$\mathop{\rm Memorax}_{_{User \;Manual}}$

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1 Introduction

MEMORAX is a tool for verification of safety properties in programs running under relaxed memory models, and for automatic inference of memory fences that are necessary in order to guarantee satisfaction of those safety properties.

The verification is achieved through state space exploration and specifically control state reachability analysis. Inference of fences is achieved by repeated reachability analysis according to the method described in [3].

Programs running under relaxed memory models tend to have an infinite state space, even in the cases where the same program would have a finite state space if executed under SC. For this reason, explicit state space analysis is impossible. The problem can be handled by using under approximation, over approximation or by using exact, infinite state analysis methods such as the well-quasi ordering method [1]. MEMORAX is built to accommodate different approaches.

Currently MEMORAX supports two approaches for verification/fence insertion of programs executed under the TSO memory model. Details are given in section 7.

This manual will assume basic knowledge about memory models. An introduction to the topic can be found in [4].

2 Contact / Bug Report

Feedback, questions or bug reports should be directed to Carl Leonardsson (carl.leonardsson@it.uu.se).

3 Installation

3.1 Requirements

- 1. A C++ compiler supporting C++11. For example g++ version 4.6 or higher.
- 2. In order to run the graphical interface, python is required at a version of 2.6 or higher installed with tcl/tk of version 8.4 or higher.
- 3. For predicate abstraction the MathSAT SMT solver as well as the library gmpxx are required. MEMORAX supports MathSAT 4 and MathSAT 5. MathSAT 4 is recommended. MEMORAX can be compiled without Math-SAT and gmpxx, but will then not support predicate abstraction.
- 4. To be able to graphically draw automata, Graphviz is required.

3.2 Basic Installation

In the simplest case, MEMORAX can be installed with the following commands:

```
$ tar xvf memorax-<version>.tar.gz
$ cd memorax-<version>
$ ./configure
$ make
$ make install
```

3.3 Installation Options

The configure script is built with GNU autotools, and should accept the usual options and environment variables. This section outlines some of the typical use cases.

Changing Installation Directory The command 'make install' will install MEMORAX, its graphical interface and its documentation in the directories which are standard on your system. To override this behaviour add the switch --prefix to the './configure' command:

\$./configure --prefix=/your/desired/install/path

Compiling with Predicate Abstraction Support To support predicate abstraction, MEMORAX must be compiled with MathSAT and gmpxx. Their header files and shared libraries must reside where they can be found by the compilation. If they are installed in non-standard locations, then the compilation can be directed to their location by appropriately specifying CXXFLAGS and LDFLAGS when invoking the './configure' command:

```
$ ./configure CXXFLAGS='-I/path/to/mathsat/include' \
LDFLAGS='-L/path/to/mathsat/lib'
```

If MathSAT and/or gmpxx are not found by the configure script, then MEM-ORAX will be installed without support for predicate abstraction.

Specifying Compiler When the configure script is invoked, it will by GNU autotools magic determine which C++ compiler will be used during compilation. In case e.g. your default compiler does not support C++11, but you have the compiler g++-4.6 installed at a non-standard location you may want to override this. In order to do so, specify the path to g++-4.6 in CXX when invoking the './configure' command:

\$./configure CXX='/path/to/g++-4.6'

3.4 Troubleshooting

MSatFailure In case you get the following error message when trying to use the PB abstraction:

Error: MSatFailure: Program is not compiled with MathSAT.

In order to use predicate abstraction, (e.g. the PB abstraction) MEMORAX needs to be compiled with MathSAT. To solve the problem, install MathSAT on your system and then reinstall MEMORAX. In case the installation fails to find MathSAT (see the output from the configure script), then try the instructions in the paragraph "Compiling with Predicate Abstraction Support" above.

4 Usage

MEMORAX provides a command line interface, as well as a graphical interface. It has three main modes of operation (henceforth "*commands*"): reachability checking, automatic fence inference and graphical representation of programs as automata. In all three modes MEMORAX works on parallel programs given in the RMM language (See section 6.).

The algorithms used for reachability and automatic fence inference depend on which *abstraction* is selected. An abstraction defines what a configuration looks like, what are the semantics of the analysed program and how the reachability analysis works. Abstractions can be over or under approximations of the semantics given in section 6.3. They can alternatively be exact, or even an approximation that is neither an over approximation nor an under approximation. MEMORAX currently supports two abstractions: SB and PB. See section 7 for details.

Reachability checking In this mode, MEMORAX will attempt to determine whether or not certain ("forbidden") configurations are reachable when the RMM program is executed. The forbidden configurations are specified in the RMM program as combinations of control states; one for each process. Any configuration where the processes are each in a control state such that they together satisfy such a combination is considered forbidden.

The reachability is determined by some reachability analysis, which depends on what abstraction is chosen.

Automatic fence inference In this mode, MEMORAX will perform repeated reachability checks, while gradually adding memory fences that turn out to be necessary in order to guarantee the non-reachability of the forbidden control states. MEMORAX will report a collection C of sets S of memory fences such that for every set S, the memory fences in S are sufficient to guarantee the non-reachability of the forbidden control states. Furthermore each fence in S is necessary, in the sense that adding all fences except one from S to the program, is insufficient to guarantee non-reachability. Here, "reachability" should be interpreted as reachability according to the given abstraction. Thus, an over approximating abstraction may report more fences than are actually necessary under the actual memory model, and an under approximating abstraction may fail to report fences that are actually necessary. The abstractions SB and PB (when run without a bound on the number of refinements) guarantee that the reported fences are both necessary and sufficient.

For the TSO memory model, reported fences are identified with write instructions. Adding the fence to the RMM program corresponds to making that write instruction into a locked write instruction. In the actual machine code/assembly code that implements the program runnable on real hardware, this corresponds to adding memory fence immediately after the writing instruction. On x86, one can alternatively change the writing instruction into a LOCK'd version of the same instruction.

Graphical representation of Rmm programs In this mode, MEMORAX produces a PDF file containing a graphical representation of automata corresponding to the given RMM program. There will be one automaton per process in the program.

4.1 Using the Command Line Interface

A call to the command line interface is on the following form:

memorax [command] [options] [program]

The [command] part indicates the mode of operation. It should be given as one of reach (indicating reachability analysis), fencins (indicating automatic fence inference) and dotify (indicating graphical representation of the RMM program).

