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## Chapter 1

# Introduction

PARFLOW [1, 7, 8] is a parallel simulation platform that operates in three modes:

- 1. steady-state saturated;
- 2. variably saturated;
- 3. and integrated-watershed flow.

PARFLOW is especially suitable for large scale problems on a range of single and multi-processor computing platforms. PARFLOW simulates the three-dimensional saturated and variably saturated subsurface flow in heterogeneous porous media in three spatial dimensions using a mulitgridpreconditioned conjugate gradient solver [1] and a Newton-Krylov nonlinear solver [7]. PARFLOW has recently been extended to coupled surface-subsurface flow to enable the simulation of hillslope runoff and channel routing in a truly integrated fashion [8]. PARFLOW is also fully-coupled with the land surface model CLM [2] as described in [12, 9]. The development and application of PARFLOW has been on-going for more than 10 years [19, 3, 18, 10, 9, 17, 16, 15, 14, 8, 12, 25, 11, 24, 29, 7, 13, 23, 22, 21, 1] and resulted in some of the most advanced numerical solvers and multigrid preconditioners for massively parallel computer environments that are available today. Many of the numerical tools developed within the PARFLOW platform have been turned into or are from libraries that are now distributed and maintained at LLNL (Hypre and SUNDIALS, for example). An additional advantage of PARFLOW is the use of a sophisticated octree-space partitioning algorithm to depict complex structures in three-space, such as topography, different hydrologic facies, and watershed boundaries. All these components implemented into PARFLOW enable large scale, high resolution watershed simulations. PARFLOW simulates the three-dimensional variably saturated subsurface flow in strongly heterogeneous porous media in three spatial dimensions.

PARFLOW is primarily written in C, uses a module architecture and contains a flexible communications layer to encapsolate parallel process interaction on a range of platforms. CLM is fullyintegrated into PARFLOW as a module and has been parallelized (including I/O) and is written in FORTRAN 90/95. PARFLOW is organized into a main executable  $pfdir/pfsimulator/parflow_exe$ and a library  $pfdir/pfsimulator/parflow_lib$  (where pfdir is the main directory location) and is comprised of more than 190 separate source files. PARFLOW is structured to allow it to be called from within another application (*e.g.* WRF) or as a stand-alone application. There is also a directory structure for the message-passing layer pfdir/pfsimulator/amps for the associated tools pfdir/pftools for CLM pfdir/pfsimulator/clm and a directory of test cases pfdir/test.

This manual describes how to use PARFLOW, and is intended for hydrologists, geoscientists, environmental scientists and engineers. In Chapter 2, we describe how to install PARFLOW. Then, we lead the user through a simple PARFLOW run. In Chapter 3, we describe the PARFLOW system in more detail. Chapter 5 describes the formats of the various files used by PARFLOW.

## Chapter 2

# **Getting Started**

This chapter is an introduction to setting up and running PARFLOW. In § 2.1, we describe how to install PARFLOW. In § 2.2, we lead the user through a simple groundwater problem, supplied with the PARFLOW distribution.

## 2.1 Installing ParFlow

PARFLOW uses a configure/make system based on the standard GNU autoconf configure system. This replaces the home grown set of scripts used in previous versions and is much more portable to a range of machines.

For greater portability the PARFLOW build process separates configuration and compilation of the simulator and associated tools. This separation allows easier porting to platforms where the architecture is different on the nodes and the front-end.

These instructions are for building PARFLOW on a range of serial and parallel linux, unix and OSX machines, including stand-alone single and multi-core to large parallel clusters. These instructions do NOT include compilation on Windows machines.

PARFLOW requires a Standard ANSI C and FORTRAN 90/95 compiler to build code. GCC and gFortran, available for free on almost every platform, are good options and may be found at:

and

#### http://gcc.gnu.org/wiki/GFortran

PARFLOW also requires TCL/TK version 8.0 (or higher). TCL/TK can be obtained from:

#### http://www.tcl.tk/

These three packages are often pre-installed on most computers and generally do not need to be installed by the user. The following steps are designed to take you through the process of installing PARFLOW from a source distribution. PARFLOW uses the gnu package autoconf to create a configuration file for building and installing the PARFLOW program.

#### 1. Setup

Decide where you wish to install Parflow and associated libraries. The following environment variable should be set up in your .profile, .cshrc, or other file. Set the environment variable PARFLOW\_DIR to your chosen location (if you are using bash or a bourne syntax shell):

export PARFLOW\_DIR=~/parflow

If you are using a csh like shell you will need the following in your .cshrc file:

setenv PARFLOW\_DIR ~/parflow

The variable PARFLOW\_DIR specifies the location of the installed version of PARFLOW. This is where executables and support files will be placed. If you have a directory which is shared on multiple architectures you can set different PARFLOW\_DIRs on the different machines (for example ~/parflow-arch1 and ~/parflow-arch2). We will use the ~/parflow directory as the root directory for building PARFLOW in this user manual; you can use a different directory if you wish.

#### 2. Extract the source

Extract the source files from the distribution compressed tar file. This example assumes the parflow.tar.Z file is in your home directory and you are building it in a directory ~/parflow.

mkdir ~/parflow
cd ~/parflow
gunzip ../parflow.tar.Z
tar -xvf ../parflow.tar

#### 3. Build and install PARFLOW

This step builds the PARFLOW library and executable that runs on the nodes of the parallel machine. The library is used when PARFLOW is used as a component of another simulation (*e.g.* WRF).

```
cd $PARFLOW_DIR
cd pfsimulator
./configure --prefix=$PARFLOW_DIR --with-amps=mpi1
make
make install
```

This will build a parallel version of /parflow using the MPI1 libraries. You can control build options for /parflow, use

./configure --help

to see other configure options. Note that PARFLOW defaults to building a sequential version so --with-amps is needed when building for a parallel computer. You can explicitly specify

#### 2.1. INSTALLING PARFLOW

the path to the MPI to use with the **--with-mpi** option to configure. This controls *AMPS* which stands for Another Message Passing Sytem. *AMPS* is a flexible message-passing layer within PARFLOW that allows a common code core to be quickly and easily adapted to different parallel environments.

#### 4. Build and install pftools

pftools is a package of utilities and a TCL library that is used to setup and postprocess Parflow files. The input files to PARFLOW are TCL scripts so TCL must be installed on the system.

The first command will build PARFLOW and the bundled tools and install them in the **\$PARFLOW\_DIR** directory. The second command will build and install the documentation. A typical configure and build looks like:

```
cd $PARFLOW_DIR
cd pftools
./configure --prefix=$PARFLOW_DIR --with-amps=mpi1
make
make install
make doc_install
```

Note that pftools is *NOT* parallel but some options for how files are written are based on the communication layer so pftools needs to have the same options that were used to build the PARFLOW library.

If TCL is not installed in the system locations (/usr or /usr/local) you need to specify the path with the --with-tcl=<PATH> configure option.

See ./configure --help for additional configure options for pftools.

#### 5. Running a sample problem

There is a test directory that contains not only example scripts of PARFLOW problems but the correct output for these scripts as well. This may be used to test the compilation process and verify that PARFLOW is installed correctly. If all went well a sample PARFLOW problem can be run using:

cd \$PARFLOW\_DIR
cd test
tclsh default\_single.pftcl

Note that PAFLOW\_DIR must be set for this to work and it assume tclsh is in your path. Make sure to use the same TCL as was used in the pftools configure. The entire suite of test cases may be run at once to test a range of functionality in PARFLOW. This may be done by:

```
cd $PARFLOW_DIR
cd test
make check
```

### 6. Notes and other options:

PARFLOW may be compiled with a number of options using the configure script. Some common options are compiling CLM as in [12, 9] to compile with timing and optimization or to use a compiler other than gcc. To compile with CLM add --with-clm to the configure line such as:

./configure --prefix=\$PARFLOW\_DIR --with-amps=mpi1 --with-clm

To enable detailed timing of the performance of several different components within PARFLOW use the --enable-timing option. To use compiler optimizations use the --enable-opt=STRING where the =STRING is an optional flag to specify the level and type of optimization.

IMPORTANT NOTE: Optimization and debugging are controlled independent of one another. So to compile with optimization and no debugging you need to specify both --enable-opt=STRING AND --disable-debug.

It is often desirable to use different C and F90/95 compilers (such as *Intel* or *Porland Group*) to match hardware specifics, for performance reasons or simply personal preference. To change compilers, set the CC, FC and F77 variables (these may include a path too). For example to change to the *Intel* compilers in c-shell:

setenv CC icc setenv FC ifort setenv F77 ifort

Many of the features of PARFLOW use a file structure called Silo. Silo is a free, open-source, format detailed at:

#### https://wci.llnl.gov/codes/silo/

Support for Silo is integrated into PARFLOW but the Silo libraries must be built separately and then linked into PARFLOW during the build and configure process. This may be done using the --with-silo=PATH where the PATH is the location of the Silo libraries.

Some features of PARFLOW need to call the solver package *Hypre* externally. These include the command options **SMG** and **PFMGOctree**. *Hypre* is a free, open-source, library detailed at:

https://computation.llnl.gov/casc/hypre/software.html

Support for *Hypre* 2.4.0b or later is integrated into PARFLOW but the libraries must be built separately and then linked into PARFLOW during the build and configure process. This may be done using the --with-hypre=PATH where the PATH is the location of the *Hypre* libraries.

## 2.2 Running the Sample Problem

Here, we assume that PARFLOW is already built. The following steps will allow you to run a simple test problem supplied with the distribution.

1. We first create a directory in which to run the problem, then copy into it some supplied default input files. So, do the following anywhere in your \$HOME directory:

```
mkdir foo
cd foo
cp $PARFLOW_DIR/test/default_single.tcl .
chmod 640 *
```

We used the directory name foo above; you may use any name you wish. The last line changes the permissions of the files so that you may write to them.

2. Run PARFLOW using the pftcl file as a TCL script

```
tclsh default_single.tcl
```

You have now successfully run a simple PARFLOW problem. For more information on running PARFLOW, see § 3.2.

#### Adding a Pumping Well

Let us change the input problem by adding a pumping well:

- 1. Edit the file default\_single.tcl using your favorite text editor.
- 2. Add the following lines to the input file near where the existing well information is in the input file. You need to replace the "Wells.Names" line with the one included here to get both wells activated (this value lists the names of the wells):

pfset	Wells.Names {snoopy n	well}			
pfset	Wells.new_well.InputT	уре		Recirc	
pfset	Wells.new_well.Cycle	constant			
-	Wells.new_well.Extrac Wells.new_well.Inject	• 1	Flux	Flux	
-	Wells.new_well.X Wells.new_well.Y	10.0 10.0			
pfset	Wells.new_well.Extrac	tionZLower	5.0	)	
- pfset	Set Wells.new_well.ExtractionZUpper 5.0				
pfset	set Wells.new_well.InjectionZLower 2.0				
pfset	Wells.new_well.Inject	ionZUpper	2.0		
pfset	Wells.new_well.Extrac	tionMethod	Star	ndard	

```
pfset Wells.new_well.InjectionMethodStandardpfset Wells.new_well.alltime.Extraction.Flux.water.Value5.0pfset Wells.new_well.alltime.Injection.Flux.water.Value7.5pfset Wells.new_well.alltime.Injection.Concentration.water.tce.Fraction 0.1
```

For more information on defining the problem, see  $\S$  3.1.

## 2.3 ParFlow Solvers

PARFLOW can operate using a number of different solvers. Two of these solvers, IMPES (running in single-phase, fully-saturated mode, not multiphase) and RICHARDS are detailed below. This is a brief summary of solver settings used to simulate under three sets of conditions, fully-saturated, variably-saturated and variably-saturated with overland flow. A complete, detailed explanation of the solver parameters for ParFlow may be found later in this manual. To simulate fully saturated, steady-state conditions set the solver to IMPES, an example is given below. This is also the default solver in ParFlow, so if no solver is specified the code solves using IMPES.

#### pfset Solver Impes

To simulate variably-saturated, transient conditions, using Richards equation, variably/fully saturated, transient w/ compressible storage set the solver to RICHARDS. An example is below. This is also the solver used to simulate surface flow or coupled surface-subsurface flow.

pfset Solver Richards

To simulate overland flow, using the kinematic wave approximation to the shallow-wave equations, set the solver to RICHARDS and set the upper patch boundary condition for the domain geometry to OverlandFlow, an example is below. This simulates overland flow, independently or coupled to Richards Equation as detailed in [8]. The overland flow boundary condition can simulate both uniform and spatially-distributed sources, reading a distribution of fluxes from a binary file in the latter case. The two cases are set in a ParFlow input script as follows:

pfset Patch.z-upper.BCPressure.Type OverlandFlow

or

pfset Patch.z-upper.BCPressure.Type OverlandFlowPFB

For either case, the solver needs to be set to RICHARDS:

#### pfset Solver Richards

and the jacobian is approximated:

pfset Solver.Nonlinear.UseJacobian False

In both cases the boundary fluxes may be set as a function of time cycle. For the Overland-FlowPFB case:

```
pfset Patch.z-upper.BCPressure.Cycle "rainrec"
pfset Patch.z-upper.BCPressure.rain.FileName "bc.flux.test.1.pfb"
pfset Patch.z-upper.BCPressure.rec.FileName "bc.flux.test.0.pfb"
```

PARFLOW may also be coupled with the land surface model CLM [2]. This version of CLM has been extensively modified to be called from within PARFLOW as a subroutine, to support parallel infrastructure including I/O and most importantly with modified physics to support coupled operation to best utilize the more sophisticated physics in PARFLOW [12, 9]. To couple CLM into PARFLOW first the --with-clm option is needed in the ./configure command as indicated in § 2.1. Second, the CLM module needs to be called from within PARFLOW, this is done using the following solver key:

#### pfset Solver.LSM CLM

Note that this key is used to call CLM from within the nonlinear solver time loop and requires that the solver bet set to RICHARDS to work. Note also that this key defaults to *not* call CLM so if this line is omitted CLM will not be called from within PARFLOW even if compiled and linked. Currently, CLM gets some of it's information from PARFLOW such as grid, topology and discretization, but also has some of it's own input files for land cover, land cover types and atmospheric forcing.

## Chapter 3

## The ParFlow System

The PARFLOW system is still evolving, but here we discuss how to define the problem in § 3.1, how to run PARFLOW in § 3.2, and options for to visualizing the results in § 3.4. There is also a utility providing a set of functions for manipulating PARFLOW data. This utility is discussed in § 3.5. Lastly, § 3.6 discusses the contents of a directory of test problems provided with PARFLOW.

## 3.1 Defining the Problem

Defining the problem may involve several steps. One of these steps may require definition complicated geometries such as hydrostratigraphic layers. These geometries are then converted to the .pfsol file format ( $\S$  5.4) using the appropriate PFTOOLS conversion utility ( $\S$  3.5).

The "main" PARFLOW input file is the .tcl file. This input file is a TCL script with some special routines to create a database which is used as the input for PARFLOW. See § 5.1 for details on the format of this file. The input values into PARFLOW are defined by a key/value pair. For each key you provide the associated value using the **pfset** command inside the input script. To set the computational grid for the problem you would enter:

#							
# Computational Grid							
#							
pfset ComputationalGrid.Lower.X	-10.0						
pfset ComputationalGrid.Lower.Y	10.0						
pfset ComputationalGrid.Lower.Z	1.0						
pfset ComputationalGrid.DX	8.8888888888888893						
pfset ComputationalGrid.DY	10.66666666666666						
pfset ComputationalGrid.DZ	1.0						
pfset ComputationalGrid.NX	18						
pfset ComputationalGrid.NY	15						

pfset ComputationalGrid.NZ

8

The value is normally a single string, double, or integer. In some cases, in particular for a list of names, you need to supply a space seperated sequence. This can be done using either a double quote or braces.

```
pfset Geom.domain.Patches "left right front back bottom top"
```

```
pfset Geom.domain.Patches {left right front back bottom top}
```

For commands longer than a single line, the TCL continuation character can be used,

Since the input file is a TCL script you can use any feature of TCL to define the problem. This manual will make no effort to teach TCL so refer to one of the available TCL manuals for more information ("Practical Programming in TCL and TK" by Brent Welch [27] is a good starting point). This is NOT required, you can get along fine without understanding TCL/TK.

Looking at the example programs in the test directory is one of the best ways to understand what a PARFLOW input file looks like. See § 3.6.

## 3.2 **Running ParFlow**

Once the problem input is defined, you need to add a few things to the script to make it execute PARFLOW. First you need to add the TCL commands to load the PARFLOW command package.

```
#
# Import the ParFlow TCL package
#
lappend auto_path $env(PARFLOW_DIR)/bin
package require parflow
namespace import Parflow::*
```

This loads the pfset and other PARFLOW commands into the TCL shell.

Since this is a script you need to actually run PARFLOW. These are normally the last lines of the input script.

```
#-----
# Run and Unload the ParFlow output files
#-----
pfrun default_single
pfundist default_single
```

The **pfrun** command runs PARFLOW with the database as it exists at that point in the file. The argument is the name to give to the output files (which will normally be the same as the name of the script). Advanced users can set up multiple problems within the input script by using different output names.

The pfundist command takes the output files from the PARFLOW run and undistributes them. PARFLOW uses a virtual file system which allows files to be distributed across the processors. The pfundist takes these files and collapses them into a single file. On some machines if you don't do the pfundist you will see many files after the run. Each of these contains the output from a single node; before attempting using them you should undistribute them.

Since the input file is a TCL script run it using TCL:

tclsh runname.tcl

NOTE: Make sure you are using TCL 8.0 or later. The script will not work with earlier releases. One output file of particular interest is the <run name>.out.log file. This file contains information about the run such as number of processes used, convergence history of algorithms, timings and MFLOP rates. For Richards' equation problems (including overland flow) the <run name>.out.kinsol.log file contains the nonlinear convergence information for each timestep. Additionally, the <run name>.out.tx contains all information routed to standard out of the machine you are running on and often contains error messages and other control information.

### 3.3 Restarting a Run

A PARFLOW run may need to be restarted because either a system time limit has been reached, PARFLOW has been prematurely terminated or the user specifically sets up a problem to run in segments. In order to restart a run the user needs to know the conditions under which PARFLOW stopped. If PARFLOW was prematurely terminated then the user must examine the output files from the last "timed dump" to see if they are complete. If not then those data files should be discarded and the output files from the next to last "timed dump" will be used in the restarting procedure. As an important note, if any set of "timed dump" files are deleted remember to also delete corresponding lines in the well output file or recombining the well output files from the individual segments afterwards will be difficult. It is not necessary to delete lines from the log file as you will only be noting information from it. To summarize, make sure all the important output data files are complete, accurate and consistent with each other.

Given a set of complete, consistent output files - to restart a run follow this procedure :

- 1. Note the important information for restarting :
  - Write down the dump sequence number for the last collection of "timed dump" data.
  - Examine the log file to find out what real time that "timed dump" data was written out at and write it down.
- 2. Prepare input data files from output data files :

- Take the last pressure output file before the restart with the sequence number from above and format them for regular input using the keys detailed in § 5.1.24 and possibly the pfdist utility in the input script.
- 3. Change the Main Input File  $\S~5.1$  :
  - Edit the .tcl file (you may want to save the old one) and utilize the pressure initial condition input file option (as referenced above) to specify the input files you created above as initial conditions for concentrations.
- 4. Restart the run :
  - Utilizing an editor recreate all the input parameters used in the run except for the following two items :
    - Use the dump sequence number from step 1 as the start\_count.
    - Use the real time that the dump occured at from step 1 as the start\_time.

## 3.4 Visualizing Output

While PARFLOW does not have any visualization capabilities built-in, there are a number flexible, free options. Probably the best option is to use *VisIt*. *VisIt* is a powerful, free, open-source, rendering environment. It is multiplatform and may be downloaded directly from:

#### https://wci.llnl.gov/codes/visit/

The most flexible option for using *VisIt* to view PARFLOW output is to write files using the *SILO* format, which is available either as a direct output option (described in § 5.1.28) or a conversion option using pftools. Many other output conversion options exist as described in § 3.5 and this allows PARFLOW output to be converted into formats used by almost all visualization software.

## 3.5 Manipulating Data

## 3.5.1 Introduction to the PARFLOW TCL commands (PFTCL)

Several tools for manipulating data are provided in PFTCL command set. In order to use them you need to load the PARFLOW package into the TCL shell. If you are doing simple data manipulation the **xpftools** provides GUI access to most of these features. All of these tools are accessible inside of a PARFLOW input script. You can use them to do post and pre processing of datafiles each time you execute a run.

#
# To Import the ParFlow TCL package
#
lappend auto\_path \$env(PARFLOW\_DIR)/bin

package require parflow namespace import Parflow::\*

Use pfhelp to get a list of commands.

PFTCL assigns identifiers to each data set it stores. For example, if you read in a file called foo.pfb, you get the following:

parflow> pfload foo.pfb
dataset0

The first line is typed in by the user and the second line is printed out by PFTCL. It indicates that the data read from file foo.pfb is associated with the identifier dataset0.

To exit use the standard TCL command exit.

#### 3.5.2 PFTCL Commands

The following gives a list of PARFLOW commands and instructions for their use: Note that commands that perform operations on data sets will require an identifier for each data set it takes as input.

#### pfaxpy alpha x y

This command computes  $y = alpha^*x+y$  where alpha is a scalar and x and y are identifiers representing data sets. No data set identifier is returned upon successful completion since data set y is overwritten.

#### pfcomputetop mask

This command computes the top of the domain based on the mask of active and inactive zones. This is the land-surface in clm or overland flow simulations. The identifier of the data set created by this operation is returned upon successful completion.

#### pfwatertabledepth top saturation

This command computes the the water table depth (distance from top to first cell with saturation = 1). The identifier of the data set created by this operation is returned upon successful completion.

#### pfcvel conductivity phead

This command computes the Darcy velocity in cells for the conductivity data set represented by the identifier 'conductivity' and the pressure head data set represented by the identifier 'phead'. (note: This "cell" is not the same as the grid cells; its corners are defined by the grid vertices.) The identifier of the data set created by this operation is returned upon successful completion.

#### pfdelete dataset

This command deletes the data set represented by the identifier 'dataset'.

#### pfdiffelt datasetp datasetq i j k digits [zero]

This command returns the difference of two corresponding coordinates from 'datasetp' and 'datasetq' if the number of digits in agreement (significant digits) differs by more than 'digits' significant digits and the difference is greater than the absolute zero given by 'zero'.

#### pfdist filename

Distribute the file onto the virtual file system. This utility must be used to create files which PARFLOW can use as input. PARFLOW uses a virtual file system which allows each node of the parallel machine to read from the input file independentaly. The utility does the inverse of the pfundist command. If you are using a PARFLOW binary file for input you should do a pfdist just before you do the pfrun. This command requires that the processor topology and computational grid be set in the input file so that it knows how to distribute the data.

#### pfflux conductivity hhead

This command computes the net Darcy flux at vertices for the conductivity data set 'conductivity' and the hydraulic head data set given by 'hhead'. An identifier representing the flux computed will be returned upon successful completion.

#### pfextracttop top data

This command computes the top of the domain based on the top of the domain and another dataset. The identifier of the data set created by this operation is returned upon successful completion.

pfgetelt dataset i j k

This command returns the value at element (i,j,k) in data set 'dataset'. The i, j, and k above must range from 0 to (nx - 1), 0 to (ny - 1), and 0 to (nz - 1) respectively. The values nx, ny, and nz are the number of grid points along the x, y, and z axes respectively. The string 'dataset' is an identifier representing the data set whose element is to be retrieved.

#### pfgetgrid dataset

This command returns a description of the grid which serves as the domain of data set 'dataset'. The format of the description is given below.

• (nx, ny, nz)

The number of coordinates in each direction.

• (x, y, z)

The origin of the grid.

• (dx, dy, dz)

The distance between each coordinate in each direction.

The above information is returned in the following Tcl list format: nx ny nz x y z dx dy dz

#### pfgridtype gridtype

This command sets the grid type to either cell centered if 'gridtype' is set to 'cell' or vetex centered if 'gridtype' is set to 'vertex'. If no new value for 'gridtype' is given, then the current value of 'gridtype' is returned. The value of 'gridtype' will be returned upon successful completion of this command.

#### pfhhead phead

This command computes the hydraulic head from the pressure head represented by the identifier 'phead'. An identifier for the hydraulic head computed is returned upon successful completion.

#### pflistdata dataset

This command returns a list of pairs if no argument is given. The first item in each pair will be an identifier representing the data set and the second item will be that data set's label. If a data set's identifier is given as an argument, then just that data set's name and label will be returned.

pfload [file format] filename

Loads a dataset into memory so it can be manipulated using the other utilities. A file format may preced the filename in order to indicate the file's format. If no file type option is given, then the extension of the filename is used to determine the default file type. An identifier used to represent the data set will be returned upon successful completion.

