FTP Client Engine

Users Manual

 (FCE_USR)

Version 3.2

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MarshallSoft Computing, Inc. Post Office Box 4543 Huntsville AL 35815 USA

Voice: 1.256.881.4630 Email: info@marshallsoft.com Web: www.marshallsoft.com

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1 Introduction

The **FTP Client Engine** (**FCE**) is a component DLL library providing direct control of the FTP protocol. The FCE component library can be used for both anonymous and private FTP sessions and can be used with any application capable of calling the Windows API.

A simple interface provides the capability to quickly develop FTP software applications to connect to any FTP server, navigate its directory structure, list files, upload files, delete files, append files, and download files using the FTP protocol.

The **FCE User's Manual** applies to the **FTP Client Engine** (FCE) for all supported programming languages. It discusses FTP processing as well as language independent programming issues and provides purchasing and licensing information.

FTP functions can easily be called from any program written in any programming language (such as C/C++, Visual Studio .NET, Visual C#, Delphi, Visual Basic, VB.NET, PowerBASIC, Visual FoxPro, dBase, Xbase++, COBOL, etc.) that is capable of calling Windows API functions.

We have versions of the **FTP Client Engine SDK** for C/C++ (FCE4C), Delphi (FCE4D), Visual Basic (FCE4VB), PowerBASIC (FCE4PB), Visual FoxPro (FCE4FP), dBase (FCE4DB) and Alaska Xbase++ (FCE4XB). Purchase a developer license for one software development language and use it with all others. All versions of FCE use the same DLLs (FCE32.DLL and FCE64.DLL), however, the examples provided for each version are written and tested for the specified computer development language.

The **FTP Client Engine** DLLs (FCE32.DLL and FCE64.DLL) run under all versions of Windows (Windows 95, Windows 98, Windows ME, Windows 2000, Windows NT, Windows Server 2003, Windows XP, Windows Vista, and Windows 7. The 64-bit version (FCE64.DLL) runs with 64-bit applications running under Vista/x64 and Windows 7 /x64.

Fully functional versions of our **FTP Client** software components are provided so that the developer can test the **FCE** library in their environment. The evaluation version as well as a list of the many FTP Client library features provided can be found on our website at:

http://www.marshallsoft.com/ftp-client-library.htm

1.1 Documentation Set

The complete set of documentation consists of three manuals. This is the second manual (FCE_USR) in the set.

- FCE4x Programmer's Manual (FCE_4x.PDF)
- <u>FCE User's Manual</u> (FCE_USR.PDF)
- FCE Reference Manual (FCE_REF.PDF)

The FCE4x Programmer's Manual is the computer language specific manual. All language dependent programming issues including installation, compiling and example programs are discussed in this manual. Language specific manuals are as follows:

```
    FCE_4C.PDF
    FCE Programmer's Manual for C/C++
    FCE_4D.PDF
    FCE Programmer's Manual for Delphi
    FCE_4VB.PDF
    FCE Programmer's Manual for Visual Basic
    FCE_4PB.PDF
    FCE Programmer's Manual for PowerBASIC
    FCE_4FP.PDF
    FCE Programmer's Manual for Visual FoxPro
    FCE_4DB.PDF
    FCE Programmer's Manual for Visual dBase
    FCE_4XB.PDF
    FCE Programmer's Manual for Xbase++
```

The FCE User's Manual (FCE_USR) discusses FTP processing as well as language independent programming issues. License and purchase information is also provided. Read this manual after reading the FCE_4x Programmer's Manual.

The FCE Reference Manual (FCE_REF) contains details on each individual FCE function.

All documentation can also be accessed online at http://www.marshallsoft.com/support.htm.

1.2 Technical Support

We want you to be successful in developing your applications using our FTP Client Library! We are committed to providing the best, robust component library that we can. If you have any suggestions or comments, please let us know.

If you are having a problem using FCE, refer to Section 6.0 "Resolving Problems". If you still cannot resolve your problem, email us at

```
support@marshallsoft.com
```

To avoid having your email deleted by our Spam scanners, begin the subject with "MSC HELP" or with the product name (FCE4C, FCE4VB, etc.). Zip up any attachments and send plain ASCII text email only.

Contact us by phone at 1.256.881.4630 between 7:00 AM - 7:00 PM CST Monday-Thursday and 7:00 AM -5:00 PM Friday.

The latest versions of our products are available on our web site at

```
http://www.marshallsoft.com
```

and on our anonymous FTP site at

ftp://ftp.marshallsoft.com/pub/windows

Registered users can update (for a period of one year) to the latest DLL's at

http://www.marshallsoft.com/update.htm

1.3 How to Purchase

A developer license for the **FTP Client Engine Library** may be purchased for \$115 (USD) for electronic (email) delivery, or \$295 (USD) with ANSII C source code for the DLLs. This price is good for one year from the release date.

The fastest and easiest way to order is on our web site at

http://www.marshallsoft.com/order.htm

Multiple copy discounts (3 or more) and site licenses are available. Please call for details.

We accept American Express, VISA, MasterCard, Discover, checks in US dollars drawn on a US bank, and International Postal Money Orders (such as Western Union).

You can also order by completing INVOICE.TXT (pro forma invoice) and emailing (info@marshallsoft.com), mailing (see our address at top), or faxing it to us. Our fax number will be provided upon request.

