

BANK OF CHINA (Hong Kong) LIMITED (the "Bank") is a bank incorporated in the Hong Kong Special Administrative Region of the People's Republic of China and is not an authorized institution within the meaning of the Commercial Bank Law and Regulation on the Administration of Foreign-funded Banks of the People's Republic of China, nor carrying on banking business in the Mainland China.

For BOCHK Use Only		
Customer Number : 012	Date :	
Branch No. :	<input type="checkbox"/> Production of ESS	
Checker (2)	Checker (1)	Maker

For Witnessing Bank Use Only – All Must Input

Name of Witnessing Bank:	Full Name		
PIC:	(Name)	(Signature)	(Position)
Authorized Viewer:	(Name)	(Signature)	(Sign Code for NCBC)
Wealth Management Customers of Witnessing Bank:			(Please <input checked="" type="checkbox"/>) <input type="checkbox"/> Yes <input type="checkbox"/> No
Apply the BOC Wealth Express Card (Mainland) (issued by BOC) simultaneously (only applicable to selected witnessing bank):			<input type="checkbox"/> Yes
中國銀行見證行一級分行聯行行號及機構號碼:		(一級分行聯行行號)	(機構號碼)
南商中國見證行之機構行號及客戶經理員工號:		(機構行號)	(客戶經理員工號)
* applicant has already signed, with date specified on the Account Opening Form(if applicable):			(Please <input checked="" type="checkbox"/>) <input type="checkbox"/> Yes
*applicant has presented the proof for account opening(as below), those documents should be stamped with the printed words of 'CERTIFIED TRUE COPY' or 'Original Sighted' or seals with identical meaning, with date specified, signed by handling staff with full name, and signed by Authorized Signatory staff together with full name.			
<input type="checkbox"/> Identity Proof	<input type="checkbox"/> Travel Pass/Proof of nationality	<input type="checkbox"/> Visa(applicable for customer in China Region only)	<input type="checkbox"/> Residential Address proof
<input type="checkbox"/> Permanent Address proof (if different with the residential address)		<input type="checkbox"/> Others(please specify):	

Account Opening Form (Consolidated)

As part of the account opening process, you are required to complete this application form and to provide supporting documents (including supporting and other documents required under any laws, regulations or guidelines issued by any regulatory or tax authorities, if applicable). The information is required from you for the Bank's compliance with its customer due diligence policy, local laws and regulations and/or international standards. It forms an important part of the international efforts to combat money laundering, terrorist financing and fraudulent activity. This application form is for the purpose of opening accounts and/or applying for services by new or existing customers. Failure to provide the required information may result in the Bank's inability to process your application and/or to provide services to you. Please refer to the Bank's "Data Policy Notice" or documents under other relevant headings issued by the Bank and its associate entities from time to time relating to the general policies on the use, disclosure and transfer of personal data. For existing customers, it may not be necessary for you to provide certain background information which you have furnished to the Bank previously, provided that such information remains unchanged. If any such information has changed since you have last completed the account opening/ amendment documentation, then for the purpose of the Bank to comply with its customer due diligence policy, you must promptly provide the Bank with the updated information and in any event, not later than 30 days after such change. Existing information furnished to the Bank is deemed to be valid and unchanged until the Bank is otherwise notified. Notwithstanding that, the Bank has the right to rely on the information obtained or that comes to its knowledge from any source it may consider appropriate to update your existing information in the Bank and may require your confirmation if necessary. Please complete this form in block letters. All service options and instructions are preset to be displayed in English. **Completion of the sections highlighted in grey is optional.**