The [options] part is optional and gives details about how the command should be executed. Accepted options are listed and explained below.

The [program] part should be the path to a text file containing an RMM program. The program path can be left out of the command line invocation, in which case MEMORAX will expect the RMM program via the standard input.

Options:

• -o <filename> or --output <filename>

Write output to <filename>. This option is used to specify the desired path of the PDF file produced by the dotify command.

- -a <abstraction> or --abstraction <abstraction> Use abstraction <abstraction>. The abstraction should be one of pb and sb. If no abstraction is specified, then MEMORAX will default to using the SB abstraction.
- -k <int>

Use k as buffer bound. The TSO buffers in the PB abstraction will not be allowed to grow larger than this many elements.

• --cegar

Use CEGAR refinement in reachability analysis. CEGAR can be used with the PB abstraction, and will refine the abstraction by gradually, and as necessary, using additional predicates in the predicate abstraction, and a larger bound on the length of the TSO buffers.

```
• --max-refinements <int>
```

Perform at most *<int>* many refinements in the CEGAR loop. If more refinements are necessary, then MEMORAX will terminate with an error message.

- -v or --verbose Print output verbosely.
- -vv or --very-verbose Print output very verbosely.
- -vvv or --very-very-verbose Print output very very verbosely.
- -o1 or --only-one

During fence insertion, stop searching after finding one sufficient, minimal fence set.

• --rff

Convert machine to *register free form* before using it. Converting an RMM program to register free form, means to rewrite it such that the values of the registers are encoded in the control states, and all registers are replaced

by the corresponding integer literals wherever they occur in instructions. This conversion is possible when all registers in the program have finite domains. Converting a program to register free form may be beneficial for analysis time, in particular when using the SB abstraction.

4.2 Using the Graphical Interface

The graphical interface is a python script using tcl/tk, running on top of the command line interface. It runs on top of the command line interface, provides the CLI with appropriate switches and performs some interpretation of the output from the CLI. The graphical interface is installed as memorax-gui.

The GUI window contains, from top to bottom:

- A menu bar, allowing to load and save RMM programs and output, and to configure the behaviour of the GUI.
- A *command area* containing a number of buttons, check buttons etc.
- A code area where an RMM program may be loaded, edited and saved. All commands executed with the GUI will act on the program displayed here.
- An *output area* where text output from the underlying CLI will be displayed. The output is divided into two different consoles: "Output" and "Error".

At the top of the command area are radio buttons allowing the user to chose a command: "Reachability" (indicating reachability analysis), "Fence insertion" (indicating automatic fence inference) and "Draw automata" (indicating graphical representation of the RMM program).

For all commands, the user may specify a level of output verbosity ranging from "Only Results" (least output) to "Extreme" (most output). For most users and use cases, one of the levels "Only Results" and "Messages" is probably the most suitable.

Execution of the selected command is started with the button "Run". A running execution can be interrupted with the button "Break" (shortcut Ctrl-C). While the underlying tool is running, it will output text to the text fields "Output" and "Error" at the bottom of the GUI window.

For commands "Reachability" and "Fence Insertion", the user may chose what abstraction should be used: SB or PB. (See section 7.) Whether CEGAR should be used for automatically refining the PB abstraction. Also the user may chose to convert the RMM program into *register free form* before analysing it. Converting an RMM program to register free form, means to rewrite it such that the values of the registers are encoded in the control states, and all registers are replaced by the corresponding integer literals wherever they occur in instructions. This conversion is possible when all registers in the program have finite domains. Converting a program to register free form may be beneficial for analysis time, in particular when using the SB abstraction.

When the command "Fence Insertion" finds a set of fences, they are indicated in the output by textual representation of the writing transitions in the RMM automata which should be changed into locked writes. Mouse-over will highlight the corresponding lines of code in the code area, and clicking them will center the code area over those lines.

For the command "Draw Automata", the user should specify a path, where a PDF file displaying the RMM program will be created. When the "Draw Automata" command is used, the PDF file will be immediately displayed, provided that the user has specified a PDF viewer in the GUI configuration (Misc \rightarrow Configuration).

5 Tutorial

This section gives a short tutorial to usage of MEMORAX. Start the GUI.

\$ memorax-gui &

The GUI window shows an example RMM program that can be analysed, an output area showing the version of the GUI, and a number of controls that allow the user to select a command and options.

Reachability analysis First, let us analyse the reachability of the forbidden states in the example program: Select the command "Reachability", the abstraction "SB" and the verbosity "Messages". Press the "Run" button to start the analysis.

In case the GUI is unable to find the MEMORAX CLI, then you will receive an error message:

```
Failed to start subprocess (...)
[Errno 2] No such file or directory
Failed to terminate subprocess.
Interrupted
```

If so, enter $Misc \rightarrow Configuration$ and setup the correct path to where you have installed the MEMORAX CLI.

If the GUI finds the CLI, you should instead receive a screenful of text describing the result. The most important part is the last section. It tells you that the forbidden states are reachable when the example program is executed under the TSO memory model. I.e. that the program is unsafe.

```
Reachability analysis results:
Reachable: Yes
Generated constraints: 500
Size of visited set: 216
Time consumption: 0 s
```

You will also receive a "witness trace" showing how the forbidden states can be reached in the SB semantics.

Fence inference Now, let us see how MEMORAX can be used to automatically infer the fences that are necessary to make the example program safe. Select the command "Fence insertion". Keep the abstraction "SB" and verbosity "Messages". Press the "Run" button.

If all goes well you should receive an output like this:

```
$ /path/to/memorax fencins --json -v --abstraction sb
Currently examining fence set:
  (No fences)
Reachability analysis results:
  Reachable:
                          Yes
  Generated constraints: 500
  Size of visited set:
                         216
  Time consumption:
                         0.01 s
Cycles found in trace:
TsoCycle (complete):
  P0: update(var:0, P0)
 L14 PO: read: var:1 = 0
 L22 P1: locked{ write: var:1 := 1 }
 L23 P1: read: var:0 = 0
Currently examining fence set:
 L13 PO: write: x := 1
 L22 P1: write: y := 1
Reachability analysis results:
  Reachable:
                          No
  Generated constraints: 86
  Size of visited set:
                          39
  Time consumption:
                          0 s
Found 1 fence set:
Fence set #0:
 L13 PO: write: x := 1
  L22 P1: write: y := 1
Total time to insert fences: 0.01s.
   Reading it from top to bottom, it tells us the following:
Currently examining fence set:
  (No fences)
   The inference procedure starts without any inserted memory fences.
Reachability analysis results:
```

Reachable:	Yes	
Generated constraints:	500	
Size of visited set:	216	
Time consumption:	0.01	s

Without any memory fences, the forbidden states are reachable. At the verbosity level "Messages", the witness traces are omitted. If you want to see the traces, use e.g. "Debug" instead.