For credit card orders, be sure to include the account number, the expiration date, the exact name on the card, and the complete card billing address (the address to which the credit card bill is mailed-<u>not</u> the banks). Please include the Card Verification Code (last 3 numbers printed on the back of Visa, MasterCard and Discover cards, or the 4 numbers of the front of American Express cards.) The cardholder's signature is required on faxed orders.

The purchased package includes:

- FCE32 and FCE64 (for 64-bit programming environment) Library without the "evaluation info" screen.
- Free downloadable updates to the registered DLLs for one year.
- Free telephone and email support for one year.

1.3.1 Academic Discount

We offer an "academic price" with a 40% discount for prepaid email orders to faculty and <u>full</u> time students currently enrolled in any accredited high school, college, or university. The software must be used for educational purposes. The academic discount does **not** apply to source code.

To qualify for the discount, your school must have a web site and you must have an email address at your school. When ordering, ask for the "academic discount", or enter "student at" (or "faculty at") and your schools web site address (URL) in the comments field of the order form on our web site order page. Your order will be sent to your email address at your school.

This offer is not retroactive and cannot be used with any other discount. Products bought with academic pricing cannot be used for any commercial purpose.

1.3.2 Source Code

Source code is available for the purpose of re-compiling FCE32.DLL. Source code for the DLL library is standard ANSI C. The source code for FCE32.DLL is copyrighted by MarshallSoft Computing and may not be released in whole or in part.

There are two ways to order Source Code for the FTP Client Engine Library SDK.

- (1) Source Code can be ordered at the same time as the Developer's License for \$295 (for both).
- (2) Source Code can be ordered within one year of purchasing a Developer's License for \$200. After one year, a Developer's License update must be purchased prior to purchasing the source code.

1.4 Updates

When a developer license is purchased for the **FTP Client Engine Library SDK**, the developer will be sent a new set of DLLs plus a license file (FCExxxxx.LIC) that can be used to update the registered DLL (does not include source code) for a period of <u>one year</u> from purchase. Updates can be downloaded from

http://www.marshallsoft.com/update.htm

After one year, the developer license must be updated to be able to download updates. The developer license can be updated for \$30 if ordered within <u>one year</u> from the original purchase (or previous update). After one year, licenses can be updated for \$55 (\$75 after 3 years).

Source code previously purchased may be <u>updated</u> for \$100 in addition to the cost of the update (\$30, \$55 or \$75).

Note that the registered DLL's never expire.

1.5 Customer ID

The Customer ID is the 5 digits following the product name (FCE) in the license file. For example, customer 12345 would receive license file **FCE12345.LIC**. Provide the Customer ID in the SUBJECT of an email when contacting us for technical support (FCE4C 12345).

1.6 License File

A license file, FCExxxx.LIC, where "xxxx" is the 4 or 5- digit customer ID is provided with each developer license. The license file is an encrypted binary file used for updating FCE as explained in section 1.4 "Updates". The license file is required in order to create (or update) the registered DLLs. The license file can be found in the /DLLS directory created after SETUP is run.

1.7 Example Programs

The example programs are discussed in the FCE Programmer's Manual:

```
    FCE_4C
    FCE Programmer's Manual for C/C++
    FCE_4D
    FCE Programmer's Manual for Delphi
    FCE_4VB
    FCE Programmer's Manual for Visual Basic
    FCE_4PB
    FCE Programmer's Manual for PowerBASIC
    FCE_4FP
    FCE Programmer's Manual for Visual FoxPro
    FCE_4DB
    FCE Programmer's Manual for Visual dBase
    FCE_4XB
    FCE Programmer's Manual for Xbase++
```

The purpose of the example programs is to demonstrate how to call FCE functions. Please <u>read through</u> the comments in the example program source code before compiling.

2 FTP Client Library Overview

The **FTP Client Engine Library** has been tested on multiple computers running Windows 95/98/Me/2003/XP/Vista/Vista x64/Win 7/Win 7 x64 and Windows NT/2000.

2.1 Keycode

When a developer license is purchased, the developer will receive a new set of DLLs and a keycode for the FCE DLL's. Pass this keycode as the argument to **fceAttach**. The keycode will be found in the file named "KEYCODE". The keycode for the evaluation version is 0. The keycode for the registered version will be a unique 9 or 10 digit number. Note: Your keycode is NOT your Customer ID/Registration number.

2.2 Dynamic Link Library

The **FTP Client Engine Library SDK** includes a Win32 [FCE32.DLL] and Win64 [FCE64.DLL] dynamic link libraries (DLL). A DLL is characterized by the fact that it need not be loaded until required by an application program and that only one copy of the DLL is necessary regardless of the number of application programs that use it. Contrast this to a static library that is bound at link time to each and every application that uses it.

2.3 GUI and Console Mode

FCE functions can be called from WIN32 console mode programs as well as GUI programs. A "console mode" program is a Windows 95/98/Me/NT/2000/2003/XP/Vista/7 WIN32 command line program running in a command window. Although console mode programs look like DOS programs, they are WIN32 programs that have access to the entire Windows address space.

2.4 Getting Started Using the Library

The first **FTP Client Engine** (**FCE**) function that should be called is **fceAttach**, which initializes the FCE library and allocates necessary resources. **fceAttach** is typically called in the initialization section of your application.

After **fceAttach** is called, you are ready to connect to a FTP server with **fceConnect**. Once connected, you are ready to call the other FCE functions.

After completing your FTP session, the connection to the server can be closed with **fceClose**. The **fceClose** function should not be called if the previous **fceConnect** failed.

Before exiting your application, **fceRelease** should be called. **fceRelease** should not be called if **fceAttach** failed.