1. Customer Declaration

The undersigned Customer agrees, confirms, acknowledges and declares that:-

- All information set out in this application form and any document(s) provided by the Customer to the Bank is true, correct and complete. The Customer authorizes the Bank to verify such information from any source it may consider appropriate. The Customer further agrees to notify the Bank promptly in writing upon occurrence of any changes to that information, in any event not later than 30 days after such change, and to provide certified copies of any replacement or documents (including supporting and other documents required under any laws, regulations or guidelines issued by any regulatory or tax authorities, if applicable). The Customer acknowledges that the Bank has the right to rely on the information obtained or that comes to its knowledge from any source it may consider appropriate to update the Customer's existing information and may require the Customer's confirmation if necessary.
- The Customer has received, read and understood the Bank's Conditions for Services, General Information and General Banking Services Charges, and the relevant terms, conditions, rules, user's manual or reference, brochures and provisions relating to the accounts, products and services applied for in this application form and agrees to be bound by them (as amended by the Bank from time to time).[Customers can view the relevant documents at the Bank's website (<http://www.bochk.com> → Tools → Download Forms)]
- The Customer understands and agrees that the Bank may at any time at its sole discretion vary the Bank's Conditions for Services, General Information and General Banking Services Charges relating to the accounts, products and services applied for in this application form, with notice using any method including by way of display of such notice in the Bank's premises and/or on publicly accessible sections of the Bank's website (<http://www.bochk.com>).
- The Customer has received, read and understood the Bank's Data Policy Notice and agrees to be bound by it (as amended by the Bank from time to time) [Customers can view the relevant documents at the Bank's website (<http://www.bochk.com> → Tools → Download Forms)]. The Customer represents that all personal data provided by the Customer to the Bank (a) has been collected by lawful means; and (b) is accurate in all material respects so far as the Customer is aware. The Customer agrees to ensure that, in relation to all personal data collected by and provided to the Bank by the Customer, all necessary consent required from the data subjects have been obtained and that the data subjects are aware that their personal data and information may be used, transferred or disclosed by the Bank in accordance with its policies on the use and disclosure of personal data as set out in its Data Policy Notice made available by the Bank to Customer from time to time and that those data subjects are aware that they may have legal rights of access to and correction of information held about them by the Bank.

5. Unless otherwise specified in other relevant documents (if any), no other persons besides the Customer has any interest in the Customer's accounts.
6. Unless otherwise specified in this application form, the Customer is not a director/ supervisor/ chief executive/ senior management/ chairman of committee/ head of department/ head of branch/ lending officer/ controller (holdings 5% or more shareholding alone or together with associates who are controllers) of BOCHK Group or Bank of China Ltd (including their subsidiaries and branches) (each, a **specified person**) or relatives of a specified person. The customer undertakes to notify BOCHK promptly should the status change, i.e. the customer become one of the above specified persons or their relatives.
7. The Customer understands that the product(s) / deposit(s) (with the “#” mark) applied for in the "Accounts/Services – Deposit Accounts" section of this application form is/are deposit(s) qualified for protection by the Deposit Protection Scheme in Hong Kong.
8. Applicable to Non-Hong Kong Residents applying for RMB related services :
- (a) The Customer understands that the Bank will only accept the Customer's application to open account(s) in providing RMB services either as Hong Kong resident or non-Hong Kong resident, depending on whether the Customer is a holder of Hong Kong Identity Card. The Bank will provide services to the Customer in accordance with applicable regulatory requirements from time to time, depending on the Customer's status as a Hong Kong resident or non-Hong Kong resident as declared by the Customer.
- (b) The Customer undertakes that if the Customer becomes a holder of Hong Kong Identity Card after the declaration date of “Non-Hong Kong Resident's Customer Declaration (Only Applicable to Non-Hong Kong Resident's RMB Related Services)” section of this application form, the Customer shall as soon as practicable inform the Bank of the change. The Customer understands that the Bank will, upon receiving such notification, update its record and provide services according to relevant regulatory requirements applicable to the Customer's status as Hong Kong resident.
- (c) The Customer understands that the Bank may at any time and without giving any prior notice close or suspend the Customer's account(s) if the Customer is or shall be in breach of the Customer's declaration and/or undertaking above, and the Bank shall not be liable for any loss or claim in connection with or arising from such breach.

2. Customer Particulars (The following customer particulars will be used for purposes of updating the customer's personal data for the accounts/services to be opened and those currently existing.)

(a) Chinese name : _____ (Surname) (First name)

(b) English name(Please also provide valid passport) : (Surname) (First name)

(c) Gender : _____ (Please Male Female)

(d) Residential Address(should be the same as Residential Address proof)

Postal Code : _____

Country : _____ (Please China Others (please specify))

Province or Major City: _____

Address : _____

(e) Permanent Address (Please fill in the boxes below if different with the residential address and should be the same as Permanent Address proof. No need to fill in if the same.)