Cycles found in trace:

```
TsoCycle (complete):
   P0: update(var:0, P0)
   L14 P0: read: var:1 = 0
   L22 P1: locked{ write: var:1 := 1 }
   L23 P1: read: var:0 = 0
Currently examining fence set:
   L13 P0: write: x := 1
   L22 P1: write: y := 1
```

The inference procedure analyses the witness trace, and concludes that in order to prevent the example program from reaching the forbidden states by such an execution, two memory fences are necessary. The memory fences are "L13 PO: write: x := 1" and "L22 P1: write: y := 1". This notation should be interpreted as follows: L13 PO: write: x := 1 is the writing instruction of process 0 that occurs at line 13 in the code. The corresponding fence, which is suggested by the inference procedure, should be placed immediately after this writing transition. In the RMM language, inserting the fence is done by changing write: x := 1 into locked write: x := 1 in the code.

```
Reachability analysis results:

Reachable: No

Generated constraints: 86

Size of visited set: 39

Time consumption: 0 s
```

The inference procedure attempts another reachability analysis, now with the two new fences inserted. This time it turns out that the forbidden states are not reachable, and the current fence set is sufficient for safety.

```
Found 1 fence set:
Fence set #0:
L13 P0: write: x := 1
L22 P1: write: y := 1
```

The inference procedure terminates, telling us that it detected exactly one minimal and sufficient set of memory fences:

{L13 PO: write: x := 1,L22 P1: write: y := 1}

Hovering the mouse over the fence set will highlight the corresponding write instructions in the code area. Clicking the fence set will center the code over the highlighted instructions.

Adding the fences Let us manually insert the fences, and then try again. Rewrite the code by adding "locked" in two places as shown below:

```
/* An example code */
forbidden
CS CS
data
x = 0 :
         [0:1]
y = 0:
         [0:1]
process
                          process
                          text
text
L0:
                          LO:
                          locked write: y := 1;
locked write:
                x := 1:
read: y = 0;
                          read: x = 0;
CS:
                          CS:
write: x := 0;
                          write: y := 0;
                          goto LO
goto LO
```

The previous fence inference result told us that this new version of the example code should be safe. To satisfy our curiosity and to see what it looks like when we run the fence inference procedure on an already safe program, let us try to run the fence insertion command again. We get the following result:

```
$ /path/to/memorax/build/bin/memorax fencins --json -v \
    --abstraction sb
Currently examining fence set:
  (No fences)
Reachability analysis results:
  Reachable:
                         No
  Generated constraints: 86
  Size of visited set:
                         39
  Time consumption:
                         0 s
Found 1 fence set:
Fence set #0:
  (No fences)
Total time to insert fences: Os.
```

It tells us that the fence inference procedure starts with no fences (no fences except the ones that are explicitly part of the program). It runs the reachability analysis and finds that the forbidden states are not reachable; the program is safe. MEMORAX concludes by telling us that it found exactly one memory fence set that is necessary and sufficient: the empty set. I.e. as expected, the program is safe and requires no additional fences.

6 The Rmm language

The RMM language allows to model a parallel program and specify safety properties that should hold.

The sometimes assembly-like syntax of the RMM language is motivated by the necessity, when analysing programs under relaxed memory models, of unambiguously specifying the order of memory accesses, and whether variables are stored in memory or in registers. Note that for conventional programming languages, such as e.g. C, the memory access ordering, register allocations, reuse of common sub-expressions and the like depend on the compiler (and compiler switches). Therefore, when trying to verify an implementation written in a high level language, it may be necessary to examine the machine code after compilation, or use inline assembly, to be certain that the model, written in RMM, and the compiled program, written in some high level language, correspond.

This section will start by introducing the RMM language by giving an example together with explanation. Then we continue by explaining the abstract machine on which an RMM program runs. We introduce control structures and informally explain about instructions under the Sequentially Consistent memory model. Finally we give formally and informally, the semantics of all instructions under the TSO memory model.

6.1 Machine Model and Memory Addressing

This section describes the abstract machine on which an RMM program is executed.

A machine $(\mathcal{P}, A, \mathcal{R}, \mathcal{X})$ consists of a memory with (shared) memory locations \mathcal{X} , and a set of processes \mathcal{P} executing in parallel. Each process $p \in \mathcal{P}$ has a unique process identifier $pid(p) \in \{0, \dots, |\mathcal{P}| - 1\}$. We will subsequently use p and pid(p) interchangingly where there is no danger of confusion.

Automata Each process p is equipped with an automaton $A(p) = (\mathcal{Q}_p, \Delta_p)$ describing the program executed by p. The set $\mathcal{Q}_p = \{0, \dots\}$ is the set of control states of the automaton. The set Δ_p is the set of transitions of the automaton. A transition $(q_0, instr, q_1)$ consists of a source control state q_0 , a target control state q_1 and an RMM *instruction*. Instructions will be defined and given semantics in later sections.

Registers Each process p has a set of registers $\mathcal{R}(p)$. Registers $r \in \mathcal{R}(p)$ hold integer values and can only be accessed by the owning process p. Registers are not affected by memory model relaxations since they are not located in memory (and also are private). The differences between registers and memory locations are summarised in table 1.

Integer Domains Each memory location v and each register r has an associated domain $domain(v), domain(r) \subseteq \mathbb{Z}$. The domain is either the (infinite) set of integers \mathbb{Z} , or a finite interval $\{i, i + 1, \dots, j - 1, j\}$.

