中國銀行(香港)有限公司

BANK OF CHINA (HONG KONG) LIMITED 香港花園道一號

BANK OF CHINA (Hong Kong) LIMITED (the "Bank") is a bank incorporated in the Hong Kong Special Administrative Region of the People's Republic of China and is not an authorized institution within the meaning of the Commercial Bank Law and Regulation on the Administration of Foreign-funded Banks of the People's Republic of China, nor carrying on banking business in the Mainland China.

For BOCHK Use Only									
Customer Number 012	:	Date :							
Branch No. :		Production of ESS							
Checker (2)	Checker (1)	Maker							

For Witnessing Bank Use Only – All Must Input

Name of Witnessing Bank:		Full Name								
PIC: (Name)			(Signature)			(Position)			
Authorized Viewer: ((Name)			(Signature)			(Sign Code for NCBC)			
Wealth Management Custome	ers of Wit	nessing Bank:					(Please☑)	Yes	No	
Apply the BOC Wealth Expre	ess Card (Mainland) (issued	by BOC) simult	aneously (or	nly applicable to selected	d witnessii	ng bank):		Yes	
<u>中国银行</u> 见证行一级分行联	¥行行号》	及机构号码:	(一级分行联行	行号)		(柞	〔构号码〕			
南商中国见证行之机构行号。	及客户经	理员工号:	(机构	行号) <u></u>	<u>B</u>	(客户经	理员工号)			
* applicant has already signed, with date specified on the Account Opening Form(if applicable): (Please D) Yes * applicant has presented the proof for account opening(as below), those documents should be stamped with the printed words of 'CERTIFIED TRUE COPY' or 'Original Sighted' or seals with identical meaning, with date specified, signed by handling staff with full name, and signed by Authorized Signatory staff together with full name. Identity Proof Travel Pass/Proof of nationality Visa(applicable for customer in China Region only) Residential Address proof Permanent Address proof (if different with the residential address) Others(please specify):										

Account Opening Form (Consolidated)

As part of the account opening process, you are required to complete this application form and to provide supporting documents (including supporting and other documents required under any laws, regulations or guidelines issued by any regulatory or tax authorities, if applicable). The information is required from you for the Bank's compliance with its customer due diligence policy, local laws and regulations and/or international standards. It forms an important part of the international efforts to combat money laundering, terrorist financing and fraudulent activity. This application form is for the purpose of opening accounts and/or applying for services by new or existing customers. Failure to provide the required information may result in the Bank's inability to process your application and/or to provide services to you. Please refer to the Bank's "Data Policy Notice" or documents under other relevant headings issued by the Bank and its associate entities from time to time relating to the general policies on the use, disclosure and transfer of personal data. For existing customers, it may not be necessary for you to provide certain background information which you have furnished to the Bank previously, provided that such information remains unchanged. If any such information furnished to the Bank is deemed to be valid and unchanged until the Bank is otherwise notified. Notwithstanding that, the Bank has the right to rely on the information obtained or that comes to its knowledge from any source it may consider appropriate to update your existing information in the Bank and may require your confirmation if necessary. Please complete this form in block letters. All service options and instructions are preset to be displayed in English. **Completion of the sections highlighted in grey is optional**.