File type options include:

• pfb

ParFlow binary format. Default file type for files with a '.pfb' extension.

• pfsb

ParFlow scattered binary format. Default file type for files with a '.pfsb' extension.

• sa

ParFlow simple ASCII format. Default file type for files with a '.sa' extension.

• sb

ParFlow simple binary format. Default file type for files with a '.sb' extension.

• silo

Silo binary format. Default file type for files with a '.silo' extension.

• rsa

ParFlow real scattered ASCII format. Default file type for files with a '.rsa' extension

#### pfloadsds filename dsnum

This command is used to load Scientific Data Sets from HDF files. The SDS number 'dsnum' will be used to find the SDS you wish to load from the HDF file 'filename'. The data set

loaded into memory will be assigned an identifier which will be used to refer to the data set until it is deleted. This identifier will be returned upon successful completion of the command.

#### pfmdiff datasetp datasetq digits [zero]

If 'digits' is greater than or equal to zero, then this command computes the grid point at which the number of digits in agreement (significant digits) is fewest and differs by more than 'digits' significant digits. If 'digits' is less than zero, then the point at which the number of digits in agreement (significant digits) is minimum is computed. Finally, the maximum absolute difference is computed. The above information is returned in a Tcl list of the following form: mi mj mk sd adiff

Given the search criteria, (mi, mj, mk) is the coordinate where the minimum number of significant digits 'sd' was found and 'adiff' is the maximum absolute difference.

#### pfnewdata {nx ny nz} {x y z} {dx dy dz} label

This command creates a new data set whose dimension is described by the lists nx ny nz, x y z, and dx dy dz. The first list, describes the dimensions, the second indicates the origin, and the third gives the length intervals between each coordinate along each axis. The 'label' argument will be the label of the data set that gets created. This new data set that is created will have all of its data points set to zero automatically. An identifier for the new data set will be returned upon successful completion.

#### pfnewlabel dataset newlabel

This command changes the label of the data set 'dataset' to 'newlabel'.

#### pfphead hhead

This command computes the pressure head from the hydraulic head represented by the identifier 'hhead'. An identifier for the pressure head is returned upon successful completion.

#### pfsavediff datasetp datasetq digits [zero] -file filename

This command saves to a file the differences between the values of the data sets represented by 'datasetp' and 'datasetq' to file 'filename'. The data points whose values differ in more than 'digits' significant digits and whose differences are greater than 'zero' will be saved. Also, given the above criteria, the minimum number of digits in agreement (significant digits) will be saved.

If 'digits' is less than zero, then only the minimum number of significant digits and the coordinate where the minimum was computed will be saved.

In each of the above cases, the maximum absolute difference given the criteria will also be saved.

#### pfsave dataset -filetype filename

This command is used to save the data set given by the identifier 'dataset' to a file 'filename' of type 'filetype' in one of the ParFlow formats below.

File type options include:

- pfb ParFlow binary format.
- sa ParFlow simple ASCII format.
- sb ParFlow simple binary format.
- silo Silo binary format.
- vis Vizamrai binary format.

#### pfsavesds dataset -filetype filename

This command is used to save the data set represented by the identifier 'dataset' to the file 'filename' in the format given by 'filetype'.

The possible HDF formats are:

- $\bullet$  -float32
- -float64
- -int8
- -uint8
- -int16
- $\bullet$  -uint16
- -int32
- -uint32

#### pfstats dataset

This command prints various statistics for the data set represented by the identifier 'dataset'. The minimum, maximum, mean, sum, variance, and standard deviation are all computed. The above values are returned in a list of the following form: min max mean sum variance (standard deviation)

### pfsubsurfacestorage mask porosity pressure saturation specific\_storage

This command computes the sub-surface water storage (compressible and incompressible components) based on mask, porosity, saturation, storativity and pressure fields. The equations used to calculate this quantity are given in § 4.7. The identifier of the data set created by this operation is returned upon successful completion.

#### pfsum dataset

This command computes the sum over the domain of the dataset.

#### pfsurfacerunoff top slope\_x slope\_y mannings pressure

This command computes the surface water runoff (out of the domain) based on a computed top, pressure field, slopes and mannings roughness values. This is integrated along all domain boundaries and is calculated any location that slopes at the edge of the domain point outward. This data is in units of  $[L^3T^{-1}]$  and the equations used to calculate this quantity are given in § 4.7. The identifier of the data set created by this operation is returned upon successful completion.

#### pfsurfacestorage top pressure

This command computes the surface water storage (ponded water on top of the domain) based on a computed top and pressure field. The equations used to calculate this quantity are given in § 4.7. The identifier of the data set created by this operation is returned upon successful completion.

#### pfvmag datasetx datasety datasetz

This command computes the velocity magnitude when given three velocity components. The three parameters are identifiers which represent the x, y, and z components respectively. The identifier of the data set created by this operation is returned upon successful completion.

#### pfvvel conductivity phead

This command computes the Darcy velocity in cells for the conductivity data set represented by the identifier 'conductivity' and the pressure head data set represented by the identifier 'phead'. The identifier of the data set created by this operation is returned upon successful completion.

#### pfprintdata dataset

This command executes 'pfgetgrid' and 'pfgetelt' in order to display all the elements in the data set represented by the identifier 'dataset'.

#### pfprintdiff datasetp datasetq digits [zero]

This command executes 'pfdiffelt' and 'pfmdiff' to print differences to standard output. The differences are printed one per line along with the coordinates where they occur. The last two lines displayed will show the point at which there is a minimum number of significant digits in the difference as well as the maximum absolute difference.

#### pfprintgrid dataset

This command executes pfgetgrid and formats its output before printing it on the screen. The triples (nx, ny, nz), (x, y, z), and (dx, dy, dz) are all printed on separate lines along with labels describing each.

#### pfprintlist [dataset]

This command executes pflistdata and formats the output of that command. The formatted output is then printed on the screen. The output consists of a list of data sets and their labels one per line if no argument was given or just one data set if an identifier was given.

#### pfprintmdiff datasetp datasetq digits [zero]

This command executes 'pfmdiff' and formats that command's output before displaying it on the screen. Given the search criteria, a line displaying the point at which the difference has the least number of significant digits will be displayed. Another line displaying the maximum absolute difference will also be displayed.

#### printstats dataset

This command executes 'pfstats' and formats that command's output before printing it on the screen. Each of the values mentioned in the description of 'pfstats' will be displayed along with a label.

#### pfundist filename, pfundist runname

The command undistributes a PARFLOW output file. PARFLOW uses a distributed file system where each node can write to its own file. The pfundist command takes all of these individual files and collapses them into a single file.

The arguments can be a runname or a filename. If a runname is given then all of the output files associated with that run are undistributed.

Normally this is done after every pfrun command.

#### 3.5.3 Common examples using PARFLOW TCL commands (PFTCL)

This section contains some brief examples of how to use the pftools commands (along with standard TCL commands) to postprocess data.

Load a file as one format and write as another format.

```
set press [pfload harvey_flow.out.press.pfb]
pfsave $press -sa harvey_flow.out.sa
```

Load pressure-head output from a file, convert to head-potential and write out as a new file.

```
set press [pfload harvey_flow.out.press.pfb]
set head [pfhhead $press]
pfsave $head -pfb harvey_flow.head.pfb
```

Use the mask to calculate the top of the domain, save the top of the domain as a file, apply it to the pressure output and write out as a new file.

```
set mask [pfload clm.out.mask.pfb]
set top [Parflow::pfcomputetop $mask]
pfsave $top -pfb "clm.out.top_index.pfb"
set data [pfload clm.out.press.00000.pfb]
set top_data [Parflow::pfextracttop $top $data]
```

pfsave \$top\_data -pfb "clm.out.top.press.00000.pfb"

Calculate and output the subsurface storage in the domain at a point in time.

```
set saturation [pfload runname.out.satur.00001.silo]
set pressure [pfload runname.out.press.00001.silo]
set specific_storage [pfload runname.out.specific_storage.silo]
set porosity [pfload runname.out.porosity.silo]
set mask [pfload runname.out.mask.silo]
```

Calculate and output the surface storage in the domain at a point in time.

```
set pressure [pfload runname.out.press.00001.silo]
set mask [pfload runname.out.mask.silo]
set top [pfcomputetop $mask]
set surface_storage [pfsurfacestorage $top $pressure]
set total_surface_storage [pfsum $surface_storage]
puts [format "Surface storage\t\t\t\t : %.16e" $total_surface_storage]
```

Calculate and output the runoff out of the domain over a timestep.

```
set pressure[pfload runname.out.press.00001.silo]set slope_x[pfload runname.out.slope_x.silo]set slope_y[pfload runname.out.slope_y.silo]set mannings[pfload runname.out.mannings.silo]set mask[pfload runname.out.mask.silo]set top[pfcomputetop $mask]
```

set surface\_runoff [pfsurfacerunoff \$top \$slope\_x \$slope\_y \$mannings \$pressure]
set total\_surface\_runoff [expr [pfsum \$surface\_runoff] \* [pfget TimeStep.Value]]
puts [format "Surface runoff from pftools\t\t\t : %.16e" \$total\_surface\_runoff]

## **3.6** Directory of Test Cases

PARFLOW comes with a directory containing a few simple input files for use as templates in making new files and for use in testing the code. These files sit in the /test directory described earlier. This section gives a brief description of the problems in this directory.

- crater2D.tcl An example of a two-dimensional, variably-saturated crater infiltration prblem with time-varying boundary conditions. It uses the solid file crater2D.pfsol.
- default\_richards.tcl The default variably-saturated Richards Equation simulation test script.
- default\_single.tcl The default parflow, single-processor, fully-saturated test script.
- forsyth2.tcl An example two-dimensional, variably-saturated infiltration problem with layers of different hydraulic properties. It runs problem 2 in [5] and uses the solid file fors2\_hf.pfsol.
- harvey.flow.tcl An example from [14] for the Cape Cod bacterial injection site. This example is a three-dimensional, fully-saturated flow problem with spatially heterogeneous media (using a correlated, random field approach). It also provides examples of how tcl/tk scripts may be used in conjunction with ParFlow to loop iteratively or to run other scripts or programs. It uses the input text file stats4.txt. This input script is fully detailed in § 3.7
- default\_overland.tcl An overland flow boundary condition test and example script based loosely on the V-catchment problem in [8]. There are options provided to expand this problem into other overland flow-type, transient boundary-type problems included in the file as well.
- /clm/clm.tcl An example of how to use PARFLOW coupled to clm. This directory also includes clm-specific input. Note: this problem will only run if --with-clm flag is used during the configure and build process.
- water\_balance\_x.tcl and water\_balance\_y.tcl. An overland flow example script that uses the water-balance routines integrated into pftools. These two problems are based on simple overland flow conditions with slopes primarily in the x or y-directions. Note: this problem only will run if the Silo file capability is used, that is a --with-silo=PATH flag is used during the configure and build process.
- pfmg.tcl and pfmg\_octree.tcl. Tests of the external Hypre preconditioner options. Note: this
   problem only will run if the Hypre capability is used, that is a --with-hypre=PATH flag is
   used during the configure and build process.
- pfmg.tcl and pfmg\_octree.tcl. Tests of the external *Hypre* preconditioner options. Note: this problem only will run if the *Hypre* capability is used, that is a --with-hypre=PATH flag is used during the configure and build process.

test\_x.tcl A test problem for the Richards' solver that compares output to an analytical solution.

## 3.7 Annotated Input Script

This tutorial matches the harvey\_flow.tcl file found in the /test directory. This example is directly from [14]. This example demonstrates how to set up and run a fully saturated flow problem with heterogeneous hydraulic conductivity. Given statistical parameters describing the geology of

your site, this script can be easily modified to make as many realizations of the subsurface as you like, each different and yet having the same statistical parameters, useful for a Monte Carlo simulation.

To run PARFLOW, you use a script written in Tcl/TK. This script has a lot of flexibility, as it is somewhere in between a program and a user interface. The tcl script gives PARFLOW the data it requires (or tells PARFLOW where to find or read in that data) and also tells PARFLOW to run.

As stated above, the tcl script for the Cape Cod simulation is called harvey.flow.tcl

When the script runs, it creates a new directory named /flow right in the directory where the tcl script is stored. PARFLOW then puts all its output in /flow. Of course, you can change the name and location of this output directory by modifying the tcl script that runs PARFLOW.

To run the simulation:

- 1. make any modifications to the tcl input script (and give a new name, if you want to)
- 2. save the tcl script
- 3. For Windows: double click on it to run PARFLOW
- For Linux/Unix/OSX: invoke the script from the command line using the tcl-shell, this looks like: >tclsh harvey\_flow.tcl
- 5. Wait patiently for a small empty square window to appear (Windows) or the command prompt to return (Linux/Unix/OSX) indicating that PARFLOW has finished. Intermediate files are written as the simulation runs, however there is no other indication that PARFLOW is running.

To modify a tcl script, you right-click and select edit from the menu. If you select open, you will run the script.

**Note:** The units for  $\mathbf{K}$  ( $\operatorname{im/d}$ , usually) are critical to the entire construction. These length and time units for  $\mathbf{K}$  set the units for all other variables (input or generated, throughout the entire simulation) in the simulation. PARFLOW can set to solve using hydraulic conductivity by literally setting density, viscosity and gravity to one (as is done in the script below). This means the pressure units are in length (meters), so pressure is now so-called pressure-head.

Now for the tcl script:

```
#
# Import the ParFlow TCL package
#
```

These first three lines are what link Parflow and the tcl script, thus allowing you to use a set of commands seen later, such as pfset, etc.

lappend auto\_path \$env(PARFLOW\_DIR)/bin
package require parflow
namespace import Parflow::\*

#						
# File input version number #						
" pfset FileVersion 4						
These next lines set the parallel process topology. The domain is divided in $x,y$ and $z$ by P, Q and R. The total number of processors is $P^*Q^*R$ .						
#						
# Process Topology						
#						
pfset Process.Topology.P 1						
pfset Process.Topology.Q 1						
pfset Process.Topology.R 1						
Next we set up the computational grid (see $\S$ 3.1).						
#						
# Computational Grid						
#						
T , ,1 · · · ,1 1 ·						

Locate the origin in the domain.

pfset	ComputationalGrid.Lower.X	0.0
pfset	ComputationalGrid.Lower.Y	0.0
pfset	ComputationalGrid.Lower.Z	0.0

Define the size of the domain grid block. Length units, same as those on hydraulic conductivity.

pfset	ComputationalGrid.DX	0.34
pfset	ComputationalGrid.DY	0.34
pfset	ComputationalGrid.DZ	0.038

Define the number of grid blocks in the domain.

pfset	ComputationalGrid.NX	50
pfset	ComputationalGrid.NY	30
pfset	ComputationalGrid.NZ	100

This next piece is comparable to a pre-declaration of variables. These will be areas in our domain geometry. The regions themselves will be defined later. You must always have one that is the name of your entire domain. If you want subsections within your domain, you may declare these as well. For Cape Cod, we have the entire domain, and also the 2 (upper and lower) permeability zones in the aquifer.

#	
" The Names of the GeomInputs #	
<pre>pfset GeomInput.Names "domain_input upper</pre>	
Now you characterize your domain that you you also give it a name, domain.	just pre-declared to be a box (see $\S$ 5.1.2), and
#	
# Domain Geometry Input	
#	
<pre>pfset GeomInput.domain_input.InputType</pre>	Box

Here, you set the limits in space for your entire domain. The span from Lower.X to Upper.X will be equal to the product of ComputationalGrid.DX times ComputationalGrid.NX. Same for Y and Z (i.e. the number of grid elements times size of the grid element has to equal the size of the grid in each dimension). The Patches command assigns names to the outside edges, because the domain is the limit of the problem in space.

domain

#----# Domain Geometry
#----pfset Geom.domain.Lower.X 0.0
pfset Geom.domain.Lower.Y 0.0
pfset Geom.domain.Lower.Z 0.0

pfset Geom.domain.Upper.X 17.0
pfset Geom.domain.Upper.Y 10.2
pfset Geom.domain.Upper.Z 3.8

pfset Geom.domain.Patches "left right front back bottom top"

pfset GeomInput.domain\_input.GeomName

Just like domain geometry, you also set the limits in space for the individual components (upper and lower, as defined in the Names of GeomInputs pre-declaration). There are no patches for these geometries as they are internal to the domain.

#----# Upper Aquifer Geometry Input
#----pfset GeomInput.upper\_aquifer\_input.InputType Box
pfset GeomInput.upper\_aquifer\_input.GeomName upper\_aquifer

# Upper Aquifer Geometry #----pfset Geom.upper\_aquifer.Lower.X 0.0 pfset Geom.upper\_aquifer.Lower.Y 0.0 pfset Geom.upper\_aquifer.Lower.Z 1.5 pfset Geom.upper\_aquifer.Upper.X 17.0 pfset Geom.upper\_aquifer.Upper.Y 10.2 pfset Geom.upper\_aquifer.Upper.Z 1.5 #------\_\_\_\_\_ # Lower Aquifer Geometry Input #----pfset GeomInput.lower\_aquifer\_input.InputType Box pfset GeomInput.lower\_aquifer\_input.GeomName lower\_aquifer #-----# Lower Aquifer Geometry #----pfset Geom.lower\_aquifer.Lower.X 0.0 pfset Geom.lower\_aquifer.Lower.Y 0.0 pfset Geom.lower\_aquifer.Lower.Z 0.0 pfset Geom.lower\_aquifer.Upper.X 17.0 pfset Geom.lower\_aquifer.Upper.Y 10.2 pfset Geom.lower\_aquifer.Upper.Z 1.5

Now you add permeability data to the domain sections defined above (§ 5.1.9). You can reassign values simply by re-stating them – there is no need to comment out or delete the previous version – the final statement is the only one that counts.

#-----# Perm # Perm #------

Name the permeability regions you will describe.

pfset Geom.Perm.Names "upper\_aquifer lower\_aquifer"

You can set, for example homogeneous, constant permeability, or you can generate a random field that meets your statistical requirements. To define a constant permeability for the entire domain:

```
#pfset Geom.domain.Perm.Type Constant
#pfset Geom.domain.Perm.Value 4.0
```

However, for Cape Cod, we didnt want a constant permeability field, so we instead generated a random permeability field meeting our statistical parameters for each the upper and lower zones. Third from the bottom is the Seed. This is a random starting point to generate the K field. Pick any large *ODD* number. First we do something tricky with Tcl/TK. We use the native commands within tcl to open a text file and read in locally set variables. Note we use set here and not pfset. One is a native tcl command, the other a PARFLOW-specific command. For this problem, we are linking the parameter estimation code, PEST to PARFLOW. PEST writes out the ascii file stats4.txt (also located in the /test directory) as the result of a calibration run. Since we are not coupled to PEST in this example, we just read in the file and use the values to assign statistical properties.

```
# we open a file, in this case from PEST to set upper and lower # kg and sigma
#
set fileId [open stats4.txt r 0600]
set kgu [gets $fileId]
set varu [gets $fileId]
set kgl [gets $fileId]
set varl [gets $fileId]
close $fileId
```

Now we set the heterogeneous parameters for the Upper and Lower aquifers (see § 5.1.9). Note the special section at the very end of this block where we reset the geometric mean and standard deviation to our values we read in from a file. Note: PARFLOW uses Standard Deviation not Variance.

```
pfset Geom.upper_aquifer.Perm.Type "TurnBands"
pfset Geom.upper_aquifer.Perm.LambdaX
                                       3.60
pfset Geom.upper_aquifer.Perm.LambdaY
                                       3.60
pfset Geom.upper_aquifer.Perm.LambdaZ
                                       0.19
pfset Geom.upper_aquifer.Perm.GeomMean
                                       112.00
pfset Geom.upper_aquifer.Perm.Sigma
                                      1.0
pfset Geom.upper_aquifer.Perm.Sigma
                                      0.48989794
pfset Geom.upper_aquifer.Perm.NumLines 150
pfset Geom.upper_aquifer.Perm.RZeta 5.0
pfset Geom.upper_aquifer.Perm.KMax
                                    100.0
pfset Geom.upper_aquifer.Perm.DelK
                                    0.2
pfset Geom.upper_aquifer.Perm.Seed
                                    33333
pfset Geom.upper_aquifer.Perm.LogNormal Log
pfset Geom.upper_aquifer.Perm.StratType Bottom
```

```
pfset Geom.lower_aquifer.Perm.Type "TurnBands"
pfset Geom.lower_aquifer.Perm.LambdaX
                                       3.60
pfset Geom.lower_aquifer.Perm.LambdaY
                                       3.60
pfset Geom.lower_aquifer.Perm.LambdaZ
                                       0.19
pfset Geom.lower_aquifer.Perm.GeomMean
                                       77.0
pfset Geom.lower_aquifer.Perm.Sigma
                                      1.0
pfset Geom.lower_aquifer.Perm.Sigma
                                      0.48989794
pfset Geom.lower_aquifer.Perm.NumLines 150
pfset Geom.lower_aquifer.Perm.RZeta 5.0
pfset Geom.lower_aquifer.Perm.KMax 100.0
pfset Geom.lower_aquifer.Perm.DelK
                                   0.2
pfset Geom.lower_aquifer.Perm.Seed
                                   33333
pfset Geom.lower_aquifer.Perm.LogNormal Log
pfset Geom.lower_aquifer.Perm.StratType Bottom
#pfset lower aqu and upper aq stats to pest/read in values
pfset Geom.upper_aquifer.Perm.GeomMean $kgu
pfset Geom.upper_aquifer.Perm.Sigma $varu
pfset Geom.lower_aquifer.Perm.GeomMean $kgl
pfset Geom.lower_aquifer.Perm.Sigma $varl
```

The following section allows you to specify the permeability tensor. In the case below, permeability is symmetric in all directions (x, y, and z) and therefore each is set to 1.0.

pfset Perm.TensorTypeTensorByGeompfset Geom.Perm.TensorByGeom.Names"domain"pfset Geom.domain.Perm.TensorValX1.0pfset Geom.domain.Perm.TensorValY1.0pfset Geom.domain.Perm.TensorValZ1.0

Next we set the specific storage, though this is not used in the IMPES/steady-state calculation.

#----# Specific Storage
#----# specific storage does not figure into the impes (fully sat)
# case but we still need a key for it

```
pfset SpecificStorage.Type Constant
pfset SpecificStorage.GeomNames ""
pfset Geom.domain.SpecificStorage.Value 1.0e-4
```

PARFLOW has the capability to deal with a multiphase system, but we only have one (water) at Cape Cod. As we stated earlier, we set density and viscosity artificially (and later gravity) both to 1.0. Again, this is merely a trick to solve for hydraulic conductivity and pressure head. If you were to set density and viscosity to their true values, the code would calculate  $\mathbf{k}$  (permeability). By using the normalized values instead, you effectively imbed the conversion of  $\mathbf{k}$  to  $\mathbf{K}$  (hydraulic conductivity). So this way, we get hydraulic conductivity, which is what we want for this problem.

```
#-----
# Phases
#-----
pfset Phase.Names "water"
pfset Phase.water.Density.Type Constant
pfset Phase.water.Density.Value 1.0
pfset Phase.water.Viscosity.Type Constant
pfset Phase.water.Viscosity.Type Constant
pfset Phase.water.Viscosity.Value 1.0
We will not use the PAPELOW grid based transport scheme. We will then leave contamin
```

We will not use the PARFLOW grid based transport scheme. We will then leave contaminants blank because we will use a different code for to model (virus, tracer) contamination.

```
#-----
# Contaminants
#-----
pfset Contaminants.Names ""
```

As with density and viscosity, gravity is normalized here. If we used the true value (in the [L] and [T] units of hydraulic conductivity) the code would be calculating permeability. Instead, we normalize so that the code calculates hydraulic conductivity.

#----# Gravity
#----pfset Gravity 1.0
#----# Setup timing info
#------

This basic time unit of 1.0 is used for transient boundary and well conditions. We are not using those features in this example.

```
pfset TimingInfo.BaseUnit 1.0
```

Cape Cod is a steady state problem, so these timing features are again unused, but need to be included.

pfset TimingInfo.StartCount -1 pfset TimingInfo.StartTime 0.0 pfset TimingInfo.StopTime 0.0

Set the dump interval to -1 to report info at the end of every calculation, which in this case is only when steady state has been reached.

pfset TimingInfo.DumpInterval -1

Next, we assign the porosity (see  $\S$  5.1.10). For the Cape Cod, the porosity is 0.39.

#----# Porosity
#----pfset Geom.Porosity.GeomNames domain
pfset Geom.domain.Porosity.Type Constant
pfset Geom.domain.Porosity.Value 0.390

Having defined the geometry of our problem before and named it domain, were now ready to report/upload that problem, which we do here.

