NRML			
0		HDFCBANK-EQ	Alert			00.00		867.65
0		ACC-EQ	Squared of	f successful	ly.			1500.00
•		WIPRO-EQ		ок		-319.50		568.05

### **Holdings**

This option allow the user to view his total holdings across securities.

### **Risk Management**

Risk Management System can be configured to have following checks before the orders are released to the exchange.

Trading Limits, Position limits, Exposure taken by client based on risk assessment, credit quality and available margins of the client, Quantity Limits, Price Range Checks, Order Value, and Credit Checks.

Various categories can be set. According to the category, RMS validates the order. Accordingly it sends accept / reject message.

Risk Management System can be configured to have following checks before the orders are released to the exchange. The checks which are defined by exchange with respect to Algorithms are in place in the system. Below mention rules can be configured in the system to control the risk parameter which is defined by exchange.

Sr.No.	Checks	Rules to set	Remarks
1	Price Check	1) Check Price Range Based on LTP 2) Check Circuit Limit	These rules will create a price range on the basis of Last Traded Priced as per the percentage set in the category window.
2	Quantity Check	a) Order Quantity including Square	This rules will restrict per order the number of quantity to be



		off Order	placed in market which is
		b) Board Lot	defined the category window.
		Quantity	The user can define the number
		including Square	of quantity in Weights and in lots
		off Order	for Futures.
3	Order Value Check	Order Value	
3	Order value Check		This rule will restrict per order the order value which can be
		including Square	
		off Order	placed in the market which is
4			defined in category window
4	Trade Price Protection	Check Circuit	This rule does not allow to place
	Check	Limit including	the order which has been placed
		square off order	above the Higher Circuit Limit or
			Lower circuit limit which id
			defined for contract/scrip by
			exchange
5	Market Price	Check Price Range	This rule will create a price range
	Protection	Based on LTP	on the basis of Last Traded
			Priced as per the percentage set
			in the category window.
6	Cumulative Open Order	Pending order	This rule will restrict the Open
	Value check	value	Order with the Value set in the
			category
7	Automated Execution	Turnover Order	This rule will calculate the value
	Check	Level and	of all executed/ Unexecuted and
		Turnover Order	un confirm orders and if breach
		Level Limit	the value set in category then
			further order will get rejected
8	Automatic stoppage in	Order Throttle	If there number of order per
	event of Algo execution		seconds breaches the value
	leading to a loop or a		which is set in Throttle then
	runaway situation.		further order gets rejected by the
			system.
9	Net Position Vs.	Gross Exposure,	User can set the risk parameter
-	available margin	Gross Exposure	based on Exposure and Margin
		Derivative, Var	based on which the margin used
		Margin Order	will be calculated on the basis of
		Level, Span	position taken. If the Margin used
		Margin Order	is equal to Cash margin then
		Level	further order will be rejected by
			the system
10	RBI Violation checks	Restricted Basket	User need to create a Restricted
10	RDI VIOIALION CHECKS	Restricted Basket	User need to create a Restricted



	for FII Restricted stocks.	or RMS Blocking	basket for the scrip and assign to the category of the user / client. Also RMS blocking can be used.
11	MWPL violation check	RMS Ban Symbol or RMS Blocking	The scrip for which market wide position limit is breach then scrip can be blocked or it needs to be in Ban.
12	Position Limit Checks	Scrip Group / Scrip Margin	User can define the quantity scrip wise in which the position can be taken in scrip group and then it needs to be assign to category at client level
13	Trading Limit Checks	Turnover Order Level Limit/ Gross Exposure Limit	User can define the Turnover or Exposure for a specific Client/User or Branch.
14	Exposure Limit check at individual client level and at overall level	Gross Exposure and Gross Exposure Limit	User can define the Exposure at Branch Level as well as Broker Level

#### Alerts

The user can set alerts for an index, scrips or personal trade data by clicking on 'Set Alerts' in the Menu under Home Page. The following 'Set Alert' window will appear, If the user wishes to receive a text message for the alert, he must enter the mobile phone number, and if he wishes to receive an e-mail alert, he must enter the e-mail id for alert.

IN MARKS	Set Ale		
Market Watch	Order Book	Trade Book	Position Book
Set Alert Based on :	Index		
	🥶 Security Trade Data		
	Personal Trade Data		
Send Alert to :	My Mail		
	My Cell Phone(SMS)		
Exchange :	NOR	*	
	Cancel 🗙	Submit 🛩	



On clicking submit, The following 'Security Alert' window will appear. The user must select the desired Exchange, enter the series and click on 'Lookup'. He must select the desired symbol, and select the alert based on option from the drop down (Last Traded Price/ Traded volume for the day and so on), select the option for greater than or equal to, or less than or equal to and so on, and enter the value for the alert and click on submit

E Menu		Security	Alert	
Market Watch		Order Book	Trade Book	Position Book
Series:		ALL		2
Find Stock:				
		Search		
Company Name:		SELECT	8	<i>*</i>
Alert Based on:		SELECT		2
	īs.			
		Greater Or Equ	et s	
Alert Action If:	to value			
	1000			
		Cancel 🗙	Submit 🖌	

On clicking submit, The following 'Confirmation Message' will appear.

Alert				
Alert ID is :111900001				
	ОК			



### **Alerts History**

Alert history will display the alert which user has set with regard to any scrip. Alert history can be invoked by selecting 'Alert History' option under Menu in Home Page.