Memory Addressing Memory locations in \mathcal{X} are of two kinds: *local* and *global*. Both kinds are accessible for reading and writing by all processes, and there is no difference between the two kinds regarding memory model relaxation. The difference is purely in how they are addressed. A global memory location v has an alphanumerical name n, and is addressed by all processes by precisely that name. A local memory location v has an alphanumerical name n, but

	Memory locations	Registers	
Integer values	Yes	Yes	
Accessible by	All processes	Owning process	
In arith. expr.	No	Yes	
		Assignment instruction	
Write	write-instruction	(E.g. \$r0 := \$r1 + 1)	
wille	wiite-mstruction	or assigning read	
		(E.g. read: $r0 := x$)	
	read -instruction	Use in arithmetic expression	
Read	(assigning read or	(E.g. \$r1 + 1)	
	asserting read)		
	Alphanumerical or		
Names	alphanumerical followed	\$ followed by alphanumerical	
	by process specifier		

Table 1: Differences between memory locations and registers.

is also associated with one particular process $owner(v) \in \mathcal{P}$. When a process p accesses the local variable v, it should use the name n[spec] where spec depends on owner(v) and on p as described in table 2. For example: suppose that process 2 should access a local variable v by the name \mathbf{x} . If owner(v) = 1 then the correct address of v for process 2 would be $\mathbf{x[1]}$. If owner(v) = 2 then $\mathbf{x[my]}$ would be the address, and if owner(v) = 5 then $\mathbf{x[4]}$ would be the correct address.

condition	spec
owner(v) < p	owner(v)
owner(v) = p	my
owner(v) > p	owner(v) - 1

Table 2: Process p, when accessing variable v declared locally in process owner(v), should use the name v[spec].

Pointers As a third way of addressing memory, pointer expressions are allowed in RMM. The syntax is [e], where e is an arithmetic expression over literal integers and register values. A pointer [e] occurring in a statement stmt([e]) in RMM is really syntactic sugar for the following composed statement:

```
either{

assume: e = 0; stmt(v_0)

or

assume: e = n; stmt(v_n)

}
```

Here $v_0 \cdots v_n$ are all global memory locations in the program, in the order they were declared. Local memory locations cannot be accessed through pointers.

6.2 An Example

```
1: /* Dijkstra's lock */
 2: forbidden
      CS CS
 3:
 4: data
 5:
      turn = * : [0:1]
 6: process
                                        29: process
 7: data
                                        30: data
      flag = 0 : [0:2]
                                              flag = 0 : [0:2]
 8:
                                        31:
 9: registers
                                        32: registers
10:
      $flag = * : [0:2]
                                        33:
                                              flag = * : [0:2]
11:
      turn = * : [0:1]
                                        34:
                                              turn = * : [0:1]
12: text
                                        35: text
      START:
                                              START:
13:
                                        36:
      write: flag[my] := 1;
                                              write: flag[my] := 1;
14:
                                        37:
15:
      read: $turn := turn;
                                        38:
                                              read: $turn := turn;
16:
      while $turn != 0 do{
                                        39:
                                              while $turn != 1 do{
17:
        read: $flag := flag[0];
                                        40:
                                                read: $flag := flag[0];
        if flag = 0 then
                                                if flag = 0 then
18:
                                        41:
19:
          write: turn := 0;
                                        42:
                                                  write: turn := 1;
20:
        read: $turn := turn
                                        43:
                                                read: $turn := turn
21:
      };
                                        44:
                                              };
22:
      write: flag[my] := 2;
                                        45:
                                              write: flag[my] := 2;
      read: $flag := flag[0];
                                              read: $flag := flag[0];
23:
                                        46:
      if $flag = 2 then
24:
                                              if $flag = 2 then
                                        47:
        goto START;
                                                goto START;
25:
                                        48:
26:
                                        49:
      CS:
                                              CS:
27:
      write: flag[my] := 0;
                                        50:
                                              write: flag[my] := 0;
28:
      goto START
                                        51:
                                              goto START
```

Figure 1: RMM model of two processes using Dijkstra's mutual exclusion protocol [5]

Figure 1 shows an RMM model of two processes using Dijkstra's mutual exclusion protocol. We will explain the format line by line.

The first line is a comment. Everything starting with /* continuing until */ is ignored by the parser.

Lines 2 and 3 declare the safety property. Every RMM file must start with such a declaration. The word forbidden is a reserved word. Line 3 tells us that the declared safety property states that at no time may simultaneously process 0 be at its control state labelled CS (line 26) and process 1 be at its control state labelled CS (line 49). The label names CS and CS are coincidentally the same, but refer to different processes and hence different control states. Additional lines like line 3 can be added provided that they are separated by semi-colons. Below we have added the safety properties that none of the processes may enter

its critical section (label CS) while the other process is at its initial control state (label START).

forbidden CS CS ; START CS CS START

Lines 4 and 5 declare a memory location called turn. The word data is a reserved word. After the word data comes a list of memory location declarations. The declaration turn = *: [0:1] starts with the name of the memory location. Then states (= *) that it may initially have any value in its domain. The last part (: [0:1]) specifies that the domain of the memory location is all integers from and including 0 up to and including 1. Below we have extended the declaration section to also declare a variable x with domain Z and initial value 0, and a variable y with the default domain (which is also Z) and unspecified initial value.

data

turn = * : [0:1] x = 0 : Z y = *

Lines 6 to 28 declare process 0. Lines 29 to 51 similarly declare process 1, and will not be separately explained. The word **process** on line 6 is a reserved word and informs us that a process declaration begins. The process declaration has three parts: data declaration (optional), register declaration (optional) and text declaration (mandatory).

Lines 7 and 8 is the data declaration for process 0. It declares a memory location named flag, with domain $\{0, 1, 2\}$ and initial value 0. This memory location is like the memory location turn that we declared earlier, in that it is accessible for both reading and writing to all processes and in that it is affected by the memory model. The only difference between memory locations declared at the top level (*global* memory locations) and memory locations declared inside a process declaration (*local* memory locations) is the naming. In order to access a global memory location, a process will use its name as it is. A local memory location *var* is accessed by its name and a specifier: *var* [*spec*]. The correct way of addressing local memory locations is described in section 6.1 and in particular in table 2.

Lines 9 to 11 declare the registers of process 0. Registers are similar to memory locations. They correspond to processor registers, so they are accessible only to the process owning them, and they are not affected by the memory model. In RMM, registers have alphanumerical names preceded by a single **\$** character.

The word text on line 12 informs that the program code begins.

The program code is a semi-colon separated sequence of statements. Each statement is optionally preceded by a process-unique label and a colon.

Line 13 declares a label **START** that identifies the control state immediately before execution of the first instruction.