#----# Domain
#----pfset Domain.GeomName domain
Mobility between phases is set to 1.0 because we only have one phase (water).
#-----# Mobility
#-----pfset Phase.water.Mobility.Type Constant
pfset Phase.water.Mobility.Value 1.0

Again, PARFLOW has more capabilities that we are using for Cape Cod. We will deal with our monitoring wells in a separate code as we assume the do not remove a significant amount of water from the domain. Note that since there are no well names listed here, PARFLOW assumes we have no wells. If we had pumping wells, we would have to include them here, because they would affect the head distribution throughout our domain.

```
#-----# Wells
# Wells
#------pfset Wells.Names ""
```

You can give certain periods of time names if you want to (ie. Pre-injection, post-injection, etc). Here, however we do not have multiple time intervals and are simulating in steady state, so time cycle keys are simple. We have only one time cycle and its constant for the duration of the simulation. We accomplish this by giving it a repeat value of -1, which repeats indefinitely. The length of the cycle is the length specified below (an integer) multiplied by the base unit value we specified earlier.

```
#-----
# Time Cycles
#-----
pfset Cycle.Names constant
pfset Cycle.constant.Names "alltime"
pfset Cycle.constant.alltime.Length 1
pfset Cycle.constant.Repeat -1
```

Now, we assign Boundary Conditions for each face (each of the Patches in the domain defined before). Recall the previously stated Patches and associate them with the boundary conditions that follow.

```
pfset BCPressure.PatchNames "left right front back bottom top"
```

These are Dirichelet BCs (i.e. constant head over cell so the pressure head is set to hydrostaticsee  $\S$  5.1.21). There is no time dependence, so use the constant time cycle we defined previously. RefGeom links this to the established domain geometry and tells ParFlow what to use for a datum when calculating hydrostatic head conditions.

pfset Patch.left.BCPressure.TypeDirEquilRefPatchpfset Patch.left.BCPressure.Cycle"constant"pfset Patch.left.BCPressure.RefGeom domain

Reference the current (left) patch to the bottom to define the line of intersection between the two.

#### pfset Patch.left.BCPressure.RefPatch bottom

Set the head permanently to 10.0m. Pressure-head will of course vary top to bottom because of hydrostatics, but head potential will be constant.

#### pfset Patch.left.BCPressure.alltime.Value 10.0

Repeat the declarations for the rest of the faces of the domain. The left to right (X) dimension is aligned with the hydraulic gradient. The difference between the values assigned to right and left divided by the length of the domain corresponds to the correct hydraulic gradient.

pfset Patch.right.BCPressure.Type	DirEquilRefPatch
pfset Patch.right.BCPressure.Cycle	"constant"
pfset Patch.right.BCPressure.RefGeom	domain
pfset Patch.right.BCPressure.RefPatch	bottom
pfset Patch.right.BCPressure.alltime.Value	9.97501
<pre>pfset Patch.front.BCPressure.Type</pre>	FluxConst
<pre>pfset Patch.front.BCPressure.Cycle</pre>	"constant"
pfset Patch.front.BCPressure.alltime.Value	e 0.0
pfset Patch.back.BCPressure.Type	FluxConst
<pre>pfset Patch.back.BCPressure.Cycle</pre>	"constant"
pfset Patch.back.BCPressure.alltime.Value	0.0
pfset Patch.bottom.BCPressure.Type	FluxConst
<pre>pfset Patch.bottom.BCPressure.Cycle</pre>	"constant"
pfset Patch.bottom.BCPressure.alltime.Valu	1e 0.0
<pre>pfset Patch.top.BCPressure.Type FluxConst</pre>	
pfset Patch.top.BCPressure.Cycle "constant	<u>-</u> "
pfset Patch.top.BCPressure.alltime.Value (	0.0

Next we define topographic slopes and Mannings n values. These are not used, since we do not solve for overland flow. However, the keys still need to appear in the input script.

#----# Topo slopes in x-direction
#-----# topo slopes do not figure into the impes (fully sat) case but we still
# need keys for them

pfset TopoSlopesX.Type "Constant"

```
pfset TopoSlopesX.GeomNames ""
pfset TopoSlopesX.Geom.domain.Value 0.0
#------
# Topo slopes in y-direction
#-----
pfset TopoSlopesY.Type "Constant"
pfset TopoSlopesY.GeomNames ""
pfset TopoSlopesY.Geom.domain.Value 0.0
# Mannings coefficient
#-----
# mannings roughnesses do not figure into the impes (fully sat) case but we still
# need a key for them
pfset Mannings.Type "Constant"
pfset Mannings.GeomNames ""
pfset Mannings.Geom.domain.Value 0.
```

Phase sources allows you to add sources other than wells and boundaries, but we do not have any so this key is constant, 0.0 over entire domain.

#----# Phase sources:
#----pfset PhaseSources.water.Type Constant
pfset PhaseSources.water.GeomNames domain
pfset PhaseSources.water.Geom.domain.Value 0.0

Next we define solver parameters for IMPES. Since this is the default solver, we do not need a solver key.

#-----# Solver Impes #-----

We allow up to 50 iterations of the linear solver before it quits or converges.

pfset Solver.MaxIter 50

The solution must be accurate to this level

pfset Solver.AbsTol 1E-10

We drop significant digits beyond E-15

# Run and Unload the ParFlow output files

pfset Solver.Drop 1E-15

#-----

+ +-----

Here you set the number of realizations again using a local tcl variable. We have set only one run but by setting the **n\_runs** variable to something else we can run more than one realization of hydraulic conductivity.

```
# this script is setup to run 100 realizations, for testing we just run one
###set n_runs 100
set n_runs 1
```

Here is where you tell PARFLOW where to put the output. In this case, its a directory called flow. Then you cd (change directory) into that new directory. If you wanted to put an entire path rather than just a name, you would have more control over where your output file goes. For example, you would put file mkdir "C:/cape\_cod/revised\_statistics/flow" and then change into that directory. Note that for Windows you must use a DOUBLE backslash in the file path; the single backslash is a control character.

```
file mkdir "flow"
cd "flow"
```

Now we loop through the realizations, again using tcl. k is the integer counter that is incremented for each realization. When you use a variable (rather than define it), you precede it with\$. The hanging character { opens the do loop for k.

```
#
# Loop through runs
#
for {set k 1} {$k <= $n_runs} {incr k 1} {</pre>
```

The following expressions sets the variable seed equal to the expression in brackets, which increments with each turn of the do loop and each seed will produce a different random field of  $\mathbf{K}$ . You set upper and lower aquifer, because in the Cape Cod site, these are the two subsets of the domain. Note the seed starts at a different point to allow for different random field generation for the upper and lower zones.

```
#
# set the random seed to be different for every run
#
pfset Geom.upper_aquifer.Perm.Seed [ expr 33333+2*$k ]
pfset Geom.lower_aquifer.Perm.Seed [ expr 31313+2*$k ]
```

The following command runs ParFlow and gives you a suite of output files for each realization. The file names will begin harvey\_flow.1.xxxxx, harvey\_flow.2.xxxx, etc up to as many realizations as you run. The .xxxxx part will designate x, y, and z permeability, etc. Recall that in this case, since we normalized gravity, viscosity, and density, remember that were really getting hydraulic conductivity.

#### pfrun harvey\_flow.\$k

This command removes a large number of superfluous dummy files.

#### pfundist harvey\_flow.\$k

The following commands take advantage of PFTools (see  $\S$  3.5.2) and load pressure head output of the Parflow model into a pressure matrix.

```
# we use pf tools to convert from pressure to head
# we could do a number of other things here like copy files to different
# format
set press [pfload harvey_flow.$k.out.press.pfb]
```

The next command takes the pressures that were just loaded and converts it to head and loads them into a head matrix tcl variable.

#### set head [pfhhead \$press]

Finally, the head matrix is saved as a ParFlow binary file (.pfb) and the k do loop is closed by the } character. The we move up to the root directory when we are finished

```
pfsave $head -pfb harvey_flow.$k.head.pfb
}
```

```
cd ".."
```

Once you have modified the tcl input script (if necessary) and run PARFLOW, you will have as many realizations of your subsurface as you specified. Each of these realizations will be used as input for a particle or streamline calculation in the future. We can see below, that since we have a tcl script as input, we can do a lot of different operations, for example, we might run a particle tracking transport code simulation using the results of the PARFLOW runs. This actually corresponds to the example presented in the users manual. # this could run other tcl scripts now an example is below
#puts stdout "running SLIM"
#source bromide\_trans.sm.tcl

We could also visualize the results of the PARFLOW simulations, using a number of codes. For example, if we used chunk to create visual representations of your results, the file harvey\_flow.#.out.perm\_x.pfb (where # is the realization number) will be the field of your domain, showing the variation in x-permeability in 3-D space. You can also generate representations of head or pressure (or y or z permeability) throughout your domain using parflow output files. See the section on visualization for more details.

# Chapter 4 Model Equations

In this chapter, we discuss the model equations used by PARFLOW for both its multiphase flow and transport model and the variably saturated flow model. In section 4.1 we describe the multi-phase flow equations (specified by solver **IMPES**), and in section 4.2 we describe the transport equations. Next we describe how the multiphase flow equations may be reduced to solve for steady-state, saturated groundwater flow. Then, section 4.5 describes the Richards' equation model (specified by solver **RICHARDS**) for variably saturated flow as implemented in PARFLOW. Lastly, the overland flow equations are presented.

# 4.1 Multi-Phase Flow Equations

The flow equations are a set of *mass balance* and *momentum balance* (Darcy's Law) equations, given respectively by,

$$\frac{\partial}{\partial t}(\phi S_i) + \nabla \cdot \vec{V}_i - Q_i = 0, \qquad (4.1)$$

$$\vec{V}_i + \lambda_i \cdot (\nabla p_i - \rho_i \vec{g}) = 0, \qquad (4.2)$$

for  $i = 0, \ldots, n_p - 1$   $(n_p \in \{1, 2, 3\})$ , where

$$\lambda_i = \frac{kk_{ri}}{\mu_i},\tag{4.3}$$

$$\vec{g} = [0, 0, -g]^T,$$
 (4.4)

Table 4.1 defines the symbols in the above equations, and outlines the symbol dependencies and units. Here,  $\phi$  describes the fluid capacity of the porous medium, and  $S_i$  describes the content of phase *i* in the porous medium, where we have that  $0 \le \phi \le 1$  and  $0 \le S_i \le 1$ . The coefficient  $\bar{k}$  is considered a scalar here. We also assume that  $\rho_i$  and  $\mu_i$  are constant. Also note that in PARFLOW, we assume that the relative permeability is given as  $k_{ri}(S_i)$ . The Darcy velocity vector is related to the velocity vector,  $\vec{v}_i$ , by the following:

$$\vec{V}_i = \phi S_i \vec{v}_i. \tag{4.5}$$

symbol	quantity	units
$\phi(\vec{x},t)$	porosity	[]
$S_i(\vec{x},t)$	saturation	[]
$\vec{V}_i(\vec{x},t)$	Darcy velocity vector	$[LT^{-1}]$
$Q_i(\vec{x},t)$	source/sink	$[T^{-1}]$
$\lambda_i$	mobility	$[L^3TM^{-1}]$
$p_i(\vec{x},t)$	pressure	$[ML^{-1}T^{-2}]$
$ ho_i$	mass density	$[ML^{-3}]$
$\vec{g}$	gravity vector	$[LT^{-2}]$
$\bar{k}(\vec{x},t)$	intrinsic permeability tensor	$[L^2]$
$k_{ri}(\vec{x},t)$	relative permeability	[]
$\mu_i$	viscosity	$[ML^{-1}T^{-1}]$
g	gravitational acceleration	$[LT^{-2}]$

Table 4.1: Notation and units for flow equations.

To complete the formulation, we have the following  $n_p$  constitutive relations

$$\sum_{i} S_i = 1, \tag{4.6}$$

$$p_{i0} = p_{i0}(S_0), \qquad i = 1, \dots, n_p - 1.$$
 (4.7)

where,  $p_{ij} = p_i - p_j$  is the *capillary pressure* between phase *i* and phase *j*. We now have the  $3n_p$  equations, (4.1), (4.2), (4.6), and (4.7), in the  $3n_p$  unknowns,  $S_i, \vec{V}_i$ , and  $p_i$ .

For technical reasons, we want to rewrite the above equations. First, we define the *total mobility*,  $\lambda_T$ , and the *total velocity*,  $\vec{V}_T$ , by the relations

$$\lambda_T = \sum_i \lambda_i, \tag{4.8}$$

$$\vec{V}_T = \sum_i \vec{V}_i. \tag{4.9}$$

After doing a bunch of algebra, we get the following equation for  $p_0$ :

$$-\sum_{i} \{ \nabla \cdot \lambda_{i} \left( \nabla (p_{0} + p_{i0}) - \rho_{i} \vec{g} \right) + Q_{i} \} = 0.$$
(4.10)

After doing some more algebra, we get the following  $n_p - 1$  equations for  $S_i$ :

$$\frac{\partial}{\partial t}(\phi S_i) + \nabla \cdot \left(\frac{\lambda_i}{\lambda_T} \vec{V}_T + \sum_{j \neq i} \frac{\lambda_i \lambda_j}{\lambda_T} (\rho_i - \rho_j) \vec{g}\right) + \sum_{j \neq i} \nabla \cdot \frac{\lambda_i \lambda_j}{\lambda_T} \nabla p_{ji} - Q_i = 0.$$
(4.11)

The capillary pressures  $p_{ji}$  in (4.11) are rewritten in terms of the constitutive relations in (4.7) so that we have

$$p_{ji} = p_{j0} - p_{i0}, (4.12)$$

where by definition,  $p_{ii} = 0$ . Note that equations (4.11) are analytically the same equations as in (4.1). The reason we rewrite them in this latter form is because of the numerical scheme we are using. We now have the  $3n_p$  equations, (4.10), (4.11), (4.9), (4.2), and (4.7), in the  $3n_p$  unknowns,  $S_i, \vec{V}_i$ , and  $p_i$ .

# 4.2 Transport Equations

The transport equations in PARFLOW are currently defined as follows:

$$\begin{pmatrix} \frac{\partial}{\partial t}(\phi c_{i,j}) + \lambda_j \phi c_{i,j} \end{pmatrix} + \nabla \cdot (c_{i,j} \vec{V}_i)$$

$$=$$

$$- \left( \frac{\partial}{\partial t}((1-\phi)\rho_s F_{i,j}) + \lambda_j (1-\phi)\rho_s F_{i,j} \right) + \sum_k^{n_I} \gamma_k^{I;i} \chi_{\Omega_k^I} \left( c_{i,j} - \vec{c}_{ij}^k \right) - \sum_k^{n_E} \gamma_k^{E;i} \chi_{\Omega_k^E} c_{i,j}$$

$$(4.13)$$

where  $i = 0, \ldots, n_p - 1$   $(n_p \in \{1, 2, 3\})$  is the number of phases,  $j = 0, \ldots, n_c - 1$  is the number of contaminants, and where  $c_{i,j}$  is the concentration of contaminant j in phase i. Recall also, that  $\chi_A$  is the characteristic function of set A, i.e.  $\chi_A(x) = 1$  if  $x \in A$  and  $\chi_A(x) = 0$  if  $x \notin A$ . Table 4.2 defines the symbols in the above equation, and outlines the symbol dependencies and units. The equation is basically a statement of mass conservation in a convective flow (no diffusion) with adsorption and degradation effects incorporated along with the addition of injection and extraction wells. These equations will soon have to be generalized to include a diffusion term. At the present time, as an adsorption model, we take the mass concentration term  $(F_{i,j})$  to be instantaneous in time and a linear function of contaminant concentration :

$$F_{i,j} = K_{d;j}c_{i,j},$$
 (4.14)

where  $K_{d;j}$  is the distribution coefficient of the component  $([L^3M^{-1}])$ . If 4.14 is substituted into 4.13 the following equation results (which is the current model used in PARFLOW) :

$$(\phi + (1 - \phi)\rho_s K_{d;j}) \frac{\partial}{\partial t} c_{i,j} + \nabla \cdot (c_{i,j} \vec{V}_i)$$

$$=$$

$$- (\phi + (1 - \phi)\rho_s K_{d;j})\lambda_j c_{i,j} + \sum_k^{n_I} \gamma_k^{I;i} \chi_{\Omega_k^I} (c_{i,j} - \vec{c}_{ij}^k) - \sum_k^{n_E} \gamma_k^{E;i} \chi_{\Omega_k^E} c_{i,j}$$

$$(4.15)$$

# 4.3 Notation and Units

In this section, we discuss other common formulations of the flow and transport equations, and how they relate to the equations solved by PARFLOW.

	*	-
symbol	quantity	units
$\phi(ec{x})$	porosity	[]
$c_{i,j}(\vec{x},t)$	concentration fraction	[]
$\vec{V}_i(\vec{x},t)$	Darcy velocity vector	$[LT^{-1}]$
$\lambda_j$	degradation rate	$[T^{-1}]$
$\rho_s(\vec{x})$	density of the solid mass	$[ML^{-3}]]$
$F_{i,j}(\vec{x},t)$	mass concentration	$[L^3M^{-1}]$
$n_I$	number of injection wells	[]
$\gamma_k^{I;i}(t)$	injection rate	$[T^{-1}]$
$\Omega_k^I(\vec{x})$	injection well region	[]
$\bar{c}_{ij}^k()$	injected concentration fraction	[]
$n_E$	number of extraction wells	[]
$\gamma_k^{E;i}(t)$	extraction rate	$[T^{-1}]$
$\Omega_k^E(\vec{x})$	extraction well region	[]

Table 4.2: Notation and units for transport equation.

Table 4.3: Notation and units for reformulated flow equations.

symbol	quantity	units
$\vec{V_i}$	Darcy velocity vector	$[LT^{-1}]$
$\bar{K}_i$	hydraulic conductivity tensor	$[LT^{-1}]$
$h_i$	pressure head	[L]
$\gamma$	constant scale factor	$[ML^{-2}T^{-2}]$
$\vec{g}$	gravity vector	$[LT^{-2}]$

We can rewrite equation (4.2) as

$$\vec{V}_i + \vec{K}_i \cdot (\nabla h_i - \frac{\rho_i}{\gamma} \vec{g}) = 0, \qquad (4.16)$$

where

$$\bar{K}_i = \gamma \lambda_i, \tag{4.17}$$

$$h_i = (p_i - \bar{p})/\gamma.$$
 (4.18)

Table 4.3 defines the symbols and their units. We can then rewrite equations (4.10) and (4.11) as

$$-\sum_{i} \left\{ \nabla \cdot \bar{K}_{i} \left( \nabla (h_{0} + h_{i0}) - \frac{\rho_{i}}{\gamma} \vec{g} \right) + Q_{i} \right\} = 0, \qquad (4.19)$$

$$\frac{\partial}{\partial t}(\phi S_i) + \nabla \cdot \left(\frac{\bar{K}_i}{\bar{K}_T}\vec{V}_T + \sum_{j \neq i} \frac{\bar{K}_i\bar{K}_j}{\bar{K}_T} \left(\frac{\rho_i}{\gamma} - \frac{\rho_j}{\gamma}\right)\vec{g}\right) + \sum_{j \neq i} \nabla \cdot \frac{\bar{K}_i\bar{K}_j}{\bar{K}_T}\nabla h_{ji} - Q_i = 0. \quad (4.20)$$

Note that  $\bar{K}_i$  is supposed to be a tensor, but we treat it as a scalar here. Also, note that by carefully defining the input to PARFLOW, we can use the units of equations (4.19) and (4.20). To be more precise, let us denote PARFLOW input symbols by appending the symbols in table 4.1 with (I), and let  $\gamma = \rho_0 g$  (this is a typical definition). Then, we want:

$$k(I) = \gamma k/\mu_0; \tag{4.21}$$

$$\mu_i(I) = \mu_i/\mu_0; (4.22)$$

$$p_i(I) = h_i; (4.23)$$

$$\rho_i(I) = \rho_i/\rho_0; \tag{4.24}$$

$$g(I) = 1.$$
 (4.25)

By doing this,  $\bar{k}(I)$  represents hydraulic conductivity of the base phase  $\bar{K}_0$  (e.g. water) under saturated conditions (i.e.  $k_{r0} = 1$ ).

# 4.4 Steady-State, Saturated Groundwater Flow

Many groundwater problems are solved assuming steady-state, fully-saturated groundwater flow. This follows the form often written as:

$$\nabla \cdot \mathbf{q} = Q(x) \tag{4.26}$$

where Q is the spatially-variable source-sink term (to represent wells, etc) and **q** is the Darcy flux  $[L^2T^{-1}]$  which is commonly written as:

$$\mathbf{q} = -\mathbf{K}\nabla H \tag{4.27}$$

where **K** is the saturated, hydraulic conductivity tensor  $[LT^{-1}]$  and H [L] is the head-potential. Inspection of 4.1 and 4.2 show that these equations agree with the above formulation for a singlephase (i = 1), fully-satured  $(S_i = S = 1)$ , problem where the mobility,  $\lambda_i$ , is set to the saturated hydraulic conductivity, **K**, above. This is accomplished by setting the relative permeability and viscosity terms to unity in 4.3 as well as the gravity and density terms in 4.2. This is shown in the example in § 3.7, but please note that the resulting solution is in pressure-head, h, not head potential, H, and will still contain a hydrostatic pressure gradient in the z direction.

# 4.5 Richards' Equation

The form of Richards' equation implemented in PARFLOW is given as,

$$S(p)S_s\frac{\partial p}{\partial t} - \frac{\partial(S(p)\rho(p)\phi)}{\partial t} - \nabla \cdot (\mathbf{K}(p)\rho(p)(\nabla p - \rho(p)\vec{g})) = Q, \text{ in } \Omega,$$
(4.28)

where  $\Omega$  is the flow domain, p is the pressure-head of water [L], S is the water saturation,  $S_s$  is the specific storage coefficient  $[L^{-1}]$ ,  $\phi$  is the porosity of the medium,  $\mathbf{K}(p)$  is the hydraulic conductivity tensor  $[LT^{-1}]$ , and Q is the water source/sink term  $[L^3T^{-1}]$  (includes wells and surface fluxes). The hydraulic conductivity can be written as,

$$K(p) = \frac{\bar{k}k_r(p)}{\mu} \tag{4.29}$$

Boundary conditions can be stated as,

$$p = p_D, \text{ on } \Gamma^D, \tag{4.30}$$

$$-K(p)\nabla p \cdot \mathbf{n} = g_N, \text{ on } \Gamma^N, \qquad (4.31)$$

where  $\Gamma^D \cup \Gamma^N = \partial \Omega$ ,  $\Gamma^D \neq \emptyset$ , and **n** is an outward pointing, unit, normal vector to  $\Omega$ . This is the mixed form of Richards' equation. Note here that due to the constant (or passive) air phase pressure assumption, Richards' equation ignores the air phase except through its effects on the hydraulic conductivity, K. An initial condition,

$$p = p^0(x), \ t = 0, \tag{4.32}$$

completes the specification of the problem.

# 4.6 Overland Flow

As detailed in [8], PARFLOW may simulate fully-coupled surface and subsurface flow via an overland flow boundary condition. While complete details of this approach are given in that paper, a brief summary of the equations solved are presented here. Shallow overland flow is now represented in PARFLOW by the kimematic wave equation. In two spatial dimensions, the continuity equation can be written as:

$$\frac{\partial \psi_s}{\partial t} = \nabla \cdot (\vec{v}\psi_s) + q_r(x) \tag{4.33}$$

where  $\vec{v}$  is the depth averaged velocity vector  $[LT^{-1}]$ ;  $\psi_s$  is the surface ponding depth [L] and  $q_r(x)$  is the a general source/sink (e.g. rainfall) rate  $[LT^{-1}]$ . If diffusion terms are neglected the momentum equation can be written as:

$$S_{f,i} = S_{o,i} \tag{4.34}$$

which is commonly referred to as the kinematic wave approximation. In Equation 4.34  $S_{o,i}$  is the bed slope (gravity forcing term) [-], which is equal to the friction slope  $S_{f,i}$  [L]; *i* stands for the *x*-and *y*-direction. Mannings equation is used to establish a flow depth-discharge relationship:

$$v_x = -\frac{\sqrt{S_{f,x}}}{n}\psi_s^{2/3} \tag{4.35}$$

and

$$v_y = -\frac{\sqrt{S_{f,y}}}{n}\psi_s^{2/3} \tag{4.36}$$

where  $n [TL^{-1/3}]$  is the Mannings coefficient.