1. Customer Declaration

The undersigned Customer agrees, confirms, acknowledges and declares that:-

- 1. All information set out in this application form and any document(s) provided by the Customer to the Bank is true, correct and complete. The Customer authorizes the Bank to verify such information from any source it may consider appropriate. The Customer further agrees to notify the Bank promptly in writing upon occurrence of any changes to that information, in any event not later than 30 days after such change, and to provide certified copies of any replacement or documents (including supporting and other documents required under any laws, regulations or guidelines issued by any regulatory or tax authorities, if applicable). The Customer acknowledges that the Bank has the right to rely on the information obtained or that comes to its knowledge from any source it may consider appropriate to update the Customer's existing information and may require the Customer's confirmation if necessary.
- 2. The Customer has received, read and understood the Bank's Conditions for Services, General Information and General Banking Services Charges, and the relevant terms, conditions, rules, user's manual or reference, brochures and provisions relating to the accounts, products and services applied for in this application form and agrees to be bound by them (as amended by the Bank from time to time).[Customers can view the relevant documents at the Bank's website (http://www.bochk.com → Tools → Download Forms)]
- 3. The Customer understands and agrees that the Bank may at any time at its sole discretion vary the Bank's Conditions for Services, General Information and General Banking Services Charges relating to the accounts, products and services applied for in this application form, with notice using any method including by way of display of such notice in the Bank's premises and/or on publicly accessible sections of the Bank's website (http://www.bochk.com).
- 4. The Customer has received, read and understood the Bank's Data Policy Notice and agrees to be bound by it (as amended by the Bank from time to time) [Customers can view the relevant documents at the Bank's website (http://www.bochk.com → Tools → Download Forms)]. The Customer represents that all personal data provided by the Customer to the Bank (a) has been collected by lawful means; and (b) is accurate in all material respects so far as the Customer is aware. The Customer agrees to ensure that, in relation to all personal data collected by and provided to the Bank by the Customer, all necessary consent required from the data subjects have been obtained and that the data subjects are aware that their personal data and information may be used, transferred or disclosed by the Bank in accordance with its policies on the use and disclosure of personal data as set out in its Data Policy Notice made available by the Bank to Customer from time to time and that those data subjects are aware that they may have legal rights of access to and correction of information held about them by the Bank.

- 5. Unless otherwise specified in other relevant documents (if any), no other persons besides the Customer has any interest in the Customer's accounts.
- 6. Unless otherwise specified in this application form, the Customer is not a director/ supervisor/ chief executive/ senior management/ chairman of committee/ head of department/ head of branch/ lending officer/ controller (holdings 5% or more shareholding alone or together with associates who are controllers) of BOCHK Group or Bank of China Ltd (including their subsidiaries and branches) (each, a **specified person**) or relatives of a specified person. The customer undertakes to notify BOCHK promptly should the status change, i.e. the customer become one of the above specified persons or their relatives.
- 7. The Customer understands that the product(s) / deposit(s) (with the "#" mark) applied for in the "Accounts/Services Deposit Accounts" section of this application form is/are deposit(s) qualified for protection by the Deposit Protection Scheme in Hong Kong.
- 8. Applicable to Non-Hong Kong Residents applying for RMB related services :
 - (a) The Customer understands that the Bank will only accept the Customer's application to open account(s) in providing RMB services either as Hong Kong resident or non-Hong Kong resident, depending on whether the Customer is a holder of Hong Kong Identity Card. The Bank will provide services to the Customer in accordance with applicable regulatory requirements from time to time, depending on the Customer's status as a Hong Kong resident or non-Hong Kong resident as declared by the Customer.
 - (b) The Customer undertakes that if the Customer becomes a holder of Hong Kong Identity Card after the declaration date of "Non-Hong Kong Resident's Customer Declaration (Only Applicable to Non-Hong Kong Resident's RMB Related Services)" section of this application form, the Customer shall as soon as practicable inform the Bank of the change. The Customer understands that the Bank will, upon receiving such notification, update its record and provide services according to relevant regulatory requirements applicable to the Customer's status as Hong Kong resident.
 - (c) The Customer understands that the Bank may at any time and without giving any prior notice close or suspend the Customer's account(s) if the Customer is or shall be in breach of the Customer's declaration and/or undertaking above, and the Bank shall not be liable for any loss or claim in connection with or arising from such breach.

2. Customer Particulars (The following customer particulars will be used for purposes of updating the customer's personal data for the accounts/services to be opened and those currently existing.)