Line 14, 19, 22 and 27 are memory writes. A value computed by arithmetic operations on literal integers and on values in registers is assigned to a memory

location. In this case literal integers 0, 1 and 2 are stored in the global memory location turn and the local memory location flag of process 0.

Line 15, 17, 20 and 23 are memory reads. The value in a memory location is loaded into a register. In the case of line 17, the value in the local variable flag of process 1 (see table 2) is loaded into the register \$flag of process 0.

Lines 16 to 21 is a while-loop. The loop condition works on literal integers and values in registers.

Lines 18-19 and 24-25 are if-statements. The if-condition works on literal integers and values in registers. If-statements may optionally have an else-clause.

Lines 25 and 28 are goto-statements. A goto-statement goto LBL immediately redirects the control flow to the control state labelled by LBL.

6.3 Semantics

6.3.1 Statements

In the RMM language a process's automaton is defined by a statement that follows the keyword text in the process declaration. Statements come in two kinds: *instructions* and *control structures*.

An *instruction* is a statement that can be attached to a transition in an automaton, and be executed atomically. An instruction corresponds loosely to a machine language instruction on an actual piece of hardware. But there are differences: Some composed operations that would require many machine language instructions can be performed in a single RMM instruction. This is the case for local operations, such as evaluation of arithmetic expressions over private registers, where the non-atomicity of the operation on actual hardware is not observable. Furthermore, some instructions on hardware architectures, such as e.g. un-LOCK'd INC on Intel x86, appear as a single instruction in the machine language but executes equivalently to multiple subsequent and non-atomic memory accesses. Such instructions are not included in RMM.

A control structure is a statement that affects the structure of the process automaton. Control structures themselves cannot occur as labels for individual transitions, but control structures may contain instructions and define a subautomaton with transitions labelled by those instructions.

Table 3 lists all types of RMM statements.

6.3.2 Arithmetical and Boolean Expressions

Some statements make use of arithmetical or boolean expressions. An arithmetical expression may contain registers, integer literals, addition, subtraction, unary minus and parentheses. A boolean expression may contain the boolean literals true and false, conjunction (&&), disjunction (||), negation (not), parentheses ([] is used for boolean expressions as opposed to () for arithmetical) and comparison of arithmetical expressions by the following comparison functions: =, !=, <, >. The expressions are interpreted in the obvious way. Note that memory locations cannot be used in expressions!

6.3.3 Instructions Informally

This section gives an informal description of the semantics of RMM instructions under the SC memory model.

Instructions				
Name	Example			
Nop	nop			
Assignment	\$reg := 42			
Assume	assume: \$r0 = 0 && \$r1 > 2			
Asserting read	read: x = 3			
Assigning read	<pre>read: \$reg := x</pre>			
Write	write: x := \$r0 + \$r1 - 1			
Locked block	<pre>locked{ read: x = 0; write: x := 1 }</pre>			
(Locked write)	locked write: x := 1			
(Compare & Swap)	cas(x,2,13)			
Cor	ntrol statements			
Name	Example			
Goto	goto LBL			
Sequence	<pre>{ read: \$r0 := x; \$r1 := \$r0 + 1; write: x := \$r1 }</pre>			
If-statement	<pre>if \$r0 = 0 then { \$r1 := 1; \$r2 := 20 } else goto L0</pre>			
While-statement	<pre>while \$reg > 0 do read: \$reg := x</pre>			
Either-statement	<pre>either{ read: v = 0 or read: v = 1; write: w := 1 }</pre>			

Table 3: RMM statements

In the below, we use the following conventions: Registers are named reg, reg' etc. Registers used in an instruction always refer to registers owned by the process that executes the instruction. Arithmetical expressions are named expr, expr' etc. Boolean expressions are named bexpr, bexpr' etc. Memory locations are named v, v' etc. That an instruction is *enabled* means that it can be executed. An instruction that is not enabled is blocking.

Nop nop

This instruction is always enabled, and has no effect when executed.

Assignment reg := expr

Evaluates the expression expr and stores the result in the register reg. The instruction is enabled precisely when the valuation of expr is within the domain of reg.

Assume assume: bexpr

Is enabled precisely when *bexpr* evaluates to true. The instruction has no effect when executed.

Asserting Read read: v = expr

Is enabled when the value of memory location v in memory is the same as the value to which expr evaluates. The instruction has no effect when executed.

Assigning Read read: reg := v

Reads the value of memory location v from memory and stores the value in register *reg*. The instruction is enabled precisely when the value of v in memory is within the domain of *reg*.

Write write: v := expr

Evaluates the expression expr and writes the result to memory location v. Enabled when the value of expr is in the domain of v.

Locked Block

locked{ sl_0 or \cdots or sl_n }

Here sl_i for all $0 \le i \le n$ is a semi-colon separated sequence of instructions. The sequence sl_i is said to be enabled if it is possible to execute its constituent instructions in order without blocking and without context-switching. When the locked block is executed, any one single enabled sequence sl_i is picked, and the instructions of sl_i are executed in order atomically. The locked block is enabled when there is at least one sequence sl_i that is enabled.

Important: The locked block is a powerful construction meant to enable modellers to model the occasional more obscure machine instructions that may occur on their hardware. Its TSO semantics are quite complicated, and improper

use is easy. It is therefore recommended to not explicitly use locked blocks unless absolutely necessary. It is recommended to limit use of locked blocks to implicit use by means of the two instructions *locked write* and *Compare & Swap*.

Locked Write locked write: v := expr

Under SC semantics, a locked write is equivalent to an ordinary write. The locked write locked write: v := expr is syntactic sugar for locked{ write: v := expr}

Compare & Swap (CAS) cas(v, expr, expr')

The compare and swap instruction is enabled precisely when the value of v in memory is equal to the value of expr, and expr' evaluates to a value within the domain of v. Executing the compare and swap instruction will store the value of expr' in memory location v. The instruction cas(v, expr, expr') is syntactic sugar for locked{ read: v = expr; write: v := expr'}

6.3.4 Control Structures

This section describes how control structures in the RMM language are used to shape the process automata of a machine. This is done by describing the sub-automata corresponding to each type of control structure.

Below we use the following conventions: The described sub-automaton is a part of the automaton A(p) corresponding to process p. The initial state of the sub-automaton is q_{src} . The control state that corresponds to the position in the RMM code immediately after the control structure is named q_{tgt} .

Instructions s

A single instruction s translates into a transition (q_{src}, s, q_{tgt}) .