Menu     Alert History						
Market Watch	Order Book	Trade Book		Position Book		
C Refresh						
Modify Alert	Trading Symbol	Field	Comparator/Value	Email/SMS		
Modify Alert >	ACC-EQ	Security Last trade Price	>=/1500.00	EMAIL		
Cancel Alert >						

### **Modify Alert**

User can modify alert by selecting 'Modify Alert' option in Alert History window, where user can change mode of receiving alert, limit, Value and Submit.

≡ Menu	Modify	Alert	
Market Watch	Order Book	Trade Book	Position Book
ATM Number	111900003		
Exchange	NSE		
Symbol	2885		
	🛃 My Mail		
	girish.shenoy@thomsoi	reuters.com	
Send On	My Cell Phone(SMS)		
Limit	Greater than Or	Equals 🗸	
Value	155p.00		
	Cancel 🗙	Submit 🖌	

On clicking submit, The following 'Confirmation Message' will appear.



III. Merei	Modily Alert		
Market Watch			
Exchange Symbol			
	🜌 sty stail		
Send On	Alert Alert ID is :1119	00003	
		ок	

To cancel an alert from the list, the user must select 'Cancel Alert' option. A pop-up message will appear as follows, to confirm the cancellation of the alert.

= Minu	Alert	listory	
Market Watch	Order Book	Trade Book	Position Book
C Refresh	Tu	10	ntor/Value Email/SMS
Modify Alert > Cancel Alert >	RE Alert Alert with Alert ID 11190	0003 has been cancelled	100 EMAIL
<u></u> _	C	К	

#### TOPN

This window shows the top10 gainer/losers in the exchange segment based on the following category:

- a) Traded Value
- b) Traded Volume
- c) Open Interest Volume
- d) %Change\_Prev\_Close
- e) Open Interest Value



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TICHANGE, PI				
04.52	85  -	: 45.50	11,	93
600. 800. 87.90		n. 44	T ine   and i	54. M
	÷	D	التتن	

The following basket window shows the Top Gainers among scrips, based on Trade Value in NSE Open Market and user can select different options in drop down based on his preference.

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(and and a	1141-112	See 44	Provident.
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The opposite of the	- Annote	- T 2	
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ISE, OPEN, MAR	KET.		
NEE.FO.OPENUN	WARKET		
NSE_OPEN_WAR	KET		
04.52	145.50		
	-		
87.90			
		1	



### **Index Details**

The user can use the 'Index Details' option by clicking on 'Index Details' under menu in 'Home Page' to view all the indices and their values.

	Index	Details	
Market Watch	Order Book	Trade Book	Position Book
Exchange :	BSE	٠	
Exchange	Index Name	Value	
BSE	AUTO	17420.01 [-152.69] 🛩	
BSE	BANKEX	17422.67 [36.37] 🔺	

## My profile

The user can use the 'My profile option by clicking on 'My Profile under menu in 'Home Page' to view user details, modify 2FA questions and change password

Home[Market Watch]	Market Watch			
Order Book	Order Book	Trade Book	Position Book	
Trade Book			No_MarketWatch	
Position Book				
Holdings				
Limits				
Set Alert				
Alert History				
TopN				
Index Details				
User Details				
Modify Questions				
Change Password				



#### **User Details**

This option will show the entire details for the user like Account Details, Personal details, Contact Details and Bank Details and so on. User profile can be invoked by selecting 'User Profile' under menu in Home Page.

Trade	index i	Details	
Postpan.	i de land	frank Brok - Participa	
Nets			
Account			
User Details		1	
Madily Questions	a Plant	Value	
Dhange Ped	2	176948 58 [00.00]	
User Logs	KEX	20077.07 (00.00)	
Nali Linka	🗢 m	992X 28 [00.01]	
Toph	-	1125982 (01 (00,000)	
inter Details	HC	15483.41(00.00)	
Abran -		10474.34 [bb.00]	
Logell	00	8071.00(06.00)	
	400	30393,00 (00.00) 104093,66 (00.00)	
	wic .	T125 04 (INC OT)	
	1949	7438.67 (00.00)	
	100	1303 23 (00.00)	
	100	HS17 38 [00 00]	
	40	3385 10 (00.00)	
	41.	unas kolopiaj	
	2MP	10121 M (00.00)	
	AU.	94942 NB [D0.04]	
	έn.	1996.35 [90.00]	

### **Modify Questions**

This option allow the user to modify 2FA Questions & Answers. This can be invoked by selecting 'Modify Questions' under 'Menu' in 'Home Page'

E Menu	Modify	Questions	
Market Watch	Order Book	Trade Book	Position Book
	GIRISH3-OTPUAT Kindly answer any 5 questions whic	h will be used for User Authenticat	tion
In Which bank did you f	irst open your account? ( e.g. HDFC	,SBI, etc.)	
How many floors dose	our building have? (e.g. Five, Elever	ı, etc)	
Which is the Brand of F	ridge that you own? ( e.g. LG , Whirlp	pol etc)	



### **Change Password**

User can change his password by clicking on 'Change Password' under My profile option.

#### About

User can view version details by selecting this option

### Log off

User can log off from mobile app by selecting 'log off' option under menu in Home page. The following 'Confirmation Message' will appear.

LOGO	OUT SUCCESS	FULL	
	ок		

**End of Document**