The best way to get familiar with FCE is to try out one of the example programs. The example programs are described in the FCE4x Programmer's Manual. The "x" in FCE_4x specifies the host programming language such as C for C/C++, VB for Visual Basic, etc. the example source is written in.

```
    FCE_4C
    FCE Programmer's Manual for C/C++
    FCE_4D
    FCE Programmer's Manual for Delphi
    FCE_4VB
    FCE Programmer's Manual for Visual Basic
    FCE_4PB
    FCE Programmer's Manual for PowerBASIC
    FCE_4FP
    FCE Programmer's Manual for Visual FoxPro
    FCE_4DB
    FCE Programmer's Manual for Visual dBase
    FCE 4XB
    FCE Programmer's Manual for Xbase++
```

3 Using FTP

3.1 FTP Basics

The FTP (File Transfer Protocol) protocol is defined by Internet document RFC 959. It is used to copy files between a FTP client and a FTP server over a TCP/IP connection using well-known port 21.

There are two types of FTP connections: <u>private</u> and <u>anonymous</u>. However, some FTP servers do not accept anonymous connections.

Three parameters are necessary in order to connect to a FTP server, as follows:

- Host name (or IP address) of the FTP server.
- User name.
- User password.

These FTP parameters are hard coded in most of the examples. However, these parameters could be read from the keyboard, from a file, from a dialog box at runtime, etc., as well as being hard coded.

3.2 Private and Anonymous Access

For <u>private</u> connections, the users account name and password must be specified.

Some FTP servers allow "anonymous" access, which is usually <u>download</u> only. For <u>anonymous</u> connections, the user name is "anonymous" and the password is the user's email address.

3.3 ASCII and Binary Access Modes

The default FTP access mode is ASCII text. In order to upload or download any file that is not ASCII text, the transfer mode <u>must</u> be set to binary with the **fceSetMode** function first. A binary file uploaded or downloaded in ASCII mode may be corrupted.

3.4 Passive Mode

FCE supports "Passive" mode. Passive mode means that the server specifies the data port rather than the client when listing or transferring files. Using passive mode is often necessary to get past a <u>firewall</u>.

Passive mode is an optional FTP command, so that although most FTP servers support passive mode, there are some that do not. Passive mode can be enabled by calling:

```
fceSetInteger(Chan, FCE SET PASSIVE, 1)
```

The use of passive mode is recommended when possible.

3.5 "Socket Address Already In Use" Error.

The FTP protocol specifies that data sockets are reserved after use for some fixed period of time. This means that a data socket cannot be immediately re-used. When making multiple calls to list or transfer files, FCE will increment the data socket number. However, if you terminate and then restart your application while the FTP server still has your last data socket reserved, and attempt to list or transfer files, you will get the error "socket address already in use". There are three solutions to this problem:

- (1) Wait a minute or so, then try again.
- (2) Use PASSIVE mode [fceSetInteger(Chan, FCE_SET_PASSIVE, 1)].
- (3) Specify the port yourself by calling **fceSetInteger**(Chan, FCE_SET_DATA_PORT, port-number).

3.6 Renaming Files on the Server.

Files can be renamed on the FTP server by using the **fceCommand** function. For example, to rename the file "oldname.txt" to "newname.txt" on the server, use (C/C++ example):

```
// rename "oldname.txt" to "newname.txt" on the server
Code = fceCommand(Chan, "RNFR oldname.txt")
Code = fceCommand(Chan, "RNTO newname.txt")
```

Also refer to Section 3.10, 'Renaming Files "On the Fly"'.

3.7 Proxy Servers

In order to use a FTP proxy server, the FTP client connects to the proxy server, and then the proxy server connects to the remote FTP server. The sequence of connection events is as follows:

- The client connects to the FTP proxy server using the FTP port as required by the proxy server.
- The client provides authentication information to the proxy server, if required.
- The client provides user name and password for the remote FTP server to the proxy server.
- The FTP proxy server connects to the remote FTP server.

The proxy server requires that a particular port (not well known port 21) be used when connecting to it. The proxy server port number is specific to the particular proxy server. Refer to the documentation for the specific FTP proxy server to find out the port number and the method for specifying connection parameters such as the user name and password.

The most common method for providing remote server parameters to a proxy server is sometimes known as "PROXY USER". For example, to connect to ftp.marshallsoft.com as user "anonymous" with password "test@marshallsoft.com" through the proxy server running at 10.0.0.1 on proxy port 4421;

```
fceSetInteger(0, FCE_SET_FTP_PORT, 4421)
fceConnect(0, "10.0.0.1", NullString, NullString)
fceCommand(0, "USER anonymous@ftp.marshallsoft.com")
fceCommand(0, "PASS test@marshallsoft.com")
```

Note that the user name and password in the above example are for the remote FTP server, not for the proxy FTP server. The "NullString" refers to a string (or buffer) in which the first byte is a hex zero. Refer to the PROXY example for a complete program.

Check the documentation provided with a particular proxy server for a description of the proxy protocol that it requires. Also refer to Section 3.8, "Proxy Protocols".