Postal Code : _____

Country : _____ (Please China Others (please specify))

Province or Major City: _____

Address : _____

(f) Correspondence Address (applicable to all newly-opened and existing accounts/services) :

1. Same as Residential Address 2. Same as Permanent Address (Fill in the number)

3. Different than residential and permanent address (please fill in the correspondence address in the boxes below only if different)

Postal Code : _____

Country : _____ (Please China Others (please specify))

Province or Major City: _____

Address : _____

(d) Statement Dispatch Method # : _____ (Please one) Mail Electronic Statement* (Only applicable to Internet Banking)
Default as "Mail" if it is not specified

* Applicable only to Consolidated Monthly Statement service, and statements of securities (If applicable). If you agree to apply electronic statement, you can browse the bank account statement via Internet Banking, and the Bank will not send the statement by mail to you.

(e) Language Settings (Applicable to all accounts, services, statements, email and SMS messages):

_____ (Please one) English Simplified Chinese* Traditional Chinese

@ Default as "English" if it is not specified

* Applicable only to Consolidated Monthly Statement service and others will be preset as Traditional Chinese. The Bank may change this scope of service from time to time.

4. Relationship with specified person(s) of the Bank

The Customer is / is not a director / supervisor/ chief executive/ senior management/ chairman of committee/ head of department/ head of branch/ lending officer/ controller (holdings 5% or more shareholding alone or together with associates who are controllers) of BOCHK Group or Bank of China Ltd (including their subsidiaries and branches) (each, a **specified person**) or relatives of a specified person.

No, I confirm that I am not any of the above persons or their relatives. I undertake to notify BOCHK promptly should my status change, i.e. I become one of the above persons or their relatives.

Yes it is. Name of specified person: _____ Relationship: _____

5. Customer's Signature

I **do not wish** the Bank to use my personal data in direct marketing via the following channel(s) (please use "✓" to select the channel(s)):

Electronic Channels Mail Personal Call

If you return the Form without ticking any of the above boxes, it means that you do not wish to opt-out from any form of the Bank's direct marketing.

In order to provide more comprehensive services to our customers, the Bank may provide your personal data to other members of the Group* and any other persons for their use in direct marketing of financial, insurance, credit card, securities, commodities, investment, banking and related services and products and facilities and so forth. Please tick "✓" this box if you **do not wish** the Bank to provide your personal data to the above persons for the above purposes.

*The "Group" means the Bank and its holding companies, branches, subsidiaries, representative offices and affiliates, wherever situated. Affiliates include branches, subsidiaries, representative offices and affiliates of the Bank's holding companies, wherever situated.

The above represents your present choice regarding whether or not to receive direct marketing materials, and the Bank's intended provision of your personal data to other members of the Group* for their use in direct marketing. This replaces any choice communicated by you to the Bank prior to this application. Please note that your above choice applies to the direct marketing of the classes of products, services and/or subjects as set out in the Bank's Data Policy Notice. Please also refer to the said Notice on the kinds of personal data which may be used in direct marketing and the classes of persons to which your personal data may be provided for them to use in direct marketing.

1. The following specimen signatures and signing arrangement will be applicable to all accounts/products/services applied for in this application.
2. The following specimen signatures and signing arrangement will be applicable to all existing accounts/products/services under the name of the applicant and for all accounts/products/services applied for in this application.
3. The signature and signing arrangement for the existing account (please provide the account number) shall be applicable to all accounts/products/services applied for in this application.

_____ (Please one) 1. 2. 3.

(Fill in the account number if selected option [3])

Personal Information of Customer

Customer's Signature
(as specimen signature)

Name(Chinese): (Surname) _____ (First name) _____

Name(English): (Surname) _____ (First name) _____

ID Card/ Passport No. : _____

Signing Arrangement: In the one signature set out above, of which any one signature(s) will be effective.

Year Month Day

For BOCHK Use Only

Production of ESS 分行號 : 012 Sign. No. : _____

豁免最低理財總值要求 : _____ 豁免年費 : _____ 豁免月費 : _____

Customer Location : _____ Financial Advisor Code : _____ Remarks : _____

Branch No. : _____ Contact No. : _____ Handle By : _____

Country Code/ China LOC : _____

ID/ Passport Type : _____