Goto goto LBL

Here LBL is a label attached to some control state q_{LBL} in Q_p . The goto statement translates into a transition $(q_{src}, \operatorname{nop}, q_{LBL})$.



Sequence $\{s_0; \cdots; s_n\}$

The sequence construct arranges its constituent sub-statements, unsurprisingly, in a sequence from q_{src} to q_{tgt} , as shown in the diagram below.



If-statement if bexpr then s_{then} else s_{else}

An if-statement branches the automaton into two branches where the initial assume transitions ensure that only one branch can be taken at any one time.



While-statement while bexpr do s

A while-statement translates to a loop that will be taken as long as *bexpr* evaluates to true, but no longer.



Either-statement either{ $s_0^0; \dots; s_0^m$ or \dots or $s_n^0; \dots; s_n^l$ }

An either statement is a non-deterministic choice. It translates into multiple branches with no attached guards (except for what may occur in the constituents s_i^j).



6.3.5 **TSO** Semantics

This section describes the TSO semantics of the instructions in the RMM language. This is done formally and informally in parallel.

A constraint (M, M_{reg}, pc, B) describes the configuration of an abstract machine at a particular time. Each memory location $v \in \mathcal{X}$ has a particular value $M(v) \in domain(v)$. Each register r owned by each process p has a particular value $M_{reg}(p)(r) \in domain(r)$. Each process p is at a particular control state $pc(p) \in \mathcal{Q}_p$.

Furthermore, each process p is equipped with a FIFO buffer

 $B(p) = \langle B(p)_0, \dots, B(p)_n \rangle$ where n = len(B(p)) - 1. More recently inserted elements have a lower index. For all $0 \le i \le n$, the element $B(p)_i = (v, w) \in \mathcal{X} \times \mathbb{Z}$ is a pending write of process p to memory location v with value $w \in domain(v)$.

When a process executes a write to a memory location under TSO, it does not immediately update the memory, but instead it enqueues the write in its write buffer B(p). Asynchronously, and without the active participation of the process p itself, the enqueued writes will be pushed, one by one in the same order they were enqueued, to memory and dequeued from the write buffer. The event of a write reaching memory is called an *update*.

In the below we describe the rules for process p to perform a transition t. For a function f, by f[x := v] we denote the function f' such that f'(y) = f(y)if $y \neq x$ and f'(x) = v. For a register valuation $M_{reg}(p)$ and an arithmetic expression *expr* over registers from $\mathcal{R}(()p)$, we let $M_{reg}(p)[expr]$ denote the evaluation of *expr* where each register r in *expr* evaluates to $M_{reg}(p)(r)$. We define similarly $M_{reg}(p)[bexpr]$ for a boolean expression *bexpr*.

Nop $t = (q_{src}, \operatorname{nop}, q_{tgt})$

The nop instruction is the same under TSO semantics as under SC semantics: It does nothing.

$$\frac{pc(p) = q_{src}}{(M, M_{reg}, pc, B) \rightarrow_t (M, M_{reg}, pc[p := q_{tgt}], B)}$$

Assignment $t = (q_{src}, reg := expr, q_{tgt})$

The assignment instruction is the same under TSO semantics as under SC semantics: It evaluates expr and assigns the result to the register reg.

$$\begin{aligned} pc(p) &= q_{src} \quad w \in domain(reg) \\ M'_{reg} &= M_{reg}[p := M'_p] \\ & \text{where} \\ w &= M_{reg}(p)[expr] \\ M'_p &= M_{reg}(p)[reg := w] \\ \hline (M, M_{reg}, pc, B) \rightarrow_t (M, M'_{reg}, pc[p := q_{tgt}], B) \end{aligned}$$

Assume $t = (q_{src}, \texttt{assume: } bexpr, q_{tgt})$

The assume instruction is the same under TSO semantics as under SC semantics: It evaluates *bexpr* and is enabled precisely when the result is true.

$$\frac{pc(p) = q_{src} \quad M_{reg}(p)[bexpr]}{(M, M_{reg}, pc, B) \rightarrow_t (M, M_{reg}, pc[p := q_{tgt}], B)}$$

Asserting Read $t = (q_{src}, \text{read}: v = expr, q_{tgt})$

A read under TSO semantics will read the value of memory location v from memory, provided that the buffer of p does not contain any write to v. If there is a write to v in B(p), then the value of the newest such write in B(p) is read.

To formalise this, we define the function $read : ((\mathcal{X} \mapsto \mathbb{Z}) \times buffer) \mapsto \mathcal{X} \mapsto \mathbb{Z}$ as follows:

$$read(M, b)v =$$

$$\begin{cases} w & \text{If for some } i \in \mathbb{Z} \\ M(v) & \text{Otherwise} \end{cases} \begin{pmatrix} b_i = (v, w) \\ and \\ \neg \exists 0 \le j < i, w' \in \mathbb{Z}. b_j = (v, w') \end{pmatrix}$$

Now we can define the transition rule:

$$\frac{pc(p) = q_{src} \quad read(M, B(p))v = M_{reg}(p)[expr]}{(M, M_{reg}, pc, B) \rightarrow_t (M, M_{reg}, pc[p := q_{tgt}], B)}$$

Assigning Read $t = (q_{src}, \text{read}: reg := v, q_{tgt})$

An assigning read, reads the value of v from memory or from B(p) in the same manner as an asserting read, but then assigns the read value to the register *reg*.

$$\begin{aligned} pc(p) &= q_{src} \quad w \in domain(reg) \\ M'_{reg} &= M_{reg}[p := M'_p] \\ & \text{where} \\ w &= read(M, B(p))v \\ M'_p &= M_{reg}(p)[reg := w] \\ \hline (M, M_{reg}, pc, B) \rightarrow_t (M, M'_{reg}, pc[p := q_{tgt}], B) \end{aligned}$$

Write $t = (q_{src}, write: v := expr, q_{tgt})$

A write instruction evaluates the value w of the expression expr, and enqueues the write as (v, w) in its buffer.

$$\begin{aligned} pc(p) &= q_{src} \quad w \in domain(v) \\ B' &= B[p := (v, w) \cdot B(p)] \\ & \text{where} \\ \\ \hline \hline w &= M_{reg}(p)[expr] \\ \hline \hline (M, M_{reg}, pc, B) \rightarrow_t (M, M_{reg}, pc[p := q_{tgt}], B') \end{aligned}$$