3.8 Proxy Protocols

There are no formally defined proxy connection protocols. However, the following lists the most common proxy connection protocols. Refer to the PROXY example program for an example using the "PROXY USER" connection protocol. Note that ProxyUser and ProxyPass are not always used. The following parameters are used for connection to a remote FTP site through a proxy server.

```
ProxyServer = Proxy server name or IP address.

ProxyUser = Proxy user name (optional).

ProxyPass = Proxy password (optional).

ProxyPort = Proxy port number. Consult your proxy documentation.

RemoteServer = Remote FTP server name or IP address.

RemoteUser = Remote FTP server user name.

RemotePass = Remote FTP server password.
```

3.8.1 "RemoteUser@RemoteServer" Protocol (PROXY USER)

```
USER RemoteUser@RemoteServer
PASS RemotePass
```

3.8.2 "User After Login" Protocol. Also known as the "Double Login" protocol.

```
USER ProxyUser
PASS ProxyPass
USER RemoteUser@RemoteServer
PASS RemotePass
```

3.8.3 "ProxyUser@RemoteServer ProxyUser" Protocol.

```
USER ProxyUser@RemoteServer ProxyUser PASS RemotePass
```

3.8.4 "ProxyUser@RemoteUser@RemoteServer" Protocol.

```
USER ProxyUser@RemoteUser@RemoteServer PASS RemotePass
```

3.8.5 "Proxy Open" Protocol.

```
OPEN RemoteServer
USER RemoteUser
PASS RemotePass
```

Check the documentation provided with a particular proxy server for a description of the proxy protocol that it requires.

3.9 Firewalls

Firewalls operate transparently with respect to all TCP/IP programs, monitoring inbound and outbound traffic. Firewalls can filter packets based on their contents, source addresses, destination addresses, and port numbers. If the traffic meets the criterion of the firewall, it is allowed, otherwise it is not.

Firewalls are often combined with proxy servers. Firewalls usually require the use of passive mode. Refer to Section 3.4 "Passive Mode".

When a client program attempts to connect to a FTP server, the first thing that the server does is accept the connection, then send its greeting message - all before the actual logon. If a server's greeting message is not received, then one of the following may have occurred: an incorrect server name or IP address was specified, the wrong port (a few servers don't use standard port 21) was specified, there is a connectivity problem (problem with cable, DSL, Windows itself, etc.), or a firewall is blocking the connection.

If a connection to the server is successful, and all commands work up to the point prior to attempting to get a listing or upload/download a file, then the problem is almost always that the data port chosen is being blocked on one side or the other. Often using passive mode (which allows the server to choose the data port) will resolve this problem, although passive mode is not supported by all servers.

If the connection is being blocked by a local firewall (as opposed to the server's firewall) then the local firewall will need to be reconfigured to unblock a range of data ports. Note that a range of data ports can be specified by using:

```
fceSetInteger(FCE_SET_FIRST_DATA_PORT, first-data-port-to-use)
fceSetInteger(FCE_SET_LAST_DATA_PORT, last-data-port-to-use)
```

Once a data port is used, the port is freed, but it cannot be reused for a period of time determined by the server. To be on the safe side, use one data port for each file listing/upload/download that will occur within any 60-second time period.

3.10 Renaming Files "On The Fly"

Files can renamed as they are being uploaded or downloaded by specifying the filename in "oldname:newname" format. For example, to download the file "serverfile.txt" from an FTP server renamed as "myfile.txt" on the client computer, call

```
fceGetFile(Chan, "serverfile.txt:myfile.txt")
```

Note that there are no extra spaces in the filename string.

The default filename delimiter is the ':' character, but can be changed to any character necessary. For example, to change the filename delimiter from ':' to '\$', call

```
fceSetInteger(Chan, FCE_SET_RENAME_DELIMITER, '$')
```

3.11 Using Append Mode for Uploads

Provided that a FTP server supports it, uploads can be appended to the existing file of the same name (on the server). This can be done by setting append mode and (optionally) a file offset before calling fcePutFile.

For example, to append file "myfile.txt" to the server,

```
fceSetInteger(Chan, FCE_SET_APPEND_MODE, 1)
fcePutFile(Chan, "myfile.txt")
```

To append "myfile.txt", but begin reading the file at file offset 1024 on the client computer,

```
fceSetInteger(Chan, FCE_SET_APPEND_MODE, 1)
fceSetInteger(Chan, FCE_SET_CLIENT_OFFSET, 1024)
fcePutFile(Chan, "myfile.txt")
```

In order to continue an interrupted upload, the offset used should be the existing size of the file on the <u>server</u>. The client offset (if specified) applies only to the client computer not to the server. The server file offset cannot be specified for uploads. Append mode and the file offset must be set for <u>every</u> file before calling **fcePutFile**.

3.12 Using Append Mode for Downloads

Provided that an FTP server supports it, downloads can be appended to the existing file of the same name on the client computer. This is done by setting append mode, a server file offset, and a client file offset before calling **fceGetFile**.

For example, to download file "myfile.txt" beginning at file offset 1024 on the server to the client computer, also at file offset 1024,

```
fceSetInteger(Chan, FCE_SET_APPEND_MODE, 1)
fceSetInteger(Chan, FCE_SET_SERVER_OFFSET, 1024)
fceSetInteger(Chan, FCE_SET_CLIENT_OFFSET, 1024)
fceGetFile(Chan, "myfile.txt")
```

In order to continue an interrupted download, both the client file offset and the server file offset should be set to the existing size of the file on the client computer. Append mode and the file offsets must be set for every file before calling **fceGetFile**.

3.13 Getting File Lengths

If your FTP server supports the "extended" FTP command "SIZE", the fecGetFileSize command can be used to get the size of a file on the FTP server. Otherwise, the file size will have to be retrieved from the file listing.