Though complete details of the coupled approach are given in [8], brief details of the approach are presented here. The coupled approach takes Equation 4.33 and adds a flux for subsurface exchanges,  $q_e(x)$ .

$$\frac{\partial \psi_s}{\partial t} = \nabla \cdot (\vec{v}\psi_s) + q_r(x) + q_e(x)$$
(4.37)

We then assign a continuity of pressure at the top cell of the boundary between the surface and subsurface systems by setting pressure-head, p in 4.28 equal to the vertically-averaged surface pressure,  $\psi_s$  as follows:

$$p = \psi_s = \psi \tag{4.38}$$

If we substitute this relationship back into Equation 4.39 as follows:

$$\frac{\partial \parallel \psi, 0 \parallel}{\partial t} = \nabla \cdot (\vec{v} \parallel \psi, 0 \parallel) + q_r(x) + q_e(x)$$
(4.39)

Where the  $\| \psi, 0 \|$  operator chooses the greater of the two quantities,  $\psi$  and 0. We may now solve this term for the flux  $q_e(x)$  which we may set equal to flux boundary condition shown in Equation 4.31. This yields the following equation, which is referred to as the overland flow boundary condition [8]:

$$-K(\psi)\nabla\psi\cdot\mathbf{n} = \frac{\partial \parallel\psi,0\parallel}{\partial t} - \nabla\cdot(\vec{v}\parallel\psi,0\parallel) - q_r(x)$$
(4.40)

This results a version of the kinematic wave equation that is only active when the pressure at the top cell of the subsurface domain has a ponded depth and is thus greater than zero. This method solves both systems, where active in the domain, over common grids in a fully-integrated, fully-mass conservative manner.

# 4.7 Water Balance

PARFLOW can calculate a water balance for the Richards' equation, overland flow and clm capabilities. This water balance is computes using pftools commands as described in § 3.5. There are two water balance storage components, subsurface and surface, and two flux calculations, overland flow and evapotranspiration. The storage components have units  $[L^3]$  while the fluxes may be instantaneous and have units  $[L^3T^{-1}]$  or cumulative over an output interval with units  $[L^3]$ . Examples of water balance calculations and errors are given in the scripts water\_balance\_x.tcl and water\_balance\_y.tcl. The size of water balance errors depend on solver settings and tolerances but are typically very small,  $< 10^{-10}[-]$ . The water balance takes the form:

$$Vol_{subsurface} + Vol_{surface} = \sum [Q_{overland} + Q_{evapotranspiration} + Q_{sourcesink}]\Delta t$$
(4.41)

where  $Vol_{subsurface}$  is the subsurface storage  $[L^3]$ ;  $Vol_{surface}$  is the surface storage  $[L^3]$ ;  $Q_{overland}$  is the overland flux  $[L^3T^{-1}]$ ;  $Q_{evapotranspiration}$  is the evapotranspiration flux passed from clm or other LSM, etc,  $[L^3T^{-1}]$ ; and  $Q_{sourcesink}$  are any other source/sink fluxes specified in the simulation  $[L^3T^{-1}]$ . The surface and subsurface storage routines are calculated using the PARFLOW toolset commands pfsurfacestorage and pfsubsurfacestorage respectively. Overland flow out of the domain is calculated by pfsurfacerunoff. Details for the use of these commands are given in § 3.5.2 and § 3.5.3.  $Q_{evapotranspiration}$  must be written out by PARFLOW as a variable (as shown in § refCode Parameters) and only contains the external fluxes passed from a module such as clm or WRF. Note that these volume and flux quantities are calculated spatially over the domain and are returned as array values, just like any other quantity in PARFLOW. The tools command pfsum will sum these arrays into a single value for the enrite domain. All other fluxes must be determined by the user.

The subsurface storage is calculated over all active cells in the domain,  $\Omega$ , and contains both compressible and incompressible parts based on Equation 4.28. This is computed on a cell-by-cell basis (with the result being an array of balances over the domain) as follows:

$$Vol_{subsurface} = \sum_{\Omega} [S(\psi)S_s\psi\Delta x\Delta y\Delta z + S(\psi)(\psi)\phi\Delta x\Delta y\Delta z]$$
(4.42)

The surface storage is calculated over the upper surface boundary cells in the domain,  $\Gamma$ , as computed by the mask and contains based on Equation 4.33. This is again computed on a cell-by-cell basis (with the result being an array of balances over the domain) as follows:

$$Vol_{surface} = \sum_{\Gamma} \psi \Delta x \Delta y \tag{4.43}$$

For the overland flow outflow from the domain, any cell at the top boundary that has a slope that points out of the domain and is ponded will remove water from the domain. This is calculated, for example in the y-direction, as the multiple of Equation 4.36 and the area:

$$Q_{overland} = vA = -\frac{\sqrt{S_{f,y}}}{n}\psi_s^{2/3}\psi\Delta x = -\frac{\sqrt{S_{f,y}}}{n}\psi_s^{5/3}\Delta x \tag{4.44}$$

# Chapter 5 ParFlow Files

In this chapter, we discuss the various file formats used in PARFLOW. To help simplify the description of these formats, we use a pseudocode notation composed of *fields* and *control constructs*.

A field is a piece of data having one of the *field types* listed in Table 5.1 (note that field types may have one meaning in ASCII files and another meaning in binary files). Fields are denoted by enclosing the field name with a < on the left and a > on the right. The field name is composed of alphanumeric characters and underscores (\_). In the defining entry of a field, the field name is also prepended by its field type and a :. The control constructs used in our pseudocode have the keyword names FOR, IF, and LINE, and the beginning and end of each of these constructs is delimited by the keywords BEGIN and END.

The FOR construct is used to describe repeated input format patterns. For example, consider the following file format:

The field <num\_coordinates> is an integer specifying the number of coordinates to follow. The FOR construct indicates that <num\_coordinates> entries follow, and each entry is composed of the

field type	ASCII	binary
integer	integer	XDR integer
real	real	-
string	string	-
double	-	IEEE 8 byte double
float	-	IEEE 4 byte float

Table 5.1: Field types.

three real fields,  $\langle x \rangle$ ,  $\langle y \rangle$ , and  $\langle z \rangle$ . Here is an example of a file with this format:

```
3
2.0 1.0 -3.5
1.0 1.1 -3.1
2.5 3.0 -3.7
```

The IF construct is actually an IF/ELSE construct, and is used to describe input format patterns that appear only under certain circumstances. For example, consider the following file format:

The field  $\langle type \rangle$  is an integer specifying the "type" of input to follow. The IF construct indicates that if  $\langle type \rangle$  has value 0, then the three real fields,  $\langle x \rangle$ ,  $\langle y \rangle$ , and  $\langle z \rangle$ , follow. If  $\langle type \rangle$  has value 1, then the three integer fields,  $\langle i \rangle$ ,  $\langle j \rangle$ , and  $\langle k \rangle$ , follow. Here is an example of a file with this format:

0 2.0 1.0 -3.5

The LINE construct indicates fields that are on the same line of a file. Since input files in PARFLOW are all in "free format", it is used only to describe some output file formats. For example, consider the following file format:

LINE BEGIN <real : x> <real : y> <real : z> END

The LINE construct indicates that the three real fields,  $\langle x \rangle$ ,  $\langle y \rangle$ , and  $\langle z \rangle$ , are all on the same line. Here is an example of a file with this format:

2.0 1.0 -3.5

Comment lines may also appear in our file format pseudocode. All text following a **#** character is a comment, and is not part of the file format.

# 5.1 Main Input File (.pftcl)

The main PARFLOW input file is a TCL script. This might seem overly combersome at first but the basic input file structure is not very complicated (although it is somewhat verbose). For more advanced users, the TCL scripting means you can very easily create programs to run PARFLOW. A simple example is creating a loop to run several hundred different simulations using different seeds to the random field generators. This can be automated from within the PARFLOW input file.

The basic idea behind PARFLOW input is a simple database. The database contains entries which have a key and a value associated with that key. This is very similar in nature to the Windows XP/Vista registry and several other systems. When PARFLOW runs, it queries the database you have created by key names to get the values you have specified.

The command **pfset** is used to create the database entries. A simple PARFLOW input script contains a long list of **pfset** commands.

It should be noted that the keys are "dynamic" in that many are built up from values of other keys. For example if you have two wells named *northwell* and *southwell* then you will have to set some keys which specify the parameters for each well. The keys are built up in a simple sort of heirarchy.

The following sections contain a description of all of the keys used by PARFLOW. For an example of input files you can look at the **test** subdirectory of the PARFLOW distribution. Looking over some examples should give you a good feel for how the file scripts are put together.

Each key's entry has the form:

*type* **KeyName** [default value] Description Example Useage:

The "type" is one of integer, double, string, list. Integer and double are IEEE numbers. String is a text string (for example, a filename). Strings can contain spaces if you use the proper TCL syntax (i.e. using double quotes). These types are standard TCL types. Lists are strings but they indicate the names of a series of items. For example you might need to specify the names of the geometries. You would do this using space seperated names (what we are calling a list) "layer1 layer2 layer3".

The descriptions that follow are organized into functional areas. An example for each database entry is given.

Note that units used for each physical quantity specified in the input file must be consistent with units used for all other quantities. The exact units used can be any consistent set as PARFLOW does not assume any specific set of units. However, it is up to the user to make sure all specifications are indeed consistent.

## 5.1.1 Input File Format Number

integer FileVersion [no default]

This gives the value of the input file version number that this file fits. Example Useage:

#### pfset FileVersion 4

As development of the PARFLOW code continues, the input file format will vary. We have thus included an input file format number as a way of verifying that the correct format type is being used. The user can check in the parflow/config/file\_versions.h file to verify that the format number specified in the input file matches the defined value of PFIN\_VERSION.

## 5.1.2 Geometries

Here we define all "geometrical" information needed by PARFLOW. For example, the domain (and patches on the domain where boundary conditions are to be imposed), lithology or hydrostratigraphic units, faults, initial plume shapes, and so on, are considered geometries.

This input section is a little confusing. Two items are being specified, geometry inputs and geometries. A geometry input is a type of geometry input (for example a box or an input file). A geometry input can contain more than one geometry. A geometry input of type Box has a single geometry (the square box defined by the extants of the two points). A SolidFile input type can contain several geometries.

#### *list* GeomInput.Names [no default]

This is a list of the geometry input names which define the containers for all of the geometries defined for this problem.

Example Useage:

pfset GeomInput.Names "solidinput indinput boxinput"

string GeomInput.geom\_input\_name.InputType [no default]

This defines the input type for the geometry input with *geom\_input\_name*. This key must be one of: **SolidFile, IndicatorField**, **Box**.

Example Useage:

```
pfset GeomInput.solidinput.InputType SolidFile
```

# *list* GeomInput.geom\_input\_name.GeomNames [no default]

This is a list of the names of the geometries defined by the geometry input. For a geometry input type of Box, the list should contain a single geometry name. For the SolidFile geometry type this should contain a list with the same number of geometries as were defined using GMS. The order of geometries in the SolidFile should match the names. For IndicatorField types you need to specify the value in the input field which matches the name using GeomInput.geom\_input\_name.Value. Example Useage:

#### 5.1. MAIN INPUT FILE (.PFTCL)

#### string GeomInput.geom\_input\_name.Filename [no default]

For IndicatorField and SolidFile geometry inputs this key specifies the input filename which contains the field or solid information.

Example Useage:

pfset GeomInput.solidinput.FileName ocwd.pfsol

### *integer* **GeomInput**.geometry\_input\_name.**Value** [no default]

For IndicatorField geometry inputs you need to specify the mapping between values in the input file and the geometry names. The named geometry will be defined whereever the input file is equal to the specifed value.

Example Useage:

#### pfset GeomInput.sourceregion.Value 11

For box geometries you need to specify the location of the box. This is done by defining two corners of the the box.

#### double Geom.box\_geom\_name.Lower.X [no default]

This gives the lower X real space coordinate value of the previously specified box geometry of name *box\_geom\_name*.

Example Useage:

pfset Geom.background.Lower.X -1.0

double Geom.box\_geom\_name.Lower.Y [no default]

This gives the lower Y real space coordinate value of the previously specified box geometry of name *box\_geom\_name*.

Example Useage:

```
pfset Geom.background.Lower.Y -1.0
```

double Geom.box\_geom\_name.Lower.Z [no default]

This gives the lower Z real space coordinate value of the previously specified box geometry of name box\_geom\_name.

Example Useage:

```
pfset Geom.background.Lower.Z -1.0
```

double Geom.box\_geom\_name.Upper.X [no default]

This gives the upper X real space coordinate value of the previously specified box geometry of name *box\_geom\_name*.

Example Useage:

pfset Geom.background.Upper.X 151.0

double Geom.box\_geom\_name.Upper.Y [no default]

This gives the upper Y real space coordinate value of the previously specified box geometry of name box\_geom\_name.

Example Useage:

pfset Geom.background.Upper.Y 171.0

double Geom.box\_geom\_name.Upper.Z [no default]

This gives the upper Z real space coordinate value of the previously specified box geometry of name *box\_geom\_name*.

Example Useage:

pfset Geom.background.Upper.Z 11.0

*list* **Geom**.*geom*\_*name*.**Patches** [no default]

Patches are defined on the surfaces of geometries. Currently you can only define patches on Box geometries and on the the first geometry in a SolidFile. For a Box the order is fixed (left right front back bottom top) but you can name the sides anything you want.

For SolidFiles the order is printed by the conversion routine that converts GMS to SolidFile format.

Example Useage:

pfset Geom.background.Patches "left right front back bottom top"

Here is an example geometry input section which has three geometry inputs.

```
#------
# Geometries:
#------
#
This defines the three geometry input names
#
pfset GeomInput.Names "solidinput indinput boxinput"
#
# For a solid file geometry input type you need to specify the names
# of the gemetries and the filename
#
pfset GeomInput.solidinput.InputType SolidFile
```

#

```
# The names of the geometries contained in the solid file. Order is
# important and defines the mapping. First geometry gets the first name.
#
pfset GeomInput.solidinput.GeomNames
                   "domain bottomlayer middlelayer toplayer"
#
# Filename that contains the geometry
#
pfset GeomInput.solidinput.FileName
                                      ocwd.pfsol
#
# Order is important here, must match what is solid file, what is
# printed by the conversion routine.
pfset Geom.domain.Patches "henry frank jane betsy al nellie"
# An indicator field is a 3D field of values. The values within the
# field can be mapped to ParFlow geometries
# Indicator fields must match the computation grid exactly!
#
pfset GeomInput.indinput.InputType
                                      IndicatorField
pfset GeomInput.indinput.GeomNames
                                      "sourceregion concenregion"
pfset GeomInput.indinput.FileName
                                      ocwd.pfb
#
# Here we set up the mapping between values in the field and
# ParFlow geometries
pfset GeomInput.sourceregion.Value
                                      11
pfset GeomInput.concenregion.Value
                                      21
# A box is just a box defined by two points.
#
pfset GeomInput.boxinput.InputType
                                      Box
pfset GeomInput.boxinput.GeomName
                                      background
pfset Geom.background.Lower.X
                                      -1.0
pfset Geom.background.Lower.Y
                                      -1.0
pfset Geom.background.Lower.Z
                                      -1.0
```

piset Geom.background.Upper.X	151.0	
pfset Geom.background.Upper.Y	171.0	
pfset Geom.background.Upper.Z	11.0	
#		
# Order is fixed, but you can change the name		
#		
pfset Geom.background.Patches	"left right front back bottom top"	

. . .

# 5.1.3 Timing Information

The data given in the timing section describe all the "temporal" information needed by PARFLOW. The data items are used to describe time units for later sections, sequence iterations in time, indicate actual starting and stopping values and give instructions on when data is printed out.

#### double **TimingInfo.BaseUnit** [no default]

1 77

This key is used to indicate the base unit of time for entering time values. All time should be expressed as a multiple of this value. This should be set to the smallest interval of time to be used in the problem. For example, a base unit of "1" means that all times will be integer valued. A base unit of "0.5" would allow integers and fractions of 0.5 to be used for time input values.

The rational behind this restriction is to allow time to be discretized on some interval to enable integer arithmetic to be used when computing/comparing times. This avoids the problems associated with real value comparisons which can lead to events occurring at different timesteps on different architectures or compilers.

This values is also used when describing "time cycling data" in, currently, the well and boundary condition sections. The lengths of the cycles in those sections will be integer multiples of this value, therefore it needs to be the smallest divisor which produces an integral result for every "real time" cycle interval length needed.

Example Useage:

pfset TimingInfo.BaseUnit 1.0

## integer TimingInfo.StartCount [no default]

This key is used to indicate the time step number that will be associated with the first advection cycle in a transient problem. The value -1 indicates that advection is not to be done. The value 0 indicates that advection should begin with the given initial conditions. Values greater than 0 are intended to mean "restart" from some previous "checkpoint" time-step, but this has not yet been implemented.

Example Useage:

pfset TimingInfo.StartCount 0

#### double TimingInfo.StartTime [no default]

This key is used to indicate the starting time for the simulation.

Example Useage:

```
pfset TimingInfo.StartTime 0.0
```

double **TimingInfo.StopTime** [no default]

This key is used to indicate the stopping time for the simulation. Example Useage:

pfset TimingInfo.StopTime 100.0

double TimingInfo.DumpInterval [no default]

This key is the real time interval at which time-dependent output should be written. A value of  $\mathbf{0}$  will produce undefined behavior. If the value is negative, output will be dumped out every n time steps, where n is the absolute value of the integer part of the value. Example Useage:

pfset TimingInfo.DumpInterval 10.0

For *Richards' equation cases only* input is collected for time step selection. Input for this section is given as follows:

# *list* **TimeStep.Type** [no default]

This key must be one of: **Constant** or **Growth**. The value **Constant** defines a constant time step. The value **Growth** defines a time step that starts as  $dt_0$  and is defined for other steps as  $dt^{new} = \gamma dt^{old}$  such that  $dt^{new} \leq dt_{max}$  and  $dt^{new} \geq dt_{min}$ . Example Useage:

pfset TimeStep.Type Constant

```
double TimeStep.Value [no default]
```

This key is used only if a constant time step is selected and indicates the value of the time step for all steps taken.

Example Useage:

pfset TimeStep.Value 0.001

double TimeStep.InitialStep [no default]

This key specifies the initial time step  $dt_0$  if the **Growth** type time step is selected. Example Useage:

pfset TimeStep.InitialStep 0.001

double **TimeStep.GrowthFactor** [no default]

This key specifies the growth factor  $\gamma$  by which a time step will be multiplied to get the new time step when the **Growth** type time step is selected. Example Useage:

pfset TimeStep.GrowthFactor 1.5

double **TimeStep.MaxStep** [no default]

This key specifies the maximum time step allowed,  $dt_{max}$ , when the **Growth** type time step is selected.

Example Useage:

pfset TimeStep.MaxStep 86400

double **TimeStep.MinStep** [no default]

This key specifies the minimum time step allowed,  $dt_{min}$ , when the **Growth** type time step is selected.

Example Useage:

pfset TimeStep.MinStep 1.0e-3

# 5.1.4 Time Cycles

The data given in the time cycle section describe how time intervals are created and named to be used for time-dependent boundary and well information needed by PARFLOW. All the time cycles are synched to the **TimingInfo.BaseUnit** key described above and are *integer multipliers* of that value.

#### *list* CycleNames [no default]

This key is used to specify the named time cycles to be used in a simulation. It is a list of names and each name defines a time cycle and the number of items determines the total number of time cycles specified. Each named cycle is described using a number of keys defined below. Example Useage:

pfset Cycle.Names constant onoff

*list* Cycle.*cycle\_name*.Names [no default]

This key is used to specify the named time intervals for each cycle. It is a list of names and each name defines a time interval when a specific boundary condition is applied and the number of items determines the total number of intervals in that time cycle. Example Useage:

pfset Cycle.onoff.Names "on off"

#### 5.1. MAIN INPUT FILE (.PFTCL)

integer Cycle.cycle\_name.interval\_name.Length [no default]

This key is used to specify the length of a named time intervals. It is an *integer multiplier* of the value set for the **TimingInfo.BaseUnit** key described above. The total length of a given time cycle is the sum of all the intervals multiplied by the base unit. Example Useage:

pfset Cycle.onoff.on.Length 10

integer Cycle.cycle\_name.Repeat [no default]

This key is used to specify the how many times a named time interval repeats. A positive value specifies a number of repeat cycles a value of -1 specifies that the cycle repeat for the entire simulation.

Example Useage:

pfset Cycle.onoff.Repeat -1

#### 5.1.5 Domain

The domain may be represented by any of the solid types in § 5.1.2 above that allow the definition of surface patches. These surface patches are used to define boundary conditions in § 5.1.21 and § 5.1.22 below. Subsequently, it is required that the union of the defined surface patches equal the entire domain surface. NOTE: This requirement is NOT checked in the code.

string **Domain.GeomName** [no default]

This key specifies which of the named geometries is the problem domain. Example Useage:

pfset Domain.GeomName domain

# 5.1.6 Phases and Contaminants

#### *list* **Phase.Names** [no default]

This specifies the names of phases to be modeled. Currently only 1 or 2 phases may be modeled. Example Useage:

pfset Phase.Names "water"

*list* Contaminant.Names [no default]

This specifies the names of contaminants to be advected. Example Useage:

pfset Contaminants.Names "tce"

# 5.1.7 Gravity, Phase Density and Phase Viscosity

double **Gravity** [no default] Specifies the gravity constant to be used. Example Useage:

pfset Gravity 1.0

#### string **Phase**.phase\_name.**Density**.**Type** [no default]

This key specifies whether density will be a constant value or if it will be given by an equation of state of the form (rd)exp(cP), where P is pressure, rd is the density at atmospheric pressure, and c is the phase compressibility constant. This key must be either **Constant** or **EquationOfState**. Example Useage:

pfset Phase.water.Density.Type Constant

double Phase.phase\_name.Density.Value [no default]

This specifies the value of density if this phase was specified to have a constant density value for the phase *phase\_name*.

Example Useage:

pfset Phase.water.Density.Value 1.0

#### double **Phase**.phase\_name.**Density**.**ReferenceDensity** [no default]

This key specifies the reference density if an equation of state density function is specified for the phase *phase\_name*.

Example Useage:

pfset Phase.water.Density.ReferenceDensity 1.0

#### double Phase.phase\_name.Density.CompressibilityConstant [no default]

This key specifies the phase compressibility constant if an equation of state density function is specified for the phase *phase—-name*.

Example Useage:

pfset Phase.water.Density.CompressibilityConstant 1.0

string Phase.phase\_name.Viscosity.Type [Constant]

This key specifies whether viscosity will be a constant value. Currently, the only choice for this key is **Constant**.

Example Useage:

pfset Phase.water.Viscosity.Type Constant

double Phase.phase\_name.Viscosity.Value [no default]

This specifies the value of viscosity if this phase was specified to have a constant viscosity value. Example Useage:

pfset Phase.water.Viscosity.Value 1.0

# 5.1.8 Chemical Reactions

#### double Contaminants.contaminant\_name.Degradation.Value [no default]

This key specifies the half-life decay rate of the named contaminant, *contaminant\_name*. At present only first order decay reactions are implemented and it is assumed that one contaminant cannot decay into another.

Example Useage:

```
pfset Contaminants.tce.Degradation.Value 0.0
```

#### 5.1.9 Permeability

In this section, permeability property values are assigned to grid points within geometries (specified in  $\S$  5.1.2 above) using one of the methods described below. Permeabilities are assumed to be a diagonal tensor with entries given as,

$$\begin{pmatrix} k_x(\mathbf{x}) & 0 & 0\\ 0 & k_y(\mathbf{x}) & 0\\ 0 & 0 & k_z(\mathbf{x}) \end{pmatrix} K(\mathbf{x}),$$

where  $K(\mathbf{x})$  is the permeability field given below. Specification of the tensor entries  $(k_x, k_y \text{ and } k_z)$  will be given at the end of this section.

The random field routines (*turning bands* and *pgs*) can use conditioning data if the user so desires. It is not necessary to use conditioning as PARFLOW automatically defaults to not use conditioning data, but if conditioning is desired, the following key should be set:

#### string **Perm.Conditioning.FileName** ["NA"]

This key specifies the name of the file that contains the conditioning data. The default string **NA** indicates that conditioning data is not applicable.

Example Useage:

```
pfset Perm.Conditioning.FileName "well_cond.txt"
```

The file that contains the conditioning data is a simple ascii file containing points and values. The format is:

nlines x1 y1 z1 value1 x2 y2 z2 value2 . . . . xn yn zn valuen

The value of *nlines* is just the number of lines to follow in the file, which is equal to the number of data points.

The variables  $x_i, y_i, z_i$  are the real space coordinates (in the units used for the given parflow run) of a point at which a fixed permeability value is to be assigned. The variable *valuei* is the actual permeability value that is known.

Note that the coordinates are not related to the grid in any way. Conditioning does not require that fixed values be on a grid. The PGS algorithm will map the given value to the closest grid point and that will be fixed. This is done for speed reasons. The conditioned turning bands algorithm does not do this; conditioning is done for every grid point using the given conditioning data at the location given. Mapping to grid points for that algorithm does not give any speedup, so there is no need to do it.

NOTE: The given values should be the actual measured values - adjustment in the conditioning for the lognormal distribution that is assumed is taken care of in the algorithms.

The general format for the permeability input is as follows:

#### *list* Geom.Perm.Names [no default]

This key specifies all of the geometries to which a permeability field will be assigned. These geometries must cover the entire computational domain.