(a) Chinasa nama '	urname)	(First name)
(a) Chinese name : (S		
(b) English name(Please also provide valid passport) : (Su	irname)	(First name)
(c) Gender :		(Please 🗹) 🗌 Male 🗌 Female
(d) Residential Address(should be the same as Residential	Address proof)	
Postal Code :		
Country :	→(Please ☑) □ China	Others (please specify)
Province or Major City:		
Address :		
(e) Permanent Address (Please fill in the boxes below if di in if the same.)	ferent with the residential address and shoul	ld be the same as Permanent Address proof. No need to fill
Postal Code :		
Country :	(Please ☑) □China	Others (please specify)
Province or Major City:		
Address :		
 (f) Correspondence Address (applicable to all newly-opene 1. Same as Residential Address 2. Same as Pern 3. Different than residential and permanent address (plea Postal Code : 	anent Address	(Fill in the number)
Country :	→ (Please 🗹) 🗌 China	Others (please specify)
Province or Major City:		
Address :		

(g) Contact Number/Details (Please fill in the fo	orma	t Co	ountr	y Co	de+	Area	Coc	le+∏	eleph	none	Nur	nber)												
Residential Phone No:]					
Office Phone No. :																				- [
Mobile Phone No. :				ĺ				-	-															•	
Other Phone No. :				ĺ								Ī													
Fax No. :																									
Email Address (in Block Letters):			-		L	<u> </u>	<u> </u>	1	1		1	1	-						I						
(This email address shall be used as the key email address for communication between the Customer and the Bank. Customer is reminded to provide a correct and regularly maintained email address.)																									
h) Date of Birth :																									
(i) Nationality : → (Please ☑) □ China □ Others (please specify)																									
(j) Identification Document Type :1. Chinese National ID Card 2. Hong Kong II	D Ca	rd (I	Perm	anen	nt Re	side	nt)	3. H	ong l	Kong	g ID	Care	d (No	on Pe	erma	nent	Resi	ident	.)						
4. Macau ID Card (Permanent Resident) 5. M									-	-								1	,	ſ					
7. Taiwan Passport 8. Others (please specify) (Details)																									
(k) Identification Document No. :				>																					
(I) Place of Birth :						>		(Plea	ise 🗹	1)]Chi	ina		Ot	hers	(plea	ise sj	pecif	fy)					
(m) Occupation :																									
1. Civil Servant 2. Clerk/Secretary/Manager	3	. Se	nior	Man	agei	/ Sei	nior	Exec	utive	2	4. Er	nplo	yer (Inclu	ıding	g Seli	f-em	ploy	ed)						
	Servic					Inem					ousev	wife/	Hom	e W	orke	r		_							
9. Student 10. Retired 11. Professional (Ple	ease	spec	rify)	1	2. O	thers			speci: in th	-	mbo	r)				(Det	aile)								
								(1 111	in u	e nu	moe	¹)				(Det	u113)	L							
(n) Business of Employer / Company :							•																		
(o) Name of Employer / Company :							•																		
(p) Job Title :																									
(q) Marital Status							•		(Plea	se E	Z)		Sing	gle		Ma	rried	l		Divo	rced	or Se	parat	ed
(r) Education Level :1. Primary school or below 2. Secondary s	choo	1	3	Post	5000	ondar	ny or	torti	oru	1	Un	ivor	sity d	ogra		5	Doct	aradı	unto	or at					
1. Finnary school of below 2. Secondary s	cnoo	1	5.1	rost-	-5000	mua	ly OI	terti	ary	4	. 01		sity u			5.		-			num	ber)			
(s) Monthly Income (HKD)																							_		
1. 10,000 or below 2. 10,001- 25,000 5. 75,001- 100,000 6. 100,001- 200,00			3. 25 7. Ab						4.5	0,00	1-7	5,00	0					• (Fi	ill in	the	numt	ber)			
(t) Account Opening Purpose and Reason																	-	(,			
Account Opening Purpose (At most 3 items car	ı be s	selec	ted)																						
1.Savings/Fixed deposit 2.Payroll 3.Invest	stmer	nt -	4.Lo	an R	epay	men	it 5	.Dai	ly tra	nsac	tion	6.	Optic	ons/S	Stock	c/Div	iden	d(Ba	atch)	7.	Perso	nalt	finan	ce	
8.Retirement Plan 9.Commission 10.Busi	iness	tran	isacti	on 1	1.0	thers	(plea	se sj	pecify	()				Г			Т			Г					
D C ' 1117 (A	·····		1	1	. 1)		1.	. 1 .	• •				numb						Deta	ails)					
Reason for opening account in HK (At most 3 items can be selected) (Applicable to Non-Hong Kong Identity Card Holder)																									
5. Others (please specify)	1. Immigration 2. Work 3. Study (also applicable for relatives) 4. Investment in Hong Kong 5. Others (please specify) (Details) (Details)																								
(u) Source of Funds (At most 3 items can be se	lected	d)																							
1. Payroll 2. Retirement Fund/Reserve 3. Income Accumulation 4. Commission/Bonus/ Business Income 5. Return on Investment																									
6. Inheritance 7. Sales of Property (plea	6. Inheritance 7. Sales of Property (please specify) 8. Others (please specify) (Fill in the number)																								