Update $t = update_p$

An *update* is not a transition in any process automaton. Instead it is an event that may happen at any time the buffer of process p is non-empty. When an update occurs, the oldest write (v, w) in the buffer of process p is dequeued, and the value of variable v is assigned w.

$$B(p) = \langle B(p)_0, \cdots, B(p)_{n-1}, (v, w) \rangle$$

$$B' = B[p := \langle B(p)_0, \cdots, B(p)_{n-1} \rangle]$$

$$(M, M_{reg}, pc, B) \rightarrow_t (M[v := w], M_{reg}, pc, B')$$

Locked Write $t = (q_{src}, \text{locked write: } v := expr, q_{tgt})$

A locked write acts as a write followed by a TSO fence. It requires the buffer of process p to be empty before it is executed. Then it evaluates the value w of expr and writes w directly to v in memory without enqueueing the write in the buffer.

$$pc(p) = q_{src} \quad B(p) = \langle \rangle$$

$$w \in domain(v)$$
where
$$w = M_{reg}(p)[expr]$$

$$(M, M_{reg}, pc, B) \rightarrow_t (M[v := w], M_{reg}, pc[p := q_{tgt}], B)$$

The locked write locked write: v := expr is syntactic sugar for locked{ write: v := expr}.

CAS $t = (q_{src}, cas(v, expr, expr'), q_{tgt})$

A compare and swap instruction acts as a fence in that it requires the buffer of process p to be empty before it can be executed. It then evaluates the values w and w' respectively for expr and expr', compares the value of v in memory with w, if the values are equal then the value w' is written to v in memory, otherwise the instruction blocks. A compare and swap instruction does not enqueue any write to the buffer.

$$pc(p) = q_{src} \quad B(p) = \langle \rangle$$

$$M(v) = w \quad w' \in domain(v)$$
where
$$\frac{w = M_{reg}(p)[expr] \quad w' = M_{reg}(p)[expr']}{(M, M_{reg}, pc, B) \rightarrow_t (M[v := w'], M_{reg}, pc[p := q_{tgt}], B)}$$

Locked Block locked $\{s_0^0; \dots; s_0^{m_0} \text{ or } \dots \text{ or } s_n^0; \dots; s_n^{m_n}\}$ A locked block acts as a fence iff there is some s_i^j that is a write. If so, then the whole locked block is enabled only if the buffer of process p is empty.

When a locked block executes, it non-deterministically selects one sequence $s_i^0; \dots; s_i^{m_i}$ and executing atomically all constituent instructions s_i^j in order. When doing so each constituent instruction executes as it normally does, except for writes, which execute as locked writes.

In order to formalise the above, we first define the functions is_write and contains_write:

$$is_write(s) = (\exists v \in \mathcal{X}, w \in \mathbb{Z}.s = \texttt{write}: v := w)$$

$$contains_write(s) =$$

$$is_write(s)$$
or
$$\left(\begin{array}{c} \exists s_0^0, \cdots, s_0^m, \cdots, s_n^0, \cdots, s_n^l, i, j.\\ s = \texttt{locked}\{s_0^0; \cdots; s_0^m \text{ or } \cdots \text{ or } s_n^0; \cdots; s_n^l\} \\ \text{and} \end{array}\right)$$

Next we define the locked transition relation \rightarrow_s^{locked} , for instructions s by the following two rules:

$$\frac{\neg is_write(s)}{(M, M_{reg}, pc, B) \rightarrow_{(pc(p), s, pc(p))} (M', M'_{reg}, pc', B')}$$
$$\frac{(M, M_{reg}, pc, B) \rightarrow_{s}^{locked} (M', M'_{reg}, pc', B')}{(M, M_{reg}, pc, B) \rightarrow_{s}^{locked} (M', M'_{reg}, pc', B')}$$

$$\frac{is_write(s)}{(M, M_{reg}, pc, B) \to_{(pc(p), s, pc(p))} (M', M'_{reg}, pc', B')} \frac{(M', M'_{reg}, pc', B) \to_{(pc(p), s, pc(p))} (M', M''_{reg}, pc'', B')}{(M, M_{reg}, pc, B) \to_{s}^{locked} (M'', M''_{reg}, pc'', B'')}$$

Now we are ready to define the transition rule for the locked block. Let $s = \texttt{locked}\{s_0^0; \cdots; s_0^{m_0} \text{ or } \cdots \text{ or } s_n^0; \cdots; s_n^{m_n}\}.$

$$\begin{aligned} pc(p) &= q_{src} \\ (contains_write(s) \Rightarrow B(p) = \langle \rangle) \\ \exists 0 \leq i \leq n, c_0, \cdots, c_{m_i+1}. \\ c_0 &= (M, M_{reg}, pc, B) \\ c_{m_i+1} &= (M', M'_{reg}, pc, B') \\ \forall 0 \leq j \leq m_i.c_j \rightarrow_{s_i^j}^{locked} c_{j+1} \\ \hline (M, M_{reg}, pc, B) \rightarrow_t (M', M'_{reg}, pc[p := q_{tgt}], B') \end{aligned}$$

Important: The locked block is a powerful construction meant to enable modellers to model the occasional more obscure machine instructions that may occur on their hardware. Its TSO semantics are quite complicated, and improper use is easy. It is therefore recommended to not explicitly use locked block unless absolutely necessary. It is recommended to limit use of locked blocks to implicit use by means of the two instructions locked write and Compare & Swap.