According to the FTP protocol standard, there is no standard for the format of full FTP listings returned by the server. The format will vary by host operating system, and sometimes between various makes of FTP servers. However, most servers return the full listing exactly as reported by the host operating system. For UNIX, it is typically "ls -l". For Windows, it is typically "dir".

To get the file length of a particular file, request a full listing entry by calling

```
fceGetList(Chan, FCE_FULL_LIST, DataBuffer, DataBufferSize)
```

then call **fceExtract** to extract each field in turn. One of the fields, depending on the host's operating systems, will be the field length.

3.14 Adjusting Performance

3.14.1 Max Performance

A few FTP servers have trouble receiving large buffers sent by the client. However, for most servers, the write performance can be increased by enlarging the write buffer size from 1024 (default value) to 8192 and reducing the sleep time set to 0:

```
fceSetInteger(0, FCE_SET_WRITE_BUFSIZE, 8192)
fceSetInteger(0, FCE_SET_SLEEP_TIME, 0)
```

If uploading is much slower than you believe that it should be, try calling

```
fceSetInteger(0, FCE_STATUS_BEFORE_WRITE, 0)
```

This will direct FCE to not check the WRITE status of a socket before writing to it.

3.14.2 Slow Servers

There are some FTP servers that, for various reasons, cannot keep up with the load of FTP requests from FTP clients. In order to make it easier for those servers, FCE can be programmed to run slower. For example,

```
fceSetInteger(0, FCE_SET_SLEEP_TIME, 150)
fceSetInteger(0, FCE_SET_MIN_LINE_WAIT, 250)
fceSetInteger(0, FCE_SET_MIN_RESPONSE_WAIT, 3000)
```

3.15 Auto Dial

3.15.1 Auto Dial for Windows 95/98/Me

To allow Dial-Up Networking (DUN) to dial up an ISP when the accessing the Winsock (WIN32 only):

- (1) Open the DUN folder in "My Computer", and choose "Connections/Settings" from menu bar. Uncheck "prompt for information before dialing" and choose "Don't prompt to use Dial-Up Networking".
- (2) Use the Windows REGEDIT program to change value "00 00 00 00" to the value "00 00 00 01" in the Windows Registry for the entry

HKEY_CURRENT_USER/Software/Microsoft/Windows/CurrentVersion/InternetSettings/EnableAutodial

(3) Use the Windows REGEDIT program to change value "00 00 00 00" to the value "00 00 00 01" in the Windows Registry for the entry

HKEY_CURRENT_USER/Software/Microsoft/Windows/CurrentVersion/InternetSettings/EnableAutodisconnect.

(4) Use the Windows REGEDIT program to change value "14 00 00 00" to the value "01 00 00 00" in the Windows Registry for the entry

HKEY_CURRENT_USER/Software/Microsoft/Windows/CurrentVersion/InternetSettings/DisconnectIdleTime

This changes the idle time (until disconnect) from 20 minutes (hex 14) to one minute.

3.15.2 Auto Dial for Windows NT

Windows NT and XP users can control auto dialing by editing the setting in the "Dial-Up Networking" (DUN) window. Choose "More", "User Preferences", then "Appearance".

The Dial-Up Networking window can also be displayed by executing the Microsoft Windows program RASPHONE.EXE.

3.15.3 Auto Dial for Windows 2000/XP/Vista

Start Windows help, then type "autodial", then click "configuring". Follow all directions, including "notes". Note that the "Remote Access Auto Connection Manager" must be enabled.

3.15.4 MarshallSoft DUN Dialer

The MarshallSoft DUN Dialer (MDD) can dial up (and hang up) under program control. MDD works under all Windows 32-bit operating systems (Win 95, 98, Me, XP, Vista, NT, 2000,2003).

For more information, see the MDD product page at www.marshallsoft.com/mdd4c.htm (for C/C++), www.marshallsoft.com/mdd4vb.htm (for Visual Basic), etc. The cost for MDD is discounted when ordered at the same time as FCE.

3.16 Secure FTP

The FTP protocol itself is not secure. If sensitive or private data is to be exchanged, some form of FTP security should be considered.

Unfortunately, there are quite a few differing solutions to FTP security, and none of them are part of the standard FTP protocol. There are, however, several solutions to the problem of FTP security. The FTP Client Engine supports 3.16.1 through 3.16.4 below as well as S/KEY password encryption (section 3.18).

3.16.1 Use a secret port.

Changing the FTP server's port provides a minimal degree of security. The idea is that hackers will not know which port to attack. The port chosen should be changed on a regular basis. Most FTP servers and clients can use non-standard ports.

3.16.2 Encrypt all data.

Encrypt all files using strong encryption such as "Pretty Good Privacy". Although hackers may be able to intercept FTP passwords and download copies of your encrypted files, they will not be able to decode them unless they know the encryption key. Standard FTP clients and servers can be used.

3.16.3 Implement VPN.

Set up a "Virtual Private Network", which will encrypt all traffic between VPN nodes. VNP products may be either software or hardware, and are widely available. They also allow the use of standard FTP servers and clients.

3.16.4 Use Secure FTP proxy servers.

Similar to VPN, secure FTP proxy servers can be used to automatically encrypt all traffic between two end points. Using secure FTP proxy servers allows the use of standard FTP servers and clients.

3.16.5 Use SSL, TSL, SSH, or SFTP protocols.

Use one of several "secure" FTP servers that typically implement SSL, TSL, or SFTP security protocols. This approach also requires the use of an FTP client using the same security protocol and requires X.509 certificates. Currently the FTP Client Engine does not support these protocols, however, they are included in the documentation for completeness.