(v) Expected Overall Customer Account Activity Pattern and Level (Monthly Average)
Total monthly average receipts and payments after account opened (in HKD equivalent) (Select 1 item only) (For reference only)
1. 0 -50,000 2. 50,001 -150,000 3. 150,001 -500,000 4. 500,001 - 1,250,000 5. 1,250,001 or above, please specify
(Fill in the number) (Details)
Number of monthly average transactions after account opened (Select 1 item only) (For reference only)
1. 0 – 25 2. 26 - 50 3.51 - 100 4. 101 – 200 5. 201 or above, please specify
(Fill in the number) (Details)
Overall Transaction Pattern (At most 3 items can be selected)
1. Cash 2. Cheque / Cashier's Order 3. Transfer 4. Remittance (TT / Bank Draft) 5. Securities investment 6. Fund investment
7. Others (please specify) (Fill in the number) (Details)
Main channel(s) of Transactions (At most 3 items can be selected)
1. Counter Transaction 2. Internet / Mobile Banking 3. ATM 4. Phone Banking
5. Others (please specify) (Fill in the number) (Details)
3. Accounts/ Services
1.Wealth Management ^{**} 2.Enrich Banking ^{**} 3.Non-Integrated Banking Service(only applicable when specified) [*] (Please) 1. 2. 3.
(a)
Deposit Accounts (Multiple accounts can be selected)
1. HKD Savings Account (Statement)#@&△ 2. Multi-currency Savings Account (Statement)(including RMB account)+#@ 2. HKD Savings Account (Statement)(including RMB account)+#@
3. Addition of RMB currency+ in the existing Multi-currency Savings Account + # (Please specify the account number)
$(Please \square) 1. \square 2. \square 3. \square$
(Please specify the Multi-currency Savings Account number if selct option [3])
^ The above selection represents the Customer's present choice and replaces any choice in that regard communicated by the Customer to the Bank prior to this application.
* Wealth Management and Enrich Banking customers need to fulfill the Total Relationship Balance requirement, otherwise a monthly service fee will be
charged. & Holders of HKD Savings Account(s) who are non-Integrated Banking Service customers will be subject to a counter service fee per each transaction when
withdrawing cash or transferring funds over branch counters # Qualified for protection by the Deposit Protection Scheme in Hong Kong
@ As the settlement account for Wealth Management / Enrich Banking, and the relevant fees and charges for the abovementioned products/services shall be debited from such accounts
\triangle The BOC Wealth Express Card (HKD)will be linked with the account as a subsidiary account (If the Customer has applied the BOC Wealth Express Card
(issued by BOC) in the Mainland China), and the relevant fees and charges for the abovementioned products/services shall be debited from such accounts. + Please complete the following section "Non-Hong Kong Resident's Customer Declaration" (Only Applicable to Non-Hong Kong Resident's RMB Related
Services) if non-Hong Kong resident applies for RMB account:
The Customer declares that :
1. The Customer is a non-Hong Kong resident, i.e. The Customer is not a holder of Hong Kong Identity Card. The Customer is not maintaining any account (including but not limited to sole name and joint accounts) with the Bank as holder of Hong Kong Identity Card.
2. The Customer is a non-Hong Kong resident, i.e. The Customer is not a holder of Hong Kong Identity Card. However, the Customer was
previously a holder of Hong Kong Identity Card , and in such identity/status opened account(s) (including but not limited to sole name and joint accounts) with the Bank and is still maintaining it.
(Fill in the number)
Please specify if selected option [2] , the bank account number(s) is/are
(b)
☑ Internet Banking and Phone Banking
Settlement account: HKD Savings Account in this application
Activate Two-factor Authentication for Internet Banking : Security Device (Please 2) Apply Not Apply
The Customer will receive the activation password of the Two-factor Authentication device and notifications of specified transactions with the mobile phone number stated in "2. Customer Particulars"
(c) 🗹 Consolidated Monthly Statement Services (Consolidated the new accounts/services applied for in this application and the existing accounts/services,
including existing consolidated monthly statement (if applicable))