6.4 Grammar

```
RMM ::=
    BAD-STATES PREDICATES 'data' VAR-INIT PROCESS-LIST
  | BAD-STATES PREDICATES PROCESS-LIST
BAD-STATES ::= 'forbidden' BAD-STATES-LIST-LIST
BAD-STATES-LIST-LIST ::=
   BAD-STATES-LIST
  | BAD-STATES-LIST ';' BAD-STATES-LIST-LIST
BAD-STATES-LIST ::=
   LABEL
  | LABEL BAD-STATES-LIST
PREDICATES ::=
   ,,
  | 'predicates' BEXPR-LIST
BEXPR-LIST ::=
   BEXPR
  | BEXPR ';' BEXPR-LIST
PROCESS-LIST ::=
    PROCESS
  | PROCESS PROCESS-LIST
PROCESS ::=
  'process' PROC-COUNT VAR-INIT REG-INIT 'text' STMT-LIST
PROC-COUNT ::=
   ,,
  | '(' NAT ')'
VAR-INIT ::=
    ,,
  | 'data' VAR-INIT-LIST
VAR-INIT-LIST ::=
    ID '=' VAR-INIT-VALUE VAR-DOMAIN
  | ID '=' VAR-INIT-VALUE VAR-DOMAIN ',' VAR-INIT-LIST
VAR-INIT-VALUE ::=
   NAT
  / '-' NAT
  | '*'
VAR-DOMAIN ::=
   ,,
```

```
/ ':' '[' INT ':' INT ']'
  | ':' 'Z'
REG-INIT ::=
   , ,
  | 'registers' REG-INIT-LIST
REG-INIT-LIST ::=
   REG '=' VAR-INIT-VALUE VAR-DOMAIN
  | REG '=' VAR-INIT-VALUE VAR-DOMAIN ',' REG-INIT-LIST
STMT-LIST ::=
   LSTMT
  | LSTMT ';' STMT-LIST
LSTMT ::=
   STMT
 | LABEL ':' STMT
STMT ::=
   'nop'
  / 'read:' MEMLOC '=' EXPR
  / 'read:' REG ':=' MEMLOC
  / 'write:' MEMLOC ':=' EXPR
  | 'locked write:' MEMLOC ':=' EXPR
  'cas(' MEMLOC ',' EXPR ',' EXPR ')'
  | REG ':=' EXPR
  | 'assume:' BEXPR
  | 'if' BEXPR 'then' LSTMT
  | 'if' BEXPR 'then' LSTMT 'else' LSTMT
  | 'while' BEXPR 'do' LSTMT
  | 'goto' LABEL
   'either' '{' STMT-LIST EITHER-LIST '}'
  | 'locked' '{' STMT-LIST EITHER-LIST '}'
  | '{' STMT-LIST '}'
EITHER-LIST ::=
   ,,
  / 'or' STMT-LIST EITHER-LIST
BEXPR ::=
   BEXPR-AND
  | BEXPR '||' BEXPR
BEXPR-AND ::=
   BEXPR-ATOM
  | BEXPR-AND '&&' BEXPR-AND
  | 'not' BEXPR-ATOM
```

```
BEXPR-ATOM ::=
```

```
'true'
  | 'false'
  | EXPR '=' EXPR
  | EXPR '!=' EXPR
  | EXPR '<' EXPR
  | EXPR '>' EXPR
  '[' BEXPR ']'
EXPR ::=
    EXPR '+' EXPR
  | EXPR '-' EXPR-UNIT
  | EXPR-UNIT
EXPR-UNIT ::=
    REG
  | NAT
  / '-' EXPR-UNIT
  | '(' EXPR ')'
REG ::= $[_a-zA-Z0-9]+
MEMLOC ::=
    ID
  | ID '[' 'my' ']'
  | ID '[' NAT ']'
  | '[' EXPR ']'
LABEL ::= ID
ID ::= [_a-zA-Z] [_a-zA-Z0-9]*
```

6.5 Macros

The parsing of RMM code involves a preprocessing step, where macros can be defined and called. This allows for example, to define a process as a macro, then instantiate it multiple times with different arguments, to avoid typing similar processes definitions multiple times.

The syntax of macro definition is as follows, where *mname* is some identifier which is the name of the defined macro, p_0, \dots, p_n are identifiers which are the formal parameters of the macro, and *mbody* is some RMM code that may contain p_0, \dots, p_n at any point as a replacement for some sequence of symbols. The body *mbody* may not contain the keyword **endmacro**.

macro $mname(p_0, \dots, p_n)$ $mbody(p_0, \dots, p_n)$ endmacro

```
forbidden
                          forbidden
 CS CS
                           CS CS
data
                          data
 cs0 = 0 :
            [0:1]
                           cs0 = 0 :
                                       [0:1]
 cs1 = 0 :
            [0:1]
                           cs1 = 0 :
                                       [0:1]
 x = 0
            [0:1]
                           x = 0
                                       [0:1]
         :
                                   :
                                       [0:1]
 y = 0
            [0:1]
                           v = 0
         :
                                   :
macro p(x,y,pid)
                          process
                          text
process
text
                           write: x := 1;
                           read: y = 0;
 write: x := 1;
read: y = 0;
                           CS: write: cs0 := 1
CS: write: [pid] := 1
endmacro
                          process
                          text
p(x,y,0)
                           write: y := 1;
p(y,x,1)
                           read: x = 0;
                           CS: write: cs1 := 1
```

Figure 2: Left: RMM code using macros. Right: Equivalent, expanded code.

A macro call may occur at any position in an RMM code, after the called macro has been completely defined. A macro call has the following syntax, where *mname* is the name of some defined macro of arity n + 1, and each a_i is some sequence of symbols.

 $mname(a_0, \cdots, a_n)$

Each sequence of symbols a_i must be well-formed with respect to (and), and may not contain a comma (,) except if it is within some nesting of (and). Cyclic macro calls are not allowed.

Figure 2 shows an example of macro usage.

7 Abstractions

7.1 PB - Bounded Buffers with Predicate Abstraction

The PB abstraction is the implementation of [2]. It is an over approximation of TSO.

The PB abstraction uses an over approximation of the TSO store buffers. For a positive integer k, it stores the k most recent messages for each memory location and process. The information of older messages is dropped.

Predicate abstraction is used to enable (infinite) integer domains for memory locations and registers.

The reachability analysis is by backward state space exploration.

If CEGAR is used, then the value of k as well as the set of predicates for predicate abstraction is gradually refined. When CEGAR is used, analysis and fence insertion with PB is sound, but not complete. For fence insertion this means that any fence sets reported by MEMORAX are sufficient and minimal for preventing reachability of the forbidden states.

For details about the PB abstraction, see [2].

7.2 SB - Single Buffer

The SB abstraction is a reimplementation of [3]. Reachability analysis and fence insertion with SB is both sound and complete.

The SB abstraction defines a program semantic which is equivalent to TSO with regards to control state reachability. For the SB semantics, the well-quasi ordering framework [1] is applicable and provides a sound and complete reachability analysis.

The SB semantics replaces the TSO store buffers with a single, shared store buffer. Each message in the single store buffer contains a complete memory snapshot.

The reachability analysis is by backward state space exploration. For details about the SB abstraction, see [3].

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