3.17 FTP Passwords

When a connection is made to a FTP server, a user name and password are normally supplied. However, occasionally the FTP server will be configured to require "no password". This can mean two different things:

- (1) The PASS command should <u>not</u> be sent. To do this, pass an empty string (a string whose first element is a NUL) as the password argument to **fceConnect**.
- (2) The PASS command should be sent by itself. To do this, pass a string consisting of a single space character as the password argument to **fceConnect**.

3.18 S/KEY Password Encryption

The S/KEY "One-Time Password System", defined by RFC 2289, encrypts passwords before sending them. The actual password is never transmitted in the clear as is the case for standard FTP.

A FTP server that supports the one time password system will respond to the client's USER command with the challenge string "otp-md5 <sequence> <seed>" to which the client responds with the 6 word password phrase as calculated from the users password according to RFC 2289. For example,

```
R: 220 Serv-U FTP Server v6.3 for WinSock ready...
S: USER mike
R: 331 Response to otp-md5 999 hello required for skey.
S: PASS NEIL JULY NONE EMIT FLEW MUDD
R: 230 User logged in, proceed.
```

If "otp-md5" is not seen, FCE sends the user's password normally (in the clear) as required by the FTP protocol. Thus, S/KEY password encryption is performed automatically when FCE connects to an S/KEY enabled FTP server.

The function fceGetInteger(0, FCE_SKEY_WAS_SEEN) will return TRUE (none zero) if the challenge string "otp-md5" was seen in the server's response to the client's USER command.

There are several FTP servers that support S/KEY password encryption. For Windows,

- Titan FTP Server (http://www.southrivertech.com)
- Serv-U FTP Server (http://www.serv-u.com)
- Globalscape FTP Server (http://www.globalscape.com)

3.19 Progress Bars

Direct mode (calling **fceDriver**) must be used in order to periodically (for example, each second) get the transfer byte count as the upload/download progresses.

In order to implement an upload progress bar, it is necessary to know the size of the file to be uploaded, which can be found by calling **fceGetLocalFSize**. For implementing a download progress bar, the size of the file on the server must be known. This is complicated by the fact that there is NO standard (long) listing format. However, the file size is almost always the first numeric field (beginning with the third), and can be found by calling **fceFileLength**.

The percentage uploaded is computed as

```
BytesWritten = fceGetInteger(0, FCE_GET_FILE_BYTES_SENT)
FileSize = fceGetLocalFSize(0, FilenamePointer)
PerCentage = (100 * BytesWritten) / FileSize
```

and the percentage downloaded is computed as

```
BytesRead = fceGetInteger(0, FCE_GET_FILE_BYTES_RCVD)
FileSize = fceFileLength(LongListingPointer, 3, 7)
PerCentage = (100 * BytesRead) / FileSize
```

Also see the WINFTP example program that displays the percentage uploaded/downloaded at runtime.

3.20 Network Connectivity

It is possible to detect loss of network connectivity in some situations by calling

```
fceIsConnected(Channel)
```

However, depending on many factors, loss of network connectivity cannot always be detected. The only 100% method of detecting loss of connectivity to the server is to send a "NOOP" command to the server and then reading its response. Calling the function fceHello can perform this.

```
fceHello(Channel)
```

4 Theory Of Operation

The **FTP Client Engine (FCE)** is state driven. This means that each call to FCE functions (that access the server) is broken down into sequential steps, each of which can be performed within a second or so. There are two ways in which FCE is used: (1) indirect use of the state engine, and (2) direct use of the state engine.

4.1 Indirect Method

The first (or "indirect") way to use the FCE library is to allow all FCE function calls to automatically call the FCE driver (**fceDriver**) before returning. This is the default way that FCE operates.

The major advantage of this approach is that each FCE function returns only after it has completely finished. The disadvantage of this approach is that some functions may run for a considerable amount of time during which time the calling application must wait.

Refer to the GET example program for an illustration of this approach.

4.2 Direct Method

The second (or "direct") way that the FCE state driver is used is to call it (**fceDriver**) directly. In order to operate this way, the function **fceSetInteger** must be called to set the AUTO_CALL flag to off:

```
fceSetInteger(Chan, FCE SET AUTO CALL DRIVER, 0)
```

After the above statement is executed, the state driver (**fceDriver**) must be called after all of the other FCE functions that access the server. For example (code example),

```
... enable direct mode (disable indirect mode).
fceSetInteger(Chan, FCE_SET_AUTO_CALL_DRIVER, 0)
... connect to server.
Code = fceConnect(...)
If Code < 0 Then
 ... handle error here.
End If
... run the driver.
gool
  ... call the driver
  Code = fceDriver(Chan)
  If Code < 0 Then
    ... handle error here.
    Exit Loop
  End If
  If Code = 0 Then
    ... fceDriver has finished.
    Exit Loop
  End If
  ... display progress or do other processing here.
End Loop
... enable indirect mode (disable direct mode).
fceSetInteger(Chan, FCE_SET_AUTO_CALL_DRIVER, 1)
```

The major advantage of the direct approach is that the calling application can perform other work such as reporting the progress of large downloads. The disadvantage is the extra code that must be written to call **fceDriver**.

Refer to the WINFTP example program for an illustration of this approach.