(d) Statement Dispatch Method # : → (Please ☑ one # Default as " Mail" if it is not specified	e) DMail	Elect	tronic Statemen	t* (Only applicab	le to Internet Banking)
* Applicable only to Consolidated Monthly Statement service, and stater browse the bank account statement via Internet Banking, and the Bank w				apply electronic	statement, you can
(e) Language Settings (Applicable to all accounts, services, statements,	email and SMS	messages)@:			
(Please 🗹 one)	Engli	sh 🗌	Simplified Cl	hinese*	Traditional Chinese
 @ Default as "English" if it is not specified * Applicable only to Consolidated Monthly Statement service and others time to time. 	will be preset as	Traditional Chi	nese. The Bank	may change this	scope of service from
4. Relationship with specified person(s) of the Bank The Customer is / is not a director / supervisor/ chief executive/ semi officer/ controller (holdings 5% or more shareholding alone or tog (including their subsidiaries and branches) (each, a specified person) of □ No, I confirm that I am not any of the above persons or their relation of the above persons or their relatives.	ether with asso or relatives of a s	tiates who are of pecified person.	controllers) of	BOCHK Group	or Bank of China Ltd
Yes it is. Name of specified person:	Rela	ationship:			
 5. Customer's Signature I do not wish the Bank to use my personal data in direct marketing via th Electronic Channels Mail If you return the Form without ticking any of the above boxes, it means 		Personal Call			c's direct marketing.
☐ In order to provide more comprehensive services to our customers, the persons for their use in direct marketing of financial, insurance, created and facilities and so forth. Please tick "√" this box if you do not wise	dit card, securit	es, commodities	, investment, b	anking and relate	d services and products
The "Group" means the Bank and its holding companies, branches include branches, subsidiaries, representative offices and affiliates of The above represents your present choice regarding whether or not to rec to other members of the Group for their use in direct marketing. This re that your above choice applies to the direct marketing of the classes of pr refer to the said Notice on the kinds of personal data which may be used provided for them to use in direct marketing.	the Bank's hol eive direct mark places any choic oducts, services	ding companies eting materials, e communicated and/or subjects	, wherever situ and the Bank's by you to the I as set out in the	intended provisio Bank prior to this Bank's Data Poli	n of your personal data application. Please note cy Notice. Please also
 The following specimen signatures and signing arrangement will be ap The following specimen signatures and signing arrangement will be a for all accounts/products/services applied for in this application. The signature and signing arrangement for the existing account (pla applied for in this application. 	applicable to all	existing account	s/products/serv er) shall be app	ices under the na	me of the applicant and counts/products/services
(Fill in the accour	t number if sele	cted option [3])		ease 🖬 one)	1 2 3
Personal Information of Custome	r			Customer's S (as specimen s	
Name(Chinese): (Surname) (First name)					
Name(English): (Surname) (First name)					
ID Card/ Passport No. :					
Signing Arrangement: In the one signature set out above, of which any o	ne signature(s) v	vill be effective.			
For I	BOCHK Use	Only	Year	Month	Day
	亍號:012		Sign.	No.:	
豁免最低理財總值要求:豁免	年費:		豁免	月費:	
Customer Location : Financial	Advisor Code	:		Remai	rks:
Branch No. : Contact No. :			Ha	ndle By :	
Country Code/ China LOC :					
ID/ Passport Type :					