Example Useage:

pfset GeomInput.Names "background domain concen\_region"

#### string Geom.geometry\_name.Perm.Type [no default]

This key specifies which method is to be used to assign permeability data to the named geometry, *qeometry\_name*. It must be either Constant, TurnBands, ParGuass, or PFBFile. The **Constant** value indicates that a constant is to be assigned to all grid cells within a geometry. The **TurnBand** value indicates that Tompson's Turning Bands method is to be used to assign permeability data to all grid cells within a geometry [20]. The **ParGauss** value indicates that a Parallel Gaussian Simulator method is to be used to assign permeability data to all grid cells within a geometry. The **PFBFile** value indicates that premeabilities are to be read from the "ParFlow" Binary" file. Both the Turning Bands and Parallel Gaussian Simulators generate a random field with correlation lengths in the 3 spatial directions given by  $\lambda_x$ ,  $\lambda_y$ , and  $\lambda_z$  with the geometric mean of the log normal field given by  $\mu$  and the standard deviation of the normal field given by  $\sigma$ . In generating the field both of these methods can be made to stratify the data, that is follow the top or bottom surface. The generated field can also be made so that the data is normal or log normal, with or without bounds truncation. Turning Bands uses a line process, the number of lines used and the resolution of the process can be changed as well as the maximum normalized frequency  $K_{\rm max}$  and the normalized frequency increment  $\delta K$ . The Parallel Gaussian Simulator uses a search neighborhood, the number of simulated points and the number of conditioning points can be changed. Example Useage:

#### pfset Geom.background.Perm.Type Constant

double Geom.geometry\_name.Perm.Value [no default]

This key specifies the value assigned to all points in the named geometry, *geometry\_name*, if the type was set to constant.

Example Useage:

pfset Geom.domain.Perm.Value 1.0

double Geom.geometry\_name.Perm.LambdaX [no default]

This key specifies the x correlation length,  $\lambda_x$ , of the field generated for the named geometry, *geometry\_name*, if either the Turning Bands or Parallel Gaussian Simulator are chosen. Example Useage:

pfset Geom.domain.Perm.LambdaX 200.0

#### double Geom.geometry\_name.Perm.LambdaY [no default]

This key specifies the y correlation length,  $\lambda_y$ , of the field generated for the named geometry, *geometry\_name*, if either the Turning Bands or Parallel Gaussian Simulator are chosen. Example Useage:

pfset Geom.domain.Perm.LambdaY 200.0

#### double Geom.geometry\_name.Perm.LambdaZ [no default]

This key specifies the z correlation length,  $\lambda_z$ , of the field generated for the named geometry, *geometry\_name*, if either the Turning Bands or Parallel Gaussian Simulator are chosen. Example Useage:

pfset Geom.domain.Perm.LambdaZ 10.0

double Geom.geometry\_name.Perm.GeomMean [no default]

This key specifies the geometric mean,  $\mu$ , of the log normal field generated for the named geometry, *geometry\_name*, if either the Turning Bands or Parallel Gaussian Simulator are chosen. Example Useage:

pfset Geom.domain.Perm.GeomMean 4.56

#### double Geom.geometry\_name.Perm.Sigma [no default]

This key specifies the standard deviation,  $\sigma$ , of the normal field generated for the named geometry, *geometry\_name*, if either the Turning Bands or Parallel Gaussian Simulator are chosen. Example Useage:

pfset Geom.domain.Perm.Sigma 2.08

integer Geom.geometry\_name.Perm.Seed [1]

This key specifies the initial seed for the random number generator used to generate the field for the named geometry, *geometry\_name*, if either the Turning Bands or Parallel Gaussian Simulator are chosen. This number must be positive.

Example Useage:

pfset Geom.domain.Perm.Seed 1

#### integer Geom.geometry\_name.Perm.NumLines [100]

This key specifies the number of lines to be used in the Turning Bands algorithm for the named geometry\_name.

Example Useage:

pfset Geom.domain.Perm.NumLines 100

### double Geom.geometry\_name.Perm.RZeta [5.0]

This key specifies the resolution of the line processes, in terms of the minimum grid spacing, to be used in the Turning Bands algorithm for the named geometry, *geometry\_name*. Large values imply high resolution.

Example Useage:

```
pfset Geom.domain.Perm.RZeta 5.0
```

#### double Geom.geometry\_name.Perm.KMax [100.0]

This key specifies the maximum normalized frequency,  $K_{\text{max}}$ , to be used in the Turning Bands algorithm for the named geometry, *geometry\_name*. Example Useage:

pfset Geom.domain.Perm.KMax 100.0

double Geom.geometry\_name.Perm.DelK [0.2]

This key specifies the normalized frequency increment,  $\delta K$ , to be used in the Turning Bands algorithm for the named geometry, *geometry\_name*. Example Useage:

Example Oscage.

pfset Geom.domain.Perm.DelK 0.2

#### integer Geom.geometry\_name.Perm.MaxNPts [no default]

This key sets limits on the number of simulated points in the search neighborhood to be used in the Parallel Gaussian Simulator for the named geometry, *geometry\_name*. Example Useage:

5

pfset Geom.domain.Perm.MaxNPts

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#### integer Geom.geometry\_name.Perm.MaxCpts [no default]

This key sets limits on the number of external conditioning points in the search neighborhood to be used in the Parallel Gaussian Simulator for the named geometry, *geometry\_name*. Example Useage:

pfset Geom.domain.Perm.MaxCpts 200

#### string Geom.geometry\_name.Perm.LogNormal ["LogTruncated"]

The key specifies when a normal, log normal, truncated normal or truncated log normal field is to be generated by the method for the named geometry, *geometry\_name*. This value must be one of **Normal**, **Log**, **NormalTruncated** or **LogTruncate** and can be used with either Turning Bands or the Parallel Gaussian Simulator.

Example Useage:

pfset Geom.domain.Perm.LogNormal "LogTruncated"

#### string Geom.geometry\_name.Perm.StratType ["Bottom"]

This key specifies the stratification of the permeability field generated by the method for the named geometry, *geometry\_name*. The value must be one of **Horizontal**, **Bottom** or **Top** and can be used with either the Turning Bands or the Parallel Gaussian Simulator. Example Useage:

"Bottom"

pfset Geom.domain.Perm.StratType

#### double Geom.geometry\_name.Perm.LowCutoff [no default]

This key specifies the low cutoff value for truncating the generated field for the named geometry, *geometry\_name*, when either the NormalTruncated or LogTruncated values are chosen. Example Useage:

pfset Geom.domain.Perm.LowCutoff 0.0

## double Geom.geometry\_name.Perm.HighCutoff [no default]

This key specifies the high cutoff value for truncating the generated field for the named geometry, *geometry\_name*, when either the NormalTruncated or LogTruncated values are chosen. Example Useage:

pfset Geom.domain.Perm.HighCutoff 100.0

#### string Geom.geometry\_name.Perm.FileName [no default]

This key specifies that permeability values for the specified geometry, geometry\_name, are given according to a user-supplied description in the "ParFlow Binary" file whose filename is given as the value. For a description of the ParFlow Binary file format, see § 5.2. The ParFlow Binary file associated with the named geometry must contain a collection of permeability values corresponding in a one-to-one manner to the entire computational grid. That is to say, when the contents of the file are read into the simulator, a complete permeability description for the entire domain is supplied. Only those values associated with computational cells residing within the geometry (as it is represented on the computational grid) will be copied into data structures used during the course of a simulation. Thus, the values associated with cells outside of the geounit are irrelevant. For clarity, consider a couple of different scenarios. For example, the user may create a file for each geometry such that appropriate permeability values are given for the geometry and "garbage" values (e.g., some flag value) are given for the rest of the computational domain. In this case, a separate binary file is specified for each geometry. Alternatively, one may place all values representing the permeability field on the union of the geometries into a single binary file. Note that the permeability values must be represented in precisely the same configuration as the computational grid. Then, the same file could be specified for each geounit in the input file. Or, the computational domain could be described as a single geounit (in the ParFlow input file) in which case the permeability values would be read in only once.

Example Useage:

pfset Geom.domain.Perm.FileName "domain\_perm.pfb"

#### string **Perm.TensorType** [no default]

This key specifies whether the permeability tensor entries  $k_x, k_y$  and  $k_z$  will be specified as three constants within a set of regions covering the domain or whether the entries will be specified cell-wise by files. The choices for this key are **TensorByGeom** and **TensorByFile**. Example Useage:

pfset Perm.TensorType TensorByGeom

#### string Geom.Perm.TensorByGeom.Names [no default]

This key specifies all of the geometries to which permeability tensor entries will be assigned. These geometries must cover the entire computational domain. Example Useage:

pfset Geom.Perm.TensorByGeom.Names "background domain"

double Geom.geometry\_name.Perm.TensorValX [no default]

This key specifies the value of  $k_x$  for the geometry given by geometry\_name. Example Useage:

pfset Geom.domain.Perm.TensorValX 1.0

double Geom.geometry\_name.Perm.TensorValY [no default]

This key specifies the value of  $k_y$  for the geometry given by  $geom\_name$ . Example Useage:

pfset Geom.domain.Perm.TensorValY 1.0

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Geom.geometry\_name.Perm.TensorValZ double [no default]

This key specifies the value of  $k_z$  for the geometry given by geom\_name. Example Useage:

pfset Geom.domain.Perm.TensorValZ 1.0

#### string Geom.geometry\_name.Perm.TensorFileX [no default]

This key specifies that  $k_x$  values for the specified geometry, geometry\_name, are given according to a user-supplied description in the "ParFlow Binary" file whose filename is given as the value. The only choice for the value of *geometry\_name* is "domain". Example Useage:

pfset Geom.domain.Perm.TensorByFileX "perm\_x.pfb"

string Geom.geometry\_name.Perm.TensorFileY [no default]

This key specifies that  $k_y$  values for the specified geometry, geometry\_name, are given according to a user-supplied description in the "ParFlow Binary" file whose filename is given as the value. The only choice for the value of *geometry\_name* is "domain". Example Useage:

pfset Geom.domain.Perm.TensorByFileY "perm\_y.pfb"

Geom.geometry\_name.Perm.TensorFileZ [no default] string

This key specifies that  $k_z$  values for the specified geometry, geometry\_name, are given according to a user-supplied description in the "ParFlow Binary" file whose filename is given as the value. The only choice for the value of *geometry\_name* is "domain". Example Useage:

pfset Geom.domain.Perm.TensorByFileZ "perm\_z.pfb"

#### 5.1.10Porosity

Here, porosity values are assigned within geounits (specified in  $\S$  5.1.2 above) using one of the methods described below.

The format for this section of input is:

#### list Geom.Porosity.GeomNames [no default]

This key specifies all of the geometries on which a porosity will be assigned. These geometries must cover the entire computational domain.

Example Useage:

pfset Geom.Porosity.GeomNames "background"

Geom. geometry\_name. Porosity. Type [no default] string

This key specifies which method is to be used to assign porosity data to the named geometry,

*geometry\_name*. The only choice currently available is **Constant** which indicates that a constant is to be assigned to all grid cells within a geometry. Example Useage:

pfset Geom.background.Porosity.Type Constant

double Geom.geometry\_name.Porosity.Value [no default]

This key specifies the value assigned to all points in the named geometry, *geometry\_name*, if the type was set to constant.

Example Useage:

pfset Geom.domain.Porosity.Value 1.0

# 5.1.11 Specific Storage

Here, specific storage ( $S_s$  in Equation 4.28) values are assigned within geounits (specified in § 5.1.2 above) using one of the methods described below.

The format for this section of input is:

*list* Specific Storage.GeomNames [no default]

This key specifies all of the geometries on which a different specific storage value will be assigned. These geometries must cover the entire computational domain. Example Useage:

pfset SpecificStorage.GeomNames "domain"

string SpecificStorage.Type [no default]

This key specifies which method is to be used to assign specific storage data. The only choice currently available is **Constant** which indicates that a constant is to be assigned to all grid cells within a geometry.

Example Useage:

pfset SpecificStorage.Type Constant

double Geom.geometry\_name.SpecificStorage.Value [no default]

This key specifies the value assigned to all points in the named geometry, *geometry\_name*, if the type was set to constant.

Example Useage:

pfset Geom.domain.SpecificStorage.Value 1.0e-4

# 5.1.12 Manning's Roughness Values

Here, Manning's roughness values (n in Equations 4.35 and 4.36) are assigned to the upper boundary of the domain using one of the methods described below.

The format for this section of input is:

## *list* Mannings.GeomNames [no default]

This key specifies all of the geometries on which a different Mannings roughness value will be assigned. Mannings values may be assigned by **PFBFile** or as **Constant** by geometry. These geometries must cover the entire upper surface of the computational domain. Example Useage:

pfset Mannings.GeomNames "domain"

string Mannings.Type [no default]

This key specifies which method is to be used to assign Mannings roughness data. The choices currently available are **Constant** which indicates that a constant is to be assigned to all grid cells within a geometry and **PFBFile** which indicates that all values are read in from a distributed, grid-based PARFLOW binary file.

Example Useage:

pfset Mannings.Type "Constant"

double Mannings.Geom.geometry\_name.Value [no default]

This key specifies the value assigned to all points in the named geometry, *geometry\_name*, if the type was set to constant.

Example Useage:

pfset Mannings.Geom.domain.Value 5.52e-6

double Mannings.FileName [no default]

This key specifies the value assigned to all points be read in from a PARFLOW binary file. Example Useage:

pfset Mannings.FileName roughness.pfb

Complete example of setting Mannings roughness n values by geometry:

pfset Mannings.Type "Constant" pfset Mannings.GeomNames "domain" pfset Mannings.Geom.domain.Value 5.52e-6

# 5.1.13 Topographical Slopes

Here, topographical slope values  $(S_{f,x} \text{ and } S_{f,y} \text{ in Equations 4.35 and 4.36})$  are assigned to the upper boundary of the domain using one of the methods described below. Note that due to the negative sign in these equations  $S_{f,x}$  and  $S_{f,y}$  take a sign in the direction *opposite* of the direction of the slope. That is, negative slopes point "downhill" and positive slopes "uphill".

The format for this section of input is:

#### *list* **ToposlopesX.GeomNames** [no default]

This key specifies all of the geometries on which a different x topographic slope values will be

assigned. Topographic slopes may be assigned by **PFBFile** or as **Constant** by geometry. These geometries must cover the entire upper surface of the computational domain. Example Useage:

pfset ToposlopesX.GeomNames "domain"

## *list* ToposlopesY.GeomNames [no default]

This key specifies all of the geometries on which a different y topographic slope values will be assigned. Topographic slopes may be assigned by **PFBFile** or as **Constant** by geometry. These geometries must cover the entire upper surface of the computational domain. Example Useage:

pfset ToposlopesY.GeomNames "domain"

string ToposlopesX.Type [no default]

This key specifies which method is to be used to assign topographic slopes. The choices currently available are **Constant** which indicates that a constant is to be assigned to all grid cells within a geometry and **PFBFile** which indicates that all values are read in from a distributed, grid-based PARFLOW binary file.

Example Useage:

pfset ToposlopesX.Type "Constant"

## double ToposlopeX.Geom.geometry\_name.Value [no default]

This key specifies the value assigned to all points in the named geometry, *geometry\_name*, if the type was set to constant.

Example Useage:

pfset ToposlopeX.Geom.domain.Value 0.001

# double ToposlopesX.FileName [no default]

This key specifies the value assigned to all points be read in from a PARFLOW binary file. Example Useage:

pfset TopoSlopesX.FileName lw.1km.slope\_x.pfb

## double ToposlopesY.FileName [no default]

This key specifies the value assigned to all points be read in from a PARFLOW binary file. Example Useage:

#### pfset TopoSlopesY.FileName lw.1km.slope\_y.pfb

Example of setting x and y slopes by geometry:

pfset TopoSlopesX.Type "Constant"
pfset TopoSlopesX.GeomNames "domain"
pfset TopoSlopesX.Geom.domain.Value 0.001

pfset TopoSlopesY.Type "Constant"
pfset TopoSlopesY.GeomNames "domain"
pfset TopoSlopesY.Geom.domain.Value -0.001

Example of setting x and y slopes by file:

pfset TopoSlopesX.Type "PFBFile"
pfset TopoSlopesX.GeomNames "domain"
pfset TopoSlopesX.FileName lw.1km.slope\_x.pfb
pfset TopoSlopesY.Type "PFBFile"

pfset TopoSlopesY.GeomNames "domain" pfset TopoSlopesY.FileName lw.1km.slope\_y.pfb

# 5.1.14 Retardation

Here, retardation values are assigned for contaminants within geounits (specified in  $\S$  5.1.2 above) using one of the functions described below. The format for this section of input is:

*list* Geom.Retardation.GeomNames [no default]

This key specifies all of the geometries to which the contaminants will have a retardation function applied.

Example Useage:

pfset GeomInput.Names "background"

string Geom.geometry\_name.contaminant\_name.Retardation.Type [no default]

This key specifies which function is to be used to compute the retardation for the named contaminant, *contaminant\_name*, in the named geometry, *geometry\_name*. The only choice currently available is **Linear** which indicates that a simple linear retardation function is to be used to compute the retardation.

Example Useage:

pfset Geom.background.tce.Retardation.Type Linear

double Geom.geometry\_name.contaminant\_name.Retardation.Value [no default]

This key specifies the distribution coefficient for the linear function used to compute the retardation of the named contaminant, *contaminant\_name*, in the named geometry, *geometry\_name*. The value should be scaled by the density of the material in the geometry. Example Useage:

pfset Geom.domain.Retardation.Value 0.2

# 5.1.15 Full Multiphase Mobilities

Here we define phase mobilities by specifying the relative permeability function. Input is specified differently depending on what problem is being specified. For full multi-phase problems, the following input keys are used. See the next section for the correct Richards' equation input format.

# string Phase.phase\_name.Mobility.Type [no default]

This key specifies whether the mobility for *phase\_name* will be a given constant or a polynomial of the form,  $(S - S_0)^a$ , where S is saturation,  $S_0$  is irreducible saturation, and a is some exponent. The possibilities for this key are **Constant** and **Polynomial**. Example Useage:

pfset Phase.water.Mobility.Type Constant

double Phase.phase\_name.Mobility.Value [no default]

This key specifies the constant mobility value for phase *phase\_name*. Example Useage:

pfset Phase.water.Mobility.Value 1.0

# double Phase.phase\_name.Mobility.Exponent [2.0]

This key specifies the exponent used in a polynomial representation of the relative permeability. Currently, only a value of 2.0 is allowed for this key. Example Useage:

```
pfset Phase.water.Mobility.Exponent 2.0
```

## double Phase.phase\_name.Mobility.IrreducibleSaturation [0.0]

This key specifies the irreducible saturation used in a polynomial representation of the relative permeability. Currently, only a value of 0.0 is allowed for this key. Example Useage:

0.0

pfset Phase.water.Mobility.IrreducibleSaturation

## 5.1.16 Richards' Equation Relative Permeabilities

The following keys are used to describe relative permeability input for the Richards' equation implementation. They will be ignored if a full two-phase formulation is used.

# string Phase.RelPerm.Type [no default]

This key specifies the type of relative permeability function that will be used on all specified geometries. Note that only one type of relative permeability may be used for the entire problem. However, parameters may be different for that type in different geometries. For instance, if the problem consists of three geometries, then **VanGenuchten** may be specified with three different sets of parameters for the three different geometries. However, once **VanGenuchten** is specified,

one geometry cannot later be specified to have **Data** as its relative permeability. The possible values for this key are **Constant**, **VanGenuchten**, **Haverkamp**, **Data**, and **Polynomial**. Example Useage:

## pfset Phase.RelPerm.Type Constant

The various possible functions are defined as follows. The **Constant** specification means that the relative permeability will be constant on the specified geounit. The **VanGenuchten** specification means that the relative permeability will be given as a Van Genuchten function [26] with the form,

$$k_r(p) = \frac{\left(1 - \frac{(\alpha p)^{n-1}}{(1 + (\alpha p)^n)^m}\right)^2}{(1 + (\alpha p)^n)^{m/2}},\tag{5.1}$$

where  $\alpha$  and n are soil parameters and m = 1 - 1/n, on each region. The **Haverkamp** specification means that the relative permeability will be given in the following form [6],

$$k_r(p) = \frac{A}{A + p^{\gamma}},\tag{5.2}$$

where A and  $\gamma$  are soil parameters, on each region. The **Data** specification is currently unsupported but will later mean that data points for the relative permeability curve will be given and PARFLOW will set up the proper interpolation coefficients to get values between the given data points. The **Polynomial** specification defines a polynomial relative permeability function for each region of the form,

$$k_r(p) = \sum_{i=0}^{degree} c_i p^i.$$
(5.3)

# *list* Phase.RelPerm.GeomNames [no default]

This key specifies the geometries on which relative permeability will be given. The union of these geometries must cover the entire computational domain. Example Useage:

pfset Phase.RelPerm.Geonames domain

## double Geom.geom\_name.RelPerm.Value [no default]

This key specifies the constant relative permeability value on the specified geometry. Example Useage:

pfset Geom.domain.RelPerm.Value 0.5

## integer Phase.RelPerm.VanGenuchten.File [0]

This key specifies whether soil parameters for the VanGenuchten function are specified in a pfb

file or by region. The options are either 0 for specification by region, or 1 for specification in a file. Note that either all parameters are specified in files (each has their own input file) or none are specified by files. Parameters specified by files are:  $\alpha$  and N. Example Useage:

pfset Phase.RelPerm.VanGenuchten.File 1

## string Geom.geom\_name.RelPerm.Alpha.Filename [no default]

This key specifies a pfb filename containing the alpha parameters for the VanGenuchten function cell-by-cell. The ONLY option for *geom\_name* is "domain". Example Useage:

pfset Geom.domain.RelPerm.Alpha.Filename alphas.pfb

string Geom.geom\_name.RelPerm.N.Filename [no default]

This key specifies a pfb filename containing the N parameters for the VanGenuchten function cell-by-cell. The ONLY option for *geom\_name* is "domain".

Example Useage:

pfset Geom.domain.RelPerm.N.Filename Ns.pfb

double Geom.geom\_name.RelPerm.Alpha [no default]

This key specifies the  $\alpha$  parameter for the Van Genuchten function specified on *geom\_name*. Example Useage:

pfset Geom.domain.RelPerm.Alpha 0.005

double Geom.geom\_name.RelPerm.N [no default]

This key specifies the N parameter for the Van Genuchten function specified on  $geom_name$ . Example Useage:

pfset Geom.domain.RelPerm.N 2.0

double Geom.geom\_name.RelPerm.A [no default]

This key specifies the A parameter for the Haverkamp relative permeability on *geom\_name*. Example Useage:

pfset Geom.domain.RelPerm.A 1.0

## double Geom.geom\_name.RelPerm.Gamma [no default]

This key specifies the the  $\gamma$  parameter for the Haverkamp relative permeability on *geom\_name*. Example Useage:

pfset Geom.domain.RelPerm.Gamma 1.0

integer Geom.geom\_name.RelPerm.Degree [no default]

This key specifies the degree of the polynomial for the Polynomial relative permeability given on *geom\_name*.

Example Useage:

pfset Geom.domain.RelPerm.Degree 1

double Geom.geom\_name.RelPerm.Coeff.coeff\_number [no default]

This key specifies the *coeff\_number*th coefficient of the Polynomial relative permeability given on *geom\_name*.

Example Useage:

pfset Geom.domain.RelPerm.Coeff.0 0.5 pfset Geom.domain.RelPerm.Coeff.1 1.0

NOTE: For all these cases, if only one region is to be used (the domain), the background region should NOT be set as that single region. Using the background will prevent the upstream weighting from being correct near Dirichlet boundaries.

# 5.1.17 Phase Sources

The following keys are used to specify phase source terms. The units of the source term are 1/T. So, for example, to specify a region with constant flux rate of  $L^3/T$ , one must be careful to convert this rate to the proper units by dividing by the volume of the enclosing region. For *Richards'* equation input, the source term must be given as a flux multiplied by density.

string **PhaseSources**.phase\_name.**Type** [no default]

This key specifies the type of source to use for phase *phase\_name*. Possible values for this key are **Constant** and **PredefinedFunction**. **Constant** type phase sources specify a constant phase source value for a given set of regions. **PredefinedFunction** type phase sources use a preset function (choices are listed below) to specify the source. Note that the **PredefinedFunction** type can only be used to set a single source over the entire domain and not separate sources over different regions.