5 Development Languages Supported

We have versions of the **FTP Client Engine** (**FCE**) component library for C/C++ and .NET (FCE4C), Borland Delphi (FCE4D), Visual Basic and VB.NET (FCE4VB), PowerBASIC (FCE4PB), Visual FoxPro (FCE4FP), dBase (FCE4DB), and Alaska Xbase++ (FCE4XB). All versions of FCE use the same DLLs (FCE32.DLL and FCE64.DLL). Evaluation versions for these may be downloaded from our website at

```
http://www.marshallsoft.com/ftp-client-library.htm
```

The **FTP Client Engine** DLL's can also be used with any application written in any language capable of calling the Windows (95/98/Me, NT/2000/XP/2003/Vista/7) API.

5.1 Using FCE with Supported Languages.

Once a developer license is purchased for a particular programming language version of the **FTP Client Engine SDK** (**FCE**), the same license can be used with all other supported programming languages. Supported languages are C/C++, Visual Basic, PowerBASIC, Delphi, Visual FoxPro, Visual dBase, Xbase++, and (Fujitsu) COBOL.

For example, assume that you have previously downloaded and installed the registered version of FCE4C and now you want to also call FCE functions from Visual Basic.

- 1. Make a backup copy of FCE32.DLL and FCE64.DLL found in the Windows directory (\WINDOWS or \WINNT).
- 2. Download and install the evaluation version of FCE4VB (http://www.marshallsoft.com/fce4vb.htm) into a separate directory from FCE4C.
- 3. Compile and run the Visual Basic FCEVER example program found in the APPS directory created in step 2 above. It should display the pop-up evaluation screen.
- 4. Restore FCE32.DLL and FCE64.DLL saved in step 1 above.
- 5. Paste the key code value found in (the registered version of FCE4C) KEYCODE.H into KEYCODE.BAS.
- 6. Run the Visual Basic FCEVER example program again. It should no longer display the pop-up screen.

A quicker and easier way would be to request multiple programming versions of FCE when a developer license is purchased. There is no additional charge.

5.2 Using FCE with Unsupported Languages

The **FTP Client Engine** DLL can be used with any application written in any language capable of calling the Windows (95/98/ME, NT/2000/XP/2003/Vista/7) API.

Declaration files have been defined for the following languages:

```
C/C++ and .NET
                         FCE.H
Visual Basic and VB NET FCE32.BAS
VBA (Excel, Access,...)
                         FCE32.BAS
PowerBASIC
                         FCE32.PBI
Borland Delphi
                         FCE32.PAS
Fujitsu COBOL
                         FCE32.CBI
Fortran
                         FCE32ABS.INC and FCE32DEC.INC
Visual FoxPro
                         FCE32.FOX
Visual dBase
                         FCE32.CC
Alaska Xbase++
                         FCE32.CH
```

Additional declaration files will be added. Email us if you need a declaration not listed above. If you have interfaced FCE to an unusual language, email us the declaration file!

6 Resolving Problems

First, be sure you are passing the proper keycode. Refer to section 2.1 "Keycode". Before attempting to run any of the example programs, you should already be able to connect to the Internet (or your TCP/IP LAN) and run your normal FTP client, such as the Microsoft command line FTP client FTP.EXE (in the Windows directory).

If you have trouble connecting to an FTP server, try using the IP address instead of the server name. If using the IP address works but the server name does not, the problem lies with the Domain Name System (DNS) lookup. If this does not solve your connection problem, try connecting using TELNET, located in the Windows or Windows/System32 .

If you cannot get your application to run properly, first compile and run the example programs. If you call us to report a possible bug in the library, the first thing we will ask is if the example programs run correctly. All example programs have been compiled and tested.

Be sure to test the code returned from all FCE functions. Then, call **fceErrorText** to get the text associated with the error code. All functions return an integer code. Negative values are always errors.

For example (C Example):

```
Code = fceConnect(0,"ftp.marshallsoft.com","mike", "mike");
if(Code<0)
  {static char Buffer[64];
  fceErrorText(0,Code,Buffer,64);
  printf("Error %d: %s\n", Code, Buffer);
}</pre>
```

Another good idea is to turn on logging by calling

```
fceSetString(Chan, FCE_LOG_FILE, logfilename)
```

If you encounter a problem that you cannot resolve, email us at <u>info@marshallsoft.com</u>. To avoid having your email deleted by our Spam scanners, begin the subject with FCE or MSC HELP. Zip up any attachments and send plain ASCII text email only.

If you still get the evaluation screen (popup window) after purchasing a developer license, the problem is that Windows is finding the evaluation DLL before the registered DLL. The solution is to delete (or zip up) all evaluation versions of FCE32.DLL (or FCE64.DLL) and run the SETUP program again.

If you get "error -74" when calling **fceAttach**, the problem is that the keycode passed to **fceAttach** does not match the keycode in the DLL's. This is caused by (1) using the evaluation keycode (value = 0) with the registered DLL, or (2) using the registered keycode with the evaluation DLL.

We recommend the following steps if you believe that you have discovered a bug in the library:

- (1) Create the smallest, simplest test program possible that demonstrates the problem.
- (2) Document your exact machine configuration and what error the test program demonstrates.
- (3) Email to support@marshallsoft.com the example source and log file.

If the problem is an error in the library and can be solved with an easy work-around, we will publish the work-around. If the problem requires a modification to the library, we will make the change and make the modified library available to our customers without charge.

Review the FCE Programmer's and Reference Manuals. We also suggest reading Section 3 "Using FTP".

7 Versions of FCE

The **FTP Client Engine** (**FCE**) component library is available in three versions. All three versions have identical functionality.