Example Useage:

pfset PhaseSources.water.Type Constant

*list* **PhaseSources.***phase\_name***.GeomNames** [no default]

This key specifies the names of the geometries on which source terms will be specified. This is used only for **Constant** type phase sources. Regions listed later "overlay" regions listed earlier. Example Useage:

pfset PhaseSources.water.GeomNames "bottomlayer middlelayer toplayer"

double **PhaseSources**.phase\_name.**Geom**.geom\_name.**Value** [no default]

This key specifies the value of a constant source term applied to phase *phase \_name* on geometry

*geom\_name*. Example Useage:

pfset PhaseSources.water.Geom.toplayer.Value 1.0

string PhaseSources.phase\_name.PredefinedFunction [no default]

This key specifies which of the predefined functions will be used for the source. Possible values

for this key are X, XPlusYPlusZ, X3Y2PlusSinXYPlus1,

X3Y4PlusX2PlusSinXYCosYPlus1, XYZTPlus1 and XYZTPlus1PermTensor. Example Useage:

pfset PhaseSources.water.PredefinedFunction XPlusYPlusZ

The choices for this key correspond to sources as follows:

**X:** source = 0.0

**XPlusYPlusX:** source = 0.0

**X3Y2PlusSinXYPlus1:** source  $= -(3x^2y^2 + y\cos(xy))^2 - (2x^3y + x\cos(xy))^2 - (x^3y^2 + \sin(xy) + 1)(6xy^2 + 2x^3 - (x^2 + y^2)\sin(xy))$ 

This function type specifies that the source applied over the entire domain is as noted above. This corresponds to  $p = x^3y^2 + \sin(xy) + 1$  in the problem  $-\nabla \cdot (p\nabla p) = f$ .

**X3Y4PlusX2PlusSinXYCosYPlus1:** source  $= -(3x^22y^4 + 2x + y\cos(xy)\cos(y))^2 - (4x^3y^3 + x\cos(xy)\cos(y) - \sin(xy)\sin(y))^2 - (x^3y^4 + x^2 + \sin(xy)\cos(y) + 1)(6xy^4 + 2 - (x^2 + y^2 + 1)\sin(xy)\cos(y) + 12x^3y^2 - 2x\cos(xy)\sin(y))$ 

This function type specifies that the source applied over the entire domain is as noted above. This corresponds to  $p = x^3y^4 + x^2 + \sin(xy)\cos(y) + 1$  in the problem  $-\nabla \cdot (p\nabla p) = f$ .

**XYZTPlus1:** source  $= xyz - t^2(x^2y^2 + x^2z^2 + y^2z^2)$ 

This function type specifies that the source applied over the entire domain is as noted above. This corresponds to p = xyzt + 1 in the problem  $\frac{\partial p}{\partial t} - \nabla \cdot (p\nabla p) = f$ .

**XYZTPlus1PermTensor:** source  $= xyz - t^2(x^2y^23 + x^2z^2 + y^2z^2)$ 

This function type specifies that the source applied over the entire domain is as noted above. This corresponds to p = xyzt+1 in the problem  $\frac{\partial p}{\partial t} - \nabla \cdot (Kp\nabla p) = f$ , where  $K = diag(1 \ 2 \ 3)$ .

# 5.1.18 Capillary Pressures

Here we define capillary pressure. Note: this section needs to be defined *only* for multi-phase flow and should not be defined for single phase and Richards' equation cases. The format for this section of input is:

string CapPressure.phase\_name.Type ["Constant"]

This key specifies the capillary pressure between phase 0 and the named phase, phase\_name.

The only choice available is **Constant** which indicates that a constant capillary pressure exists between the phases.

Example Useage:

pfset CapPressure.water.Type Constant

#### *list* CapPressure.*phase\_name*.GeomNames [no default]

This key specifies the geometries that capillary pressures will be computed for in the named phase, *phase\_name*. Regions listed later "overlay" regions listed earlier. Any geometries not listed will be assigned 0.0 capillary pressure by PARFLOW.

Example Useage:

pfset CapPressure.water.GeomNames "domain"

double Geom.geometry\_name.CapPressure.phase\_name.Value [0.0]

This key specifies the value of the capillary pressure in the named geometry, *geometry\_name*, for the named phase, *phase\_name*.

Example Useage:

pfset Geom.domain.CapPressure.water.Value 0.0

Important note: the code currently works only for capillary pressure equal zero.

## 5.1.19 Saturation

This section is *only* relevant to the Richards' equation cases. All keys relating to this section will be ignored for other cases. The following keys are used to define the saturation-pressure curve.

### string Phase.Saturation.Type [no default]

This key specifies the type of saturation function that will be used on all specified geometries. Note that only one type of saturation may be used for the entire problem. However, parameters may be different for that type in different geometries. For instance, if the problem consists of three geometries, then **VanGenuchten** may be specified with three different sets of parameters for the three different goemetries. However, once **VanGenuchten** is specified, one geometry cannot later be specified to have **Data** as its saturation. The possible values for this key are **Constant**, **VanGenuchten**, **Haverkamp**, **Data**, **Polynomial** and **PFBFile**.

Example Useage:

## pfset Phase.Saturation.Type Constant

The various possible functions are defined as follows. The **Constant** specification means that the saturation will be constant on the specified geounit. The **VanGenuchten** specification means that the saturation will be given as a Van Genuchten function [26] with the form,

$$s(p) = \frac{s_{sat} - s_{res}}{(1 + (\alpha p)^n)^m} + s_{res},$$
(5.4)

where  $s_{sat}$  is the saturation at saturated conditions,  $s_{res}$  is the residual saturation, and  $\alpha$  and n are soil parameters with m = 1 - 1/n, on each region. The **Haverkamp** specification means that the saturation will be given in the following form [6],

$$s(p) = \frac{\alpha(s_{sat} - s_{res})}{A + p^{\gamma}} + s_{res},$$
(5.5)

where A and  $\gamma$  are soil parameters, on each region. The **Data** specification is currently unsupported but will later mean that data points for the saturation curve will be given and PARFLOW will set up the proper interpolation coefficients to get values between the given data points. The **Polynomial** specification defines a polynomial saturation function for each region of the form,

$$s(p) = \sum_{i=0}^{degree} c_i p^i.$$
(5.6)

The **PFBFile** specification means that the saturation will be taken as a spatially varying but constant in pressure function given by data in a PARFLOW binary (.pfb) file.

## *list* **Phase.Saturation.GeomNames** [no default]

This key specifies the geometries on which saturation will be given. The union of these geometries must cover the entire computational domain.

Example Useage:

pfset Phase.Saturation.Geonames domain

double Geom.geom\_name.Saturation.Value [no default]

This key specifies the constant saturation value on the *geom\_name* region. Example Useage:

pfset Geom.domain.Saturation.Value 0.5

## integer Phase.Saturation.VanGenuchten.File [0]

This key specifies whether soil parameters for the VanGenuchten function are specified in a pfb file or by region. The options are either 0 for specification by region, or 1 for specification in a file. Note that either all parameters are specified in files (each has their own input file) or none are specified by files. Parameters specified by files are  $\alpha$ , N, SRes, and SSat. Example Useage:

pfset Phase.Saturation.VanGenuchten.File 1

## string Geom.geom\_name.Saturation.Alpha.Filename [no default]

This key specifies a pfb filename containing the alpha parameters for the VanGenuchten function cell-by-cell. The ONLY option for *geom\_name* is "domain". Example Useage:

### pfset Geom.domain.Saturation.Filename alphas.pfb

## string Geom.geom\_name.Saturation.N.Filename [no default]

This key specifies a pfb filename containing the N parameters for the VanGenuchten function cell-by-cell. The ONLY option for *geom\_name* is "domain". Example Useage:

pfset Geom.domain.Saturation.N.Filename Ns.pfb

#### string Geom.geom\_name.Saturation.SRes.Filename [no default]

This key specifies a pfb filename containing the SRes parameters for the VanGenuchten function cell-by-cell. The ONLY option for *geom\_name* is "domain". Example Useage:

pfset Geom.domain.Saturation.SRes.Filename SRess.pfb

#### string Geom.geom\_name.Saturation.SSat.Filename [no default]

This key specifies a pfb filename containing the SSat parameters for the VanGenuchten function cell-by-cell. The ONLY option for *geom\_name* is "domain".

Example Useage:

pfset Geom.domain.Saturation.SSat.Filename SSats.pfb

double Geom.geom\_name.Saturation.Alpha [no default]

This key specifies the  $\alpha$  parameter for the Van Genuchten function specified on *geom\_name*. Example Useage:

pfset Geom.domain.Saturation.Alpha 0.005

# double Geom.geom\_name.Saturation.N [no default]

This key specifies the N parameter for the Van Genuchten function specified on  $geom_name$ . Example Useage:

pfset Geom.domain.Saturation.N 2.0

Note that if both a Van Genuchten saturation and relative permeability are specified, then the soil parameters should be the same for each in order to have a consistent problem.

double Geom.geom\_name.Saturation.SRes [no default]

This key specifies the residual saturation on *geom\_name*. Example Useage:

pfset Geom.domain.Saturation.SRes 0.0

double Geom.geom\_name.Saturation.SSat [no default]

This key specifies the saturation at saturated conditions on *geom\_name*. Example Useage:

```
pfset Geom.domain.Saturation.SSat 1.0
```

## double Geom.geom\_name.Saturation.A [no default]

This key specifies the A parameter for the Haverkamp saturation on  $geom_name$ . Example Useage:

pfset Geom.domain.Saturation.A 1.0

# double Geom.geom\_name.Saturation.Gamma [no default]

This key specifies the the  $\gamma$  parameter for the Haverkamp saturation on *geom\_name*. Example Useage:

pfset Geom.domain.Saturation.Gamma 1.0

integer Geom.geom\_name.Saturation.Degree [no default]

This key specifies the degree of the polynomial for the Polynomial saturation given on geom\_name.

Example Useage:

pfset Geom.domain.Saturation.Degree 1

double Geom.geom\_name.Saturation.Coeff\_coeff\_number [no default]

This key specifies the *coeff\_number*th coefficient of the Polynomial saturation given on *geom\_name*.

Example Useage:

pfset Geom.domain.Saturation.Coeff.0 0.5 pfset Geom.domain.Saturation.Coeff.1 1.0

### string Geom.geom\_name.Saturation.FileName [no default]

This key specifies the name of the file containing saturation values for the domain. It is assumed that *geom\_name* is "domain" for this key.

Example Useage:

pfset Geom.domain.Saturation.FileName "domain\_sats.pfb"

## 5.1.20 Internal Boundary Conditions

In this section, we define internal Dirichlet boundary conditions by setting the pressure at points in the domain. The format for this section of input is:

string InternalBC.Names [no default]

This key specifies the names for the internal boundary conditions. At each named point, x, y and z will specify the coordinate locations and h will specify the hydraulic head value of the condition. This real location is "snapped" to the nearest gridpoint in PARFLOW.

NOTE: Currently, PARFLOW assumes that internal boundary conditions and pressure wells are separated by at least one cell from any external boundary. The user should be careful of this when defining the input file and grid.

Example Useage:

pfset InternalBC.Names "fixedvalue"

double InternalBC.internal\_bc\_name.X [no default]

This key specifies the x-coordinate, x, of the named, *internal\_bc\_name*, condition. Example Useage:

pfset InternalBC.fixedheadvalue.X 40.0

double InternalBC.internal\_bc\_name.Y [no default]

This key specifies the y-coordinate, y, of the named, *internal\_bc\_name*, condition. Example Useage:

pfset InternalBC.fixedheadvalue.Y 65.2

double InternalBC.internal\_bc\_name.Z [no default]

This key specifies the z-coordinate, z, of the named, *internal\_bc\_name*, condition. Example Useage:

pfset InternalBC.fixedheadvalue.Z 12.1

double InternalBC.internal\_bc\_name.Value [no default]

This key specifies the value of the named, *internal\_bc\_name*, condition. Example Useage:

pfset InternalBC.fixedheadvalue.Value 100.0

## 5.1.21 Boundary Conditions: Pressure

Here we define the pressure boundary conditions. The Dirichlet conditions below are hydrostatic conditions, and it is assumed that at each phase interface the pressure is constant. It is also assumed here that all phases are distributed within the domain at all times such that the lighter phases are vertically higher than the heavier phases.

Boundary condition input is associated with domain patches (see § 5.1.5). Note that different patches may have different types of boundary conditions on them.

## *list* **BCPressure.PatchNames** [no default]

This key specifies the names of patches on which pressure boundary conditions will be specified. Note that these must all be patches on the external boundary of the domain and these patches must "cover" that external boundary.

Example Useage:

pfset BCPressure.PatchNames "left right front back top bottom"

# string **Patch**.patch\_name.**BCPressure**.**Type** [no default]

This key specifies the type of boundary condition data given for patch *patch\_name*. Possible values for this key are DirEquilRefPatch, DirEquilPLinear, FluxConst, FluxVolumetric, PressureFile, FluxFile, OverlandFow, OverlandFlowPFB and ExactSolution. The choice **DirEquilRefPatch** specifies that the pressure on the specified patch will be in hydrostatic equilibrium with a constant reference pressure given on a reference patch. The choice **DirEquilPLinear** specifies that the pressure on the specified patch will be in hydrostatic equilibrium with pressure given along a piecewise line at elevation z = 0. The choice **FluxConst** defines a constant normal flux boundary condition through the domain patch. This flux must be specified in units of [L]/[T]. For *Richards' equation*, fluxes must be specified as a mass flux and given as the above flux multiplied by the density. Thus, this choice of input type for a Richards' equation problem has units of  $([L]/[T])([M]/[L]^3)$ . The choice **FluxVolumetric** defines a volumetric flux boundary condition through the domain patch. The units should be consistent with all other user input for the problem. For *Richards' equation* fluxes must be specified as a mass flux and given as the above flux multiplied by the density. The choice **PressureFile** defines a hydraulic head boundary condition that is read from a properly distributed .pfb file. Only the values needed for the patch are used. The choice **FluxFile** defines a flux boundary condition that is read form a properly distributed .pfb file defined on a grid consistent with the pressure field grid. Only the values needed for the patch are used. The choices **OverlandFlow** and **OverlandFlowPFB** both turn on fully-coupled overland flow routing as described in [8] and in § 4.6. The key **OverlandFlow** corresponds to a **Value** key with a positive or negative value, to indicate uniform fluxes (such as rainfall or evapotranspiration) over the entire domain while the key **OverlandFlowPFB** allows a .pfb file to contain grid-based, spatially-variable fluxes. The choice **ExactSolution** specifies that an exact known solution is to be applied as a Dirichlet boundary condition on the respective patch. Note that this does not change according to any cycle. Instead, time dependence is handled by evaluating at the time the boundary condition value is desired. The solution is specified by using a predefined function (choices are described below). NOTE: These last three types of boundary condition input is for Richards' equation cases only!

Example Useage:

pfset Patch.top.BCPressure.Type DirEquilRefPatch

string **Patch**.patch\_name.**BCPressure**.**Cycle** [no default]

This key specifies the time cycle to which boundary condition data for patch\_name corresponds.

Example Useage:

pfset Patch.top.BCPressure.Cycle Constant

string Patch.patch\_name.BCPressure.RefGeom [no default]

This key specifies the name of the solid on which the reference patch for the DirEquilRefPatch

boundary condition data is given. Care should be taken to make sure the correct solid is specified in cases of layered domains.

Example Useage:

pfset Patch.top.BCPressure.RefGeom domain

string Patch.patch\_name.BCPressure.RefPatch [no default]

This key specifies the reference patch on which the **DirEquilRefPatch** boundary condition data is given. This patch must be on the reference solid specified by the Patch.*patch\_name*.BCPressure.RefGeom key.

Example Useage:

pfset Patch.top.BCPressure.RefPatch bottom

double **Patch**.patch\_name.**BCPressure**.interval\_name.**Value** [no default]

This key specifies the reference pressure value for the **DirEquilRefPatch** boundary condition or the constant flux value for the **FluxConst** boundary condition, or the constant volumetric flux for the **FluxVolumetric** boundary condition.

Example Useage:

pfset Patch.top.BCPressure.alltime.Value -14.0

double **Patch**.patch\_name.**BCPressure**.interval\_name.phase\_name.**IntValue** [no default]

Note that the reference conditions for types **DirEquilPLinear** and **DirEquilRefPatch** boundary conditions are for phase 0 *only*. This key specifies the constant pressure value along the interface with phase *phase\_name* for cases with two phases present. Example Useage:

pfset Patch.top.BCPressure.alltime.water.IntValue -13.0

double Patch.patch\_name.BCPressure.interval\_name.XLower [no default]

This key specifies the lower x coordinate of a line in the xy-plane. Example Useage:

pfset Patch.top.BCPressure.alltime.XLower 0.0

double **Patch**.*patch*.*name*.**BCPressure**.*interval*\_*name*.**YLower** [no default] This key specifies the lower *y* coordinate of a line in the xy-plane.

Example Useage:

pfset Patch.top.BCPressure.alltime.YLower 0.0

double Patch.patch\_name.BCPressure.interval\_name.XUpper [no default]
This key specifies the upper x coordinate of a line in the xy-plane.
Example Useage:

pfset Patch.top.BCPressure.alltime.XUpper 1.0

double **Patch**.patch\_name.**BCPressure**.interval\_name.**YUpper** [no default]

This key specifies the upper y coordinate of a line in the xy-plane. Example Useage:

pfset Patch.top.BCPressure.alltime.YUpper 1.0

integer Patch.patch\_name.BCPressure.interval\_name.NumPoints [no default]

This key specifies the number of points on which pressure data is given along the line used in the type **DirEquilPLinear** boundary conditions.

Example Useage:

pfset Patch.top.BCPressure.alltime.NumPoints 2

double **Patch**.patch\_name.**BCPressure**.interval\_name.point\_number.**Location** [no default]

This key specifies a number between 0 and 1 which represents the location of a point on the line on which data is given for type **DirEquilPLinear** boundary conditions. Here 0 corresponds to the lower end of the line, and 1 corresponds to the upper end. Example Useage:

pfset Patch.top.BCPressure.alltime.O.Location 0.0

double **Patch**.patch\_name.**BCPressure**.interval\_name.point\_number.**Value** [no default] This key specifies the pressure value for phase 0 at point number point\_number and z = 0 for type **DirEquilPLinear** boundary conditions. All pressure values on the patch are determined by first projecting the boundary condition coordinate onto the line, then linearly interpolating between the neighboring point pressure values on the line.

Example Useage:

pfset Patch.top.BCPressure.alltime.O.Value 14.0

string **Patch**.patch\_name.**BCPressure**.interval\_name.**FileName** [no default]

This key specifies the name of a properly distributed .pfb file that contains boundary data to be read for types **PressureFile** and **FluxFile**. For flux data, the data must be defined over a grid consistent with the pressure field. In both cases, only the values needed for the patch will be used. The rest of the data is ignored.

Example Useage:

pfset Patch.top.BCPressure.alltime.FileName ocwd\_bc.pfb

string Patch.patch\_name.BCPressure.interval\_name.PredefinedFunction [no default]

This key specifies the predefined function that will be used to specify Dirichlet boundary conditions on patch *patch\_name*. Note that this does not change according to any cycle. Instead, time dependence is handled by evaluating at the time the boundary condition value is desired. Choices for this key include **X**, **XPlusYPlusZ**, **X3Y2PlusSinXYPlus1**, **X3Y4PlusX2PlusSinXYCosYPlus1**, **XYZTPlus1** and **XYZTPlus1PermTensor**.

Example Useage:

pfset Patch.top.BCPressure.alltime.PredefinedFunction XPlusYPlusZ

The choices for this key correspond to pressures as follows.

**X:** p = x

**XPlusYPlusZ:** p = x + y + z

**X3Y2PlusSinXYPlus1:**  $p = x^3y^2 + \sin(xy) + 1$ 

X3Y4PlusX2PlusSinXYCosYPlus1:  $p = x^3y^4 + x^2 + \sin(xy)\cos y + 1$ 

**XYZTPlus1:** p = xyzt + 1

**XYZTPlus1PermTensor:** p = xyzt + 1

# 5.1.22 Boundary Conditions: Saturation

Note: this section needs to be defined *only* for multi-phase flow and should *not* be defined for the single phase and Richards' equation cases.

Here we define the boundary conditions for the saturations. Boundary condition input is associated with domain patches (see  $\S$  5.1.5). Note that different patches may have different types of boundary conditions on them.

#### *list* **BCSaturation.PatchNames** [no default]

This key specifies the names of patches on which saturation boundary conditions will be specified. Note that these must all be patches on the external boundary of the domain and these patches must "cover" that external boundary.

Example Useage:

pfset BCSaturation.PatchNames "left right front back top bottom"

#### string **Patch**.patch\_name.**BCSaturation**.phase\_name.**Type** [no default]

This key specifies the type of boundary condition data given for the given phase, *phase\_name*, on the given patch *patch\_name*. Possible values for this key are **DirConstant**, **ConstantWTHeight** and **PLinearWTHeight**. The choice **DirConstant** specifies that the saturation is constant on the whole patch. The choice **ConstantWTHeight** specifies a constant height of the water-table on the whole patch. The choice **PLinearWTHeight** specifies that the height of the water-table on the patch will be given by a piecewise linear function.

Note: the types **ConstantWTHeight** and **PLinearWTHeight** assume we are running a 2-phase problem where phase 0 is the water phase. Example Useage: pfset Patch.left.BCSaturation.water.Type ConstantWTHeight

[no default] double Patch.patch\_name.BCSaturation.phase\_name.Value

This key specifies either the constant saturation value if **DirConstant** is selected or the constant water-table height if **ConstantWTHeight** is selected.

Example Useage:

pfset Patch.top.BCSaturation.air.Value 1.0

double Patch.patch\_name.BCSaturation.phase\_name.XLower [no default]

This key specifies the lower x coordinate of a line in the xy-plane if type **PLinearWTHeight** boundary conditions are specified.

Example Useage:

pfset Patch.left.BCSaturation.water.XLower -10.0

double Patch.patch\_name.BCSaturation.phase\_name.YLower [no default]

This key specifies the lower y coordinate of a line in the xy-plane if type **PLinearWTHeight** boundary conditions are specified. Example Useage:

pfset Patch.left.BCSaturation.water.YLower 5.0

double Patch.patch\_name.BCSaturation.phase\_name.XUpper [no default]

This key specifies the upper x coordinate of a line in the xy-plane if type **PLinearWTHeight** boundary conditions are specified.

Example Useage:

pfset Patch.left.BCSaturation.water.XUpper 125.0

double Patch.patch\_name.BCSaturation.phase\_name.YUpper [no default]

This key specifies the upper y coordinate of a line in the xy-plane if type **PLinearWTHeight** boundary conditions are specified.

Example Useage:

pfset Patch.left.BCSaturation.water.YUpper 82.0

integer Patch.patch\_name.BCPressure.phase\_name.NumPoints [no default]

This key specifies the number of points on which saturation data is given along the line used for type **DirEquilPLinear** boundary conditions.

Example Useage:

pfset Patch.left.BCPressure.water.NumPoints 2

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double **Patch**.patch\_name.**BCPressure**.phase\_name.point\_number.**Location** [no default]

This key specifies a number between 0 and 1 which represents the location of a point on the line for which data is given in type **DirEquilPLinear** boundary conditions. The line is parameterized so that 0 corresponds to the lower end of the line, and 1 corresponds to the upper end. Example Useage:

pfset Patch.left.BCPressure.water.O.Location 0.333

double **Patch**.patch\_name.**BCPressure**.phase\_name.point\_number.**Value** [no default]

This key specifies the water-table height for the given point if type **DirEquilPLinear** boundary conditions are selected. All saturation values on the patch are determined by first projecting the water-table height value onto the line, then linearly interpolating between the neighboring water-table height values onto the line.

Example Useage:

pfset Patch.left.BCPressure.water.0.Value 4.5

# 5.1.23 Initial Conditions: Phase Saturations

Note: this section needs to be defined *only* for multi-phase flow and should *not* be defined for single phase and Richards' equation cases.

Here we define initial phase saturation conditions. The format for this section of input is:

string ICSaturation.phase\_name.Type [no default]

This key specifies the type of initial condition that will be applied to different geometries for given phase, *phase\_name*. The only key currently available is **Constant**. The choice **Constant** will apply constants values within geometries for the phase.

Example Useage:

ICSaturation.water.Type Constant

string ICSaturation.phase\_name.GeomNames [no default]

This key specifies the geometries on which an initial condition will be given if the type is set to **Constant**.

Note that geometries listed later "overlay" geometries listed earlier. Example Useage:

ICSaturation.water.GeomNames "domain"

## double Geom.geom\_input\_name.ICSaturation.phase\_name.Value [no default]

This key specifies the initial condition value assigned to all points in the named geometry, *geom\_input\_name*, if the type was set to **Constant**.

Example Useage:

Geom.domain.ICSaturation.water.Value 1.0

#### **Initial Conditions: Pressure** 5.1.24

The keys in this section are used to specify pressure initial conditions for Richards' equation cases only. These keys will be ignored if any other case is run.

#### string **ICPressure.Type** [no default]

This key specifies the type of initial condition given. The choices for this key are **Constant**, HydroStaticDepth, HydroStaticPatch and PFBFile. The choice Constant specifies that the initial pressure will be constant over the regions given. The choice **HydroStaticDepth** specifies that the initial pressure within a region will be in hydrostatic equilibrium with a given pressure specified at a given depth. The choice **HydroStaticPatch** specifies that the initial pressure within a region will be in hydrostatic equilibrium with a given pressure on a specified patch. Note that all regions must have the same type of initial data - different regions cannot have different types of initial data. However, the parameters for the type may be different. The **PFBFile** specification means that the initial pressure will be taken as a spatially varying function given by data in a PARFLOW binary (.pfb) file.

Example Useage:

pfset ICPressure.Type Constant

#### list **ICPressure.GeomNames** [no default]

This key specifies the geometry names on which the initial pressure data will be given. These geometries must comprise the entire domain. Note that conditions for regions that overlap other regions will have unpredictable results. The regions given must be disjoint. Example Useage:

pfset ICPressure.GeomNames "toplayer middlelayer bottomlayer"

double Geom.geom\_name.ICPressure.Value [no default]

This key specifies the initial pressure value for type **Constant** initial pressures and the reference pressure value for types **HydroStaticDepth** and **HydroStaticPatch**. Example Useage:

pfset Geom.toplayer.ICPressure.Value -734.0

double Geom.geom\_name.ICPressure.RefElevation [no default]

This key specifies the reference elevation on which the reference pressure is given for type HydroStaticDepth initial pressures.

Example Useage:

pfset Geom.toplayer.ICPressure.RefElevation 0.0

Geom.geom\_name.ICPressure.RefGeom double [no default]

This key specifies the geometry on which the reference patch resides for type **HydroStatic**-Patch initial pressures.

Example Useage:

### pfset Geom.toplayer.ICPressure.RefGeom bottomlayer

## double Geom.geom\_name.ICPressure.RefPatch [no default]

This key specifies the patch on which the reference pressure is given for type **HydorStatic-Patch** initial pressures.

Example Useage:

pfset Geom.toplayer.ICPressure.RefPatch bottom

#### string Geom.geom\_name.ICPressure.FileName [no default]

This key specifies the name of the file containing pressure values for the domain. It is assumed that *geom\_name* is "domain" for this key. Example Useage:

pfset Geom.domain.ICPressure.FileName "ic\_pressure.pfb"

## 5.1.25 Initial Conditions: Phase Concentrations

Here we define initial concentration conditions for contaminants. The format for this section of input is:

string **PhaseConcen.** phase\_name.contaminant\_name.**Type** [no default]

This key specifies the type of initial condition that will be applied to different geometries for given phase, *phase\_name*, and the given contaminant, *contaminant\_name*. The choices for this key are **Constant** or **PFBFile**. The choice **Constant** will apply constants values to different geometries. The choice **PFBFile** will read values from a "ParFlow Binary" file (see § 5.2). Example Useage:

PhaseConcen.water.tce.Type Constant

string **PhaseConcen**.phase\_name.**GeomNames** [no default]

This key specifies the geometries on which an initial condition will be given, if the type was set to **Constant**.

Note that geometries listed later "overlay" geometries listed earlier. Example Useage:

PhaseConcen.water.GeomNames "ic\_concen\_region"

double **PhaseConcen.**phase\_name.contaminant\_name.geom\_input\_name.**Value** [no default]

This key specifies the initial condition value assigned to all points in the named geometry, *geom\_input\_name*, if the type was set to **Constant**.

Example Useage:

PhaseConcen.water.tce.ic\_concen\_region.Value 0.001

string **PhaseConcen.** phase\_name.contaminant\_name.**FileName** [no default]

This key specifies the name of the "ParFlow Binary" file which contains the initial condition values if the type was set to **PFBFile**.

Example Useage:

PhaseConcen.water.tce.FileName "initial\_concen\_tce.pfb"

# 5.1.26 Known Exact Solution

For *Richards equation cases only* we allow specification of an exact solution to be used for testing the code. Only types that have been coded and predefined are allowed. Note that if this is specified as something other than no known solution, corresponding boundary conditions and phase sources should also be specified.

string KnownSolution [no default]

This specifies the predefined function that will be used as the known solution. Possible choices for this key are NoKnownSolution, Constant, X, XPlusYPlusZ, X3Y2PlusSinXYPlus1, X3Y4PlusX2PlusSinXYCosYPlus1, XYZTPlus1 and XYZTPlus1PermTensor. Example Useage:

pfset KnownSolution XPlusYPlusZ

Choices for this key correspond to solutions as follows.

NoKnownSolution: No solution is known for this problem.

**Constant:** p = constant

**X:** p = x

**XPlusYPlusZ:** p = x + y + z

**X3Y2PlusSinXYPlus1:**  $p = x^3y^2 + sin(xy) + 1$ 

X3Y4PlusX2PlusSinXYCosYPlus1:  $p = x^3y^4 + x^2 + \sin(xy)\cos y + 1$ 

**XYZTPlus1:** p = xyzt + 1

**XYZTPlus1:** p = xyzt + 1

## double KnownSolution.Value [no default]

This key specifies the constant value of the known solution for type **Constant** known solutions. Example Useage:

pfset KnownSolution.Value 1.0

Only for known solution test cases will information on the  $L^2$ -norm of the pressure error be printed.

## 5.1.27 Wells

Here we define wells for the model. The format for this section of input is:

#### string Wells.Names [no default]

This key specifies the names of the wells for which input data will be given. Example Useage:

Wells.Names "test\_well inj\_well ext\_well"

## string Wells.well\_name.InputType [no default]

This key specifies the type of well to be defined for the given well, *well\_name*. This key can be either **Vertical** or **Recirc**. The value **Vertical** indicates that this is a single segmented well whose action will be specified by the user. The value **Recirc** indicates that this is a dual segmented, recirculating, well with one segment being an extraction well and another being an injection well. The extraction well filters out a specified fraction of each contaminant and recirculates the remainder to the injection well where the diluted fluid is injected back in. The phase saturations at the extraction well are passed without modification to the injection well.

Note with the recirculating well, several input options are not needed as the extraction well will provide these values to the injection well.

Example Useage:

Wells.test\_well.InputType Vertical

string Wells.well\_name.Action [no default]

This key specifies the pumping action of the well. This key can be either **Injection** or **Extraction**. A value of **Injection** indicates that this is an injection well. A value of **Extraction** indicates that this is an extraction well.

Example Useage:

Wells.test\_well.Action Injection

double Wells.well\_name.Type [no default]

This key specifies the mechanism by which the well works (how PARFLOW works with the well data) if the input type key is set to **Vectical**. This key can be either **Pressure** or **Flux**. A value of **Pressure** indicates that the data provided for the well is in terms of hydrostatic pressure and PARFLOW will ensure that the computed pressure field satisfies this condition in the computational cells which define the well. A value of **Flux** indicates that the data provided is in terms of volumetric flux rates and PARFLOW will ensure that the flux field satisfies this condition in the computational cells which define the well.

Example Useage:

Wells.test\_well.Type Flux

string Wells.well\_name.ExtractionType [no default]

This key specifies the mechanism by which the extraction well works (how PARFLOW works with the well data) if the input type key is set to **Recirc**. This key can be either **Pressure** or **Flux**. A value of **Pressure** indicates that the data provided for the well is in terms of hydrostatic pressure and PARFLOW will ensure that the computed pressure field satisfies this condition in the computational cells which define the well. A value of **Flux** indicates that the data provided is in terms of volumetric flux rates and PARFLOW will ensure that the flux field satisfies this condition in the computational cells which define the well.

Example Useage:

Wells.ext\_well.ExtractionType Pressure

#### string Wells.well\_name.InjectionType [no default]

This key specifies the mechanism by which the injection well works (how PARFLOW works with the well data) if the input type key is set to **Recirc**. This key can be either **Pressure** or **Flux**. A value of **Pressure** indicates that the data provided for the well is in terms of hydrostatic pressure and PARFLOW will ensure that the computed pressure field satisfies this condition in the computational cells which define the well. A value of **Flux** indicates that the data provided is in terms of volumetric flux rates and PARFLOW will ensure that the flux field satisfies this condition in the computational cells which define the well.

Example Useage:

Wells.inj\_well.InjectionType Flux

double Wells.well\_name.X [no default]

This key specifies the x location of the vectical well if the input type is set to **Vectical** or of both the extraction and injection wells if the input type is set to **Recirc**. Example Useage:

axample obeage.

Wells.test\_well.X 20.0

double Wells.well\_name.Y [no default]

This key specifies the y location of the vectical well if the input type is set to **Vectical** or of both the extraction and injection wells if the input type is set to **Recirc**. Example Useage:

Wells.test\_well.Y 36.5

double Wells.well\_name.ZUpper [no default]

This key specifies the z location of the upper extent of a vectical well if the input type is set to **Vectical**.

Example Useage:

Wells.test\_well.ZUpper 8.0

# double Wells.well\_name.ExtractionZUpper [no default]

This key specifies the z location of the upper extent of a extraction well if the input type is set to **Recirc**.

Example Useage:

Wells.ext\_well.ExtractionZUpper 3.0

## double Wells.well\_name.InjectionZUpper [no default]

This key specifies the z location of the upper extent of a injection well if the input type is set to **Recirc**.

Example Useage:

Wells.inj\_well.InjectionZUpper 6.0

## double Wells.well\_name.ZLower [no default]

This key specifies the z location of the lower extent of a vectical well if the input type is set to **Vectical**.

Example Useage:

Wells.test\_well.ZLower 2.0

## double Wells.well\_name.ExtractionZLower [no default]

This key specifies the z location of the lower extent of a extraction well if the input type is set to **Recirc**.

Example Useage:

Wells.ext\_well.ExtractionZLower 1.0

## double Wells.well\_name.InjectionZLower [no default]

This key specifies the z location of the lower extent of a injection well if the input type is set to **Recirc**.

Example Useage:

Wells.inj\_well.InjectionZLower 4.0

### string Wells.well\_name.Method [no default]

This key specifies a method by which pressure or flux for a vertical well will be weighted before assignment to computational cells. This key can only be **Standard** if the type key is set to **Pressure**; or this key can be either **Standard**, **Weighted** or **Patterned** if the type key is set to **Flux**. A value of **Standard** indicates that the pressure or flux data will be used as is. A value of **Weighted** indicates that the flux data is to be weighted by the cells permeability divided by the sum of all cell permeabilities which define the well. The value of **Patterned** is not implemented. Example Useage:

Wells.test\_well.Method Weighted

# string Wells.well\_name.ExtractionMethod [no default]

This key specifies a method by which pressure or flux for an extraction well will be weighted before assignment to computational cells. This key can only be **Standard** if the type key is set to **Pressure**; or this key can be either **Standard**, **Weighted** or **Patterned** if the type key is set to **Flux**. A value of **Standard** indicates that the pressure or flux data will be used as is. A value of **Weighted** indicates that the flux data is to be weighted by the cells permeability divided by the sum of all cell permeabilities which define the well. The value of **Patterned** is not implemented. Example Useage:

## Wells.ext\_well.ExtractionMethod Standard

#### string Wells.well\_name.InjectionMethod [no default]

This key specifies a method by which pressure or flux for an injection well will be weighted before assignment to computational cells. This key can only be **Standard** if the type key is set to **Pressure**; or this key can be either **Standard**, **Weighted** or **Patterned** if the type key is set to **Flux**. A value of **Standard** indicates that the pressure or flux data will be used as is. A value of **Weighted** indicates that the flux data is to be weighted by the cells permeability divided by the sum of all cell permeabilities which define the well. The value of **Patterned** is not implemented. Example Useage:

#### Wells.inj\_well.InjectionMethod Standard

string Wells.well\_name.Cycle [no default]

This key specifies the time cycles to which data for the well *well\_name* corresponds.

Example Useage:

Wells.test\_well.Cycle "all\_time"

#### double Wells.well\_name.interval\_name.Pressure.Value [no default]

This key specifies the hydrostatic pressure value for a vectical well if the type key is set to **Pressure**.

Note This value gives the pressure of the primary phase (water) at z = 0. The other phase pressures (if any) are computed from the physical relationships that exist between the phases. Example Useage:

Wells.test\_well.all\_time.Pressure.Value 6.0

#### double Wells.well\_name.interval\_name.Extraction.Pressure.Value [no default]

This key specifies the hydrostatic pressure value for an extraction well if the extraction type key is set to **Pressure**.

Note This value gives the pressure of the primary phase (water) at z = 0. The other phase pressures (if any) are computed from the physical relationships that exist between the phases. Example Useage:

Wells.ext\_well.all\_time.Extraction.Pressure.Value 4.5

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## double Wells.well\_name.interval\_name.Injection.Pressure.Value [no default]

This key specifies the hydrostatic pressure value for an injection well if the injection type key is set to **Pressure**.

Note This value gives the pressure of the primary phase (water) at z = 0. The other phase pressures (if any) are computed from the physical relationships that exist between the phases. Example Useage:

Wells.inj\_well.all\_time.Injection.Pressure.Value 10.2

double Wells.well\_name.interval\_name.Flux.phase\_name.Value [no default]

This key specifies the volumetric flux for a vectical well if the type key is set to **Flux**.

Note only a positive number should be entered, PARFLOW assignes the correct sign based on the chosen action for the well.

Example Useage:

Wells.test\_well.all\_time.Flux.water.Value 250.0

double Wells.well\_name.interval\_name.Extraction.Flux.phase\_name.Value [no default]

This key specifies the volumetric flux for an extraction well if the extraction type key is set to **Flux**.

Note only a positive number should be entered, PARFLOW assignes the correct sign based on the chosen action for the well.

Example Useage:

Wells.ext\_well.all\_time.Extraction.Flux.water.Value 125.0

double Wells.well\_name.interval\_name.Injection.Flux.phase\_name.Value [no default]

This key specifies the volumetric flux for an injection well if the injection type key is set to **Flux**.

Note only a positive number should be entered, PARFLOW assignes the correct sign based on the chosen action for the well.

Example Useage:

Wells.inj\_well.all\_time.Injection.Flux.water.Value 80.0

double Wells.well\_name.interval\_name.Saturation.phase\_name.Value [no default] This key specifies the saturation value of a vertical well.

Example Useage:

Wells.test\_well.all\_time.Saturation.water.Value 1.0

double Wells.well\_name.interval\_name.Concentration.phase\_name.contaminant\_name.Value [no default]

This key specifies the contaminant value of a vertical well. Example Useage: Wells.test\_well.all\_time.Concentration.water.tce.Value 0.0005

double **Wells.**well\_name.interval\_name.**Injection.Concentration.**phase\_name.contaminant\_name.**Fraction** [no default]

This key specifies the fraction of the extracted contaminant which gets resupplied to the injection well.

Example Useage:

Wells.inj\_well.all\_time.Injection.Concentration.water.tce.Fraction 0.01

Multiple wells assigned to one grid location can occur in several instances. The current actions taken by the code are as follows:

- If multiple pressure wells are assigned to one grid cell, the code retains only the last set of overlapping well values entered.
- If multiple flux wells are assigned to one grid cell, the code sums the contributions of all overlapping wells to get one effective well flux.
- If multiple pressure and flux wells are assigned to one grid cell, the code retains the last set of overlapping hydrostatic pressure values entered and sums all the overlapping flux well values to get an effective pressure/flux well value.

# 5.1.28 Code Parameters

In addition to input keys related to the physics capabilities and modeling specifics there are some key values used by various algorithms and general control flags for PARFLOW. These are described next :

## string Solver.Linear [PCG]

This key specifies the linear solver used for solver **IMPES**. Choices for this key are **MGSemi**, **PPCG**, **PCG** and **CGHS**. The choice **MGSemi** is an algebraic mulitgrid linear solver (not a preconditioned conjugate gradient) which may be less robust than **PCG** as described in [1]. The choice **PPCG** is a preconditioned conjugate gradient solver. The choice **PCG** is a conjugate gradient solver with a multigrid preconditioner. The choice **CGHS** is a conjugate gradient solver. Example Useage:

pfset Solver.Linear MGSemi

## integer Solver.SadvectOrder [2]

This key controls the order of the explicit method used in advancing the saturations. This value can be either 1 for a standard upwind first order or 2 for a second order Godunov method. Example Useage:

pfset Solver.SadvectOrder 1

integer Solver.AdvectOrder [2]

This key controls the order of the explicit method used in advancing the concentrations. This value can be either 1 for a standard upwind first order or 2 for a second order Godunov method. Example Useage:

pfset Solver.AdvectOrder 2

## double **Solver.CFL** [0.7]

This key gives the value of the weight put on the computed CFL limit before computing a global timestep value. Values greater than 1 are not suggested and in fact because this is an approximation, values slightly less than 1 can also produce instabilities. Example Useage:

pfset Solver.CFL 0.7

#### integer Solver.MaxIter [1000000]

This key gives the maximum number of iterations that will be allowed for time-stepping. This is to prevent a run-away simulation.

Example Useage:

pfset Solver.MaxIter 100

```
double Solver.RelTol [1.0]
```

This value gives the relative tolerance for the linear solve algorithm.

Example Useage:

pfset Solver.RelTol 1.0

#### double Solver.AbsTol [1E-9]

This value gives the absolute tolerance for the linear solve algorithm.

Example Useage:

pfset Solver.AbsTol 1E-8

### double Solver.Drop [1E-8]

This key gives a clipping value for data written to PFSB files. Data values greater than the negative of this value and less than the value itself are treated as zero and not written to PFSB files.

Example Useage:

pfset Solver.Drop 1E-6

## string Solver.PrintSubsurf [True]

This key is used to turn on printing of the subsurface data, Permeability and Porosity. The data is printed after it is generated and before the main time stepping loop - only once during the run. The data is written as a PFB file. Example Useage:

## pfset Solver.PrintSubsurf False

## string Solver.PrintPressure [True]

This key is used to turn on printing of the pressure data. The printing of the data is controlled by values in the timing information section. The data is written as a PFB file. Example Useage:

# pfset Solver.PrintPressure False

## string Solver.PrintVelocities [False]

This key is used to turn on printing of the x, y and z velocity data. The printing of the data is controlled by values in the timing information section. The data is written as a PFB file. Example Useage:

#### pfset Solver.PrintVelocities True

## string Solver.PrintSaturation [True]

This key is used to turn on printing of the saturation data. The printing of the data is controlled by values in the timing information section. The data is written as a PFB file. Example Useage:

#### pfset Solver.PrintSaturation False

# string Solver.PrintConcentration [True]

This key is used to turn on printing of the concentration data. The printing of the data is controlled by values in the timing information section. The data is written as a PFSB file. Example Useage:

pfset Solver.PrintConcentration False

#### string Solver.PrintWells [True]

This key is used to turn on collection and printing of the well data. The data is collected at intervals given by values in the timing information section. Printing occurs at the end of the run when all collected data is written.

Example Useage:

pfset Solver.PrintWells False

#### string Solver.PrintLSMSink [False]

This key is used to turn on printing of the flux array passed from CLM to PARFLOW. Printing occurs at each **DumpInterval** time.

Example Useage:

pfset Solver.PrintLSMSink True

## string Solver.WriteSiloSubsurfData [False]

This key is used to specify printing of the subsurface data, Permeability and Porosity in silo binary file format. The data is printed after it is generated and before the main time stepping loop - only once during the run. This data may be read in by VisIT and other visualization packages. Example Useage:

### pfset Solver.WriteSiloSubsurfData True

# string Solver.WriteSiloPressure [False]

This key is used to specify printing of the saturation data in silo binary format. The printing of the data is controlled by values in the timing information section. This data may be read in by VisIT and other visualization packages.

Example Useage:

#### pfset Solver.WriteSiloPressure True

### string Solver.WriteSiloSaturation [False]

This key is used to specify printing of the saturation data using silo binary format. The printing of the data is controlled by values in the timing information section. Example Useage:

## pfset Solver.WriteSiloSaturation True

## string Solver.WriteSiloConcentration [False]

This key is used to specify printing of the concentration data in silo binary format. The printing of the data is controlled by values in the timing information section. Example Useage:

## pfset Solver.WriteSiloConcentration True

## string Solver.WriteSiloVelocities [False]

This key is used to specify printing of the x, y and z velocity data in silo binary format. The printing of the data is controlled by values in the timing information section. Example Useage:

pfset Solver.WriteSiloVelocities True

## string Solver.WriteSiloSlopes [False]

This key is used to specify printing of the x and y slope data using silo binary format. The printing of the data is controlled by values in the timing information section. Example Useage:

pfset Solver.WriteSiloSlopes True

# string Solver.WriteSiloMannings [False]

This key is used to specify printing of the Manning's roughness data in silo binary format. The printing of the data is controlled by values in the timing information section. Example Useage:

pfset Solver.WriteSiloMannings True

#### string Solver.WriteSiloSpecificStorage [False]

This key is used to specify printing of the specific storage data in silo binary format. The printing of the data is controlled by values in the timing information section. Example Useage:

#### pfset Solver.WriteSiloSpecificStorage True

## string Solver.WriteSiloMask [False]

This key is used to specify printing of the mask data using silo binary format. The mask contains values equal to one for active cells and zero for inactive cells. The printing of the data is controlled by values in the timing information section.

Example Useage:

pfset Solver.WriteSiloMask True

## string Solver.WriteSiloEvapTrans [False]

This key is used to specify printing of the evaporation and rainfall flux data using silo binary format. This data comes from either clm or from external calls to PARFLOW such as WRF. This data is in units of  $[L^3T^{-1}]$ . The printing of the data is controlled by values in the timing information section.

Example Useage:

```
pfset Solver.WriteSiloEvapTrans True
```

## string Solver.WriteSiloEvapTransSum [False]

This key is used to specify printing of the evaporation and rainfall flux data using silo binary format as a running, cumulative amount. This data comes from either clm or from external calls to PARFLOW such as *WRF*. This data is in units of  $[L^3]$ . The printing of the data is controlled by values in the timing information section.

Example Useage:

pfset Solver.WriteSiloEvapTransSum True

## string Solver.WriteSiloOverlandSum [False]

This key is used to specify calculation and printing of the total overland outflow from the domain using silo binary format as a running cumulative amount. This is integrated along all domain boundaries and is calculated any location that slopes at the edge of the domain point

outward. This data is in units of  $[L^3]$ . The printing of the data is controlled by values in the timing information section.

Example Useage:

pfset Solver.WriteSiloOverlandSum True

# 5.1.29 Richards' Equation Solver Parameters

The following keys are used to specify various parameters used by the linear and nonlinear solvers in the Richards' equation implementation. For information about these solvers, see [28] and [1].

```
double Solver.Nonlinear.ResidualTol [1e-7]
```

This key specifies the tolerance that measures how much the relative reduction in the nonlinear residual should be before nonlinear iterations stop. The magnitude of the residual is measured with the  $l^1$  (max) norm.

Example Useage:

```
pfset Solver.Nonlinear.ResidualTol 1e-4
```

# double Solver.Nonlinear.StepTol [1e-7]

This key specifies the tolerance that measures how small the difference between two consecutive nonlinear steps can be before nonlinear iterations stop.

Example Useage:

```
pfset Solver.Nonlinear.StepTol 1e-4
```

## integer Solver.Nonlinear.MaxIter [15]

This key specifies the maximum number of nonlinear iterations allowed before iterations stop with a convergence failure.

Example Useage:

```
pfset Solver.Nonlinear.MaxIter 50
```

## integer Solver.Linear.KrylovDimension [10]

This key specifies the maximum number of vectors to be used in setting up the Krylov subspace in the GMRES iterative solver. These vectors are of problem size and it should be noted that large increases in this parameter can limit problem sizes. However, increasing this parameter can sometimes help nonlinear solver convergence.

Example Useage:

pfset Solver.Linear.KrylovDimension 15

# integer Solver.Linear.MaxRestarts [0]

This key specifies the number of restarts allowed to the GMRES solver. Restarts start the development of the Krylov subspace over using the current iterate as the initial iterate for the next pass.

Example Useage:

pfset Solver.Linear.MaxRestarts 2

#### integer Solver.MaxConvergencFailures [3]

This key gives the maximum number of convergence failures allowed. Each convergence failure cuts the timestep in half and the solver tries to advance the solution with the reduced timestep.

The default value is 3.