7.1 Evaluation Version

The evaluation version can be differentiated from the other two versions by:

- (1) The evaluation reminder screen is displayed at startup.
- (2) The evaluation version may <u>not</u> be used for commercial purposes.
- (3) The evaluation version may be used for 30days.

7.2 Academic Version

The academic version can be differentiated from the other two versions by:

- (1) There is no evaluation reminder screen.
- (2) The academic version is for academic purposes only and may <u>not</u> be used for commercial purposes.

7.3 Professional Version

The professional version can be differentiated from the other two versions by:

- (1) There is no evaluation reminder screen.
- (2) The DLL is branded with your company name.
- (3) Your branded DLLs may be distributed with your compiled applications.

The professional version compiled DLL may be distributed royalty free with your compiled applications as specified by the software license. However, the Keycode to the DLL can NOT be distributed. The Professional version may be used for commercial purposes. Licensing information is provided in Section 10.1

8 Legal Issues

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9 FCE Function Summary

Refer to the FCE Reference Manual (FCE_REF) for detailed information on the FCE functions. A one-line summary of each function follows.

There are 40 functions in the FTP Client Component (FCE) library.

fceAbort Abort FTP session. fceAttach Attach (initialize) FCE for use. fceByteToShort Converts from 8-bit ASCII characters to 16-bit. fceClose Close connection opened with fceConnect. fceCommand Sends arbitrary command to server [Rename, get status, etc] fceConnect Connect to FTP server. fceDelFile Delete file on server. fceDelServerDir Delete server directory. fceDriver FCE direct mode driver. fceErrorText Get text associated with error code. Extract line from LIST buffer. fceExtract fceFileLength Gets file length for file on server. fceGetFile Download (receive) file. fceGetInteger Get FCE integer parameter for FTP processing. [GET RESPONSE, CONNECT STATUS, BYTES SENT/RECEIVED, DATA PORT, etc.] fceGetList Get list of files on server. fceGetLocalDir Get local directory. fceGetLocalFList Gets list of files in up/download directory. Gets size of file in up/download directory. fceGetLocalFSize Get server directory. fceGetServerDir Get FCE string parameter. fceGetString [GET LINE COUNT, SERVER IP, LOCAL IP, FULL RESPONSE etc.] fceGetTicks Get system ticks. Send "NOP" command. fceHello fceIsConnected Get current connection status. Create directory on server. fceMakeServerDir fceMatchFile Match next filename in list. fcePutFile Upload (transmit) file to server. fceRelease Release FCE. Set FCE integer parameter for FTP processing. fceSetInteger [SET DATA PORT.FTP PORT, WRITE BUFSIZE, APPEND MODE, SLEEP TIME, HIDE PASSWORD, PASSIVE, MASTER INDEX, RESPONSE WAIT, etc.] fceSetLocalDir Set local directory. fceSetMode Set XFER mode (ASCII or Binary). Set server directory. fceSetServerDir Set FCE parameter string. fceSetString [SET LOG FILE, BIND TO LOCAL IP, WRITE TO LOG] fceShortToByte Converts from 16-bit ASCCII characters to 8-bit. Converts ASCII text to integer. fceToInteger Get size of file on server. fceGetFileSize Get date & time stamp of file on server. fceGetFileTime fceGetDirFiles Download (receive) all files in a directory. Upload (send) all files in a directory. fcePutDirFiles

10 FCE Error Return Code List

The complete list of FTP Client Engine Component (FCE) error codes follows.

FCE ABORTED Internal checksum fails! Timed out waiting for accept. FCE ACCEPT SILENT Already attached. FCE_ALREADY_ATTACHED FCE_BAD_KEY_CODE Bad key code passed to fceAttach. Bad status flag passed to fceStatus. FCE_BAD_STATUS_FLAG List buffer overflow. FCE_BUFFER_OVERFLOW Buffer too small. FCE_BUFFER_TOO_SMALL Cannot allocate memory. FCE_CANNOT_ALLOC FCE_CANNOT_COMPLY Cannot comply. FCE_CANNOT_CREATE_SOCK Cannot create socket. FCE CANNOT OPEN Cannot open file. FCE CHAN OUT OF RANGE Channel out of range. FCE CONNECT ERROR Error attempting to connect. FCE EOF Socket has been closed. FCE EXPIRED Evaluation version has expired. FCE_FILE_IO_ERROR File I/O error. FCE_INVALID_SOCKET Invalid socket. FCE_IS_BLOCKING WINSOCK is currently blocking. FCE_LISTEN_ERROR Listen error. FCE_LISTENER_SILENT No response on listener socket. FCE_MODE_NOT_AB Must specify 'A' or 'B' for mode. FCE_NO_GREETING Missing server greeting message. FCE_NO_HOST No host name. FCE NO SERVER Cannot find FTP server. No available sockaddr structures. FCE NO SOCK ADDR FCE NOT ATTACHED Must call fceAttach first. LIST/GET/PUT not completed. FCE_NOT_COMPLETED Illegal chars in server name. FCE_NOT_SERVER PASSWORD not specified. FCE_PASS_NULL_ARG Cannot find PASV port. FCE_PASV_ERROR FCE PORT RANGE Port number out of range. FCE SERVER ERROR FTP server returned error. FCE SERVER NULL ARG SERVER not specified. FCE_SOCK_READ_ERROR Socket read error. Socket write error. FCE_SOCK_WRITE_ERROR FCE_TIMED_OUT Socket timed out. USER name not specified. FCE_USER_NULL_ARG

The numerical value for each error codes is listed in the file **fceErrors.txt**.