Note that setting this value to a value greater than 9 may result in errors in how time cycles are calculated. Time is discretized in terms of the base time unit and if the solver begins to take very small timesteps *smallerthanbasetimeunit*1000 the values based on time cycles will be change at slightly incorrect times. If the problem is failing converge so poorly that a large number of restarts are required, consider setting the timestep to a smaller value. Example Useage:

pfset Solver.MaxConvergenceFailures 4

#### string Solver.Nonlinear.PrintFlag [HighVerbosity]

This key specifies the amount of informational data that is printed to the **\*.out.kinsol.log** file. Choices for this key are **NoVerbosity**, **LowVerbosity**, **NormalVerbosity** and **HighVerbosity**. The choice **NoVerbosity** prints no statistics about the nonlinear convergence process. The choice **LowVerbosity** outputs the nonlinear iteration count, the scaled norm of the nonlinear function, and the number of function calls. The choice **NormalVerbosity** prints the same as for **LowVerbosity** and also the global strategy statistics. The choice **HighVerbosity** prints the same as for **NormalVerbosity** with the addition of further Krylov iteration statistics. Example Useage:

pfset Solver.Nonlinear.PrintFlag NormalVerbosity

#### string Solver.Nonlinear.EtaChoice [Walker2]

This key specifies how the linear system tolerance will be selected. The linear system is solved until a relative residual reduction of  $\eta$  is achieved. Linear residual norms are measured in the  $l^2$  norm. Choices for this key include **EtaConstant**, **Walker1** and **Walker2**. If the choice **EtaConstant** is specified, then  $\eta$  will be taken as constant. The choices **Walker1** and **Walker2** specify choices for  $\eta$  developed by Eisenstat and Walker [4]. The choice **Walker1** specifies that  $\eta$ will be given by  $|||F(u^k)|| - ||F(u^{k-1}) + J(u^{k-1}) * p|||/||F(u^{k-1})||$ . The choice **Walker2** specifies that  $\eta$  will be given by  $\gamma ||F(u^k)|| / ||F(u^{k-1})||^{\alpha}$ . For both of the last two choices,  $\eta$  is never allowed to be less than 1e-4.

Example Useage:

pfset Solver.Nonlinear.EtaChoice EtaConstant

# double Solver.Nonlinear.EtaValue [1e-4]

This key specifies the constant value of  $\eta$  for the EtaChoice key **EtaConstant**. Example Useage: pfset Solver.Nonlinear.EtaValue 1e-7

double Solver.Nonlinear.EtaAlpha [2.0]

This key specifies the value of  $\alpha$  for the case of EtaChoice being Walker2. Example Useage:

pfset Solver.Nonlinear.EtaAlpha 1.0

#### double Solver.Nonlinear.EtaGamma [0.9]

This key specifies the value of  $\gamma$  for the case of EtaChoice being Walker2. Example Useage:

pfset Solver.Nonlinear.EtaGamma 0.7

#### string Solver.Nonlinear.UseJacobian [False]

This key specifies whether the Jacobian will be used in matrix-vector products or whether a matrix-free version of the code will run. Choices for this key are False and True. Using the Jacobian will most likely decrease the number of nonlinear iterations but require more memory to run.

Example Useage:

pfset Solver.Nonlinear.UseJacobian True

#### double Solver.Nonlinear.DerivativeEpsilon [1e-7]

This key specifies the value of  $\epsilon$  used in approximating the action of the Jacobian on a vector with approximate directional derivatives of the nonlinear function. This parameter is only used when the UseJacobian key is **False**.

Example Useage:

pfset Solver.Nonlinear.DerivativeEpsilon 1e-8

string Solver.Nonlinear.Globalization [LineSearch]

This key specifies the type of global strategy to use. Possible choices for this key are **Inex**actNewton and LineSearch. The choice InexactNewton specifies no global strategy, and the choice **LineSearch** specifies that a line search strategy should be used where the nonlinear step can be lengthened or decreased to satisfy certain criteria. Example Useage:

pfset Solver.Nonlinear.Globalization LineSearch

#### [MGSemi] string Solver.Linear.Preconditioner

This key specifies which preconditioner to use. Currently, the three choices are **NoPC**, **MGSemi**, **PFMGOctree** and **SMG**. The choice **NoPC** specifies that no preconditioner should be used.

The choice **MGSemi** specifies a semi-coarsening multigrid algorithm which uses a point relaxation method. The choice **SMG** specifies a semi-coarsening multigrid algorithm which uses plane relaxations. This method is more robust than **MGSemi**, but generally requires more memory and compute time. The choice **PFMGOctree** can be more efficient for problems with large numbers of inactive cells.

Example Useage:

pfset Solver.Linear.Preconditioner MGSemi

## string Solver.Linear.Preconditioner.SymmetricMat [Symmetric]

This key specifies whether the preconditioning matrix is symmetric. Choices for this key are **Symmetric** and **Nonsymmetric**. The choice **Symmetric** specifies that the symmetric part of the Jacobian will be used as the preconditioning matrix. The choice **Nonsymmetric** specifies that the full Jacobian will be used as the preconditioning matrix. NOTE: ONLY **Symmetric** CAN BE USED IF MGSemi IS THE SPECIFIED PRECONDITIONER! Example Useage:

pfset Solver.Linear.Preconditioner.SymmetricMat Symmetric

## integer Solver.Linear.Preconditioner.precond\_method.MaxIter [1]

This key specifies the maximum number of iterations to take in solving the preconditioner system with *precond\_method* solver.

Example Useage:

pfset Solver.Linear.Preconditioner.SMG.MaxIter 2

## integer Solver.Linear.Preconditioner.SMG.NumPreRelax [1]

This key specifies the number of relaxations to take before coarsening in the specified preconditioner method. Note that this key is only relevant to the SMG multigrid preconditioner. Example Useage:

pfset Solver.Linear.Preconditioner.SMG.NumPreRelax 2

## integer Solver.Linear.Preconditioner.SMG.NumPostRelax [1]

This key specifies the number of relaxations to take after coarsening in the specified preconditioner method. Note that this key is only relevant to the SMG multigrid preconditioner. Example Useage:

pfset Solver.Linear.Preconditioner.SMG.NumPostRelax 0

# string Solver.LSM [none]

This key specifies whether a land surface model, such as CLM, will be called each solver timestep. Choices for this key include **none** and **CLM**. Note that CLM must be compiled and linked at runtime for this option to be active.

Example Useage:

pfset Solver.LSM CLM

#### string Solver.CLM.Print1dOut [False]

This key specifies whether the CLM one dimensional (averaged over each processor) output file is written or not. Choices for this key include **True** and **False**. Note that CLM must be compiled and linked at runtime for this option to be active. Example Useage:

pfset Solver.CLM.Print1dOut False

#### integer Solver.CLM.IstepStart [1]

This key specifies the value of the counter, *istep* in CLM. This key primarily determines the start of the output counter for CLM. It is used to restart a run by setting the key to the ending step of the previous run plus one. Note that CLM must be compiled and linked at runtime for this option to be active.

Example Useage:

pfset Solver.CLM.IstepStart 8761

#### String Solver.CLM.MetForcing [no default]

This key specifies defines whether 1D (uniform over the domain) or 2D (spatially distributed) forcing data is used. Choices for this key are **1D** and **2D**. This key has no default so the user *must* set it to 1D or 2D. Failure to set this key will cause CLM to still be run but with unpredictable values causing CLM to eventually crash. 1D meteorological forcing files are text files with single columns for each variable and each timestep per row, while 2D forcing files are distributed PARFLOW binary files, one for each variable and timestep. File names are specified in the **Solver.CLM.MetFileName** variable below. Note that CLM must be compiled and linked at runtime for this option to be active. Example Useage:

pfset Solver.CLM.MetForcing 2D

#### String Solver.CLM.MetFileName [no default]

This key specifies defines the file name for 1D or 2D forcing data. 1D meteorological forcing files are text files with single columns for each variable and each timestep per row, while 2D forcing files are distributed PARFLOW binary files, one for each variable and timestep. Behavior of this key is different for 1D and 2D cases, as sepcified by the **Solver.CLM.MetForcing** key above. For 1D cases, it is the *FULL FILE NAME*. Note that in this configuration, this forcing file is **not** distributed, the user does not provide copies such as narr.1hr.txt.0, narr.1hr.txt.1 for each processor. PARFLOW only needs the single original file (*e.g.* narr.1hr.txt). For 2D cases, this key is the *BASE FILE NAME* for the 2D forcing files, currently set to NLDAS, with individual files determined as follows NLDAS.<variable>.<time step>.pfb. Where the <variable> is the forcing is needed for following variables:

**DSWR:** Downward Visible or Short-Wave radiation  $[W/m^2]$ .

**DLWR:** Downward Infa-Red or Long-Wave radiation  $[W/m^2]$ 

**APCP:** Precipitation rate [mm/s]

**Temp:** Air temperature [K]

**UGRD:** West-to-East or U-component of wind [m/s]

**VGRD:** South-to-North or V-component of wind [m/s]

**Press:** Atmospheric Pressure [pa]

**SPFH:** Water-vapor specific humidity [kg/kg]

Note that CLM must be compiled and linked at runtime for this option to be active. Example Useage:

pfset Solver.CLM.MetFileName

narr.1hr.txt

#### String Solver.CLM.MetFilePath [no default]

This key specifies defines the location of 1D or 2D forcing data. For 1D cases, this is the path to a single forcing file (*e.g.* narr.1hr.txt). For 2D cases, this is the path to the directory containing all forcing files. Note that CLM must be compiled and linked at runtime for this option to be active. Example Useage:

pfset Solver.CLM.MetFilePath "path/to/met/forcing/data/"

#### string Solver.WriteSiloCLM [False]

This key specifies whether the CLM writes two dimensional binary output files to a silo binary format. This data may be read in by VisIT and other visualization packages. Note that CLM and silo must be compiled and linked at runtime for this option to be active. These files are all written according to the standard format used for all PARFLOW variables, using the *runname*, and *istep*. Variables are either two-dimensional or over the number of CLM layers (default of ten). Example Useage:

pfset Solver.WriteSiloCLM True

The output variables are:

eflx\_lh\_tot for latent heat flux total  $[W/m^2]$  using the silo variable LatentHeat;

eflx\_lwrad\_out for outgoing long-wave radiation  $[W/m^2]$  using the silo variable LongWave;

eflx\_sh\_tot for sensible heat flux total  $[W/m^2]$  using the silo variable SensibleHeat;

eflx\_soil\_grnd for ground heat flux  $[W/m^2]$  using the silo variable *GroundHeat*;

 $qflx_evap_tot$  for total evaporation [mm/s] using the silo variable Evaporation Total;

 $qflx_evap_grnd$  for ground evaporation without sublimation [mm/s] using the silo variable EvaporationGroundNoSublimation;

 $qflx_evap_soi$  for soil evaporation [mm/s] using the silo variable EvaporationGround;

 $qflx_evap_veg$  for vegetation evaporation [mm/s] using the silo variable EvaporationCanopy;

 $qflx_tran_veg$  for vegetation transpiration [mm/s] using the silo variable Transpiration;

 $qflx_infl for soil infiltration [mm/s]$  using the silo variable Infiltration;

swe\_out for snow water equivalent [mm] using the silo variable SWE;

 $t\_grnd$  for ground surface temperature [K] using the silo variable TemperatureGround; and

 $t_soil$  for soil temperature over all layers [K] using the silo variable TemperatureSoil.

#### string Solver.WriteCLMBinary [True]

This key specifies whether the CLM writes two dimensional binary output files in a generic binary format. Note that CLM must be compiled and linked at runtime for this option to be active. Example Useage:

#### pfset Solver.WriteCLMBinary False

#### string Solver.CLM.BinaryOutDir [True]

This key specifies whether the CLM writes each set of two dimensional binary output files to a corresponding directory. These directories my be created before PARFLOW is run (using the tcl script, for example). Choices for this key include **True** and **False**. Note that CLM must be compiled and linked at runtime for this option to be active.

Example Useage:

pfset Solver.CLM.BinaryOutDir True

These directories are:

/qflx\_top\_soil for soil flux;

/qflx\_infl for infiltration;

/qflx\_evap\_grnd for ground evaporation;

/eflx\_soil\_grnd for ground heat flux;

/qflx\_evap\_veg for vegetation evaporation;

/eflx\_sh\_tot for sensible heat flux;

/eflx\_lh\_tot for latent heat flux;

/qflx\_evap\_tot for total evaporation;

/t\_grnd for ground surface temperature;

/qflx\_evap\_soi for soil evaporation;

/qflx\_tran\_veg for vegetation transpiration;

/eflx\_lwrad\_out for outgoing long-wave radiation;

/swe\_out for snow water equivalent; and

/diag\_out for diagnostics.

#### string Solver.CLM.CLMFileDir [no default]

This key specifies what directory all output from the CLM is written to. This key may be set to "./" or "" to write output to the PARFLOW run directory. This directory must be created before PARFLOW is run. Note that CLM must be compiled and linked at runtime for this option to be active.

Example Useage:

pfset Solver.CLM.CLMFileDir "CLM\_Output/"

#### integer Solver.CLM.CLMDumpInterval [1]

This key specifies how often output from the CLM is written. This key is in integer multipliers of the CLM timestep. Note that CLM must be compiled and linked at runtime for this option to be active.

Example Useage:

pfset Solver.CLM.CLMDumpInterval 2

#### string Solver.CLM.EvapBeta [Linear]

This key specifies the form of the bare soil evaporation  $\beta$  parameter in CLM. The valid types for this key are **None**, Linear, Cosine.

**None:** No beta formulation,  $\beta = 1$ .

**Linear:** 
$$\beta = \frac{\phi S - \phi S_{res}}{\phi - \phi S_{res}}$$

**Cosine:**  $\beta = \frac{1}{2} (1 - \cos(\frac{(\phi - \phi S_{res})}{(\phi S - \phi S_{res})}\pi)$ 

Note that  $S_{res}$  is specified by the key Solver.CLM.ResSat below, that  $\beta$  is limited between zero and one and also that CLM must be compiled and linked at runtime for this option to be active. Example Useage:

pfset Solver.CLM.EvapBeta Linear

double Solver.CLM.ResSat [0.1]

This key specifies the residual saturation for the  $\beta$  function in CLM specified above. Note that CLM must be compiled and linked at runtime for this option to be active.

Example Useage:

pfset Solver.CLM.ResSat 0.15

#### string Solver.CLM.VegWaterStress [Saturation]

This key specifies the form of the plant water stress function  $\beta_t$  parameter in CLM. The valid types for this key are **None**, **Saturation**, **Pressure**.

**None:** No transpiration water stress formulation,  $\beta_t = 1$ .

**Saturation:**  $\beta_t = \frac{\phi S - \phi S_{wp}}{\phi S_{fc} - \phi S_{wp}}$ 

**Pressure:**  $\beta_t = \frac{P - P_{wp}}{P_{fc} - P_{wp}}$ 

Note that the wilting point,  $S_{wp}$  or  $p_{wp}$ , is specified by the key Solver.CLM.WiltingPoint below, that the field capacity,  $S_{fc}$  or  $p_{fc}$ , is specified by the key Solver.CLM.FieldCapacity below, that  $\beta_t$  is limited between zero and one and also that CLM must be compiled and linked at runtime for this option to be active.

Example Useage:

pfset Solver.CLM.VegWaterStress Pressure

#### double Solver.CLM.WiltingPoint [0.1]

This key specifies the wilting point for the  $\beta_t$  function in CLM specified above. Note that the units for this function are pressure [m] for a **Pressure** formulation and saturation [-] for a **Saturation** formulation. Note that CLM must be compiled and linked at runtime for this option to be active. Example Useage:

pfset Solver.CLM.WiltingPoint 0.15

double Solver.CLM.FieldCapacity [1.0]

This key specifies the field capacity for the  $\beta_t$  function in CLM specified above. Note that the units for this function are pressure [m] for a **Pressure** formulation and saturation [-] for a **Saturation** formulation. Note that CLM must be compiled and linked at runtime for this option to be active. Example Useage:

pfset Solver.CLM.FieldCapacity 0.95

#### string Solver.CLM.IrrigationTypes [none]

This key specifies the form of the irrigation in CLM. The valid types for this key are none, Spray, Drip, Instant.

Example Useage:

pfset Solver.CLM.IrrigationTypes Drip

string Solver.CLM.IrrigationCycle [Constant]

This key specifies the cycle of the irrigation in CLM. The valid types for this key are **Constant**, **Deficit**. Note only **Constant** is currently implemented. Constant cycle applies irrigation each day from IrrigationStartTime to IrrigationStopTime in GMT. Example Useage:

pfset Solver.CLM.IrrigationCycle Constant

double Solver.CLM.IrrigationRate [no default]

This key specifies the rate of the irrigation in CLM in [mm/s]. Example Useage:

pfset Solver.CLM.IrrigationRate 10.

double Solver.CLM.IrrigationStartTime [no default] This key specifies the start time of the irrigation in CLM GMT. Example Useage:

pfset Solver.CLM.IrrigationStartTime 0800

double Solver.CLM.IrrigationStopTime [no default]

This key specifies the stop time of the irrigation in CLM GMT. Example Useage:

pfset Solver.CLM.IrrigationStopTime 1200

double Solver.CLM.IrrigationThreshold [0.5]

This key specifies the threshold value for the irrigation in CLM [-]. Example Useage:

pfset Solver.CLM.IrrigationThreshold 0.2

## 5.2 ParFlow Binary Files (.pfb)

The .pfb file format is a binary file format which is used to store PARFLOW grid data. It is written as BIG ENDIAN binary bit ordering [30]. The format for the file is:

<double : X> <double : Y> <double : Z>
<integer : NX> <integer : NY> <integer : NZ>
<double : DX> <double : DY> <double : DZ>
<integer : num\_subgrids>

```
FOR subgrid = 0 TO <num_subgrids> - 1
BEGIN
   <integer : ix>
                      <integer : iy>
                                        <integer : iz>
   <integer : nx>
                      <integer : ny>
                                         <integer : nz>
   <integer : rx> <integer : ry>
                                        <integer : rz>
   FOR k = iz TO iz + \langle nz \rangle - 1
   BEGIN
       FOR j = iy TO iy + \langle ny \rangle - 1
       BEGIN
          FOR i = ix TO ix + \langle nx \rangle - 1
          BEGIN
              <double : data_ijk>
          END
       END
   END
END
```

## 5.3 ParFlow Scattered Binary Files (.pfsb)

The .pfsb file format is a binary file format which is used to store PARFLOW grid data. This format is used when the grid data is "scattered", that is, when most of the data is 0. For data of this type, the .pfsb file format can reduce storage requirements considerably. The format for the file is:

```
<double : X>
                 <double : Y>
                                   <double : Z>
<integer : NX>
                                   <integer : NZ>
                 <integer : NY>
                                   <double : DZ>
<double : DX>
                 <double : DY>
<integer : num_subgrids>
FOR subgrid = 0 TO <num_subgrids> - 1
BEGIN
   <integer : ix> <integer : iy> <integer : iz>
   <integer : nx> <integer : ny>
                                      <integer : nz>
   <integer : rx> <integer : ry>
                                      <integer : rz>
   <integer : num_nonzero_data>
   FOR k = iz TO iz + \langle nz \rangle - 1
   BEGIN
      FOR j = iy TO iy + \langle ny \rangle - 1
      BEGIN
          FOR i = ix TO ix + \langle nx \rangle - 1
          BEGIN
             IF (<data_ijk> > tolerance)
```

```
BEGIN

<integer : i> <integer : j> <integer : k>

<double : data_ijk>

END

END

END

END

END

END

END
```

## 5.4 ParFlow Solid Files (.pfsol)

The .pfsol file format is an ASCII file format which is used to define 3D solids. The solids are represented by closed triangulated surfaces, and surface "patches" may be associated with each solid.

Note that unlike the user input files, the solid file cannot contain comment lines. The format for the file is:

```
<integer : file_version_number>
<integer : num_vertices>
# Vertices
FOR vertex = 0 TO <num_vertices> - 1
BEGIN
   <real : x> <real : y> <real : z>
END
# Solids
<integer : num_solids>
FOR solid = 0 TO <num_solids> - 1
BEGIN
   #Triangles
   <integer : num_triangles>
   FOR triangle = 0 TO <num_triangles> - 1
   BEGIN
      <integer : v0> <integer : v1> <integer : v2>
   END
   # Patches
   <integer : num_patches>
   FOR patch = 0 TO <num_patches> - 1
   BEGIN
      <integer : num_patch_triangles>
```

The field <file\_version\_number> is used to make file format changes more manageable. The field <num\_vertices> specifies the number of vertices to follow. The fields <x>, <y>, and <z> define the coordinate of a triangle vertex. The field <num\_solids> specifies the number of solids to follow. The field <num\_triangles> specifies the number of triangles to follow. The fields <v0>, <v1>, and <v2> are vertex indexes that specify the 3 vertices of a triangle. Note that the vertices for each triangle MUST be specified in an order that makes the normal vector point outward from the domain. The field <num\_patches> specifies the number of surface patches to follow. The field num\_patch\_triangles specifies the number of triangles indices to follow (these triangles make up the surface patch). The field <t> is an index of a triangle on the solid solid.

PARFLOW .pfsol files can be created from GMS .sol files using the utility gmssol2pfsol located in the \$PARFLOW\_DIR/bin directory. This conversion routine takes any number of GMS .sol files, concatenates the vertices of the solids defined in the files, throws away duplicate vertices, then prints out the .pfsol file. Information relating the solid index in the resulting .pfsol file with the GMS names and material IDs are printed to stdout.

## 5.5 ParFlow Well Output File (.wells)

A well output file is produced by PARFLOW when wells are defined. The well output file contains information about the well data being used in the internal computations and accumulated statistics about the functioning of the wells.

The header section has the following format:

```
LINE
BEGIN
<real : BackgroundX>
<real : BackgroundY>
<integer : BackgroundNX>
<integer : BackgroundNY>
<integer : BackgroundNZ>
<real : BackgroundDX>
<real : BackgroundDX>
<real : BackgroundDY>
<real : BackgroundDZ>
END
```

```
LINE
```

```
BEGIN
   <integer : number_of_phases>
   <integer : number_of_components>
   <integer : number_of_wells>
END
FOR well = 0 TO <number_of_wells> - 1
BEGIN
   LINE
   BEGIN
      <integer : sequence_number>
   END
   LINE
   BEGIN
      <string : well_name>
   END
   LINE
   BEGIN
      <real : well_x_lower>
      <real : well_y_lower>
      <real : well_z_lower>
      <real : well_x_upper>
      <real : well_y_upper>
      <real : well_z_upper>
      <real : well_diameter>
   END
   LINE
   BEGIN
     <integer : well_type>
     <integer : well_action>
   END
END
```

The data section has the following format:

```
FOR time = 1 TO <number_of_time_intervals>
BEGIN
LINE
BEGIN
<real : time>
```

```
END
FOR well = 0 TO <number_of_wells> - 1
BEGIN
  LINE
   BEGIN
      <integer : sequence_number>
   END
  LINE
  BEGIN
      <integer : SubgridIX>
      <integer : SubgridIY>
      <integer : SubgridIZ>
      <integer : SubgridNX>
      <integer : SubgridNY>
      <integer : SubgridNZ>
      <integer : SubgridRX>
      <integer : SubgridRY>
      <integer : SubgridRZ>
   END
  FOR well = 0 TO <number_of_wells> - 1
   BEGIN
     LINE
      BEGIN
         FOR phase = 0 TO <number_of_phases> - 1
         BEGIN
            <real : phase_value>
         END
     END
      IF injection well
      BEGIN
         LINE
         BEGIN
            FOR phase = 0 TO <number_of_phases> - 1
            BEGIN
               <real : saturation_value>
            END
         END
```

```
LINE
   BEGIN
      FOR phase = 0 TO <number_of_phases> - 1
      BEGIN
         FOR component = 0 TO <number_of_components> - 1
         BEGIN
            <real : component_value>
         END
      END
   END
END
LINE
BEGIN
   FOR phase = 0 TO <number_of_phases> - 1
   BEGIN
      FOR component = 0 TO <number_of_components> - 1
      BEGIN
         <real : component_fraction>
      END
   END
END
LINE
BEGIN
   FOR phase = 0 TO <number_of_phases> - 1
   BEGIN
      <real : phase_statistic>
   END
END
LINE
BEGIN
   FOR phase = 0 TO <number_of_phases> - 1
   BEGIN
      <real : saturation_statistic>
   END
END
LINE
BEGIN
   FOR phase = 0 TO <number_of_phases> - 1
```

```
BEGIN
               FOR component = 0 TO <number_of_components> - 1
               BEGIN
                   <real : component_statistic>
               END
            END
         END
         LINE
         BEGIN
            FOR phase = 0 TO <number_of_phases> - 1
            BEGIN
               FOR component = 0 TO <number_of_components> - 1
               BEGIN
                   <real : concentration_data>
               END
            END
         END
      END
   END
END
```

## 5.6 ParFlow Simple ASCII and Simple Binary Files (.sa and .sb)

The simple binary,.sa, file format is an ASCII file format which is used by pftools to write out PARFLOW grid data. The simple binary,.sb, file format is exactly the same, just written as BIG ENDIAN binary bit ordering [30]. The format for the file is:

```
<integer : NX> <integer : NY> <integer : NZ>
FOR k = 0 T0 <nz> - 1
BEGIN
FOR j = 0 T0 <ny> - 1
BEGIN
FOR i = 0 T0 <nx> - 1
BEGIN

<double : data_ijk>
END
END
END
```

## Chapter 6

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